



Efficacy and safety of oral semaglutide monotherapy vs placebo in a predominantly Chinese population with type 2 diabetes (PIONEER 11): a double-blind, Phase IIIa, randomised trial

Weiqing Wang¹ · Stephen C. Bain² · Fang Bian³ · Rui Chen⁴ · Sanaz Gabery⁵ · Shan Huang⁶ · Thomas B. Jensen⁵ · Bifen Luo⁴ · Guoyue Yuan⁷ · Guang Ning¹ · for the PIONEER 11 investigators

Received: 5 October 2023 / Accepted: 15 January 2024 / Published online: 10 July 2024

© The Author(s) 2024

Abstract

Aims/hypothesis The aim of this study was to evaluate the efficacy and safety of oral semaglutide monotherapy vs placebo in a predominantly Chinese population with type 2 diabetes insufficiently controlled with diet and exercise alone.

Methods The Peptide Innovation for Early Diabetes Treatment (PIONEER) 11 trial was a double-blind, randomised, Phase IIIa trial conducted across 52 sites in the China region (mainland China and Taiwan), Hungary, Serbia and Ukraine. Eligible participants were ≥ 18 years (≥ 20 years in Taiwan), had a diagnosis of type 2 diabetes with HbA_{1c} 53–86 mmol/mol (7.0–10.0%) and were not receiving any glucose-lowering drugs. After a 4-week run-in period in which participants were treated with diet and exercise alone, those who fulfilled the randomisation criteria were randomised (1:1:1:1) using a web-based randomisation system to receive once-daily oral semaglutide 3 mg, 7 mg or 14 mg or placebo for 26 weeks (using a 4-week dose-escalation regimen for the higher doses). Randomisation was stratified according to whether participants were from the China region or elsewhere. The primary and confirmatory secondary endpoints were change from baseline to week 26 in HbA_{1c} and body weight (kg), respectively. Safety was assessed in all participants exposed to at least one dose of the trial product.

Results Between October 2019 and October 2021, a total of 774 participants were screened and 521 participants were randomised to oral semaglutide 3 mg ($n=130$), 7 mg ($n=130$), 14 mg ($n=130$) or placebo ($n=131$); most participants (92.5%, $n=482$) completed the trial, with 39 participants prematurely discontinuing treatment. The number of participants contributing to the trial analyses was based on the total number of participants who were randomised at the beginning of the trial. The majority of participants were male (63.7%), and the mean age of participants was 52 years. At baseline, mean HbA_{1c} and body weight were 63 mmol/mol (8.0%) and 79.6 kg, respectively. Oral semaglutide resulted in significantly greater reductions in HbA_{1c} than placebo at week 26 ($p<0.001$ for all doses). The estimated treatment differences (ETDs [95% CIs]) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo were -11 (-13 , -9) mmol/mol, -16 (-18 , -13) mmol/mol and -17 (-19 , -15) mmol/mol, respectively. The corresponding ETDs in percentage points (95% CI) vs placebo were -1.0 (-1.2 , -0.8), -1.4 (-1.6 , -1.2) and -1.5 (-1.8 , -1.3), respectively. Significantly greater reductions in body weight were also observed for oral semaglutide 7 mg and 14 mg than for placebo at week 26 (ETD [95% CI] -1.2 kg [-2.0 kg, -0.4 kg; $p<0.01$] and -2.0 kg [-2.8 kg, -1.2 kg; $p<0.001$], respectively), but not for oral semaglutide 3 mg (ETD [95% CI] -0.0 kg [-0.9 kg, 0.8 kg; not significant]). Similar reductions in HbA_{1c} and body weight were observed in the Chinese subpopulation, which represented 74.9% of participants in the overall population. Adverse events (AEs) occurred in between 65.4% and 72.3% of participants receiving oral semaglutide (for all doses) and 57.3% of participants with placebo. Most AEs were mild to moderate in severity, with few serious AEs reported; the most commonly reported AEs were gastrointestinal-related and were more frequent with semaglutide (all doses) than with placebo. The proportion of AEs was slightly higher in the Chinese subpopulation.

A complete list of investigators in the Peptide Innovation for Early Diabetes Treatment 11 (PIONEER 11) trial is provided in the electronic supplementary material.

Extended author information available on the last page of the article

Research in context

What is already known about this subject?

- In China, diabetes prevalence is rising, with ~1.4 million diabetes-related deaths in 2021
- International and Chinese Diabetes Society guidelines recommend glucagon-like peptide-1 receptor agonists (GLP-1RAs) for type 2 diabetes treatment, although their use remains uncommon in China
- While the efficacy and safety of the oral formulation of the GLP-1RA semaglutide have been demonstrated in a global population, treatment responses across different races and ethnicities should be evaluated, as differences in the clinical phenotype and pathophysiology of type 2 diabetes exist

What is the key question?

- How effective and safe is oral semaglutide vs placebo after 26 weeks in a predominantly Chinese population with type 2 diabetes?

What are the new findings?

- Oral semaglutide significantly reduced HbA_{1c} (all doses) and body weight (7 mg and 14 mg) vs placebo
- Compared with the global Peptide Innovation for Early Diabetes Treatment (PIONEER) 1 trial population, the magnitude of HbA_{1c} reductions with oral semaglutide was similar, although body weight reductions were numerically smaller, probably because of the lower weight and BMI in this predominantly Chinese population
- Oral semaglutide was generally well tolerated; gastrointestinal adverse events were most common, although they were typically mild, of short duration and consistent with those seen in the global PIONEER trials

How might this impact on clinical practice in the foreseeable future?

- The findings provide further evidence for the efficacy and safety of oral semaglutide for the treatment of type 2 diabetes in a predominantly Chinese population

Conclusions/interpretation Oral semaglutide resulted in significantly greater reductions in HbA_{1c} across all doses and in significant body weight reductions for the 7 mg and 14 mg doses when compared with placebo in predominantly Chinese participants with type 2 diabetes insufficiently controlled by diet and exercise alone. Oral semaglutide was generally well tolerated, with a safety profile consistent with that seen in the global PIONEER trials.

Trial registration ClinicalTrials.gov NCT04109547.

Funding Novo Nordisk A/S.

Keywords China · GLP-1 analogue · Glycaemic control · Incretin therapy · Phase III · Semaglutide · Type 2 diabetes

Abbreviations

AACE	American Association of Clinical Endocrinology
AE	Adverse event
CDS	Chinese Diabetes Society
DPP-4i	Dipeptidyl peptidase-4 inhibitor
ETD	Estimated treatment difference
FPG	Fasting plasma glucose
GLP-1	Glucagon-like peptide-1
GLP-1RA	Glucagon-like peptide-1 receptor agonist
PIONEER	Peptide Innovation for Early Diabetes Treatment
SAE	Serious adverse event
SF-36v2	36-item Short Form Health Survey (Acute Version)
SMPG	Self-monitored plasma glucose

Introduction

The most recent literature search to inform the IDF Diabetes Atlas reported that, in 2021, there were approximately 530 million people living with diabetes globally and estimated that this number will increase to around 780 million in 2045 [1]. In China the trend is no different: there were approximately 140 million cases of diabetes in 2021, which is predicted to increase to 174 million by 2045 [1]. Both globally and in China, type 2 diabetes accounts for the majority of cases [1], highlighting a clear need for more treatment options.

Achievement and maintenance of glycaemic targets and weight loss are important treatment goals for people with type 2 diabetes and can help reduce the long-term risk of some complications associated with chronic disease [2, 3].

Recently, distinct differences in the clinical phenotype and pathophysiology of type 2 diabetes in East Asian populations and Western populations have been recognised [4–6]. These differences can impact therapeutic approaches and responses to treatment; therefore, adjustments to treatment strategies across different populations should be considered [4–6].

Treatment with glucagon-like peptide-1 receptor agonists (GLP-1RAs) enables people with type 2 diabetes to achieve reductions in HbA_{1c} and body weight [7–9]. Some GLP-1RAs, including s.c. semaglutide, reduce the risk of major adverse cardiovascular events and have beneficial effects on cardiometabolic risk factors, including BP and lipid profiles [10–12]. Furthermore, HbA_{1c} and body weight reductions with s.c. semaglutide are largely consistent across different ethnic groups [13–16]. GLP-1RAs are recommended for treating type 2 diabetes in international (ADA/EASD) and Chinese guidelines [17, 18]. The Chinese Diabetes Society (CDS) recommends the use of GLP-1RAs or sodium–glucose cotransporter 2 inhibitors (unless contraindicated) as an adjunct to metformin regardless of the glycaemic target in people with type 2 diabetes and established atherosclerotic CVD, or in those with high CVD risk [18]. Additionally, the CDS recommends considering GLP-1RAs, among other glucose-lowering drugs, alongside lifestyle interventions for people with type 2 diabetes and a BMI ≥ 27 kg/m² [18]. In China, the use of s.c. GLP-1RAs is typically less common than the use of other glucose-lowering drugs, possibly because of the need to administer them by injection, highlighting the need for effective and easily administered therapies [19].

Oral semaglutide, a co-formulation of the human glucagon-like peptide-1 (GLP-1) analogue semaglutide and the absorption enhancer sodium *N*-[8(2-hydroxybenzoyl)amino]caprylate (SNAC), is the first GLP-1RA developed for oral administration in type 2 diabetes [20, 21]. Although semaglutide is also available as an s.c. injection [22, 23], the availability of an oral GLP-1RA presents a useful alternative to injectables, removing the administrative burden and encouraging greater uptake of GLP-1RAs [20, 24]. The efficacy and safety of once-daily oral semaglutide have been extensively evaluated in participants with type 2 diabetes in the global Peptide Innovation for Early Diabetes Treatment (PIONEER) Phase IIIa clinical trial programme (PIONEER 1–8) [7, 8, 25–30]. Oral semaglutide was effective at improving glycaemic control compared with placebo and active comparators, with a safety profile consistent with the GLP-1RA class; gastrointestinal symptoms were the most common adverse events (AEs) [7, 8, 25–30]. Although the global Phase IIIa clinical programme included Asian racial and ethnic groups, there is limited evidence of the efficacy and safety of oral semaglutide in predominantly Chinese populations [7, 8, 25–30]. Two trials (PIONEER 9 and 10) have demonstrated the efficacy and safety of oral semaglutide in a predominantly

Japanese population [31, 32]. The current study has some similarities with the PIONEER 1 trial, with similar treatment arms, inclusion criteria, trial length and endpoints; however, PIONEER 1 was a global trial and as such had a far smaller Asian population [7]. PIONEER 1 may provide a useful comparison in terms of outcomes, highlighting differences in response between Asian and non-Asian individuals receiving oral semaglutide and providing a point of comparison with placebo [7].

This multiregional PIONEER 11 Phase IIIa trial aimed to assess the efficacy and safety of oral semaglutide monotherapy compared with placebo in a predominantly Chinese population with type 2 diabetes, insufficiently controlled with diet and exercise. An additional Phase III trial, PIONEER 12 (NCT04017832), was also conducted to assess the efficacy and safety of oral semaglutide vs the dipeptidyl peptidase-4 inhibitor (DPP-4i), sitagliptin, in a predominantly Chinese population with type 2 diabetes, insufficiently controlled with metformin [33].

