

1 **Contrasting effects of aeration on methane (CH₄) and nitrous oxide**
2 **(N₂O) emissions from subtropical aquaculture ponds and**
3 **implications for global warming mitigation**

4 Ping Yang^{a,b*}, Kam W. Tang^c, Hong Yang^{d,e}, Chuan Tong^{a,b}, Linhai Zhang^{a,b}, Derrick Y.
5 F. Lai^f, Yan Hong^{a,b}, Lishan Tan^f, Wanyi Zhu^{a,b}, Chen Tang^{a,b}

6 ^a*School of Geographical Sciences, Fujian Normal University, Fuzhou 350007,*
7 *P.R. China*

8 ^b*Key Laboratory of Humid Subtropical Eco-geographical Process of Ministry of*
9 *Education, Fujian Normal University, Fuzhou 350007, P.R. China*

10 ^c*Department of Biosciences, Swansea University, Swansea SA2 8PP, U. K.*

11 ^d*Department of Geography and Environmental Science, University of Reading, Reading,*
12 *UK*

13 ^e*College of Environmental Science and Engineering, Fujian Normal University, Fuzhou,*
14 *350007, China*

15 ^f*Department of Geography and Resource Management, The Chinese University of Hong*
16 *Kong, Hong Kong, China*

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21 ***Correspondence to:**

22 Ping Yang (yangping528@sina.cn)

23 Telephone: 086-0591-87445659 Fax: 086-0591-83465397

24 **ABSTRACT**

25 The increasing number of small-hold aquaculture ponds for food production globally
26 has raised concerns of their emission of greenhouse gases (GHGs) such as methane
27 (CH₄) and nitrous oxide (N₂O). Aeration is commonly applied to improve oxygen
28 supply for the farmed animals, but it could have opposite effects on GHG emission: It
29 may inhibit anaerobic microbial processes that produce GHGs; it may also increase
30 water-to-air GHG exchange via physical agitation. To resolve the overall effect of
31 aeration on GHG emissions, this study analyzed and compared the monthly CH₄ and
32 N₂O emissions from earthen shrimp ponds with and without aeration, in the farming
33 period for two consecutive years, in an estuary in subtropical southeastern China. CH₄
34 flux was mainly influenced by water temperature and dissolved oxygen, and it was
35 significantly higher in non-aerated pond (7.6 mg m⁻² h⁻¹) than in aerated ponds (4.5 mg
36 m⁻² h⁻¹), with ebullition accounting for >90% of the emission. Conversely, non-aerated
37 pond had ca. 50% lower N₂O flux than aerated ponds, and dissolved nitrate was the
38 main driving factor. The combined CO₂-equivalent emission in aerated ponds (avg.
39 10,829 kg CO₂-eq ha⁻¹ yr⁻¹) was substantially lower than that in non-aerated pond (avg.
40 17,627 kg CO₂-eq ha⁻¹ yr⁻¹). While aeration may increase diffusive flux of GHGs via
41 physical agitation, it remains a simple and effective management practice to decrease
42 the overall climate impact of aquaculture ponds.

43 **Keywords:** Artificial aeration; Greenhouse gases (GHGs) emission; Sustained-flux
44 global warming potential (SGWP); Climate mitigation; Aquaculture pond

45 **1. Introduction**

46 The increasing number of aquaculture ponds for food production worldwide (FAO,
47 2017) causes great concerns of their climate impact through emissions of greenhouse
48 gases, for example, methane (CH₄) and nitrous oxide (N₂O) (Grinham et al., 2018;
49 MacLeod et al., 2020; Yuan et al., 2021). Williams and Crutzen (2010) estimated that the
50 aquaculture sector contributed 0.09 Tg or 0.3% of the global anthropogenic N₂O emission
51 in 2008. The annual global N₂O emission from aquaculture is projected to increase to 0.6
52 Tg by 2030, or 5.7% of anthropogenic N₂O emission (Hu et al., 2012). Based on
53 worldwide database of freshwater aquaculture, it was estimated that the top 21
54 aquaculture producers emitted 6.0±1.2 Tg CH₄ and 36.7±6.1 Gg N₂O in 2014 alone,
55 which were equivalent to 1.8% and 0.3% of global anthropogenic CH₄ and N₂O
56 emissions, respectively (Yuan et al., 2019).

57 In China, the total area for aquaculture pond has expanded to approximately 3.2×10⁴
58 km² in 2018 (BFMA, 2019). Being the world's largest producer of aquatic products,
59 around 60% (approximately 15,600 km²) of China's aquaculture ponds are located along
60 the coast (Duan et al., 2020). One of the main aquaculture operations in China is shrimp
61 farming in small coastal ponds (with a total area of 2.4×10³ km²) (BFMA, 2019), which
62 contributes approximately 12% of the global shrimp culture by areal coverage. Most
63 shrimp ponds are maintained through feeds and aeration every day (Yang et al., 2017;
64 Yang et al., 2020a; Chen et al., 2016), but some ponds are not aerated or with low aeration
65 frequency. Although some efforts have been made to characterize the effect of feeds on

66 greenhouse gas (GHG) production in aquaculture systems (Adegbeye et al., 2019; Chen
67 et al., 2016; Soares and Henry-Silva, 2019; Yang et al., 2020b; Zhao et al., 2021), the
68 effect of aeration is less clear, especially for small aquaculture ponds that are often not
69 monitored properly (Kosten et al., 2020). A meta-analysis has shown that small
70 aquaculture ponds tended to emit far more CH₄ than industrial-scale systems with proper
71 aeration, and therefore wider use of aeration is recommended to mitigate CH₄ emission
72 from aquaculture (Yuan et al., 2019). However, while aeration is expected to inhibit the
73 anaerobic microbial processes that produce CH₄, it could also accelerate the water-to-air
74 gas diffusive fluxes (Hu et al., 2013; Kosten et al., 2020). The balance between the two
75 opposite effects would determine how aeration affects the net GHG emissions and global
76 warming contribution of aquaculture ponds.

77 In order to improve our understanding of aeration effects on GHG emissions from
78 aquaculture ponds, we analyzed and compared CH₄ and N₂O fluxes and their main
79 driving factors, between aerated and non-aerated shrimp ponds over the farming period
80 for two consecutive years in southeastern China.

81 **2. Materials and methods**

82 *2.1. Research area*

83 The research was carried out in earthen shrimp ponds (*Penaeus vannamei*) in the
84 Shanyutan Wetland of the Min River Estuary (MRE) in southeastern China (Figure 1).
85 The annual mean air temperature in the region is 19.6 °C and the mean rainfall is 1,350
86 mm (Tong et al., 2012). The average salinity is 4.2±2.5 ppt and the average range of

87 semidiurnal tidal is 0.1–1.5 m (Tong et al., 2018). The dominant vegetation species
88 include native *Phragmites australis* and *Cyperus malaccensis*, and the invasive *Spartina*
89 *alterniflora*. Covering approximately 30% the Shanyutan Wetland, these shallow
90 aquaculture ponds were created by removing the original marsh vegetation and
91 converting bunds into steep slopes. The interval between the removal of native vegetation
92 and the creation of pond was around 10–15 days.

