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A coupled isogeometric/multi-sphere discrete element approach for the contact interaction between irregular particles and structures

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Abstract

An isogeometric/multi-sphere discrete-element coupling method is presented to model the contact or impact between structures and particles with complex shape. This coupling method takes advantages of the multi-sphere discrete element method for particles to provide the high computational efficiency and excellent robustness of their contact modelling. The advantage of isogeometric analysis (IGA) for continuous solid material, e.g. the exact geometric description, is also taken to achieve a more accurate contact interaction with an excellent time continuity. In the coupling procedure, the CGRID method is used for the global searching. The exact contact situation of the

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discrete element and the IGA element surface is further determined in the local searching by solving non-linear equations numerically. Then, the normal contact force between a sphere and an IGA element is calculated using a penalty based Hertz-Mindlin contact model, and damping and friction forces are also considered. Both the accuracy and validity of the coupling method are examined by comparing the numerical results of an example with one particle impacting on a quarter of a cylinder, with those of the FEM model where the particle is modeled as a rigid body. Two additional examples involving particles impacting onto a corrugated plate and particles of different shapes impacting on a chute, are simulated to further assess the applicability and robustness of the proposed method.

Keywords: Isogeometric analysis, Multi-sphere particle, Coupling, Contact interaction, IGA/DEM

1 1. Introduction

The discrete element method, originally developed by Cundall and Strack in the 1970s [1, 2], has been widely recognised as an effective approach for modelling granular materials, including their flow and mixing behaviour. Granular materials often consist of particles with complex shapes, which significantly influence their mechanical behaviour [3]. The multi-sphere discrete element method (MS-DEM) [4, 5] is a popular technique that connects (overlapping) spheres to represent non-spherical particles in an approximate fashion. For simulations where the numerical results are sensitive to the accu-

racy of shape representation, more accurate shape descriptions for particles,
such as the surface meshed DEM [6, 7, 8, 9], the level set DEM [10, 11, 12],
and the image-based DEM [13, 14, 15, 16], can be used. For more information on granular materials, the reader can refer to the state-of-the-art review
[17].

In MS-DEM, the contact interaction between non-spherical particles is determined based on the interactions between the representing spheres of neighbouring particles. This approach offers high computational efficiency, and reliable contact models such as the Hertz contact model can be employed [18].

In the pure MS-DEM approach, structures are typically modelled using rigid walls, which do not consider structural deformation. To accurately capture the contact interaction between MS-DEM and the structure, it becomes necessary to account for structural deformation. Therefore, the Finite Element Method (FEM) is utilised for analysing structural deformation. Recently, the MS-DEM/FEM coupling method [19] is developed to handle the interaction between granular materials and structures.

In the traditional FEM, the surface of the structure often has a lower geometric approximation for curved surfaces and exhibits lower smoothness at the edges and nodes. This lack of smoothness results in different contact situations between discrete elements (DEs) and finite elements (FEs), such as sphere-node, sphere-edge, and sphere-surface contacts [20, 21], which increases the complexity of the contact detection algorithm. Additionally,

when a sphere is located near a node, the contact situation may change 33 [22] in the adjacent time step, for example, from sphere-node to sphere-edge 34 or sphere-surface contact. This change may lead to a significant variation 35 in the contact force/direction in the adjacent time step, giving rise to the 36 time continuity problem. Moreover, the lower geometric approximation of 37 the structure not only reduces the accuracy of the structural analysis but 38 also affects the calculation of the interaction between granular particles and 39 structures. 40

Isogeometric analysis (IGA), originally proposed by Hughes et al. [23], 41 uses the same basis functions as those describing geometries in CAD [24], 42 e.g. B-spline or NURBS basis functions, to approximate the solution field. 43 Therefore, the geometry of IGA models can be identical to the correspond-44 ing CAD models. So the error from geometric approximation is minimised. 45 The IGA model usually has the exact and smooth geometry and the nu-46 merical results can generally achieve a high accuracy with relatively smaller 47 number of elements. Because of this advantage, the IGA has been coupled 48 with other method, such as an IGA-BEM (boundary element method) cou-49 pling approach [25], the IGA-meshfree coupling approach [26], and a scaled 50 boundary FEM-IGA coupling method [27]. 51

The main aim of the current work is by employing the above advantages offered by IGA and MS-DEM to develop a multi-sphere DEM/IGA coupling method to handle the contact interaction between structures and particles with different shapes. The particles of different shapes are represented and

analysed by the MS-DEM while the structures are modeled using IGA. The 56 coupling method is employed to handle the contact interaction between par-57 ticles and structures. The smoothness of the IGA surface makes the overlap 58 vector between a particle and the IGA surface change continuously during 59 the particle motion, thus avoiding the time continuity problem of the con-60 tact force between the particle and the structure in this coupling method. In 61 the global contact search, the CGRID method [28, 29] accompanied by axis 62 aligned bounding boxes (AABBs) and oriented bounding boxes (OBBs) are 63 utilised for the determination of the candidate contact pairs, i.e. MS-DEM 64 and IGA element, and then the combined simplex and Brent iteration is em-65 ployed to find the contact position. The contact force between each sphere 66 of the MS-DEM and the surface of IGA element is dealt with by a penalty 67 function method based on Hertz-Mindlin contact model [30, 31], and the 68 friction and damping forces are considered. The contact interaction between 69 a MS-DEM and an IGA element can be determined when the contact force 70 between each of the composed spheres and the IGA element is obtained. 71

The paper is organised as follows. Section 2 provides a short introduction to NURBS basis functions and isogeometric approximations. In Section 3, the basic formulations of multi-sphere discrete element models for particulate systems is reviewed briefly. The coupling approach including global search, local search, and contact force calculation is presented in Section 4. Three numerical examples are presented in Section 5 to assess the accuracy and applicability of the proposed coupling approach. Finally, the conclusions

 $_{79}$ drawn from the study are given in Section 6.

⁸⁰ 2. Isogeometric method

A brief introduction to the isogeometric method is provided below, which is mainly adopted from [32]. More detailed descriptions can be found, for instance, in [23].