Methods

Trial design PIONEER 11 (ClinicalTrials.gov NCT04109547) was a 26 week, randomised, double-blind, placebo-controlled, parallel-group Phase IIIa trial with a 4-week run-in period conducted at 52 sites in the China region (including mainland China and Taiwan), Hungary, Serbia and Ukraine. The trial protocol was approved by the appropriate health authorities according to local guidelines and by an institutional review board/independent ethics committee, and the trial was conducted in accordance with the Declaration of Helsinki 2013 and International Council for Harmonisation Good Clinical Practice guidelines. A list of investigators is provided in electronic supplementary material (ESM) Appendix 1. Participants were required to provide written informed consent prior to commencing any trial-related activity.

Participants Participants with diagnosed type 2 diabetes were eligible for participation if they were aged ≥ 18 years at the time of informed consent (≥ 20 years in Taiwan) and had an HbA_{1c} between 53 and 86 mmol/mol (7.0–10.0%). Key exclusion criteria included treatment with a glucose-lowering medication or medication for obesity within 60 days before screening, except for short-term (14 days) insulin use; renal impairment (eGFR < 60 ml/min per 1.73 m²); history/presence of pancreatitis (acute or chronic); history/presence of malignant neoplasms within 5 years before screening; family (first-degree relative) or personal history of multiple endocrine neoplasia type 2 or medullary thyroid carcinoma; and uncontrolled or potentially unstable diabetic retinopathy or maculopathy. Full inclusion, exclusion and randomisation criteria are provided in ESM Table 1.

Demographic data including date of birth, sex, and race and ethnicity were recorded according to local regulations and were self-reported.

Trial procedures, randomisation and masking The key randomisation criterion was HbA_{1c} between 53 and 80 mmol/mol (7.0–9.5%) inclusive, measured at visit 3 (1 week before randomisation). After a 4 week run-in period, all eligible participants were randomised 1:1:1:1 using a web-based randomisation system to once-daily oral semaglutide (3 mg, 7 mg or 14 mg) or placebo for 26 weeks, with a follow-up visit taking place at 31 weeks (ESM Fig. 1). Participants initiated treatment with 3 mg once daily and those randomised to 7 mg or 14 mg followed a fixed 4-week dose-escalation regimen until reaching the randomised maintenance dose (7 mg was reached after 4 weeks and 14 mg after 8 weeks). Full treatment administration details are provided in ESM Appendix 2. Randomisation was stratified according to whether participants were from the China region (including mainland China and Taiwan) or elsewhere. The population of participants from the China region is referred to henceforth as the Chinese subpopulation.

Oral semaglutide or placebo were administered as a once-daily tablet in the morning with up to half a glass of water (approximately 120 ml) in a fasting state, at least 30 min before participants' first meal. Participants with persistent and unacceptable hyperglycaemia (fasting plasma glucose [FPG] >13.3 mmol/l from week 8 to week 13, or >11.1 mmol/l from week 14 until the end of the trial) were offered rescue medication, which was applied from week 8 (visit 7) onwards. Rescue medication, excluding GLP-1RAs, DPP-4is or amylin analogues, were prescribed at the investigators' discretion according to ADA/EASD guidelines [18]. Participants who prematurely discontinued semaglutide were switched to another glucose-lowering drug at the investigators' discretion.

Adherence to the trial protocol was maintained where possible during the COVID-19 pandemic (see ESM Appendix 3 for further details).

Endpoints and assessments The primary endpoint was change in HbA_{1c} from baseline to week 26, and the confirmatory secondary endpoint was change in body weight (kg) from baseline to week 26. Supportive secondary endpoints included achievement of the American Association of Clinical Endocrinology (AACE) target of HbA_{1c} ≤48 mmol/mol (≤6.5%), the ADA target of HbA_{1c} <53 mmol/mol (<7.0%) or body weight loss ≥5% or ≥10% at week 26, and change from baseline to week 26 in FPG, 7-point self-monitored plasma glucose (SMPG) profile, body weight (%), BMI, waist circumference, BP and fasting lipid profile. Two composite endpoints were assessed: HbA_{1c} <53 mmol/mol (<7.0%) without treatment-emergent severe hypoglycaemia or blood glucose-confirmed (plasma glucose

<3.1 mmol/l) symptomatic hypoglycaemia and no body weight gain; and HbA_{1c} reduction ≥10.9 mmol/mol (≥1%) and body weight loss ≥3%. The change from baseline to week 26 in participant-reported outcomes was assessed using the 36-item Short Form Health Survey (Acute Version) (SF-36v2).

Safety was evaluated up to approximately 31 weeks by assessment of AEs, number of hypoglycaemic episodes and the change from baseline in vital signs and laboratory assessments. Hypoglycaemic episodes were defined according to the three-tier ADA 2018 definition [34].

An independent external event adjudication committee was established to perform an assessment of certain AEs (deaths, acute coronary syndrome, cerebrovascular events, heart failure requiring hospitalisation, acute pancreatitis, malignant neoplasm, thyroid disease [malignant neoplasm or C-cell hyperplasia], acute kidney injury and lactic acidosis) according to predefined diagnostic criteria. To assess the incidence of diabetic retinopathy, a fundus eye examination was performed prior to randomisation and at the end of treatment (either week 26 or at the time of product discontinuation).

Statistical analysis A sample size calculation was performed to ensure that the trial had ≥90% statistical power to confirm the superiority of oral semaglutide vs placebo at each dose level for change in HbA_{1c} from baseline to week 26 (primary estimand). In total, it was planned to include 130 participants in each treatment group (520 participants in total). The planned number of participants from the China region was 75% of the total sample size ($n=390$). Analyses of efficacy endpoints were based on the full analysis set, which included all randomised participants, and analyses of safety endpoints were based on the safety analysis set, which included all participants exposed to one or more dose of trial product.

Two questions relating to the efficacy objectives were addressed through the definition of two estimands. The trial product estimand (primary) evaluated the treatment effect for all randomised participants under the assumption that all participants continued taking the trial product for the entire planned duration of the trial and did not use rescue medication. The treatment policy estimand (secondary) evaluated the treatment effect for all randomised participants regardless of trial product discontinuation or use of rescue medication. A series of observation periods was also defined (ESM Appendix 4).

The primary analysis for the trial product estimand was a mixed model for repeated measures using a restricted maximum likelihood, with treatment and region as categorical fixed effects and baseline HbA_{1c} or body weight as a covariate. For the trial product estimand, a closed testing procedure was used to control the overall type 1 error at a nominal two-sided 5% level. Overall significance of 0.05 (two-sided) was initially allocated to the HbA_{1c} superiority test on the highest dose level. The statistical testing strategy ensured that superiority was established in terms of HbA_{1c} before testing for

the benefits on body weight at the same dose. Superiority for HbA_{1c} had to be established for higher doses before continuing to test hypotheses at lower doses (ESM Fig. 2). The local significance level was to be reallocated if a hypothesis was confirmed. For the primary analysis for the treatment policy estimand, a pattern mixture model using multiple imputation to handle missing data was used. Change in HbA_{1c} from baseline to week 26 was analysed using ANCOVA, with treatment and region as categorical fixed effects and baseline HbA_{1c} or body weight as a covariate.

All analyses described for the primary and secondary endpoints were also performed on the Chinese subpopulation, except for the removal of region as a categorical fixed effect in the model (prespecified). Given the uniformity of diabetes across gender and sex, no special consideration was given to a sex and gender analysis.

Results

Participants and baseline characteristics Between October 2019 and October 2021, 774 participants were screened and 521 participants were randomised to receive oral

semaglutide 3 mg ($n=130$), 7 mg ($n=130$), 14 mg ($n=130$) or placebo ($n=131$) (Fig. 1). All 521 participants were included in the full analysis set, and 520 participants were included in the safety analysis set. Most participants (92.5%) completed treatment (oral semaglutide 3 mg, $n=121$; 7 mg, $n=122$; 14 mg, $n=118$; placebo, $n=121$), with 39 (7.5%) participants among all treatment groups discontinuing early. Of those receiving oral semaglutide, 88.5–91.5% completed treatment without receiving rescue medication, compared with 80.9% receiving placebo; rescue medication was started after a significantly longer time period with oral semaglutide (7 mg and 14 mg) compared with placebo (ESM Table 2). Across the entire study population, 4.6% of participants received rescue medication, with more participants in the placebo group receiving rescue medication than those in the oral semaglutide groups (ESM Table 3). Furthermore, 6.5% of all participants received additional concomitant glucose-lowering medication throughout the study (ESM Table 4).

Baseline demographics and characteristics were generally similar between treatment groups (Table 1). The majority of participants were male (63.7% [$n=332$]) and from the China region (74.9% [$n=390$]). The mean age of participants was 52 years, mean duration of type 2 diabetes was 2.2 years, mean HbA_{1c} was 63 mmol/mol (8.0%), mean FPG was

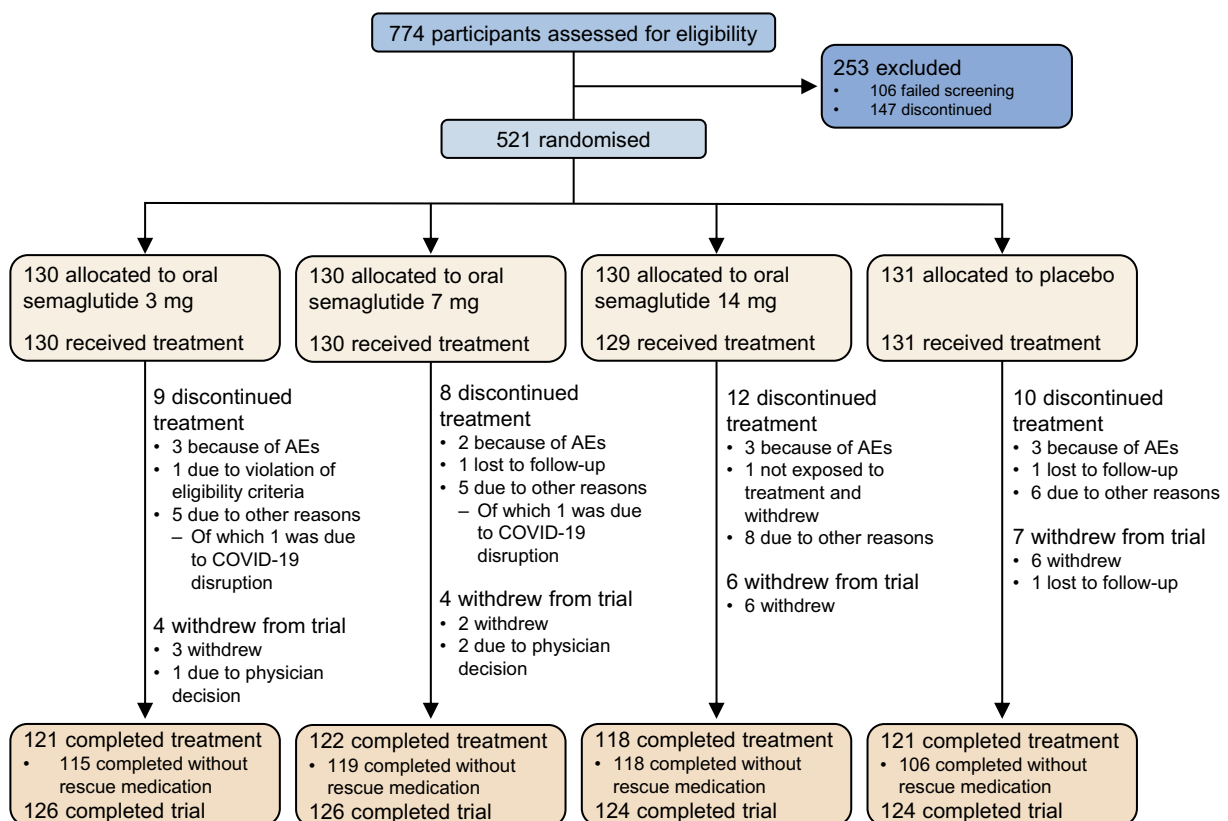


Fig. 1 Participant flow diagram

8.71 mmol/l and mean body weight was 79.6 kg. Most participants had a BMI ≥ 25 kg/m²; the mean BMI across all treatment groups was 28.2 kg/m².