93 2.2. Shrimp pond system and experimental design

94 The farming period was between May and November, producing a single crop
95 annually. Before shrimp culturing, the ponds were filled to 1.5 ± 0.2 m deep with brackish
96 water (salinity 4.2 ± 0.3) drawn from the adjacent estuary. Commercial feed pellets were
97 added once in the morning (07:00) and once in the afternoon (16:00). Some of the ponds
98 had aerators to oxygenate the water, but some ponds were not aerated. After harvesting
99 in late November, water was discharged via spillways. Please refer to Yang et al. (2017;
100 2021) for more details of the aquaculture pond operation.

101 Water and gas samples were collected from one non-aerated pond (NAP) and two
102 aerated ponds (AP I and AP II). The sizes of these three ponds ranged from 1.25 to 1.40
103 ha; water depth varied from 1.3 to 1.6 m. For the AP, aeration was provided by six 1,500-
104 W paddlewheel aerators that ran almost continuously (stopped for a short time during the
105 feeding periods). In each pond, a wooden bridge (approximately 15 m long) extending
106 from bank to center was used to collect samples at three locations: one near the bank, one
107 in the mid-section of the bridge, and one at the pond center. Field sampling was conducted

108 during the farming period, every month between June and November, for two
109 consecutive years (2019 and 2020) for a total of 12 sampling campaigns in each pond.
110 On each sampling day, all samples were collected at local time 09:00–11:00 am (Zou et
111 al., 2015; Wu et al., 2019). This extensive sampling effort therefore generated detailed
112 data of the monthly and yearly variations in the ponds.

113 *2.3. Measurements of dissolved GHG concentrations*

114 In order to measure dissolved CH₄ and N₂O concentrations, bubble-free water
115 samples were collected from 20 cm below the water surface with a syringe (60-mL)
116 equipped with a three-way stopcock (Wang et al., 2017; Borges et al., 2018;), each water
117 sample was transferred into a glass serum bottle (55-mL). To stop the microbial activities,
118 0.2 mL HgCl₂ was added to water before closing the bottles (Borges et al., 2018; Zhang
119 et al., 2013). The bottles were sealed with butyl rubber stoppers without headspace (Xiao
120 et al., 2019; Webb et al., 2018) and transported in a cooler back to laboratory for analysis
121 within 4–6 hr.

122 The headspace equilibration technique was used to analyze dissolved GHG
123 concentrations (Davidson et al., 2015; Wang et al., 2021; Yu et al., 2017).
124 Briefly, >99.999% purity nitrogen (N₂) gas was injected into every serum bottle to
125 displace a 25-mL headspace. The bottles were then shaken vigorously for 10 minutes to
126 create an equilibrium between the gaseous phase and the liquid phase. After settling for
127 30 min, 5 mL of the headspace gas was withdrawn for CH₄ measurement (Shimadzu GC-
128 2010 with flame ionization detector, Kyoto, Japan) and 5 mL for N₂O measurement

129 (Shimadzu GC-2014 with electron capture detector, Kyoto, Japan). Calibration curves
130 were produced with standard CH₄ gas (2, 8, 500 and 1000 ppm) and standard N₂O gas
131 (0.3, 0.4 and 1.0 ppm). The original concentrations of dissolved CH₄ (or N₂O) were
132 calculated from the headspace CH₄ (or N₂O) concentrations, taking into account the
133 Bunsen gas solubility coefficients as a function of salinity and temperature (Fariás et al.,
134 2017; Brase et al., 2017; Weiss and Price, 1980).

135 *2.4. Measurement of GHG emissions*

136 The fluxes of CH₄ and N₂O across the water-air interface (WAI) were determined
137 using the floating chamber method (Natchimuthu et al., 2016; Wu et al., 2021). The area
138 and volume of the floating chamber are 0.1 m² and 5.2 L, respectively. The floating
139 chamber was covered with reflective aluminum foil and fitted with styrofoam around the
140 rim for floatation.

141 Gas flux measurements were conducted at the aforementioned three locations in
142 each pond. At each location, a 60-mL gas sample was collected at an interval of 15-
143 minute for 45 min with a syringe via a sampling port on the floating chamber. Gas
144 samples were then injected into aluminum-foil gas sample bags (Dalian Delin Gas
145 Packing Co., Ltd., China) and transported back to laboratory within 48 h for further
146 analysis. In the laboratory, the GHG contents in the gas samples were determined by gas
147 chromatographs (Shimadzu GC-2010 for CH₄ and Shimadzu GC-2014 for N₂O). CH₄
148 (mg m⁻² h⁻¹) and N₂O (μg m⁻² h⁻¹) fluxes across the WAI were calculated as the rate of
149 change in the mass of CH₄ and N₂O per unit surface area per unit time (Yuan et al., 2021;

150 [Yang et al., 2018](#)). Total CH₄ and N₂O emissions over the farming period were calculated
151 as the sum of the monthly values ([Moore et al. 2011](#); [Wu et al., 2018](#)).

152 *2.5. Estimation of diffusive and ebullitive CH₄ fluxes*

153 CH₄ fluxes determined by the floating chamber include both diffusive and ebullitive
154 fluxes ([Chuang et al., 2017](#); [Wu et al., 2019](#); [Zhu et al., 2016](#)). To partition the
155 measurement between the two components, diffusive CH₄ flux (F_D , mg m⁻² h⁻¹) across
156 the water-atmosphere interface was estimated as follows ([Musenze et al., 2014](#);
157 [Wanninkhof, 1992](#); [White et al., 2021](#)):

$$158 \quad F_D = k_x \cdot (C_W - C_{eq})$$

159 where C_W (μmol L⁻¹) is the measured dissolved CH₄ concentration in the surface water;
160 C_{eq} (μmol L⁻¹) is the equilibrium dissolved CH₄ concentration relative to the ambient
161 atmospheric concentration at each sampling site; the gas transfer velocity k_x (m h⁻¹) was
162 estimated from wind speed and temperature ([Cole and Caraco, 1998](#)). While different
163 models exist to derive k_x ([Klaus and Vachon, 2020](#)), we used the model by [Cole and](#)
164 [Caraco \(1998\)](#) because of the similar water surface areas and wind speeds in our study to
165 the parameters used by them. Ebullitive CH₄ flux was estimated by subtracting the
166 diffusive flux from the total CH₄ flux determined from the floating chamber ([Xiao et al.,](#)
167 [2017](#); [Chuang et al., 2017](#); [Yang et al., 2020b](#); [Zhu et al., 2016](#)).