84 2.1. NURBS basis functions

To construct NURBS basis functions, the knot vector k^{I} for the I^{th} dimension of a 3D patch can be defined as

$$\boldsymbol{k}^{I} = \left\{ \underbrace{\xi_{0}^{I}, \dots, \xi_{p_{I}}^{I}, \xi_{p_{I}+1}^{I}, \dots, \xi_{i}^{I}, \dots, \xi_{n_{I}^{k}}^{I}, \underbrace{\xi_{n_{I}^{k}+1}^{I}, \dots, \xi_{m_{I}^{k}}^{I}}_{(p_{I}+1)\text{ terms}} \right\}, (I = 1, 2, 3) \quad (1)$$

where ξ_i^I denotes the I^{th} knot and is less than or equal to its successor, i.e. $\xi_i^I \leq \xi_{i+1}^I$, $i = 0, ..., n_I^k + p_I$. p_I is the degree of the B-spline basis functions. The node number, n_I^e , of each control mesh in the I^{th} direction is equal to $p_I + 1$, and the total number of all control mesh in the I^{th} direction is $m_I^k = n_I^k + n_I^e$. In the I^{th} dimension, there are $n_I^k + 1$ control nodes.

The B-spline basis function of degree p_I can be determined recursively as

$$\phi_{i,0}(\xi^{I}) = \begin{cases} 1, & \text{if } \xi_{i}^{I} \leq \xi^{I} < \xi_{i+1}^{I} \\ 0, & \text{otherwise} \end{cases}$$
(2)

93 and

$$\phi_{i,p_{I}}(\xi^{I}) = \frac{\xi^{I} - \xi^{I}_{i}}{\xi^{I}_{i+p_{I}} - \xi^{I}_{i}} \phi_{i,p_{I}-1}(\xi^{I}) + \frac{\xi^{I}_{i+p_{I}+1} - \xi^{I}}{\xi^{I}_{i+p_{I}+1} - \xi^{I}_{i+1}} \phi_{i+1,p_{I}-1}(\xi^{I}), \text{ for } p_{I} \ge 1$$
(3)

For repeated knots, a quotient of the form $\Box/0$ may appear in some items on the right side in Eq. (3), and is set to be zero. $\phi_{i,p_I}(\xi^I)$ denotes the i^{th} Bspline basis function with degree p_I , and is referred to as $\phi_i(\xi^I)$ hereafter for conciseness. $\phi_i(\xi^I)$ is equal to zero when $\xi_i^I \notin (\xi_i^I, \xi_{i+p_I+1}^I)$, and is infinitely differentiable if $\xi_i^I \in (\xi_i^I, \xi_{i+1}^I)$. Therefore, within a given knot span (ξ_i^I, ξ_{i+1}^I) , at most p_I+1 of the basis shape functions are positive

$$\begin{cases} \phi_m(\xi^I) > 0, \text{ for } m = (i - p_I), \dots, i \\ \phi_m(\xi^I) = 0, \text{ for } m < (i - p_I) \text{ or } m > i \end{cases}$$
(4)

A NURBS surface patch, e.g. $\xi^3 = 1$, can be determined by

$$\mathbf{S}_{ij}(\xi^1,\xi^2) = \sum_{m=m_0}^{i} \sum_{n=n_0}^{j} R_{mn}(\xi^1,\xi^2) \boldsymbol{x}_{mn}$$
(5)

where \boldsymbol{x}_{mn} are the position vectors of the control nodes. The shape function $R_{mn}(\xi^1,\xi^2)$ for the control node (m,n), which is the m^{th} and n^{th} node along the ξ^1 and ξ^2 directions, can be defined as:

$$R_{mn}(\xi^{1},\xi^{2}) = \frac{\phi_{m}(\xi^{1})\phi_{n}(\xi^{2})\omega_{mn}}{\sum_{M=m_{0}}^{i}\sum_{N=n_{0}}^{j}\phi_{M}(\xi^{1})\phi_{N}(\xi^{2})\omega_{MN}}$$
(6)

¹⁰⁴ in which ω_{mn} is the weighting factor of the control node (m, n).

To find the closest projection of a point on the NURBS surface, the derivatives of the NURBS surface is necessary (see Section 4.2). The derivatives of the NURBS surface ($\xi^3 = 1$) is given by

$$\frac{\partial \mathbf{S}ij(\xi^1,\xi^2)}{\partial \xi^I} = \sum_{m=m_0}^i \sum_{n=n_0}^j \frac{\partial R_{mn}(\xi^1,\xi^2)}{\partial \xi^I} \boldsymbol{x}_{mn} \quad (I=1,2)$$
(7)

108 with

$$\frac{\partial R_{mn}(\xi^1,\xi^2)}{\partial \xi^I} = \frac{\omega_{mn}\partial[\phi_m(\xi^1)\phi_n(\xi^2)]/\partial \xi^I - R_{mn}(\xi^1,\xi^2)\partial \omega/\partial \xi^I}{\omega}$$
(8)

109 where ω is represented as

$$\omega = \sum_{m=m_0}^{i} \sum_{n=n_0}^{j} \phi_m(\xi^1) \phi_n(\xi^2) \omega_{mn}$$
(9)

The derivatives of the other surfaces, e.g. $\xi^i = 0$ (i = 1,2,3), of a NURBS volume can be obtained similarly.

¹¹² 3. Discrete element models

113 3.1. Equations of motion of multi-sphere discrete elements

The translational and rotational motions of a multi-sphere discrete element are governed by Newton's second law

$$m\frac{d^2\boldsymbol{u}}{dt^2} = \sum \boldsymbol{f}_c + m\boldsymbol{g} \tag{10}$$

$$\boldsymbol{I}\frac{d\boldsymbol{w}}{dt} = \sum \boldsymbol{T}_c \tag{11}$$

where m and I denote the mass and inertia tensor of the multi-sphere DE; u and w are the translational displacement and the rotational velocity, and f_c and T_c are the contact force and torque acting on the discrete element; gdenotes the acceleration due to gravity.