Primary endpoint For the trial product estimand, oral semaglutide (3 mg, 7 mg and 14 mg) was superior to placebo in reducing HbA_{1c} from baseline to week 26 (Fig. 2). The estimated mean changes in HbA_{1c} from baseline to week 26 were -12 mmol/mol (-1.1 percentage points), -16 mmol/mol (-1.5 percentage points), -17 mmol/mol (-1.6 percentage points) and -0 mmol/mol (-0.0 percentage points) for oral semaglutide 3 mg, 7 mg and 14 mg and placebo, respectively. The estimated treatment differences (ETDs [95% CIs]) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo were -11 ($-13, -9$) mmol/mol, -16 ($-18, -13$) mmol/mol and -17 ($-19, -15$) mmol/mol, respectively ($p < 0.001$ for all doses; Fig. 2a,b). The corresponding ETDs in percentage points (95% CI) vs placebo were -1.0 ($-1.2, -0.8$), -1.4 ($-1.6, -1.2$) and -1.5 ($-1.8, -1.3$), respectively. Similar significant

reductions in HbA_{1c} were observed for oral semaglutide vs placebo for the treatment policy estimand ($p < 0.001$ for all doses; Fig. 2c,d). Additionally, similar significant HbA_{1c} reductions were observed in the Chinese subpopulation for both estimands (Fig. 3).

Confirmatory secondary endpoint For the trial product estimand, oral semaglutide (7 mg and 14 mg) was superior to placebo in reducing body weight from baseline to week 26 (Fig. 4). The estimated mean changes in body weight from baseline to week 26 were -1.1 kg, -2.2 kg, -3.0 kg and -1.0 kg for oral semaglutide 3 mg, 7 mg and 14 mg and placebo, respectively. The ETDs for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo were -0.0 kg (95% CI $-0.9, 0.8$; not significant), -1.2 kg (95% CI $-2.0, -0.4$; $p < 0.01$) and -2.0 kg (95% CI $-2.8, -1.2$; $p < 0.001$), respectively (Fig. 4a,b). Similar reductions in body weight were observed for the treatment policy estimand (Fig. 4c,d) and in the Chinese subpopulation for both estimands (Fig. 5).

Table 1 Baseline demographics for the full analysis set

Characteristic	Oral semaglutide			Placebo (N=131)
	3 mg (N=130)	7 mg (N=130)	14 mg (N=130)	
Age, years	54 (11)	52 (11)	53 (10)	51 (11)
Sex, n (%)				
Female	58 (44.6)	41 (31.5)	47 (36.2)	43 (32.8)
Male	72 (55.4)	89 (68.5)	83 (63.8)	88 (67.2)
Region, n (%)				
China region	97 (74.6)	98 (75.4)	97 (74.6)	98 (74.8)
Non-China region	33 (25.4)	32 (24.6)	33 (25.4)	33 (25.2)
Race and ethnicity, n (%)				
Asian	97 (74.6)	98 (75.4)	97 (74.6)	98 (74.8)
White	33 (25.4)	32 (24.6)	33 (25.4)	33 (25.2)
HbA _{1c}				
mmol/mol	63 (7)	62 (7)	64 (10)	64 (8)
%	7.9 (0.6)	7.9 (0.7)	8.0 (0.9)	8.0 (0.7)
Duration of diabetes, years	2.3 (3.0)	1.7 (2.4)	2.1 (3.3)	2.7 (3.8)
FPG, mmol/l	8.64 (1.97)	8.70 (1.79)	8.51 (1.55)	9.01 (2.06)
Body weight, kg	76.6 (15.8)	80.8 (17.4)	79.7 (19.7)	81.1 (16.5)
BMI, kg/m ²	27.8 (4.8)	28.4 (5.1)	28.2 (5.8)	28.4 (5.0)
BMI categories, n (%)				
<18.5 kg/m ²	0	0	3 (2.3)	0
≥ 18.5 to <25 kg/m ²	40 (30.8)	36 (27.7)	34 (26.2)	34 (26.0)
≥ 25 to <30 kg/m ²	58 (44.6)	58 (44.6)	54 (41.5)	55 (42.0)
≥ 30 to <35 kg/m ²	22 (16.9)	20 (15.4)	27 (20.8)	30 (22.9)
≥ 35 to <40 kg/m ²	8 (6.2)	11 (8.5)	8 (6.2)	6 (4.6)
≥ 40 kg/m ²	2 (1.5)	5 (3.8)	4 (3.1)	6 (4.6)
Waist circumference, cm	95.8 (11.5)	98.0 (11.9)	96.9 (13.6)	97.5 (11.9)
Systolic BP, mmHg	127 (15)	127 (14)	127 (14)	129 (14)
Diastolic BP, mmHg	81 (9)	83 (9)	82 (9)	84 (11)
eGFR, ml/min per 1.73 m ²	102 (14)	102 (14)	102 (14)	104 (15)

Data are mean (SD) unless stated otherwise

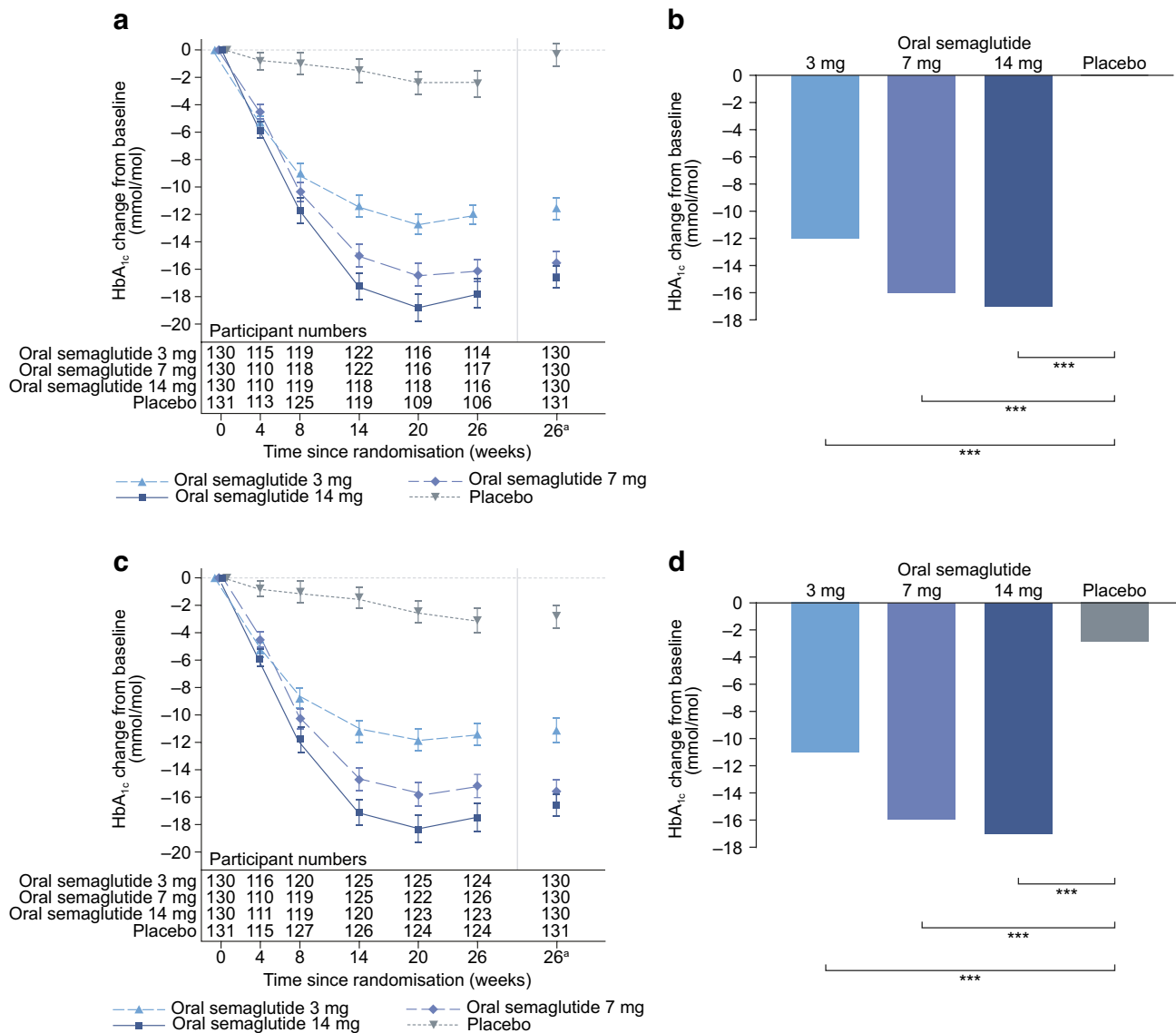


Fig. 2 Change in HbA_{1c} from baseline to week 26 (primary endpoint) in the overall trial population. Observed and estimated mean (\pm SEM) and estimated mean change from baseline for HbA_{1c} by the trial product estimand (**a, b**) and the treatment policy estimand (**c, d**). Data are for the on-treatment period without rescue medication (**a, b**) or for the in-trial period (**c, d**) for the total trial population. Baseline mean (SD) HbA_{1c} values were 63 (7) mmol/mol (7.9% [0.6%]), 62 (7) mmol/mol (7.9% [0.7%]) and 64 (10) mmol/mol (8.0% [0.9%]) for oral semaglutide 3 mg, 7 mg and 14 mg, respectively, and 64 (8)

mmol/mol (8.0% [0.7%]) for placebo. ETDs (95% CI) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo for the trial product estimand were -11 (-13, -9), -16 (-18, -13) and -17 (-19, -15) mmol/mol, respectively. ETDs (95% CI) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo for the treatment policy estimand were -8 (-11, -6), -13 (-15, -10) and -14 (-16, -11) mmol/mol, respectively. ^aEstimated means and corresponding error bars are from the primary analysis. *** p <0.001

Supportive secondary endpoints (trial product estimand) For the trial product estimand, a greater observed proportion of participants achieved the AACE target of HbA_{1c} \leq 48 mmol/mol (\leq 6.5%) and the ADA target of HbA_{1c} <53 mmol/mol (<7.0%) with oral semaglutide than with placebo at week 26 (Table 2). The estimated odds for achieving each HbA_{1c} target were significantly in favour of oral semaglutide vs placebo (p <0.001 for all doses). The mean changes from baseline in

FPG and 7-point SMPG were significantly greater with oral semaglutide vs placebo (p <0.001 for all doses; Table 2).