168 *2.6. Measurement of ancillary environmental parameters*

169 In every sampling campaign, various environmental parameters were measured at
170 20 cm below water surface at each sampling location: pH and water temperature (T_w) by

171 a portable meter (IQ150, IQ Scientific Instruments, U.S.A.); salinity by a salinity meter
172 (Eutech Instruments-Salt6, USA), and dissolved oxygen (DO) by a multiparameter probe
173 (550A YSI, USA). Meteorological variables (e.g., wind speed (W_S), air temperature (T_A),
174 and air pressure (A_P)) were determined by a data logger (Vantage Pro 2, China) at the
175 MRE. In addition, wind speed (1.5 m above the water surface) was determined at the
176 ponds by a portable meter (Kestrel-3500, USA).

177 Water samples were collected at 20 cm below water surface at sampling locations
178 using a 1.5-L organic glass hydrophore. All water samples were stored in an ice-packed
179 cooler for later laboratory analysis within 4–6 hr. In the laboratory, water samples were
180 filtered through cellulose acetate filters (0.45- μm BiotransTM nylon membranes) and the
181 filtrates were analyzed for the concentrations of dissolved organic carbon (DOC), PO_4^{3-} ,
182 $\text{NH}_4^+\text{-N}$, $\text{NO}_3^-\text{-N}$, and total dissolved nitrogen (TDN). DOC was determined using a TOC
183 Analyzer (TOC-V_{CPH/CPN}, Shimadzu, Kyoto, Japan) with a precision of $\pm 1.0\%$. PO_4^{3-} ,
184 $\text{NH}_4^+\text{-N}$, $\text{NO}_3^-\text{-N}$, and TDN were analyzed by a flow injection analyzer (Skalar Analytical
185 SAN⁺⁺, The Netherlands) with a precision of $\pm 3.0\%$, $\pm 3.0\%$, $\pm 3.0\%$ and $\pm 2.0\%$,
186 respectively.

187 2.7. Calculation of CO_2 -equivalent fluxes

188 We calculated the CO_2 -equivalent emission based on IPCC methodology by
189 multiplying CH_4 emission by a global warming potential value of 45 (based on a 100-
190 year time horizon and a GWP value of 1 for CO_2) and N_2O emission by 270 (Neubauer
191 and Megonigal, 2019). We also accounted for the GHG contribution of the aerator by

192 multiplying its energy consumption by CO₂ emission factor for hydropower (10 g CO₂
193 per kWh; Hou et al., 2012).

194 2.8. Statistical analysis

195 Results were presented as mean \pm 1SE. Statistical analyses were conducted in SPSS
196 22.0 (IBM, Armonk, NY, USA) with the significance level at 0.05. Two-way analysis of
197 variance (two-way ANOVA) was used to examine the impacts of ponds, sampling time,
198 and their interactions on GHG fluxes, dissolved GHG concentrations and surface water
199 environmental properties. Pearson correlation analysis was applied to analyze the
200 relationships between environmental properties and GHG fluxes or concentrations.
201 Redundancy Analysis (RDA) was conducted to analyze the extent to which
202 environmental parameters affected the spatiotemporal variations in GHG emissions, with
203 T_w , pH, salinity, DO, DOC, PO₄³⁻, NO₃⁻-N and NH₄⁺-N, and TDN as the independent
204 variables. RDA was done in CANOCO 5.0 (Microcomputer Power, Ithaca, USA). All
205 graphics were generated with OriginPro version 7.5 (OriginLab Corporation,
206 Northampton, MA, USA).

207 3. Results

208 3.1. Environmental parameters

209 The environmental conditions in the ponds over the study period were presented in
210 Figure 2. There were no significant differences in mean T_w , pH, salinity and PO₄³⁻ among
211 the ponds ($p > 0.05$; Table S1), but there were significant variations for the other
212 parameters. Overall, the mean DO (Figure 2d), NO₃⁻-N (Figure 2g), NH₄⁺-N (Figure 2h)

213 and TDN (Figure 2i) concentrations were significantly lower, while DOC concentrations
214 (Figure 2e) were generally higher in non-aerated pond (NAP) than those in aerated ponds
215 (APs) ($p < 0.05$ or < 0.01 ; Table S1).

216 3.2. Dissolved CH_4 and N_2O concentrations

217 Dissolved CH_4 concentration in the ponds was highly variable, ranging from 84.1
218 to 1980.4 $nmol L^{-1}$ (Figure 3a), and it was always supersaturated with respect to the
219 atmosphere. Across the two years, the mean CH_4 concentration was significantly higher
220 in NAP ($878.3 \pm 132.5 nmol L^{-1}$), followed by AP II ($445.4 \pm 94.4 nmol L^{-1}$) and API
221 ($367.1 \pm 61.3 nmol L^{-1}$) ($p < 0.001$; Table 1).

222 Dissolved N_2O concentration ranged from 2.1 to 26.2 $nmol L^{-1}$ in the ponds (Figure
223 3b) and was always supersaturated with respect to the atmosphere. Over the two-year
224 period, NAP had a significantly lower mean N_2O concentration ($4.4 \pm 0.6 nmol L^{-1}$) than
225 API ($10.1 \pm 1.8 nmol L^{-1}$) and AP II ($8.4 \pm 1.3 nmol L^{-1}$) ($p < 0.001$; Table 1).

226 3.3. CH_4 and N_2O emissions

227 The CH_4 fluxes ranged 0.23–36.49 $mg m^{-2} h^{-1}$ in NAP, 0.06–22.89 $mg m^{-2} h^{-1}$ in AP
228 I, and 0.14–22.56 $mg m^{-2} h^{-1}$ in AP II (Figure 4a). The respective mean flux was $7.56 \pm$
229 2.69 (NAP), 4.50 ± 1.73 (AP I) and $4.51 \pm 1.82 mg m^{-2} h^{-1}$ (AP II). Despite no significant
230 difference in average CH_4 fluxes between AP I and AP II ($p > 0.05$; Figure S1a), the
231 average CH_4 flux in NAP was significantly higher than in the APs ($p < 0.05$; Table 2 and
232 Figure S1a).

233 N_2O fluxes in NAP, AP I, and AP II ranged 2.39–20.77, 3.49–50.28, and 2.64–25.70

234 $\mu\text{g m}^{-2} \text{h}^{-1}$ (Figure 4b), respectively. NAP had a significantly lower mean N_2O flux (6.98
235 $\pm 1.42 \mu\text{g m}^{-2} \text{h}^{-1}$) than AP I ($15.96 \pm 3.48 \mu\text{g m}^{-2} \text{h}^{-1}$) and AP II ($11.72 \pm 1.97 \mu\text{g m}^{-2} \text{h}^{-1}$)
236 during the study period ($p < 0.001$; Table 2, Figure S1b).