To obtain the rotational motion of the multi-sphere DE, the body-fixed coordinate system is chosen to make sure that the inertia tensor I is a diagonal matrix. This coordinate system is also termed as the principal body frame. In this frame, Eq. (11) can be written as

$$\begin{cases} \dot{w}_x = \left[\sum T_{cx} + w_y w_z (I_y - I_z)\right] / I_x \\ \dot{w}_y = \left[\sum T_{cy} + w_z w_x (I_z - I_x)\right] / I_y \\ \dot{w}_z = \left[\sum T_{cz} + w_x w_y (I_x - I_y)\right] / I_z \end{cases}$$
(12)

where I_x , I_y and I_z are the diagonal components of the inertia tensor in the principal body coordinate system; \Box denotes the first derivative versus time; w_i and T_{ci} (i = x, y, z) denote the components of the rotational velocity and contact torques in the local coordinate system. T_{cx} , T_{cy} , and T_{cz} can be obtained from the corresponding components in the global coordinate system by

$$[T_{cx}, T_{cy}, T_{cz}]^T = \boldsymbol{A} \cdot \boldsymbol{T}_c$$
(13)

where A is the rotation matrix from the global space to the body-fixed frame, and the superscript T denotes the matrix transpose. Because of the rotational velocities on the right-hand side, Eq. (12) is nonlinear. To accurately integrate the rotational motion, the predictor-corrector algorithm [33] is adopted in this work. For completeness, this algorithm is presented below:

135 (1) The rotational velocity at the moment t_k is first approximated by

$$w_{fi}^{k} = w_{i}^{k-\frac{1}{2}} + \dot{w}_{i}^{k-1}(t^{k} - t^{k-1})/2, i = x, y, z$$
(14)

(2) The rotational acceleration at the time instant t_k is calculated using Eq. (12) as

$$\begin{cases} \dot{w}_{x}^{k} = \left[\sum T_{cx} + w_{fy}^{k} w_{fz}^{k} (I_{y} - I_{z})\right] / I_{x} \\ \dot{w}_{y}^{k} = \left[\sum T_{cy} + w_{fz}^{k} w_{fx}^{k} (I_{z} - I_{x})\right] / I_{y} \\ \dot{w}_{z}^{k} = \left[\sum T_{cz} + w_{fx}^{k} w_{fy}^{k} (I_{x} - I_{y})\right] / I_{z} \end{cases}$$
(15)

138 (3) The rotational velocity at the time instant t_k is predicted by

$$w_i^k = w_i^{k-\frac{1}{2}} + \dot{w}_i^k (t^k - t^{k-1})/2, i = x, y, z$$
(16)

139 (4) The rotational acceleration is updated by

$$\begin{cases} \dot{w}_{x}^{k} = \left[\sum T_{cx} + w_{y}^{k} w_{z}^{k} (I_{y} - I_{z})\right] / I_{x} \\ \dot{w}_{y}^{k} = \left[\sum T_{cy} + w_{z}^{k} w_{x}^{k} (I_{z} - I_{x})\right] / I_{y} \\ \dot{w}_{z}^{k} = \left[\sum T_{cz} + w_{x}^{k} w_{y}^{k} (I_{x} - I_{y})\right] / I_{z} \end{cases}$$
(17)

¹⁴⁰ (5) The rotational velocity at the moment $t^{k+1/2}$ can be calculated as

$$w_i^{k+\frac{1}{2}} = w_i^{k-\frac{1}{2}} + \dot{w}_i^k (t^{k+\frac{1}{2}} - t^{k-\frac{1}{2}}), \ (i = x, y, z)$$
(18)

¹⁴¹ Note that steps (3)-(4) can be repeated until the convergent criterion is ¹⁴² satisfied. For example, the difference of the Euclidean norm for rotational ¹⁴³ acceleration $[\dot{w}_x^k, \dot{w}_y^k, \dot{w}_z^k]$ between the two successive iterations reaches a ¹⁴⁴ desired tolerance.

The orientation of the body-fixed coordinate system is represented and updated using the singularity free quaternion algorithm [33]. The quaternion is defined as

$$\boldsymbol{q} = [q_1, q_2, q_3, q_4] \tag{19}$$

148 with

$$q_1^2 + q_2^2 + q_3^2 + q_4^2 = 1 (20)$$

 $_{149}\,$ The rotation matrix from the global space to the body-fixed frame can be

150 written as

$$\boldsymbol{A} = \begin{bmatrix} 1 - 2(q_1^2 + q_3^2) & -2(q_1q_2 - q_3q_4) & 2(q_2q_3 + q_1q_4) \\ -2(q_1q_2 + q_3q_4) & 1 - 2(q_2^2 + q_3^2) & -2(q_1q_3 - q_2q_4) \\ 2(q_2q_3 - q_1q_4) & -2(q_1q_3 + q_2q_4) & 1 - 2(q_1^2 + q_2^2) \end{bmatrix}$$
(21)

¹⁵¹ The inverse matrix of \boldsymbol{A} , i.e. \boldsymbol{A}^{-1} , is given by

$$\boldsymbol{A}^{-1} = \boldsymbol{A}^T \tag{22}$$

Thus when the quaternion is known, the rotation matrices A and A^{-1} can be determined. If the rotation matrix A of the multi-sphere is known at the initial state, the initial value of the quaternion q can be calculated [34, 35]. The quaternion at the moment t_{k+1} can be updated by

$$\boldsymbol{q}^{k+1} = \kappa \boldsymbol{R}^T \boldsymbol{P} \tag{23}$$

156 with the re-normalisation

$$\kappa = (1 + \beta_x^2 + \beta_y^2 + \beta_z^2)/\det \boldsymbol{R}$$
(24)

where $\det R$ denotes the determinant of matrix R, and R and P are written

 $_{158}$ as

$$\boldsymbol{R} = \begin{bmatrix} 1 & -\beta_z & \beta_x & \beta_y \\ \beta_z & 1 & \beta_y & -\beta_x \\ -\beta_x & -\beta_y & 1 & -\beta_z \\ -\beta_y & \beta_x & \beta_z & 1 \end{bmatrix}$$
(25)

159 and

$$\boldsymbol{P} = \boldsymbol{R}^{T} \cdot \boldsymbol{q}^{k} = \begin{bmatrix} q_{1}^{k} + \beta_{z}q_{2}^{k} - \beta_{x}q_{3}^{k} - \beta_{y}q_{4}^{k} \\ -\beta_{z}q_{1}^{k} + q_{2}^{k} - \beta_{y}q_{3}^{k} + \beta_{x}q_{4}^{k} \\ \beta_{x}q_{1}^{k} + \beta_{y}q_{2}^{k} + q_{3}^{k} + \beta_{z}q_{4}^{k} \\ \beta_{y}q_{1}^{k} - \beta_{x}q_{2}^{k} - \beta_{z}q_{3}^{k} + q_{4}^{k} \end{bmatrix}$$
(26)