Significantly greater reductions in body weight (%) were observed with oral semaglutide 7 mg and 14 mg (p <0.01 for both doses), but not with 3 mg (p =0.80), compared with placebo (Table 2). Greater observed proportions of participants achieved body weight loss of \geq 5% or \geq 10% with oral semaglutide than with placebo (Table 2); the odds of achieving

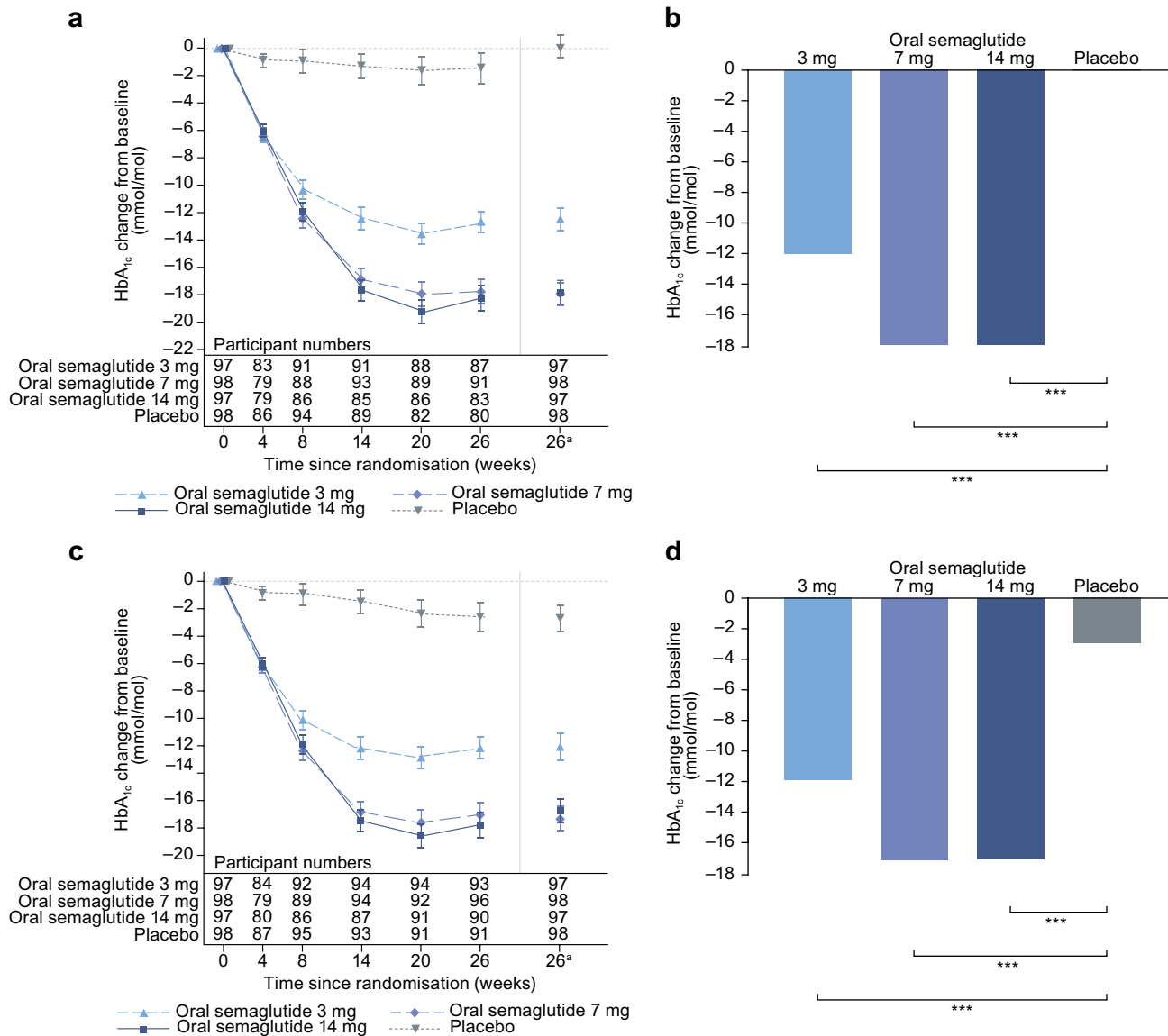


Fig. 3 Change in HbA_{1c} from baseline to week 26 (primary endpoint) in the Chinese subpopulation. Observed and estimated mean values (\pm SEM) and estimated mean change from baseline for HbA_{1c} by the trial product estimand (**a**, **b**) and the treatment policy estimand (**c**, **d**). Data are for the on-treatment without rescue medication period (**a**, **b**) or for the in-trial period (**c**, **d**) for the Chinese subpopulation. Baseline mean (SD) HbA_{1c} values were 63 (7) mmol/mol (7.9% [0.7%]), 63 (8) mmol/mol (7.9% [0.7%]) and 64 (8) mmol/mol (8.0% [0.7%]) for oral semaglutide 3 mg, 7 mg and 14 mg, respectively, and 64 (8)

mmol/mol (8.0% [0.7%]) for placebo. ETDs (95% CI) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo for the trial product estimand were -13 (-15, -10), -18 (-20, -16) and -18 (-20, -16) mmol/mol, respectively. ETDs (95% CI) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo for the treatment policy estimand were -9 (-12, -7), -15 (-17, -12) and -14 (-17, -12) mmol/mol, respectively. ^aEstimated means and corresponding error bars are from the primary analysis. *** $p < 0.001$

body weight loss of $\geq 5\%$ were significantly greater with oral semaglutide 7 mg and 14 mg vs placebo, as were the odds of achieving body weight loss of $\geq 10\%$ with oral semaglutide 14 mg vs placebo (Table 2). Similarly, BMI was significantly reduced with oral semaglutide 7 mg and 14 mg ($p < 0.001$ for both doses) vs placebo, but not with 3 mg (ESM Table 5). Waist circumference was significantly reduced from baseline with oral semaglutide 14 mg ($p < 0.05$) vs placebo, but not with oral semaglutide 3 mg or 7 mg (ESM Table 5).

Greater observed proportions of participants achieved both composite endpoints ≥ 10.9 mmol/mol (≥ 1 percentage point) HbA_{1c} reduction and $\geq 3\%$ weight loss, and HbA_{1c} < 53 mmol/mol ($< 7.0\%$) without severe or blood glucose-confirmed symptomatic hypoglycaemia and with no weight gain with oral semaglutide (all doses) than with placebo (Table 2). The estimated odds for achieving each composite endpoint were significantly in favour of oral semaglutide vs placebo ($p < 0.01$ for all doses).

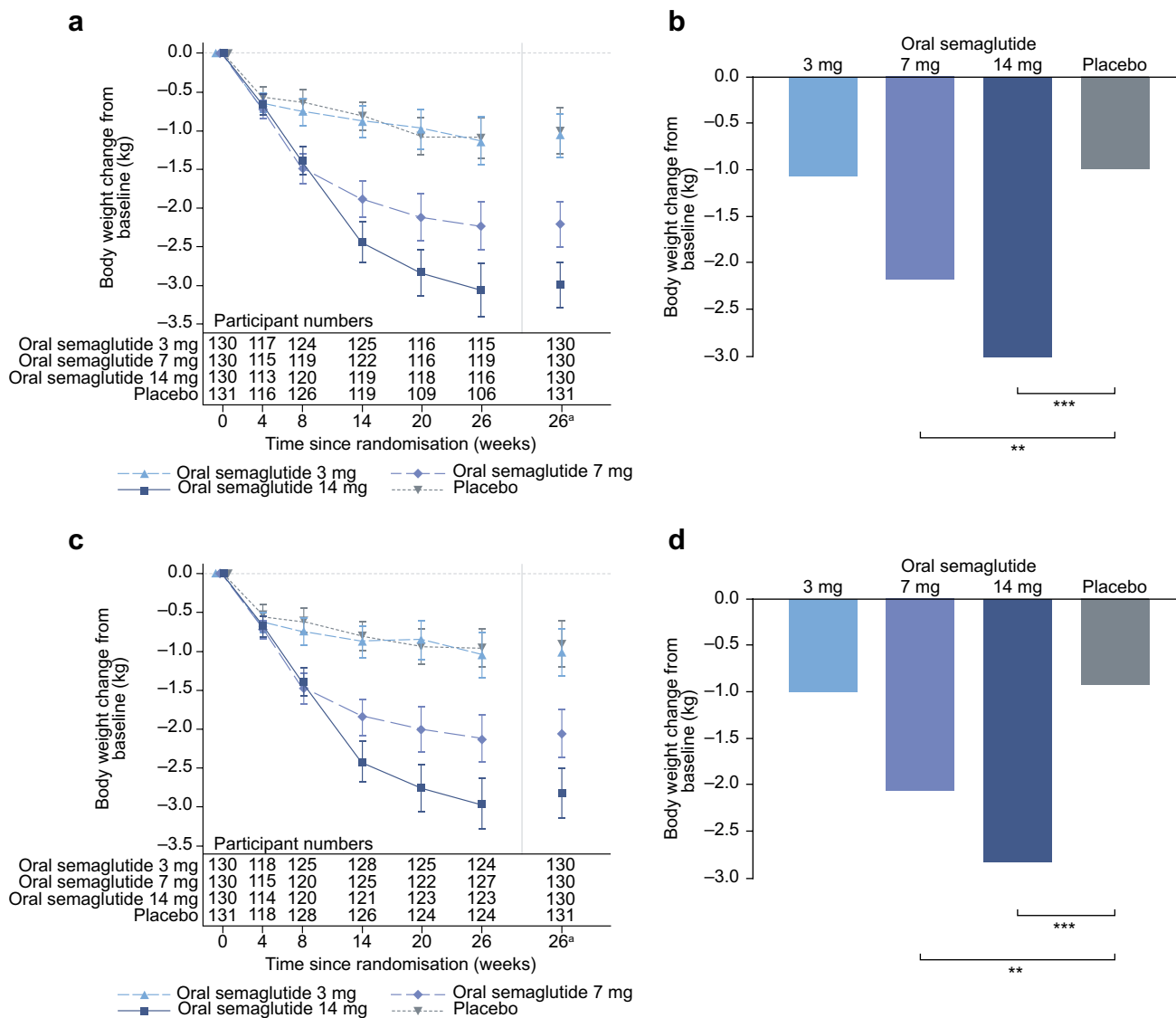


Fig. 4 Change in body weight from baseline to week 26 (confirmatory secondary endpoint) in the overall trial population. Observed and estimated mean values (\pm SEM) and estimated mean change from baseline for body weight by the trial product estimand (**a, b**) and the treatment policy estimand (**c, d**). Data are for the on-treatment without rescue medication period (**a, b**) or for the in-trial period (**c, d**) for the total trial population. Baseline mean (SD) body weight was 76.6 (15.8) kg, 80.8 (17.4) kg and 79.7 (19.7) kg for oral semaglutide 3

mg, 7 mg and 14 mg, respectively, and 81.1 (16.5) kg for placebo. ETDs (95% CI) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo for the trial product estimand were -0.0 ($-0.9, 0.8$), -1.2 ($-2.0, -0.4$) and -2.0 ($-2.8, -1.2$) kg, respectively. ETDs (95% CI) for oral semaglutide 3 mg, 7 mg and 14 mg for placebo for the treatment policy estimand were -0.1 ($-0.9, 0.7$), -1.1 ($-2.0, -0.3$) and -1.9 ($-2.8, -1.1$) kg, respectively. ^aEstimated means and corresponding error bars are from the primary analysis. ** $p < 0.01$; *** $p < 0.001$

Treatment with oral semaglutide 14 mg resulted in significant reductions from baseline to week 26 in total cholesterol, LDL-cholesterol and triglycerides vs placebo ($p < 0.05$ for all endpoints), although no noticeable differences were observed with oral semaglutide 3 mg or 7 mg (Table 2).