237 3.4. Diffusive and ebullitive fluxes of CH_4

238 The calculated mean CH_4 diffusive fluxes (see section 2.5) varied from 0.31 to 0.40
239 $\text{mg m}^{-2} \text{h}^{-1}$ in NAP, 0.12 to 0.20 $\text{mg m}^{-2} \text{h}^{-1}$ in AP I, and 0.13 to 0.20 $\text{mg m}^{-2} \text{h}^{-1}$ in AP II.
240 The respective CH_4 ebullitive fluxes were then estimated to be 4.96–9.47 (NAP), 3.45–
241 5.23 (AP I) and 3.90–4.78 $\text{mg m}^{-2} \text{h}^{-1}$ (AP II) (Figure 5). Overall, ebullition was estimated
242 to account for the majority (94–96 %) of CH_4 emission. Over the farming period in the
243 two consecutive years, NAP had a significantly higher mean CH_4 ebullitive flux ($7.21 \pm$
244 $2.71 \text{mg m}^{-2} \text{h}^{-1}$) than AP I ($4.34 \pm 1.74 \text{mg m}^{-2} \text{h}^{-1}$) and AP II ($4.34 \pm 1.83 \text{mg m}^{-2} \text{h}^{-1}$) (p
245 < 0.001).

246 3.5. CO_2 -equivalent emissions of CH_4 and N_2O

247 Across all sampling campaigns, the aquaculture ponds were a net source of CH_4 and
248 N_2O to the atmosphere. The combined CO_2 -equivalent emissions were 22,780 (NAP),
249 12,806 (AP I) and 11,685 $\text{kg CO}_2\text{-eq ha}^{-1} \text{yr}^{-1}$ (AP II) in 2019, and 12,473, 8,632 and
250 9,669 $\text{kg CO}_2\text{-eq ha}^{-1} \text{yr}^{-1}$ in 2020, respectively (Figure 6). Energy consumption by the
251 aerators added only 126–136 $\text{kg CO}_2\text{-eq ha}^{-1} \text{yr}^{-1}$ in the aerated ponds.

252 Across the two consecutive years, the CO_2 -equivalent emission from NAP averaged
253 17,626 $\text{kg CO}_2\text{-eq ha}^{-1} \text{yr}^{-1}$, which was 63% and 49% greater than that of AP I and AP II,
254 respectively. CH_4 accounted for over 95% of the CO_2 -equivalent emission in each pond

255 (Figure 6).

256 3.6. Relationships between gas fluxes and environmental parameters

257 Pearson correlation analyses indicated that CH₄ flux was correlated positively with
258 *T_w*, DOC and NH₄⁺-N ($p < 0.05$ or < 0.01 ; Table S2) and negatively with salinity, pH
259 (Table S2) and DO (Figure S2a-S2c) ($p < 0.01$) (Table S2). N₂O flux was correlated
260 positively with *T_w*, DO (Figure S2d-2f), NO₃⁻-N (Figure S3a-3c), NH₄⁺-N (Figures S3d-
261 3f) and TDN (Figures S3h-3i) ($p < 0.01$), and negatively with pH and DOC ($p < 0.05$ or
262 < 0.01) (Table S2).

263 Based on RDA analysis, *T_w*, DO and TDN made significant contributions to the
264 variations in CH₄ emission flux in both years. Combining all data, *T_w* had the largest
265 explanatory power (47.5%), followed by DO (36.3%) and TDN (12.1%) (Figure 7). NO₃⁻
266 -N and DO were the environmental parameters best explaining the variability in N₂O
267 emission flux, with NO₃⁻-N accounting for the highest percentage (89.2% of all data)
268 (Figure 7).

269 4. Discussion

270 4.1. Effects of aeration on water quality and shrimp yield

271 Shrimp aquaculture is generally maintained via daily supply of commercial aquatic
272 feed, but only part of the feeds is converted into shrimp biomass (Avnimelech and Ritvo,
273 2003; Wang et al., 2018; Chen et al., 2016; Yang et al., 2020b), and the remainder is
274 retained in the water column and sediment (Yang et al., 2021). In the present study,
275 artificial aeration significantly increased the level of NH₄⁺-N, NO₃⁻-N and TDN in the

276 water column (Figures 2g-2i), similar to other studies in ponds (Zhu et al., 2020) and
277 constructed wetlands (Ji et al., 2021; Maltais-Landry et al., 2009a, 2009b). This could be
278 attributed to the increased DO level promoting remineralization of organic nitrogen from
279 excess feeds and its subsequent release from the sediment (Han et al., 2018; Zhu et al.,
280 2020).

281 Previous studies have suggested that intermittent artificial aeration can be a simple
282 and effective management strategy to enhance water quality and increase animal yield in
283 aquaculture systems (Boyd, 1998; Hu et al., 2013; Kosten et al., 2020; Zhu et al., 2020).
284 Based on report by the farmer, the mean shrimp yield for the aerated ponds was 6,800 kg
285 ha⁻¹, which was substantially higher than that for the non-aerated pond (5,200 kg ha⁻¹).
286 The results suggested that continuous aeration could also increase shrimp yield.

287 4.2. Effects of aeration on CH₄ emission

288 Although some recent studies have shown that microbial CH₄ production can occur
289 in oxic waters (Bogard et al., 2014; Günthel et al., 2019), conventional microbial
290 methanogenesis in oxygen-deplete bottom water and sediment remains the principal
291 source of CH₄ in shallow and eutrophic systems such as aquaculture ponds (Tong et al.,
292 2021). Over the two years of our study, DO in APs was substantially higher than in NAP,
293 showing a clear effect of aeration (Figure 2). Accordingly, the surface-water CH₄
294 concentration in NAP was much higher than in APs across all sampling campaigns
295 (Figure 3). There were large month-to-month variations in CH₄ concentration, with lower
296 values usually observed in the summer months, perhaps reflecting the increasing activity

297 of CH₄ oxidizers. Surprisingly, CH₄ flux values were higher in the summer months
298 (Figure 4), which were opposite to what would be expected from the lower surface-water
299 CH₄ concentrations. A possible explanation is the higher sedimentary CH₄ production
300 during the hot summer months, and the subsequent release via ebullition allowed CH₄ to
301 by-pass oxidation in the water column (Rosentreter et al., 2017; Crawford et al., 2014;
302 Wu et al., 2019; Xing et al., 2006). Indeed, ebullition was estimated to account for the
303 overwhelming majority of CH₄ fluxes in all of the studied ponds (Figure 5), similar to
304 other shallow and eutrophic inland waters (e.g., Wu et al., 2019; Zhang et al., 2020; Zhu
305 et al., 2016; Yang et al., 2008). APs had comparable diffusive CH₄ flux to NAP, but
306 considerably lower ebullitive flux, suggesting that the aerators were enough to lessen the
307 anoxic condition in sediment.

308 In shrimp ponds, aeration could have opposing impacts on CH₄ emissions. On the
309 one hand, increased oxygenation of the water could inhibit anaerobic microbial
310 methanogenesis and promote CH₄ oxidation (Liu et al., 2016; Yuan et al., 2019). On the
311 other hand, because CH₄ is only sparingly soluble in water, physical agitation by the
312 aerators could increase diffusive exchange of CH₄ from water to air (Kosten et al., 2020;
313 Yang et al., 2015). In our study, the inhibitive effects of aeration can be seen in the overall
314 negative relationship between CH₄ fluxes and DO (Figure S2a-2c), similar to
315 observations in aerated constructed wetlands (Ji et al., 2021; Maltais-Landry et al., 2009a;
316 Liu et al., 2018). Yet, some data points from APs were noticeably above the trend line,
317 indicating stronger CH₄ emissions than expected. Our observations suggest that aeration

318 may increase CH₄ fluxes through physical agitation, especially in the mid-DO range (ca.
319 9-10 mg L⁻¹).