160 with

$$\beta_i = \frac{1}{4}w_i(t^{k+1} - t^k), (i = x, y, z)$$
(27)

161 3.2. Contact model

A multi-sphere DE consists of a number of rigid spheres. Hence, the interaction between multi-sphere DEs can be handled using the Hertz-Mindlin contact model between spheres. The normal contact force can be determined by

$$\boldsymbol{f}_n = \frac{2}{3} S_n \boldsymbol{\delta}_n \tag{28}$$

¹⁶⁶ in which δ_n is the overlap vector between the contacting spheres, as shown ¹⁶⁷ in Fig. 1, and the normal stiffness S_n is defined as

$$S_n = 2E^* \sqrt{r^* \|\boldsymbol{\delta}_n\|},\tag{29}$$

where the equivalent radius r^* and Young's modulus E^* are written as

$$r^* = \frac{r_i r_j}{r_i + r_j},\tag{30}$$

169 and

$$E^* = \frac{E_i E_j}{(1 - \nu_i^2) E_j + (1 - \nu_j^2) E_i}$$
(31)

¹⁷⁰ in which E_i and E_j are the Young's moduli of the contacting spheres, r_i and r_i denote their radii, and ν_i and ν_j are their Poisson's ratios. The normal



Figure 1: The schematic diagram of two contact MS-DEM

171

172 damping force f_{dn} is calculated by

$$\boldsymbol{f}_{dn} = -2\sqrt{\frac{5}{6}}\eta\sqrt{S_n m^*}\boldsymbol{v}_n' \tag{32}$$

where the normal component of the relative velocity between the contacting spheres \boldsymbol{v}_n' is calculated by

$$\boldsymbol{v}_{n}' = \left[\left(\boldsymbol{v}_{c1}' - \boldsymbol{v}_{c2}' \right) \cdot \boldsymbol{n} \right] \boldsymbol{n}$$
(33)

175 with

$$\boldsymbol{v}_{ci}' = \boldsymbol{v}_{pi}' + \boldsymbol{w} \times \boldsymbol{d}_i \ (i = 1, 2) \tag{34}$$

176 and

$$n = (p_1 - p_2) / |p_1 - p_2|$$
 (35)

where \boldsymbol{v}_{ci}' (i = 1, 2) denotes the velocity of multi-sphere DE *i* at the contact point *C*, \boldsymbol{p}_i is the position vector of the sphere centre P_i , \boldsymbol{n} denotes the unit normal direction, \boldsymbol{d}_i denotes the vector from the mass centre of multi-sphere DE *i* to *C*, as shown in Fig. 1. The position vector of the contact point *C*, p_c , is determined as

$$\boldsymbol{p}_c = \boldsymbol{p}_1 - (r_1 - 0.5 \, |\boldsymbol{\delta}_n|) \boldsymbol{n},\tag{36}$$

182 The equivalent mass m^* , and the parameter η are determined by

$$m^* = \frac{m_I m_J}{m_I + m_J},\tag{37}$$

$$\eta = \frac{\ln\lambda}{\ln^2\lambda + \pi^2} \tag{38}$$

where λ is the coefficient of restitution, and m_I and m_J are the mass of the two multi-sphere DEs. The tangential contact force f_t between the contacting spheres can be determined from the tangential stiffness S_t and the relative tangential displacement δ_t as

$$\boldsymbol{f}_t = -S_t \boldsymbol{\delta}_t \tag{39}$$

187 with

$$S_t = 8G^* \sqrt{r^* \|\boldsymbol{\delta}_n\|},\tag{40}$$

188 and

$$\boldsymbol{\delta}_{t} = \int_{t_{1}}^{t_{2}} \boldsymbol{v}_{t}' \mathrm{d}t = \int_{t_{1}}^{t_{2}} (\boldsymbol{v}' - \boldsymbol{v}_{n}') \mathrm{d}t$$
(41)

where v' and v'_n are the relative velocity and its normal component at the contact point, and $[t_1, t_2]$ is the time interval of the contact interaction. Here G^* is the equivalent shear modulus, which is defined by

$$G^* = \frac{G_i G_j}{(2 - \nu_i)G_j + (2 - \nu_j)G_i},$$
(42)

where G_i and G_j are the shear moduli of the contact spheres. In addition, the tangential contact force f_t is limited by the friction force. Therefore, Eq. (39) can be written as

$$\boldsymbol{f}_t = -\min(S_t \| \boldsymbol{\delta}_t \|, f_r) \cdot \frac{\boldsymbol{\delta}_t}{\| \boldsymbol{\delta}_t \|}$$
(43)

¹⁹⁵ Here $f_r = \|\boldsymbol{f}_n\| \mu$ is the Coulomb friction, and μ is the coefficient of the ¹⁹⁶ friction. The tangential damping force \boldsymbol{f}_{dt} is calculated by

$$\boldsymbol{f}_{dt} = -2\sqrt{\frac{5}{6}}\eta\sqrt{S_t m^*}\boldsymbol{v}_t' \tag{44}$$

¹⁹⁷ Then, the resultant force at the contact zone can be obtained

$$\boldsymbol{f}_{rt} = \boldsymbol{f}_n + \boldsymbol{f}_{dn} + \boldsymbol{f}_t + \boldsymbol{f}_{dt}$$
(45)

 $_{198}$ $\,$ The moment of the resultant force is written as

$$\boldsymbol{T}_c = \boldsymbol{r}_{dc} \times \boldsymbol{f}_{rt} \tag{46}$$

where r_{dc} is the relative position vector from the mass centre to the contact point.

201 4. Coupling approach

202 4.1. Global search

The purpose of the global search is to detect the potential contact pairs between NURBS surfaces and multi-sphere DEs. According to the strong convex hull property [32, 36], a NURBS surface or curve is fully enclosed in the convex hull of its control mesh, as shown in Fig. 2 where NURBS curve C_3 is completely contained in convex hull $N_3N_4N_5$. Therefore, the convex hull of a NURBS is used for the global search.