Overall, participant-reported outcomes, assessed using the SF-36v2 (general health and mental health), were not significantly different between oral semaglutide and placebo (ESM Table 5).

Results for the supportive secondary endpoints were broadly similar for the treatment policy estimand (Table 3 and ESM Table 5).

Adverse events and tolerability Overall, the proportion of participants experiencing AEs was higher for all doses of oral semaglutide than for placebo (Table 3). Gastrointestinal disorders were the most frequently reported AEs; a higher proportion of participants experienced these with oral semaglutide (3 mg: 16.2%, 7 mg: 32.3% and 14 mg: 31.8%)

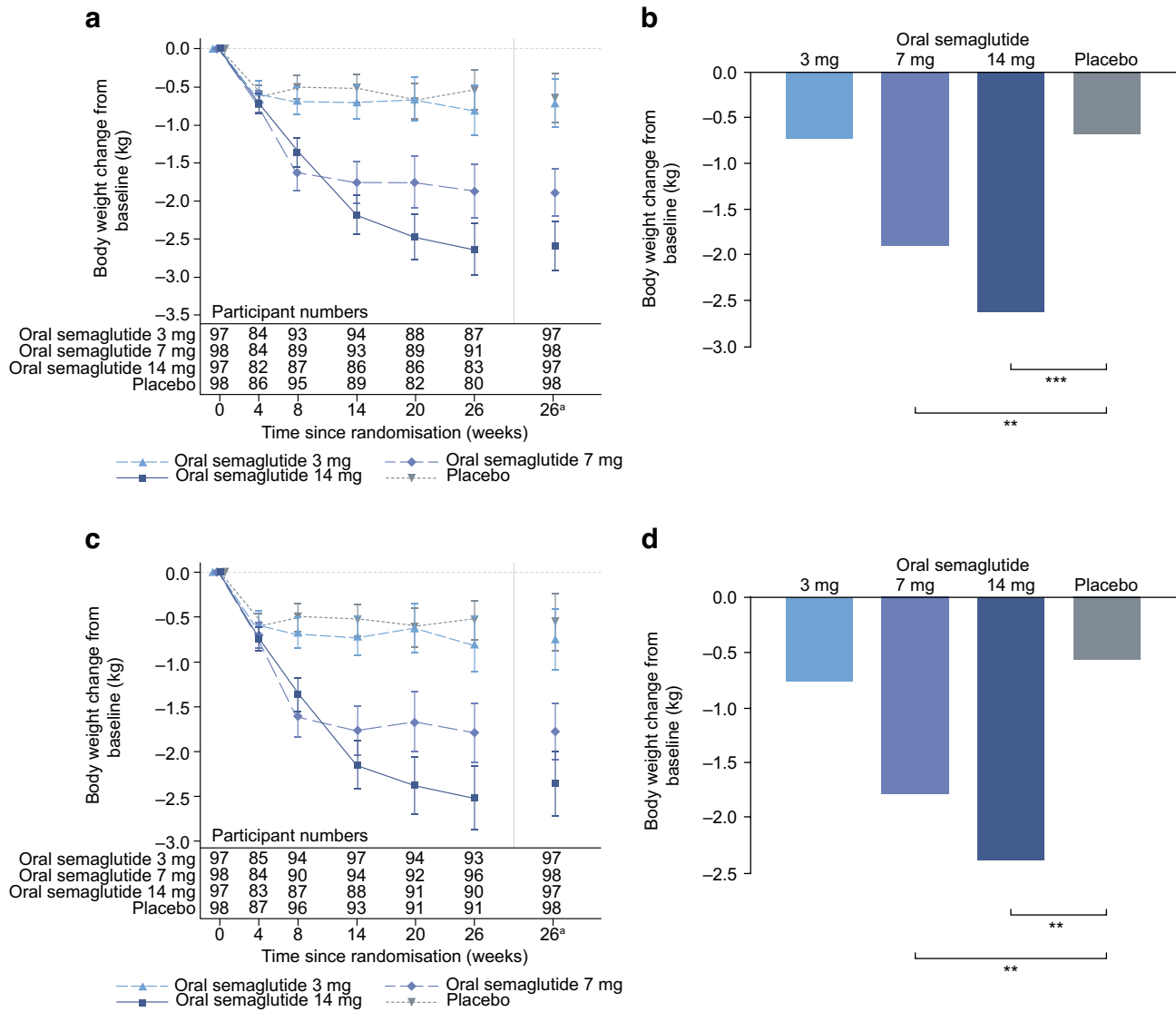


Fig. 5 Change in body weight from baseline to week 26 (confirmatory secondary endpoint) in the Chinese subpopulation. Observed and estimated mean values (\pm SEM) and estimated mean change from baseline for body weight by the trial product estimand (**a, b**) and the treatment policy estimand (**c, d**). Data are for the on-treatment without rescue medication period (**a, b**) or for the in-trial period (**c, d**) for the Chinese subpopulation. Baseline mean (SD) body weight was 71.2 (11.9) kg, 75.2 (12.2) kg and 72.6 (11.6) kg for oral semaglutide

3 mg, 7 mg and 14 mg, respectively, and 77.5 (15.5) kg for placebo. ETDs (95% CI) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo for the trial product estimand were -0.1 ($-1.0, 0.8$), -1.2 ($-2.1, -0.4$) and -1.9 ($-2.8, -1.0$) kg, respectively. ETDs (95% CI) for oral semaglutide 3 mg, 7 mg and 14 mg vs placebo for the treatment policy estimand were -0.2 ($-1.1, 0.7$), -1.2 ($-2.1, -0.3$) and -1.8 ($-2.8, -0.8$) kg, respectively. ^aEstimated means and corresponding error bars are from the primary analysis. $**p < 0.01$; $***p < 0.001$

than with placebo (9.2%). Diarrhoea and nausea were the most common gastrointestinal AEs, although most cases were mild and of short duration. Diabetic retinopathy was reported in 4.6% ($n=6$) and 1.5% ($n=2$) of participants on oral semaglutide 3 mg and placebo, respectively, while no cases were reported with oral semaglutide 7 mg and 14 mg.

Most AEs were mild to moderate in severity, and the proportion of serious adverse events (SAEs) was low across treatment groups, but was highest with oral semaglutide 7 mg (Table 3). Three SAEs were considered to be possibly

related to the trial product by the investigator, including one mild event of cholelithiasis with oral semaglutide 14 mg. There were two event adjudication committee-confirmed events (ESM Table 6). Hypoglycaemic events were rare, with only two participants experiencing a level 2 hypoglycaemic event (one each with oral semaglutide 3 mg and 7 mg) and no participants experiencing a level 3 (severe) hypoglycaemic event. No deaths occurred (Table 3).

Mean eGFR, calcitonin and creatine kinase levels and the bilirubin ratio were similar between treatment groups (ESM

Table 2 Supportive secondary endpoints at week 26

Endpoint	Trial product estimand (primary estimand) ^a				Treatment policy estimand (secondary estimand) ^b			
	Oral semaglutide			Placebo	Oral semaglutide			Placebo
	3 mg	7 mg	14 mg		3 mg	7 mg	14 mg	
Proportion achieving AACE target of HbA_{1c} ≤48 mmol/mol (≤6.5%)								
<i>N</i>	130	130	130	131	130	130	130	131
<i>N_w</i>	114	117	116	106	124	126	123	124
<i>n</i> (%)	53 (46.5)	76 (65.0)	76 (65.5)	12 (11.3)	53 (42.7)	77 (61.1)	78 (63.4)	13 (10.5)
EOR (95% CI) vs placebo	7.71 (3.76, 15.81)	16.69 (8.05, 34.60)	22.27 (10.54, 47.04)	–	5.96 (2.94, 12.10)	12.91 (6.37, 26.17)	16.04 (7.83, 32.87)	–
<i>p</i> value	<0.001	<0.001	<0.001	–	<0.001	<0.001	<0.001	–
Proportion achieving ADA target of HbA_{1c} <53 mmol/mol (<7.0%)								
<i>N</i>	130	130	130	131	130	130	130	131
<i>N_w</i>	114	117	116	106	124	126	123	124
<i>n</i> (%)	75 (65.8)	100 (85.5)	92 (79.3)	27 (25.5)	79 (63.7)	102 (81.0)	95 (77.2)	29 (23.4)
EOR (95% CI) vs placebo	7.08 (3.83, 13.11)	22.26 (11.03, 44.94)	22.04 (10.94, 44.37)	–	5.79 (3.16, 10.59)	14.58 (7.58, 28.04)	13.10 (6.91, 24.83)	–
<i>p</i> value	<0.001	<0.001	<0.001	–	<0.001	<0.001	<0.001	–
FPG (mmol/l)								
<i>N</i>	129	129	130	130	129	129	130	131
<i>N_w</i>	114	118	116	104	123	126	123	123
Mean	7.75	7.00	6.85	9.05	7.81	7.06	6.89	8.53
Change from baseline	–0.96	–1.72	–1.86	0.34	–0.91	–1.65	–1.82	–0.18
ETD vs placebo (95% CI)	–1.30 (–1.68, –0.91)	–2.05 (–2.44, –1.67)	–2.20 (–2.58, –1.81)	–	–0.72 (–1.16, –0.29)	–1.47 (–1.90, –1.03)	–1.64 (–2.11, –1.16)	–
<i>p</i> value	<0.001	<0.001	<0.001	–	<0.01	<0.001	<0.001	–
7-point SMPG (mmol/l)								
<i>N</i>	110	117	115	104	129	130	130	131
<i>N_w</i>	110	117	115	104	121	124	122	121
Mean	8.3	7.6	7.6	9.5	8.5	7.7	7.8	9.3
Change from baseline	–1.7	–2.4	–2.4	–0.5	–1.6	–2.3	–2.3	–0.8
ETD vs placebo (95% CI)	–1.2 (–1.6, –0.7)	–1.9 (–2.3, –1.5)	–1.9 (–2.4, –1.5)	–	–0.8 (–1.3, –0.4)	–1.6 (–2.0, –1.1)	–1.6 (–2.0, –1.1)	–
<i>p</i> value	<0.001	<0.001	<0.001	–	<0.001	<0.001	<0.001	–
Body weight (%)								
<i>N</i>	130	130	130	131	130	130	130	131
<i>N_w</i>	115	119	116	106	124	127	123	124
Mean (SD)	–1 (4)	–3 (4)	–4 (5)	–1 (3)	–1 (4)	–3 (4)	–4 (5)	–1 (3)
Change from baseline	–1.3	–2.8	–3.8	–1.2	–1.3	–2.6	–3.6	–1.0
ETD vs placebo (95% CI)	–0.1 (–1.2, 0.9)	–1.7 (–2.7, –0.6)	–2.7 (–3.7, –1.6)	–	–0.2 (–1.3, 0.8)	–1.6 (–2.7, –0.6)	–2.6 (–3.7, –1.4)	–
<i>p</i> value	NS	<0.01	<0.001	–	NS	<0.01	<0.001	–
Body weight loss ≥5%								
<i>N</i>	130	130	130	131	130	130	130	131
<i>N_w</i>	115	119	116	106	124	127	123	124
<i>n</i> (%)	17 (14.8)	29 (24.4)	43 (37.1)	11 (10.4)	18 (14.5)	30 (23.6)	44 (35.8)	11 (8.9)
EOR (95% CI) vs placebo	1.43 (0.65, 3.18)	2.91 (1.39, 6.08)	5.28 (2.58, 10.80)	–	1.69 (0.75, 3.77)	3.26 (1.54, 6.92)	5.55 (2.67, 11.53)	–
<i>p</i> value	NS	<0.01	<0.001	–	NS	<0.01	<0.001	–