320 An earlier study estimated that whiteleg shrimp had an average feed conversion ratio
321 of 1.33 in aerated ponds (Yang et al., 2021). From this we estimated the total amount of
322 feeds applied to be ~9,044 kg ha⁻¹ y⁻¹. Therefore, based on the reported shrimp yields, the
323 excess feeds (i.e., feeds that were not converted to biomass) would average 26 mg m⁻² h⁻¹
324 in APs and 44 mg m⁻² h⁻¹ in NAP. In other words, we may expect 69% more carbon
325 emission from unconsumed feeds in NAP compared to AP. Excess organic carbon would
326 likely be converted to CO₂ in well-oxygenated water, but instead to CH₄ in oxygen-
327 deplete water. Our measurements showed that CH₄ emission in NAP was 68% more than
328 that in AP (7.56 vs. 4.50 mg m⁻² h⁻¹). Hence, the low-oxygen condition in NAP essentially
329 drove the conversion of all unconsumed feeds to CH₄ instead of CO₂. Considering that
330 CH₄ is a much stronger GHG than CO₂, this shows the importance of aeration (or the
331 lack of) in regulating the climate impact of the aquaculture ponds.

332 Taking together data across the two consecutive years, DO and T_w were the two key
333 but opposing factors in determining the CH₄ emission flux (Figure 7). For the purpose of
334 mitigating CH₄ emission from the shrimp ponds, while it would be difficult to manipulate
335 T_w, DO can be easily increased with aerators. An interesting observation is the sizable
336 positive effect TDN had on CH₄ emission; on the contrary, the presumptive substrate for
337 methanogenesis, DOC, had weak effect on CH₄ emission (Figure 7). This perhaps
338 indicates that the methanogen activity level was regulated by nitrogen availability.

339 *4.3. Effects of aeration on N₂O emission*

340 While methanogenesis is driven predominantly by anaerobic microbial processes in
341 bottom water and sediment, N₂O can be produced via nitrification of NH₄⁺-N and
342 denitrification of NO₃⁻-N within the water column, with the two processes intertwined by
343 a series of reduction-oxidation reactions (Beaulieu et al., 2015; Maavara et al., 2019;
344 Yuan et al., 2021). Not surprisingly, the temporal change of N₂O emission flux (Figure
345 4b) mirrored that in dissolved N₂O concentration, and these two were positively
346 correlated with both NO₃⁻-N and NH₄⁺-N (Figure S3 and Table S2). Taking all data
347 together, NO₃⁻-N explained most (89%) of the variability in N₂O flux (Figure 7),
348 affirming the singular importance of nutrient loading in driving N₂O production in
349 aquaculture ponds (Hu et al., 2013; Wu et al., 2018).

350 Unlike CH₄, N₂O is highly soluble in water. Therefore, its emission pathway is
351 primarily through diffusive flux and not ebullition, and physical turbulence within the
352 water column was expected to increase water-to-air N₂O flux (Hu et al., 2013; Kosten et
353 al., 2020). Indeed, N₂O flux was consistently higher in APs than in NAP (Figure 4). There
354 was an overall positive correlation between N₂O flux and DO across all measurements,
355 with many of the data points from APs lying above the trend line (Figure S2d-2f),
356 suggesting that physical agitation by the aerators enhanced N₂O gaseous exchange across
357 the water-air interface.

358 *4.4. Implications for global warming mitigation*

359 With the wild stocks being depleted by overfishing, the world is increasingly turning

360 towards aquaculture to satisfy its demand for aquatic animal proteins, particularly in
361 developing countries (FAO, 2018; Hu et al., 2012; Yang et al., 2017). While small-hold
362 aquaculture offers benefits including increasing food security, adding jobs and promoting
363 rural economy (Béné et al., 2016; FAO, 2018; Hu et al., 2012), aquaculture boom can
364 also raise environmental concerns including GHG emissions (MacLeod et al., 2020; Wu
365 et al., 2018; Yuan et al., 2021). Although aquaculture ponds account for only ca. 1.8%
366 and 0.3% of the global anthropogenic CH₄ and N₂O emissions, respectively (Yuan et al.,
367 2019), their climate impacts can be significant in regions where small aquaculture ponds
368 are widespread (FAO, 2018), such as along China's coast (Duan et al., 2020; Ren et al.,
369 2019). As shown in this study, the small shrimp ponds in MRE in southeastern China
370 were strong CH₄ and N₂O emitters.

371 Improvement of management practices will be key to reducing GHG emissions from
372 aquaculture ponds and achieving a clean and sustainable production. Aeration is a
373 common practice in aquaculture, but it may have opposite effects on GHG fluxes from
374 the ponds. Our results showed that aeration increased N₂O emission by 98%, but
375 decreased CH₄ emission by 40%. In order to place the gas flux values in the context of
376 climate impact, we calculated the CO₂-equivalent emission based on the IPCC model for
377 SGWP (IPCC, 2013; Neubauer and Megonigal, 2019). Our calculations showed that the
378 average combined emission in non-aerated ponds (342 mg CO₂-eq m⁻² h⁻¹) was
379 substantially larger than the global average for reservoirs (242 mg CO₂-eq m⁻² h⁻¹)
380 (Deemer et al., 2016) and the average value for China's lakes and reservoirs (Li et al.,

381 [2018](#)), whereas the combined emission in aerated ponds ($206 \text{ mg CO}_2\text{-eq m}^{-2} \text{ h}^{-1}$) was
382 considerably lower. CO_2 emission due to electricity consumption by the aerators was
383 negligible. Overall, CH_4 emission was vastly more important than N_2O emission in terms
384 of centennial-scale climate impact of the shrimp ponds, and aeration was able to decrease
385 the annual CO_2 -equivalent emission of the shrimp ponds by 40% ([Figure 6](#)).

386 *4.5. Recommendations for future research*

387 Our data showed that water temperature had a positive influence on CH_4 emission.
388 The subtropical location of our study site and the exposed nature of the ponds inevitably
389 led to high water temperatures. Future study may consider testing the effect of shading
390 as a way to lower the water temperature and CH_4 emission. Because N_2O emission was
391 mostly influenced by NO_3^- -N, a better management of nutrient loading into the ponds
392 may help to reduce N_2O emission.

393 Instead of paddlewheel aerators, some farmers use air diffusers for aeration, which
394 sit at the bottom of the ponds and release air bubbles. While diffusers may oxygenate the
395 entire water column more effectively than paddlewheels, rising air bubbles may strip the
396 water column of dissolved GHGs and greatly increase water-to-air GHG fluxes ([Hu et al., 2012](#);
397 [Yang et al., 2020b](#)). A comparative study of the different aerator designs will
398 be useful to produce appropriate recommendations to farmers for mitigating GHG
399 emissions.