209 The axis aligned bounding boxes (AABB) of the convex hull and a multi-

²¹⁰ sphere DE are shown in Fig. 2, and can be determined by

$$\begin{cases} \alpha_{\min} = \min(\alpha_1 - r_1, ..., \alpha_i - r_i, ..., \alpha_n - r_n) \\ , \ (\alpha = x, y, z) \end{cases}, (47)$$

$$\alpha_{\max} = \max(\alpha_1 + r_1, ..., \alpha_i + r_i, ..., \alpha_n + r_n)$$

where *n* denotes the number of spheres (or control nodes) forming the external surface of the multi-sphere DE (or the surface of IGA element), subscript *i* means that the variable is related to sphere (or node) *i*, *r* is sphere radius (or zero for control nodes), and *x*, *y* and *z* denote the coordinates of sphere centre (or control nodes). When all spheres have the same radius, r_0 , Eq. (47) can be simplified as

$$\begin{cases} \alpha_{\min} = \min(\alpha_1, ..., \alpha_i, ..., \alpha_n) - r_0 \\ , \ (\alpha = x, y, z) \end{cases}$$

$$(48)$$

$$\alpha_{\max} = \max(\alpha_1, ..., \alpha_i, ..., \alpha_n) + r_0$$

As a convex hull shares the same control nodes as its control mesh, we do not distinguish between a convex hull and its control mesh in the global search. The CGRID search method [28], also called D-Cell in [29], is extended to detect overlapping AABBs of the multi-sphere DEs and the convex hulls of NURBS surfaces which form the potential pairs.

To further eliminate impossible contact interactions, the oriented bounding boxes (OBBs) of the convex hulls of the NURBS surfaces in the potential



Figure 2: The 2D schematic diagram of the NURBS curve, the multi-sphere, the convex hull, and the AABB boxes

contact pairs are fitted using the $O(n \log n)$ -time algorithm [37]. Fig. 3 il-224 lustrates the process. The control mesh, represented by triangle $N_3N_4N_5$, 225 consists of three control nodes. The multi-sphere DE is composed of one 226 internal sphere and six external spheres. The external spheres, shown in 227 red, form the external surface of the multi-sphere DE. The OBB $O_c N_3 N_5 D$ 228 encompasses the control mesh or convex hull, and e_1 and e_2 are the unit 229 orthogonal vectors of the adjacent edges of the OBB. The vertex O_c of the 230 OBB along with unit vectors e_1 and e_2 , establishes a local coordinate system 231 for the global search. 232

The coordinates of an external sphere in the local coordinate system are obtained using the following equation:

$$\boldsymbol{x}_{i}^{c} = \boldsymbol{T}_{o} \cdot \boldsymbol{d}_{ri} = \boldsymbol{T}_{o} \cdot (\boldsymbol{x}_{i} - \boldsymbol{x}_{o}), (i = 1, ..., m)$$

$$\tag{49}$$

where x_i represents the global position vector of sphere *i*, x_i^c represents the

local position vector, d_{ri} is the relative position vector from the origin to sphere *i*, and *m* denotes the total number of external spheres in the multisphere DE.

The overlapping relationship between sphere i and an OBB can be determined by the following inequalities

$$\begin{cases} \min(x_{1\alpha}^{c}, ..., x_{i\alpha}^{c}, ..., x_{m\alpha}^{c}) < 0 \\ , \ (\alpha = x, y, z) \\ \max(x_{1\alpha}^{c}, ..., x_{i\alpha}^{c}, ..., x_{m\alpha}^{c}) > L_{\alpha} \end{cases}$$
(50)

where $x_{i\alpha}^c$ represents the components of the local position vector \boldsymbol{x}_i^c . If any of the inequalities in Eq. (50) is satisfied, it indicates that the sphere overlaps with the OBB. Consequently, the identities (IDs) of the multi-sphere DE and the external spheres are stored for potential contact with the NURBS surfaces. It is important to note that a multi-sphere DE or an external sphere may be in contact with multiple NURBS surfaces. If the external sphere does not overlap with the OBB, this potential contact pair is excluded.

248 4.2. Local search

The local search is employed in the next phase to determine the precise contact configuration for a potential contact pair identified during the global search phase. Fig. 4 illustrates the contact scenario between a multi-sphere DE and a NURBS. The multi-sphere DE comprises three external spheres, with D representing the centre of the potential contact sphere identified in



Figure 3: The OBB of the convex hull of the NURBS curve

the global search. C denotes the closest projection of D onto the NURBS, and the closest projection C can be obtained by solving the following two nonlinear equations:

$$\begin{cases} \frac{\partial \boldsymbol{x}}{\partial \xi^1}|_{(\xi_c^1,\xi_c^2)}[\boldsymbol{d} - \boldsymbol{x}(\xi_c^1,\xi_c^2)] = 0\\ \frac{\partial \boldsymbol{x}}{\partial \xi^2}|_{(\xi_c^1,\xi_c^2)}[\boldsymbol{d} - \boldsymbol{x}(\xi_c^1,\xi_c^2)] = 0 \end{cases}$$
(51)

where $\boldsymbol{x}(\xi_c^1, \xi_c^2)$ represents the position vector of the closest projection C, which we will denote as \boldsymbol{x}_c henceforth; ξ_c^1 and ξ_c^2 are the parameter coordinates of the closest projection C that need to be determined; and \boldsymbol{d} corresponds to the position vector of the sphere centre, D.

The nonlinear equations (51) are solved numerically. In this work, the simplex method, a robust unconstrained optimisation method, is adopted for a preliminary estimation of the parameter coordinates (ξ_c^1, ξ_c^2) of the projec-



Figure 4: The contact situation of a multi-sphere DE and a NURBS

tion *D*. Using this estimation as an initial value, more accurate parameter coordinates can be calculated by the Brent iteration [32]. After the position vector of projection *D*, \boldsymbol{x}_c , is obtained, the normal overlap δ_{cn} between the sphere and the NURBS can be calculated by

$$\delta_{cn} = r - \|\boldsymbol{d} - \boldsymbol{x}_c\| \tag{52}$$

If $\delta_{cn} > 0$, the sphere is in contact with the NURBS. Then, the overlap vector can be determined by

$$\boldsymbol{\delta}_{cn} = \delta_{cn} \boldsymbol{n}_c \tag{53}$$

270 with

$$\boldsymbol{n}_c = \frac{\boldsymbol{d} - \boldsymbol{x}_c}{\|\boldsymbol{d} - \boldsymbol{x}_c\|} \tag{54}$$