Table 2 (continued)

Endpoint	Trial product estimand (primary estimand) ^a				Treatment policy estimand (secondary estimand) ^b			
	Oral semaglutide			Placebo	Oral semaglutide			Placebo
	3 mg	7 mg	14 mg		3 mg	7 mg	14 mg	
Body weight loss $\geq 10\%$								
<i>N</i>	130	130	130	131	130	130	130	131
<i>N_w</i>	115	119	116	106	124	127	123	124
<i>n</i> (%)	5 (4.3)	7 (5.9)	12 (10.3)	0	6 (4.8)	7 (5.5)	12 (9.8)	0
EOOR (95% CI) vs placebo	8.29 (0.49, 139.1)	13.15 (0.81, 214.1)	22.59 (1.44, 355.7)	–	11.94 (0.69, 206.0)	15.65 (0.92, 265.3)	25.26 (1.54, 414.7)	–
<i>p</i> value	NS	NS	<0.05	–	NS	NS	<0.05	–
Proportion (%) achieving ≥ 10.9 mmol/mol (≥ 1 percentage point) HbA_{1c} reduction and $\geq 3\%$ weight loss								
<i>N</i>	130	130	130	131	130	130	130	131
<i>N_w</i>	114	117	116	106	124	126	123	124
<i>n</i> (%)	26 (22.8)	43 (36.8)	53 (45.7)	10 (9.4)	26 (21.0)	43 (34.1)	53 (43.1)	11 (8.9)
EOOR (95% CI) vs placebo	3.34 (1.54, 7.25)	6.80 (3.22, 14.35)	9.86 (4.69, 20.71)	–	2.72 (1.28, 5.80)	5.35 (2.59, 11.03)	7.25 (3.54, 14.81)	–
<i>p</i> value	<0.01	<0.001	<0.001	–	<0.01	<0.001	<0.001	–
Proportion achieving HbA_{1c} <53 mmol/mol (<7.0%) without hypoglycaemia^c and with no body weight gain								
<i>N</i>	130	130	130	131	130	130	130	131
<i>N_w</i>	114	117	116	106	124	126	123	124
<i>n</i> (%)	54 (47.4)	80 (68.4)	84 (72.4)	19 (17.9)	55 (44.4)	81 (64.3)	86 (69.9)	21 (16.9)
EOOR (95% CI) vs placebo	4.34 (2.35, 8.03)	11.09 (5.90, 20.84)	16.33 (8.45, 31.54)	–	3.46 (1.90, 6.30)	8.15 (4.45, 14.93)	10.82 (5.82, 20.08)	–
<i>p</i> value	<0.001	<0.001	<0.001	–	<0.001	<0.001	<0.001	–
Total cholesterol (mmol/l)								
<i>N</i>	125	122	117	119	130	130	128	131
<i>N_w</i>	115	119	114	104	124	127	121	122
Treatment ratio (95% CI)	0.98 (0.95, 1.02)	0.97 (0.93, 1.01)	0.93 (0.89, 0.96)	–	0.99 (0.95, 1.04)	0.98 (0.94, 1.03)	0.94 (0.88, 0.99)	–
<i>p</i> value	NS	NS	<0.001	–	NS	NS	<0.05	–
LDL-cholesterol (mmol/l)^d								
<i>N</i>	125	122	117	119	130	130	128	131
<i>N_w</i>	115	119	114	102	123	127	121	121
Treatment ratio (95% CI)	0.99 (0.93, 1.06)	0.99 (0.92, 1.06)	0.93 (0.87, 0.99)	–	1.01 (0.94, 1.09)	1.00 (0.93, 1.07)	0.94 (0.86, 1.03)	–
<i>p</i> value	NS	NS	<0.05	–	NS	NS	NS	–
Triglycerides (mmol/l)								
<i>N</i>	124	122	117	118	129	130	128	131
<i>N_w</i>	114	119	114	102	122	127	121	121
Treatment ratio (95% CI)	0.99 (0.89, 1.11)	0.94 (0.85, 1.05)	0.90 (0.80, 1.00)	–	0.99 (0.88, 1.12)	0.98 (0.88, 1.09)	0.89 (0.79, 1.00)	–
<i>p</i> value	NS	NS	<0.05	–	NS	NS	<0.05	–

^aThe trial product estimand evaluated the treatment effect for all randomised participants under the assumption that all participants continued taking the trial product for the entire planned duration of the trial and did not use rescue medication. Data are from the on-treatment without rescue medication period (from when participants were considered treated with trial product until 3 days after the final dose of trial product or until after initiation of rescue medication) and were estimated using a mixed model for repeated measurements and restricted maximum likelihood

^bThe treatment policy estimand evaluated the treatment effect for all randomised participants regardless of trial product discontinuation or use of rescue medication. Data are from the in-trial observation period (from when participants were randomised until the follow-up visit, participant withdrawal or death) and were estimated using a pattern mixture model using multiple imputation to handle missing data

^cHypoglycaemia was defined according to the severe ADA classification [34] or was confirmed by a plasma glucose level <3.1 mmol/l with symptoms consistent with hypoglycaemia

^dOne data point for LDL-cholesterol was included in the statistical analysis despite exceeding the time period for sample stability. This was noted after the database lock

EOOR, estimated OR; *N*, number of participants contributing to the analysis; *n*, number of participants who met a specific endpoint; NS, not significant; *N_w*, number of participants with an observation at the visit

Table 3 On-treatment AEs (overall population)

AE	Oral semaglutide			Placebo (N=131)
	3 mg (N=130)	7 mg (N=130)	14 mg (N=129)	
Any AE	85 (65.4)	94 (72.3)	87 (67.4)	75 (57.3)
AEs by severity				
Mild	77 (59.2)	89 (68.5)	84 (65.1)	73 (55.7)
Moderate	23 (17.7)	22 (16.9)	18 (14.0)	15 (11.5)
Severe	4 (3.1)	2 (1.5)	7 (5.4)	1 (0.8)
Hypoglycaemic events ^a				
Level 1	5 (3.8)	4 (3.1)	4 (3.1)	1 (0.8)
Level 2	1 (0.8)	1 (0.8)	0	0
Level 3	0	0	0	0
Most frequent AEs ^b				
Decreased appetite	2 (1.5)	6 (4.6)	14 (10.9)	0
Diarrhoea	4 (3.1)	12 (9.2)	12 (9.3)	2 (1.5)
Upper respiratory tract infection	11 (8.5)	12 (9.2)	9 (7.0)	6 (4.6)
Nausea	6 (4.6)	4 (3.1)	8 (6.2)	2 (1.5)
Increased lipase levels	7 (5.4)	11 (8.5)	4 (3.1)	0
Constipation	1 (0.8)	7 (5.4)	3 (2.3)	0
Abdominal distention	1 (0.8)	10 (7.7)	2 (1.6)	1 (0.8)
Hyperlipidaemia	4 (3.1)	3 (2.3)	1 (0.8)	7 (5.3)
Any SAE ^c	6 (4.6)	10 (7.7)	5 (3.9)	2 (1.5)
AEs leading to discontinuation of trial product	3 (2.3)	2 (1.5)	3 (2.3)	3 (2.3)
Deaths	0	0	0	0

Data are *n* (%), where *n* is the number of participants with an event and % is the proportion of participants with an event. AEs are shown for the safety analysis set (all participants exposed to at least one dose of trial product) and are those that occurred during the on-treatment period (the time period during which participants were considered treated with the trial product following randomisation)

^aHypoglycaemia was defined according to the three-tier ADA 2018 definition, in which level 1 is defined as an alert value with plasma glucose levels of <3.9 mmol/l, level 2 is defined as clinically significant with plasma glucose levels of <3.0 mmol/l, and level 3 is defined as severe, requiring assistance from another person for recovery (no specific glucose threshold) [34]

^bOccurring in ≥5% of participants in any treatment group by preferred term ordered by frequency in the oral semaglutide 14 mg arm

^cThree SAEs were considered to be possibly related to the trial product by the investigator: one moderately severe event of epilepsy with oral semaglutide 7 mg, one mild event of cholelithiasis with oral semaglutide 14 mg and one moderately severe event of lung abscess with placebo

Table 7). There were significant increases in amylase and lipase with all doses of oral semaglutide vs placebo (except for amylase with oral semaglutide 3 mg), although increases were mostly observed in the first 14 weeks of treatment (ESM Table 6). BP decreased during treatment with oral semaglutide 7 mg and 14 mg and placebo, but the changes with semaglutide did not significantly differ from that seen with placebo (ESM Table 7). Pulse rate slightly increased from baseline by 2–5 beats/min with oral semaglutide; significant increases were observed with oral semaglutide 7 mg and 14 mg compared with placebo (ESM Table 7).

Compared with the overall population, the proportions of participants experiencing AEs were higher in the Chinese subpopulation (73.2–80.6% with oral semaglutide vs 65.3% with placebo; ESM Table 8). Similar to the overall population, more AEs occurred with oral semaglutide 7 mg than with the 3 mg and 14 mg doses; gastrointestinal AEs were among the most frequent AEs and were more commonly

experienced with oral semaglutide than with placebo. The time to the first gastrointestinal event for 25% of participants was numerically shorter for the overall population than for the Chinese subpopulation (ESM Fig. 3). The proportion of participants in the Chinese subpopulation experiencing SAEs remained low and was higher with oral semaglutide (4.2–6.1%) than with placebo (2.0%) (ESM Table 8).