400 Artificial aeration can lead to high DO level in water column, which would enhance
401 CH_4 oxidation to CO_2 ([Casper et al., 2000](#); [Kosten et al., 2020](#)) and add to CO_2 emission

402 to air. Because CO₂ emissions were not measured in the present study, the total CO₂-
403 equivalent emission from the aerated ponds could have been underestimated. Further
404 study on the effects of aeration on CO₂ emissions from aquaculture ponds is needed.

405 While the physical effects of aeration on GHG emissions are not expected to depend
406 on the species, aquaculture ponds with other species (shell- or fin-fish) will likely develop
407 different microbial communities and therefore the magnitude of GHG emissions may be
408 different. Expanding the study to other aquaculture systems and species will help
409 generate a more detailed understanding of the comprehensive climate impact of the
410 aquaculture sector.

411

412 **5. Conclusions**

413 This study quantified the effects of artificial aeration on GHG emissions from
414 aquaculture ponds. Our results indicate that artificial aeration had opposite effects on
415 N₂O and CH₄ emissions: It increased N₂O emission likely via physical agitation of the
416 water column, but decreased CH₄ emission likely by suppressing anaerobic
417 methanogenesis and promoting CH₄ oxidation. The combined annual CO₂-equivalent
418 emission from CH₄ and N₂O in non-aerated pond was >1.6 times higher than that in
419 aerated ponds, with CH₄ being the main contributor. These findings suggest that
420 increasing the DO level by artificial aeration is a simple, inexpensive and effective
421 management strategy to mitigate GHG emissions from aquaculture ponds.

422 **Acknowledgements**

423 This research was funded by the National Natural Science Foundation of China
424 (NNSFC) (No. 41801070, 41671088), the National Natural Science Foundation of Fujian
425 Province (NNSFF) (No. 2018J01737, 2020J01136), and the Minjiang Scholar
426 Programme.

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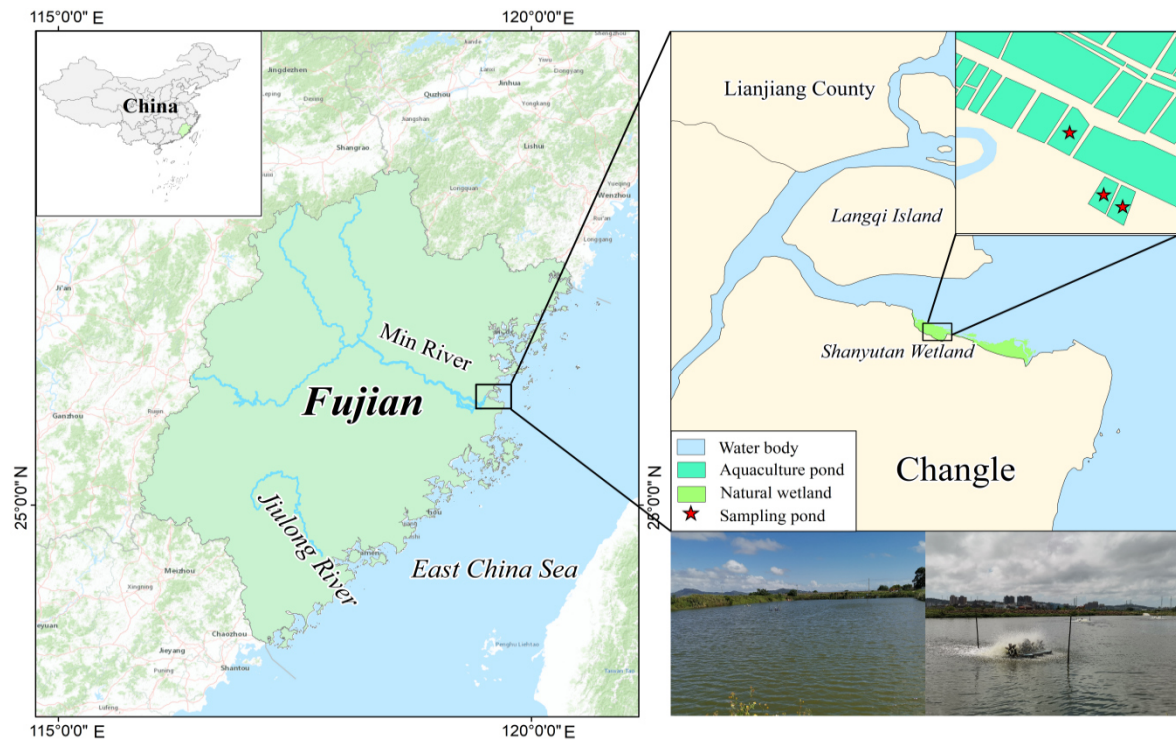
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1 **Table 1** Results of two-way ANOVAs (with sampling date specified as the random term) on the effect of sampling ponds, sampling years and their
2 interactions on the dissolved CH₄ and N₂O concentrations in the aquaculture ponds.