 $_{\scriptscriptstyle 271}$ $\,$ The tangential overlap vector $\pmb{\delta}_{ft}$ can be calculated as

$$\boldsymbol{\delta}_{ct} = \int_{t_1}^{t_2} \boldsymbol{v}_{ct}' \mathrm{d}t \tag{55}$$

where $[t_1, t_2]$ is the time interval of the contact interaction between the sphere and the NURBS; v'_{ct} is the tangential relative velocity at the contact point on the NURBS, and can be calculated from the relative velocity v'_c and normal component v'_{cn}

$$\boldsymbol{v}_{ct}' = \boldsymbol{v}_c' - \boldsymbol{v}_{cn}' \tag{56}$$

276 with

$$\boldsymbol{v}_{c}' = \boldsymbol{v}_{dc} - \boldsymbol{v}_{gc} = \boldsymbol{v}_{dc} - \sum_{m=m_{0}}^{i} \sum_{n=n_{0}}^{j} R_{mn}(\xi_{c}^{1}, \xi_{c}^{2}) \boldsymbol{v}_{mn}$$
 (57)

277 and

$$\boldsymbol{v}_{cn}' = (\boldsymbol{v}_c' \cdot \boldsymbol{n}_c) \boldsymbol{n}_c \tag{58}$$

where v_{dc} and v_{gc} are the velocities at the contact points on the sphere and the NURBS respectively, and v_{mn} are the node velocities of the control mesh.

280 4.3. Contact force

After the normal and tangential overlap vectors have been obtained in the local search stage, the contact force between the multi-sphere DE and the IGA element can be calculated. A penalty function method based on the Hertz model [30] is used to determine the contact force as

$$\boldsymbol{f}_{cn} = \frac{2}{3} \gamma S_{cn} \boldsymbol{\delta}_{cn} \tag{59}$$

where S_{cn} is the normal stiffness between the DE and IGA element and has the same formula as Eq. (29), and E^* is determined accordingly from the material properties of the contacting particle and the structure. Because the curvature radius of the NURUS in contact is generally much larger than the radius of the sphere, r^* can be set to the sphere radius, and γ is the penalty factor whose default value is 1.0, as established in [36].

The normal damping force f_{cdn} between the DE and the IGA element is given by

$$\boldsymbol{f}_{cdn} = -2\sqrt{\frac{5}{6}}\eta\sqrt{S_{cn}}\boldsymbol{m}\boldsymbol{v}_{cn}^{\prime} \tag{60}$$

in which m denotes the mass of the multi-sphere DE. The tangential contact force f_{ct} between the sphere and the NURBS is calculated by Eqs. (39) and (43) using the parameters of the contacting DE and IGA element.

296 The tangential damping force f_{cdt} is computed by

$$\boldsymbol{f}_{cdt} = -2\sqrt{\frac{5}{6}}\eta\sqrt{S_{ct}m}\boldsymbol{v}_t' \tag{61}$$

²⁹⁷ The resultant contact force at the contact point can be determined as

$$\boldsymbol{f}_{rct} = \boldsymbol{f}_{cn} + \boldsymbol{f}_{cdn} + \boldsymbol{f}_{ct} + \boldsymbol{f}_{cdt}$$
(62)

The moment induced by f_{rct} and calculated based on Eq. (46) is imposed on the mass centre of the multi-sphere DE. The contact force is distributed

 $_{300}$ to the control nodes of the IGA element by

$$\boldsymbol{f}_{mn} = -R_{mn}(\xi_c^1, \xi_c^2) \boldsymbol{f}_{rct}$$

(63)

where f_{mn} is the distributed force for the control node at the m^{th} and n^{th} position.

303 5. Numerical examples

304 5.1. One particle impacting a quarter of a cylinder

To evaluate the proposed coupling method, we first conduct a test using 305 an ellipsoical particle impacting a quarter of a hollow cylinder. The geometry 306 of the hollow cylinder, along with its fixed boundary, is depicted in Fig. 5. 307 Initially, the particle makes contact with the centre of the external surface of 308 the hollow cylinder, with its major axis aligned along the symmetrical plane. 309 The impact angle between the major axis and the normal direction at the 310 centre of the external surface is denoted as θ . The particle's initial velocity is 311 1.0 m/s in the direction normal to the surface. It has a mass of 1.0 g, and its 312 diagonal components of the inertia tensor in the principal body coordinate 313 system are $I_x = 0.784$ g/mm², $I_y = 2.842$ g/mm², and $I_z = 2.842$ g/mm². 314 The material properties of both the particle and the cylinder can be found 315 in Table 1. Furthermore, the restitution coefficient between the particle and 316 the cylinder is set to be 1.0, denoted as λ_c , and no friction is considered. 317

³¹⁸ The ellipsoidal particle is represented by an multi-sphere DE, consisting of

Tal	ble 1: The material properties of the	parti	cle and the cylinde
	Young's modulus of particle	E_p	1.0 GPa
	Poisson's ratio of particle	ν_p	0.25
	Mass density of cylinder	ρ_t	2500 kg/m^3
	Young's modulus of cylinder	E_t	1.0 GPa
	Poisson's ratio of cylinder	$ u_t $	0.25

nine spheres with specific sizes and relative positions [38] as depicted in Fig. 319 6. The hollow cylinder is simulated using 256 quadratic IGA elements with 320 full volume integration. The interaction between the particle and the cylinder 321 is handled using the proposed coupling method. In this method, a penalty 322 factor of 1.0 is utilised, as previous investigations [36] have demonstrated 323 that the Hertz contact model without the penalty factor correction (i.e. using 324 the penalty factor 1.0) achieves optimal performance for the normal contact 325 interaction between a rigid discrete element and a structural element with 326 linear elasticity. 327



Figure 5: The geometry, loading, and boundary conditions of the particle-cylinder impact system



Figure 6: The geometry of the MS-DEM in the local coordinate system

Three impact angles, namely $\theta = 0^{\circ}$, 10° , and 20° , are simulated using the 328 IGA/MS-DEM coupling method. To validate the accuracy of this coupling 329 method, the same impact cases are also simulated using the finite element 330 method where the multi-sphere DE is represented by an analytical rigid body 331 and the cylinder is modelled using 8-node linear solid elements (C3D8) in 332 Abaqus [39]. The interaction between the particle and the cylinder is handled 333 using a penalty-based constraint enforcement method with a linear pressure-334 overclosure relationship. As the contact models and overlap definition in 335 FEM differ from those in the coupling method, the contact stiffness in the 336 FEM model may be different from that in the coupling method. The penalty 337 stiffness in the FEM model is determined by ensuring that overlapping region 338 from the FEM simulation is the same as that from the coupling method. 339 Using this technique, the penalty in the FEM model is set to be 2 GPa with 340 a scale factor of 1.0. The surface-to-surface contact algorithm in Abaqus 341 is employed to detect contact between the analytical rigid body and the 342

343 cylinder.