Discussion

This double-blind, randomised trial demonstrated that once-daily oral semaglutide monotherapy was superior to placebo in reducing HbA_{1c} using the trial product estimand in a predominantly Chinese population with type 2 diabetes that was insufficiently controlled with diet and exercise. Significant reductions in HbA_{1c} at week 26 were observed for oral

semaglutide vs placebo and the magnitude of the reductions was similar between the overall population and the Chinese subpopulation, which represented 74.9% of the overall population. Similar results were observed for the treatment policy estimand. Reductions in HbA_{1c} with oral semaglutide were comparable to those reported in the global PIONEER 1 trial, which also assessed the efficacy of oral semaglutide monotherapy vs placebo [7], providing a unique comparison between Chinese individuals and their global counterparts. Furthermore, participants treated with all doses of oral semaglutide were more likely to achieve the ADA and AACE HbA_{1c} targets of <53 mmol/mol (<7.0%) and ≤48 mmol/mol (≤6.5%), respectively, than those treated with placebo.

Early intensive glycaemic control is associated with improvements in type 2 diabetes management, including a reduced risk of microvascular complications and all-cause mortality [35, 36]. In China, there is a need for new treatment approaches with more convenient administration routes for people with type 2 diabetes, as a recent Chinese population survey study including over 170,000 people indicated that 49.9% of those with diabetes did not reach glycaemic targets, despite treatment [37].

Superior reductions in body weight from baseline to week 26 were observed for oral semaglutide 7 mg and 14 mg vs placebo (trial product estimand). While no statistical comparisons were made, the magnitude of the reductions was numerically smaller in the Chinese subpopulation than in the overall trial population. Comparatively, body weight reductions with oral semaglutide have been found to be numerically smaller from baseline to week 26 in predominantly Asian populations than in global populations, as demonstrated by the reductions observed in this trial and the PIONEER 9 trial (conducted in a predominantly Japanese population) vs the global PIONEER 1 trial [7, 31]. It should also be noted that the ETDs observed in this trial were numerically inferior to those seen in PIONEER 1 in participants receiving oral semaglutide 3 mg and 14 mg [7]. Although cross-trial comparisons should be viewed with caution, as differences in study design may affect results, these inconclusive results may warrant further investigation. A similar result was observed for s.c. semaglutide (0.5 mg and 1.0 mg) between a China region population (SUSTAIN China) and a global population (SUSTAIN 1) [15, 38]. Of note, although the body weight reductions were smaller, the Asian populations in the trials above had a lower mean baseline body weight and BMI than the equivalent global populations of predominantly Western ethnicity, which may account for the smaller reductions seen [7, 15, 31, 32, 38].

The increasing prevalence of obesity in China presents many health risks, including an increased risk of developing type 2 diabetes. In two studies among individuals living in China with type 2 diabetes, the prevalence of overweight or obesity was 34.6% or 4.4%, respectively (WHO criteria), and the prevalence of dyslipidaemia was 87.7% [39, 40]. During the current

trial, notable proportions of participants achieved a clinically meaningful body weight loss of ≥5% with oral semaglutide 7 mg and 14 mg (24% and 37%, respectively). Weight loss is an important consideration when treating type 2 diabetes, as weight loss alongside improved glycaemic control can be beneficial in terms of lipid levels and BP improvements [2, 41, 42].

A higher proportion of AEs was reported for oral semaglutide (mostly the 7 mg dose) than placebo. Diarrhoea, decreased appetite, nausea and upper respiratory tract infections were the most commonly reported AEs, although nausea rates were low and less frequent than in most of the previous global trials [7, 8, 26–29]. Few SAEs were reported across all treatment groups, although more SAEs were reported in the semaglutide groups than in the placebo group. No level 3 hypoglycaemic episodes occurred, and similar proportions of participants across all treatment groups discontinued treatment due to AEs. These observations are consistent with the results of the global PIONEER trials and the observed safety profile of the GLP-1RA drug class [43]. Of note, the incidence of AEs was higher in the Chinese subpopulation than in the overall population, which is likely to have been driven by the higher number of gastrointestinal AEs.

The strengths of this trial include the randomised, double-blind, controlled trial design, the high number of participants enrolled, particularly in the Chinese subpopulation, and the high number of participants completing the trial, despite the global COVID-19 pandemic. The impact of the pandemic was considered to be limited and thus the data are considered to be robust and acceptable for interpretation. Potential limitations include the trial duration (26 weeks including up to 8 weeks of dose escalation), as this may have limited the time for observing treatment effects (particularly regarding long-term change in glycaemic variables and weight loss), and the inclusion of participants who were generally considered to be healthy; most participants had a healthy eGFR (>90 ml/min per 1.73 m²) and a BMI <30 kg/m² and were <65 years of age, which may not be representative of the wider Chinese population with type 2 diabetes [37, 44]. A further limitation is that this analysis did not investigate the efficacy of oral semaglutide in terms of sex or gender distribution and, as such, conclusions regarding this cannot be made.

In conclusion, oral semaglutide was superior to placebo in reducing HbA_{1c} (3 mg, 7 mg and 14 mg) and body weight (7 mg and 14 mg) after 26 weeks of treatment in a predominantly Chinese population with type 2 diabetes treated with diet and exercise only (trial product estimand). All doses of oral semaglutide were generally well tolerated and the safety profile was consistent with that of the GLP-1RA class. Given the high proportion of individuals in China with insufficiently controlled type 2 diabetes, and the increasing prevalence of diabetes, it is important to consider new therapeutic options for early intensive treatment of type 2 diabetes.

Supplementary Information The online version contains peer-reviewed but unedited supplementary material available at <https://doi.org/10.1007/s00125-024-06142-3>.

Acknowledgements Medical writing and editorial support were provided by A. Richold (Apollo, OPEN Health Communications, London, UK) and were funded by Novo Nordisk, in accordance with Good Publication Practice 3 (GPP3) guidelines (www.ismpp.org/gpp3). The authors thank the participants, the investigators, all trial site staff and all Novo Nordisk A/S employees involved in the trial. The authors also thank W. Liu of Novo Nordisk A/S for her role in reviewing this manuscript.

Data availability Data will be shared with researchers submitting a research proposal approved by the independent review board. Information on requesting access to datasets can be found at www.novonordisk-trials.com. Data will be made available after research completion and approval of the product and product use in the European Union and the USA. Individual participant data will be shared in datasets in a de-identified and anonymised format, with no limitations on how the data can be used.

Funding This trial was funded by Novo Nordisk A/S, Søborg, Denmark. The study sponsor was involved in the study design, data collection, analysis and interpretation and the writing of this report.

Authors' relationships and activities SCB received honoraria and teaching and research sponsorship/grants from AstraZeneca, Boehringer Ingelheim, Bristol Myers Squibb, Eli Lilly, GlaxoSmithKline, MSD, Novo Nordisk, Pfizer, Sanofi and Takeda. RC and BL are employees and shareholders of Novo Nordisk (China) Pharmaceuticals Co., Ltd. SG and TBJ are employees and shareholders of Novo Nordisk A/S. All other authors declare that there are no relationships or activities that might bias, or be perceived to bias, their work.

Contribution statement All authors made substantial contributions to the conception and design of the trial, acquisition of data and/or the analysis and interpretation of data. All authors had access to the study data, critically reviewed the manuscript and approved the final version to be published. WW, RC, SG, TBJ and BL are guarantors of this work and, as such, have full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.











References

- Sun H, Saeedi P, Karuranga S et al (2022) IDF diabetes atlas: global, regional and country-level diabetes prevalence estimates for 2021 and projections for 2045. *Diabetes Res Clin Pract* 183:109119. <https://doi.org/10.1016/j.diabres.2021.109119>
- ElSayed NA, Aleppo G, Aroda VR et al (2023) 8. Obesity and weight management for the prevention and treatment of type 2 diabetes: standards of care in diabetes—2023. *Diabetes Care* 46(Suppl. 1):S128–S139. <https://doi.org/10.2337/dc23-S008>
- ElSayed NA, Aleppo G, Aroda VR et al (2023) 6. Glycemic targets: standards of care in diabetes—2023. *Diabetes Care* 46(Suppl. 1):S97–S110. <https://doi.org/10.2337/dc23-S006>
- Hu C, Jia W (2018) Diabetes in China: epidemiology and genetic risk factors and their clinical utility in personalized medication. *Diabetes* 67(1):3–11. <https://doi.org/10.2337/dbi17-0013>
- Ke C, Narayan KMV, Chan JCN, Jha P, Shah BR (2022) Pathophysiology, phenotypes and management of type 2 diabetes mellitus in Indian and Chinese populations. *Nat Rev Endocrinol* 18(7):413–432. <https://doi.org/10.1038/s41574-022-00669-4>
- Yabe D, Seino Y, Fukushima M, Seino S (2015) β cell dysfunction versus insulin resistance in the pathogenesis of type 2 diabetes in East Asians. *Curr Diab Rep* 15(6):602. <https://doi.org/10.1007/s11892-015-0602-9>
- Aroda VR, Rosenstock J, Terauchi Y et al (2019) PIONEER 1: randomized clinical trial of the efficacy and safety of oral semaglutide monotherapy in comparison with placebo in patients with type 2 diabetes. *Diabetes Care* 42(9):1724–1732. <https://doi.org/10.2337/dc19-0749>
- Rodbard HW, Rosenstock J, Canani LH et al (2019) Oral semaglutide versus empagliflozin in patients with type 2 diabetes uncontrolled on metformin: the PIONEER 2 trial. *Diabetes Care* 42(12):2272–2281. <https://doi.org/10.2337/dc19-0883>
- Lyseng-Williamson KA (2019) Glucagon-like peptide-1 receptor analogues in type 2 diabetes: their use and differential features. *Clin Drug Investig* 39(8):805–819. <https://doi.org/10.1007/s40261-019-00826-0>
- Sattar N, Lee MMY, Kristensen SL et al (2021) Cardiovascular, mortality, and kidney outcomes with GLP-1 receptor agonists in patients with type 2 diabetes: a systematic review and meta-analysis of randomised trials. *Lancet Diabetes Endocrinol* 9(10):653–662. [https://doi.org/10.1016/S2213-8587\(21\)00203-5](https://doi.org/10.1016/S2213-8587(21)00203-5)
- Leiter LA, Bain SC, Hramiak I et al (2019) Cardiovascular risk reduction with once-weekly semaglutide in subjects with type 2 diabetes: a post hoc analysis of gender, age, and baseline CV risk profile in the SUSTAIN 6 trial. *Cardiovasc Diabetol* 18(1):73. <https://doi.org/10.1186/s12933-019-0871-8>
- Marso SP, Bain SC, Consoli A et al (2016) Semaglutide and cardiovascular outcomes in patients with type 2 diabetes. *N Engl J Med* 375(19):1834–1844. <https://doi.org/10.1056/NEJMoa1607141>
- Seino Y, Terauchi Y, Osonoi T et al (2018) Safety and efficacy of semaglutide once weekly vs sitagliptin once daily, both as monotherapy in Japanese people with type 2 diabetes. *Diabetes Obes Metab* 20(2):378–388. <https://doi.org/10.1111/dom.13082>
- Kaku K, Yamada Y, Watada H et al (2018) Safety and efficacy of once-weekly semaglutide vs additional oral antidiabetic drugs in Japanese people with inadequately controlled type 2 diabetes: a randomized trial. *Diabetes Obes Metab* 20(5):1202–1212. <https://doi.org/10.1111/dom.13218>
- Ji L, Dong X, Li Y et al (2021) Efficacy and safety of once-weekly semaglutide versus once-daily sitagliptin as add-on to metformin in patients with type 2 diabetes in SUSTAIN China: a 30-week, double-blind, phase 3a, randomized trial. *Diabetes Obes Metab* 23(2):404–414. <https://doi.org/10.1111/dom.14232>
- DeSouza C, Cariou B, Garg S, Lausvig N, Navarria A, Fonseca V (2020) Efficacy and safety of semaglutide for type 2 diabetes by race and ethnicity: a post hoc analysis of the SUSTAIN trials. *J Clin Endocrinol Metab* 105(2):543–556. <https://doi.org/10.1210/clinem/dgz072>