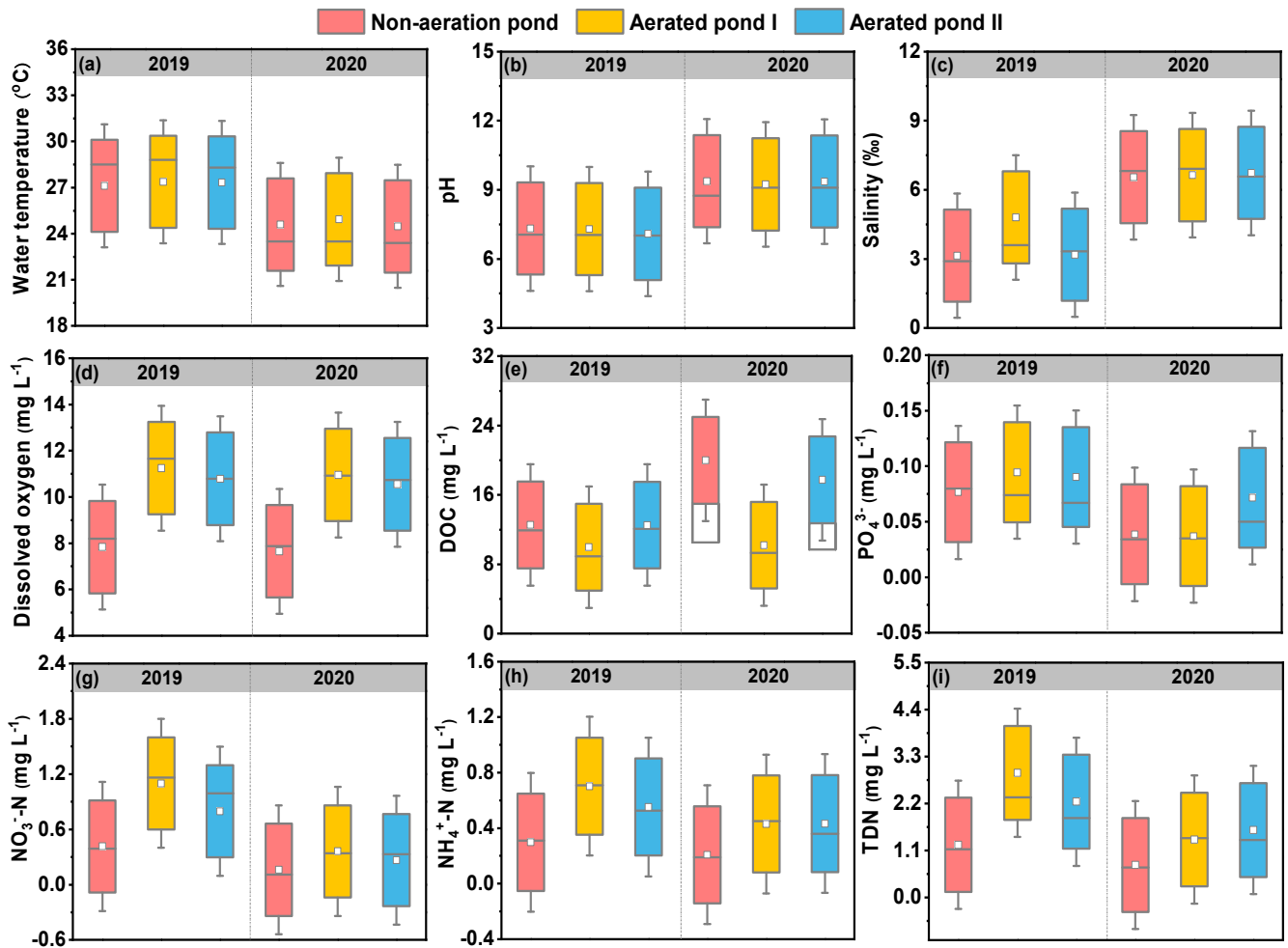
<i>df</i>	Dissolved CH₄ concentration				Dissolved N₂O concentration				
	<i>Sum of squares</i>	<i>Mean square</i>	<i>F values</i>	<i>P values</i>	<i>Sum of squares</i>	<i>Mean square</i>	<i>F values</i>	<i>P values</i>	
Sampling ponds	2	6.367	3.183	21.014	<0.001	728.558	364.279	16.566	<0.001
Sampling years	1	0.005	0.005	0.034	=0.854	552.807	552.807	25.139	<0.001
Sampling ponds × years	2	0.117	0.059	0.387	=0.680	48.392	24.196	1.100	=0.336
Residuals		18.178	0.151			2638.802	21.990		

4 **Table 2** Results of two-way ANOVAs (with sampling date specified as the random term) on the effect of sampling ponds, sampling years and their
5 interactions on CH₄ and N₂O fluxes from the aquaculture ponds.

<i>df</i>	CH₄ fluxes				N₂O fluxes				
	<i>Sum of squares</i>	<i>Mean square</i>	<i>F values</i>	<i>P values</i>	<i>Sum of squares</i>	<i>Mean square</i>	<i>F values</i>	<i>P values</i>	
Sampling ponds	2	261.710	130.855	2.135	=0.040	1694.630	847.315	10.431	<0.001
Sampling years	1	167.716	167.716	2.737	=0.101	2279.309	2279.309	28.059	<0.001
Sampling ponds × years	2	74.263	37.131	0.606	=0.547	311.738	155.869	28.059	=0.151
Residuals		7353.174	61.276			9747.972	81.233		

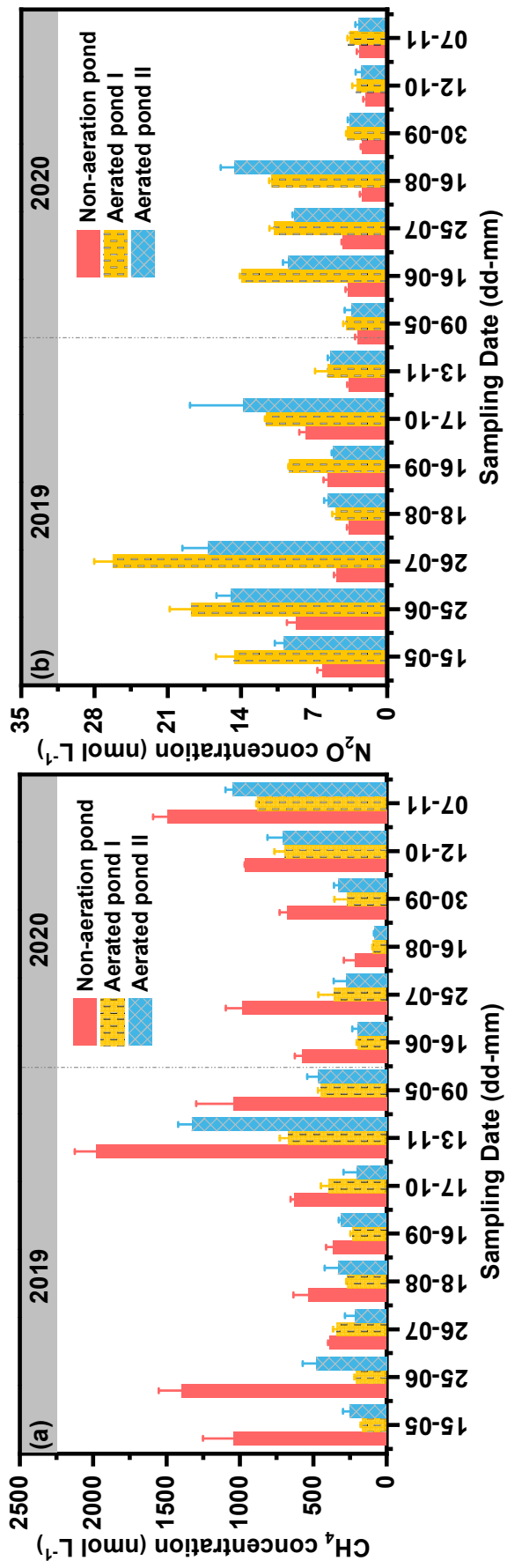


1
 2 **Figure 1.** Location of the study area and aquaculture ponds in the Min River Estuary,
 3 Southeast China.