The results obtained using the proposed coupling method are compared 344 with those obtained using FEM, as depicted in Figs. 7–9. The time histories 345 of the contact force (Fig. 7), particle linear velocity in the Y direction (Fig. 346 8), and particle angular acceleration in the Z direction (Fig. 9) calculated 347 using the proposed coupling method exhibit good agreement with the results 348 obtained from the FEM. Furthermore, it is observed that a smaller impact 349 angle, θ , generally leads to a higher impact force, a larger rebound velocity, 350 and a smaller angular acceleration. 351



Figure 7: The comparison of the impact force histories for $\theta = 0^{\circ}$, 10° , and 20° obtained from the proposed coupling method and the FEM

Figure 10 presents a comparison of the displacements in the Y direction at the centre of the free cylindrical surface. Initially, the results obtained using the coupling method exhibit good agreement with those from the FEM. After 0.5 ms, the difference between the FEM and coupling results increases



Figure 8: The comparison of the linear velocity histories of the particle in the Y direction for $\theta = 0^{\circ}$, 10° , and 20° obtained from the proposed coupling method and the FEM



Figure 9: The comparison of the angular velocity histories of of the particle in the Z direction for $\theta = 0^{\circ}$, 10° , and 20° obtained from the proposed coupling method and the FEM

slightly, but it remains within an acceptable range. Furthermore, both the FEM and the coupling results show the same trend that a smaller impact angle θ leads to higher displacement peaks in the impact direction.



Figure 10: The comparison of the displacement histories in the Y direction for $\theta = 0^{\circ}$, 10° , and 20° obtained from the proposed coupling method and the FEM

Additionally, three cases with different friction coefficients between the 359 particle and the cylinder, namely $\mu = 0.2, 0.4, \text{ and } 0.6, \text{ are considered},$ 360 but the same impact angle $\theta = 20^{\circ}$ is used. In the FEM model, a penalty 361 friction formulation is employed to calculate the friction force, while the 362 normal behaviour settings remain the same as these in the previous FEM 363 cases. The time histories of the tangential contact force are shown in Fig. 364 11. The curves obtained using the proposed coupling model are consistent 365 with those obtained using the FEM. Each curve of the cases simulated by 366 the proposed coupling model exhibits a non-smooth point, and the tangential 367 contact force before this point is calculated using the formula similar to Eq. 368 (39).After this point, the tangential contact force is determined by the 369





Figure 11: The comparison of the tangential force histories with different friction coefficients, i.e. $\mu=0.2,\,0.4,\,{\rm and}~0.6$

371 5.2. Particles impacting corrugated plate

To further verify the applicability of the IGA/MSDEM coupling approach 372 proposed, the impacting process of granules on a corrugated plate is consid-373 ered numerically. The geometry of the corrugated plate with a thickness of 374 5 mm is shown in Fig. 12 where a small region of the corrugated plate is 375 cut off to show the cross section of the protrusion. The four sides of the cor-376 rugated plate are fully fixed. Initially, 712 particles located in a cylindrical 377 region move toward the corrugated plate with an initial velocity of 5.0 m/s. 378 The size of the particles is identical. At the initial state, the particles in the 379 bottom layer are just in contact with the wave crest of the top surface of the 380 corrugated plate. The material constants of the particles and the corrugated 381 plate are the same as those listed in Table 1. 382



Figure 12: The geometry, boundary conditions and loading of the particle impacting system in which a region of the corrugated plate is cut off for the visibility of the cross section of the protrusion

Each ellipsoidal particle is modelled using the same multi-sphere DE as illustrated in Fig. 6 in Section 5.1. Each particle has a mass of 0.1 g, and its principal moments of inertia along the x, y, and z axes in the body frame are 0.0784 g/mm², 0.2842 g/mm², and 0.2842 g/mm², respectively. Initially, the orientations and positions of the multi-sphere DEs are randomly distributed within the cylindrical region, and the multi-sphere DEs are not in contact with each other.

The corrugated plate is modelled using 324 second-degree solid elements 390 of IGA. The material properties of the particles and the corrugated plate are 391 the same as those of the particle and the cylinder in in Section 5.1, respec-392 tively. The contact interaction between the multi-sphere DEs and the IGA 393 elements is handled by the proposed coupling approach with a penalty factor 394 of 1.0. The restitution coefficient between the particles and the corrugated 395 plate is 0.4, while the friction coefficient between them is 0.5. The time step 396 used in the central difference method is 5.0×10^{-5} ms. 397

The impact force history acting on the corrugated plate is plotted together with the displacement history at the centre of the free surface of the corrugated plate, as shown in Fig. 13. In the time interval [1, 4] ms, the impact force reaches relatively high values, and the centre of the free surface experiences large displacements. After 6 ms, both the impact force and the displacement remain relatively small.



Figure 13: The time histories of the impact force and the displacement along the vertical direction at the centre of the free surface

The impact process and velocity distributions of the particle-plate system 404 are depicted in Figure 14. Initially, some particles make contact with the 405 wave crest of the corrugated plate (Figure 14(a)). Around 1.2 ms, a group 406 of particles collide with the plate's valley (Figure 14(b)). Due to the contact 407 interaction with the plate, the velocities of particles at the bottom decrease 408 significantly, becoming much smaller than those of particles on the top (see 409 Figure 14(c)). As the impact progresses, the particles spread to surrounding 410 areas, resulting in an increased contact area. The number of particles with 411

⁴¹² high velocities along the impact direction decreases notably, as shown in
⁴¹³ Figures 14(d) and 14(e). The configurations and velocity distributions at
⁴¹⁴ 8 ms are displayed in Figure 14(f). Throughout the impact process, the
⁴¹⁵ particle-plate penetration is minimal, and no excessive penetration occurs.