17. Davies MJ, Aroda VR, Collins BS et al (2022) Management of hyperglycemia in type 2 diabetes, 2022. A consensus report by the American Diabetes Association (ADA) and the European Association for the Study of Diabetes (EASD). *Diabetologia* 65:1925–1966. <https://doi.org/10.1007/s00125-022-05787-2>
18. Chinese Diabetes Society (2021) Guideline for the prevention and treatment of type 2 diabetes mellitus in China (2020 edition). *Chin J Diabetes Mellitus* 13(4):315–409. <https://doi.org/10.3760/cma.j.cn115791-20210221-00095>
19. Li C, Guo S, Huo J, Gao Y, Yan Y, Zhao Z (2022) Real-world national trends and socio-economic factors preference of sodium-glucose cotransporter-2 inhibitors and glucagon-like peptide-1 receptor agonists in China. *Front Endocrinol (Lausanne)* 13:987081. <https://doi.org/10.3389/fendo.2022.987081>
20. US Food and Drug Administration (2021) Rybelsus®: highlights of prescribing information. Available from: https://www.accessdata.fda.gov/drugsatfda_docs/label/2021/213051s006lbl.pdf. Accessed 8 Aug 2022
21. European Medicines Agency (2013) Rybelsus. Available from: <https://www.ema.europa.eu/en/medicines/human/EPAR/Rybelsus>. Accessed 19 Jun 2023
22. European Medicines Agency (2013) Ozempic. Available from: <https://www.ema.europa.eu/en/medicines/human/EPAR/ozempic>. Accessed 19 Jun 2023
23. US Food and Drug Administration (2021) Ozempic®: highlights of prescribing information. Available from: https://www.accessdata.fda.gov/drugsatfda_docs/label/2021/209637s008lbl.pdf. Accessed 27 Apr 2021
24. Gallwitz B, Giorgino F (2021) Clinical perspectives on the use of subcutaneous and oral formulations of semaglutide. *Front Endocrinol* 12:645507. <https://doi.org/10.3389/fendo.2021.645507>
25. Rosenstock J, Allison D, Birkenfeld AL et al (2019) Effect of additional oral semaglutide vs sitagliptin on glycated hemoglobin in adults with type 2 diabetes uncontrolled with metformin alone or with sulfonylurea: the PIONEER 3 randomized clinical trial. *JAMA* 321(15):1466–1480. <https://doi.org/10.1001/jama.2019.2942>
26. Pratley R, Amod A, Hoff ST et al (2019) Oral semaglutide versus subcutaneous liraglutide and placebo in type 2 diabetes (PIONEER 4): a randomised, double-blind, phase 3a trial. *Lancet* 394(10192):39–50. [https://doi.org/10.1016/S0140-6736\(19\)31271-1](https://doi.org/10.1016/S0140-6736(19)31271-1)
27. Mosenzon O, Blicher TM, Rosenlund S et al (2019) Efficacy and safety of oral semaglutide in patients with type 2 diabetes and moderate renal impairment (PIONEER 5): a placebo-controlled, randomised, phase 3a trial. *Lancet Diabetes Endocrinol* 7(7):515–527. [https://doi.org/10.1016/S2213-8587\(19\)30192-5](https://doi.org/10.1016/S2213-8587(19)30192-5)
28. Pieber TR, Bode B, Mertens A et al (2019) Efficacy and safety of oral semaglutide with flexible dose adjustment versus sitagliptin in type 2 diabetes (PIONEER 7): a multicentre, open-label, randomised, phase 3a trial. *Lancet Diabetes Endocrinol* 7(7):528–539. [https://doi.org/10.1016/S2213-8587\(19\)30194-9](https://doi.org/10.1016/S2213-8587(19)30194-9)
29. Zinman B, Aroda VR, Buse JB et al (2019) Efficacy, safety, and tolerability of oral semaglutide versus placebo added to insulin with or without metformin in patients with type 2 diabetes: the PIONEER 8 trial. *Diabetes Care* 42(12):2262–2271. <https://doi.org/10.2337/dc19-0898>
30. Husain M, Birkenfeld AL, Donsmark M et al (2019) Oral semaglutide and cardiovascular outcomes in patients with type 2 diabetes. *N Engl J Med* 381(9):841–851. <https://doi.org/10.1056/NEJMoa1901118>
31. Yamada Y, Katagiri H, Hamamoto Y et al (2020) Dose-response, efficacy, and safety of oral semaglutide monotherapy in Japanese patients with type 2 diabetes (PIONEER 9): a 52-week, phase 2/3a, randomised, controlled trial. *Lancet Diabetes Endocrinol* 8(5):377–391. [https://doi.org/10.1016/S2213-8587\(20\)30075-9](https://doi.org/10.1016/S2213-8587(20)30075-9)
32. Yabe D, Nakamura J, Kaneto H et al (2020) Safety and efficacy of oral semaglutide versus dulaglutide in Japanese patients with type 2 diabetes (PIONEER 10): an open-label, randomised, active-controlled, phase 3a trial. *Lancet Diabetes Endocrinol* 8(5):392–406. [https://doi.org/10.1016/S2213-8587\(20\)30074-7](https://doi.org/10.1016/S2213-8587(20)30074-7)
33. Ji L, Agesen RM, Bain SC et al (2024) Efficacy and safety of oral semaglutide vs sitagliptin in a predominantly Chinese population with type 2 diabetes uncontrolled with metformin: PIONEER 12, a double-blind, Phase IIIa, randomised trial. *Diabetologia*. <https://doi.org/10.1007/s00125-024-06133-4>
34. ADA (2018) 6. Glycemic targets: standards of medical care in diabetes-2018. *Diabetes Care* 41(Suppl 1):S55–S64. <https://doi.org/10.2337/dc18-S006>
35. Lind M, Imberg H, Coleman RL, Nerman O, Holman RR (2021) Historical HbA_{1c} values may explain the type 2 diabetes legacy effect: UKPDS 88. *Diabetes Care* 44(10):2231–2237. <https://doi.org/10.2337/dc20-2439>
36. Patel A, MacMahon S, Chalmers J et al (2008) Intensive blood glucose control and vascular outcomes in patients with type 2 diabetes. *N Engl J Med* 358(24):2560–2572. <https://doi.org/10.1056/NEJMoa0802987>
37. Wang L, Li X, Wang Z et al (2021) Prevalence and treatment of diabetes in China, 2013–2018. *JAMA* 326(24):2498–2506. <https://doi.org/10.1001/jama.2021.22208>
38. Sorli C, Harashima SI, Tsoukas GM et al (2017) Efficacy and safety of once-weekly semaglutide monotherapy versus placebo in patients with type 2 diabetes (SUSTAIN 1): a double-blind, randomised, placebo-controlled, parallel-group, multinational, multicentre phase 3a trial. *Lancet Diabetes Endocrinol* 5(4):251–260. [https://doi.org/10.1016/S2213-8587\(17\)30013-X](https://doi.org/10.1016/S2213-8587(17)30013-X)
39. Lv F, Cai X, Lin C et al (2021) Sex differences in the prevalence of obesity in 800,000 Chinese adults with type 2 diabetes. *Endocr Connect* 10(2):139–145. <https://doi.org/10.1530/EC-20-0547>
40. Li J, Nie Z, Ge Z, Shi L, Gao B, Yang Y (2022) Prevalence of dyslipidemia, treatment rate and its control among patients with type 2 diabetes mellitus in Northwest China: a cross-sectional study. *Lipids Health Dis* 21(1):77. <https://doi.org/10.1186/s12944-022-01691-1>
41. Rodbard HW, Bellary S, Hramiak I et al (2019) Greater combined reductions in HbA_{1c} \geq 1.0% and weight \geq 5.0% with semaglutide versus comparators in type 2 diabetes. *Endocr Pract* 25(6):589–597. <https://doi.org/10.4158/ep-2018-0444>
42. Dungan KM, Bardtrum L, Christiansen E et al (2023) Greater combined reductions of HbA_{1c} \geq 1.0% and body weight loss \geq 5.0% or \geq 10.0% with orally administered semaglutide versus comparators. *Diabetes Ther Res Treat Educ Diabetes Relat Disord* 14(8):1415–1425. <https://doi.org/10.1007/s13300-023-01413-5>
43. Thethi TK, Pratley R, Meier JJ (2020) Efficacy, safety and cardiovascular outcomes of once-daily oral semaglutide in patients with type 2 diabetes: the PIONEER programme. *Diabetes Obes Metab* 22(8):1263–1277. <https://doi.org/10.1111/dom.14054>
44. Li Y, Teng D, Shi X et al (2020) Prevalence of diabetes recorded in mainland China using 2018 diagnostic criteria from the American Diabetes Association: national cross sectional study. *BMJ* 369:m997. <https://doi.org/10.1136/bmj.m997>

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Authors and Affiliations

Wei Qing Wang¹  · Stephen C. Bain²  · Fang Bian³  · Rui Chen⁴  · Sanaz Gabery⁵  · Shan Huang⁶  · Thomas B. Jensen⁵  · Bifen Luo⁴  · Guoyue Yuan⁷  · Guang Ning¹  · for the PIONEER 11 investigators

✉ Stephen C. Bain
bainsc60@mail.com

Guang Ning
guangning@medmail.com.cn

¹ Shanghai Jiaotong University School of Medicine, Shanghai, China

² Diabetes Research Unit, University of Swansea, Swansea, UK

³ Department of Endocrinology, Cangzhou People's Hospital, Cangzhou, China

⁴ Novo Nordisk (China) Pharmaceuticals Co. Ltd., Beijing, China

⁵ Novo Nordisk A/S, Søborg, Denmark

⁶ Endocrinology Department, Tongren Hospital, Shanghai Jiao Tong University School of Medicine, Shanghai, China

⁷ Affiliated Hospital of Jiangsu University, Zhenjiang, Jiangsu, China