Figure 14: The velocity distribution of the multi-sphere particles and the corrugated plate along the vertical direction at different time instants

416 5.3. Particles of different shapes impacting a chute

To further validate the robustness and applicability of the proposed cou-417 pling method, we investigate the impact process of particles with different 418 shapes on a chute in this section. Initially, a total of 8345 particles with 419 random orientations are positioned within a cylindrical region, as depicted 420 in Fig. 15. These particles can be classified into two groups based on their 421 shapes. The first group consists of particles composed of four spheres [40], as 422 illustrated in Fig. 16. The second group comprises particles with the same 423 shape and size as shown in Fig. 6. The number of particles in the first group 424 is 3232, while the second group contains 5113 particles. 425

The particles move towards the chute with an initial velocity of 3 m/s along the z direction. The chute's geometry and boundary conditions are depicted in Fig. 15, where the flat surfaces on the sides of the chute have a parallelogram shape and are fully fixed. In this impact system, gravity is considered with an acceleration of 9.8 m/s².



Figure 15: The geometry, loading and boundaries of the particle-chute impact system



Figure 16: The geometry of the multi-sphere particle

431	The first group of particles has a mass of 0.1 g, and its principal com-
432	ponents of the inertia tensor are I_x = 0.2072 g/mm ² , I_y = 0.1649 g/mm ² ,
433	and $I_z = 0.2470 \text{ g/mm}^2$. In the second group, the mass and inertia tensor
434	of the particles are one-tenth of those in Section 5.1, i.e., $m = 0.1$ g, $I_x =$
435	0.0784 g/mm^2 , $I_y = 0.2842 \text{ g/mm}^2$, and $I_z = 0.2842 \text{ g/mm}^2$. The material
	parameters of the particles and the chute are listed in Table 2.

Table 2: The material properties of t	he particles and the chute
Young's modulus of particles	E_p 1.0 GPa
Poisson's ratio of particles	$\nu_p 0.2$
Mass density of chute	$ ho_t$ 2500 kg/mm ³
Young's modulus of chute	E_t 3.0 GPa
Poisson's ratio of chute	$\nu_t 0.3$

436

The particles are simulated using the MS-DEM, while the chute with a curved smooth surface is analyzed using IGA with 2048 elements. The contact interaction between the particles and the chute is handled by the proposed coupling method. The restitution and friction coefficients are 0.1 and 0.15, respectively, for the particle-particle and particle-chute contact interactions. The time step is set to be 5×10^{-5} ms.

The time histories of the impact force acting on the chute and the resultant displacement at the projection of the cylinder axis onto the chute's impact surface are shown in Fig. 17. The resultant impact force exhibits a similar trend to the resultant displacement. Generally, both the resultant displacement and impact force increase from 0 ms to 10 ms, then remain relatively high, and but begin to decrease at around 35 ms. Initially, there



449 is no impact force because the particles are not in contact with the chute.

Figure 17: The time histories of the resultant impact force and displacement at the projection of the cylinder axis on the chute impact surface

The resultant velocity distributions of the particles and the chute are 450 illustrated in Fig. 18. Initially, the bottom particles on the back side make 451 contact with the curved upper surface of the chute, as depicted in Fig. 18(a). 452 As the particles move downward, the bottom particles in the cylinder region 453 gradually come into contact with the curved upper surface of the chute (refer 454 to Figs. 18(b) and 18(c)). Due to the contact interaction with the chute, 455 the particles scatter and the cylinder region decreases in size, as shown in 456 Fig. 18(d). Over time, the cylinder region nearly disappears, and most of 457 the particles continue to move downward along the curved surface under the 458 influence of gravity, as seen in Fig. 18(e). The contact region between the 459 particles and the chute continues to expand, and some particles even reach 460 the outlet of the chute (see Fig. 18(f)). Throughout this impact process, the 461 particle motions are reasonable, and there are no instances of unreasonable 462



Figure 18: The resultant velocity distribution of the multi-sphere particles and the chute at different time instants

464 6. Conclusions

A three-dimensional isogeometric/multi-sphere discrete-element coupling 465 method has been presented. This coupling method takes the advantages of 466 the ability of particle shape presentation, high efficiency and excellent ro-467 bustness of contact searching in multi-sphere discrete element modeling, and 468 the geometry smoothness and accuracy in isogeometric analysis (IGA). In 469 the coupling stage, candidate contact pairs are detected by modifying the 470 CGRID method accompanied by AABB and OBB boxes while the contact 471 position is found by solving the non-linear equations using the simplex and 472

Brent iterations. The contact interaction between IGA and multi-sphere 473 DEM is equivalent to the sphere-IGA contact force handling by a nonlinear 474 penalty function method. Furthermore, a coupled IGA/MS-DEM program 475 has been developed. The accuracy of numerical solutions of the particle im-476 pacting a quarter of a cylinder example based on the 3D coupling model 477 has been assessed in the elastic region in comparison with the correspond-478 ing FEM model. The applicability and robustness of the coupling approach 479 for modeling the contact interactions between granular particles and struc-480 tures have also been verified by the two examples, i.e. particles impacting 481 corrugated plate and particles of different shapes impacting a chute. 482

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Highlights (for review)

Highlights

- An isogeometric/multi-sphere discrete-element (MS-DE) coupling method is presented.
- The normal contact force is obtained by a penalty based Hertz-Mindlin contact model.
- The damping and friction forces between MS-DE and IGA are also considered.
- The accuracy and validity of the coupling method are compared with FEM.
- The applicability and robustness of the proposed method is further assessed.



(a) t = 4.2 ms

(a) t = 8.0 ms



CRediT authorship contribution statement

Wei Gao: Conceptualization, Methodology, Programming, Validation, Formal analysis, Writing – original draft, Writing – review & editing.

Y.T. Feng: Conceptualization, Methodology, Formal analysis, Writing - review & editing.

Chengyong Wang: Conceptualization, Writing - review & editing.

Declaration of Interest Statement

Declaration of interests

□The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

⊠The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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