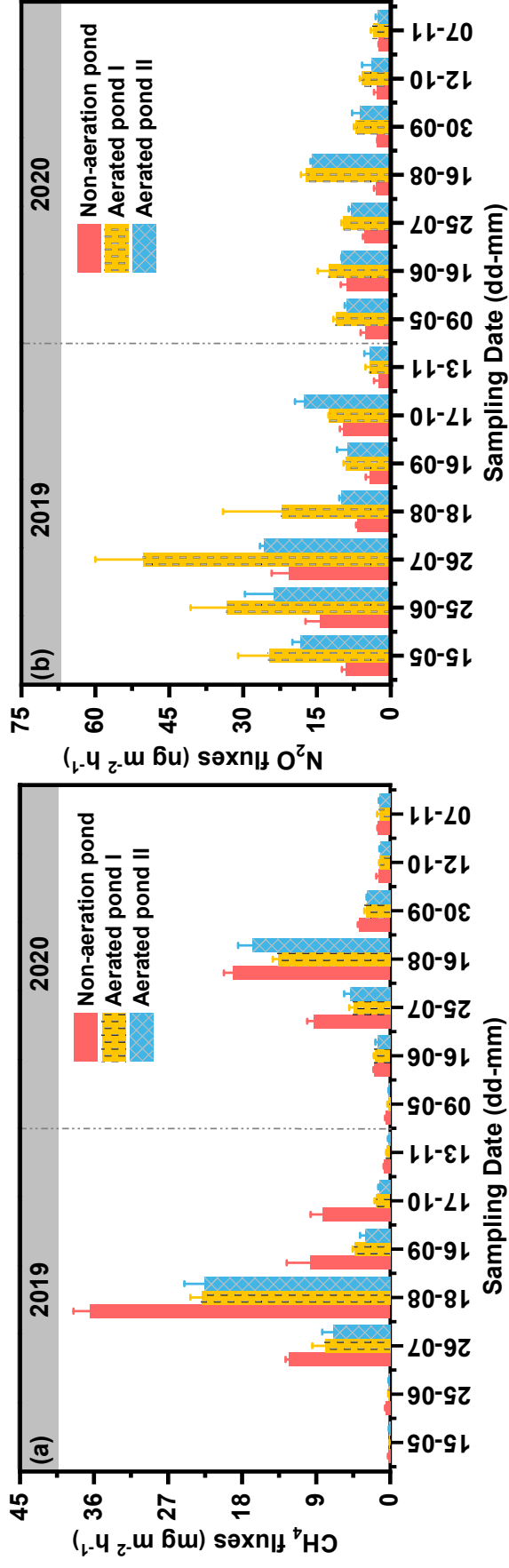


4

5 **Figure 2.** Boxplots of environmental parameters in the aquaculture ponds during the farming period in
 6 2019 and 2020. Each box shows the quartiles and median, while the square and whiskers represent the
 7 mean and values within 1.5 times of the interquartile range, respectively. DOC represents dissolved
 8 organic carbon and TDN represents total dissolved nitrogen.



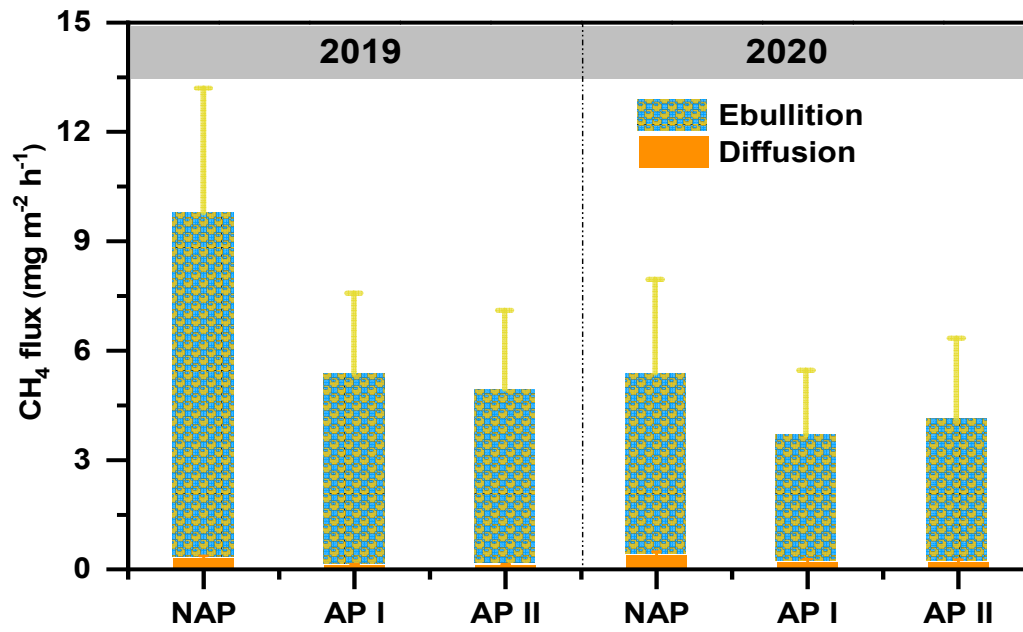
9
 10 **Figure 3.** Dissolved CH₄ (a) and N₂O (b) concentrations in the aquaculture ponds during the farming period in 2019 and 2020. Bars
 11 represent mean \pm 1SE ($n = 3$).



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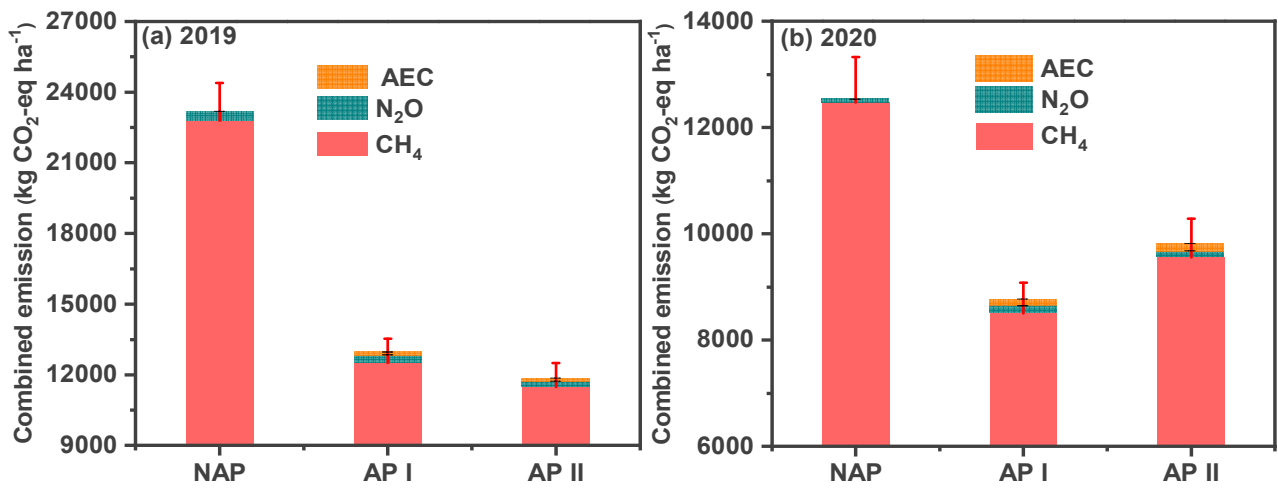
13 **Figure 4.** CH₄ (a) and N₂O (b) emission fluxes from the aquaculture ponds during the farming period in 2019 and 2020. Bars represent

14 mean ± 1SE (*n* = 3).



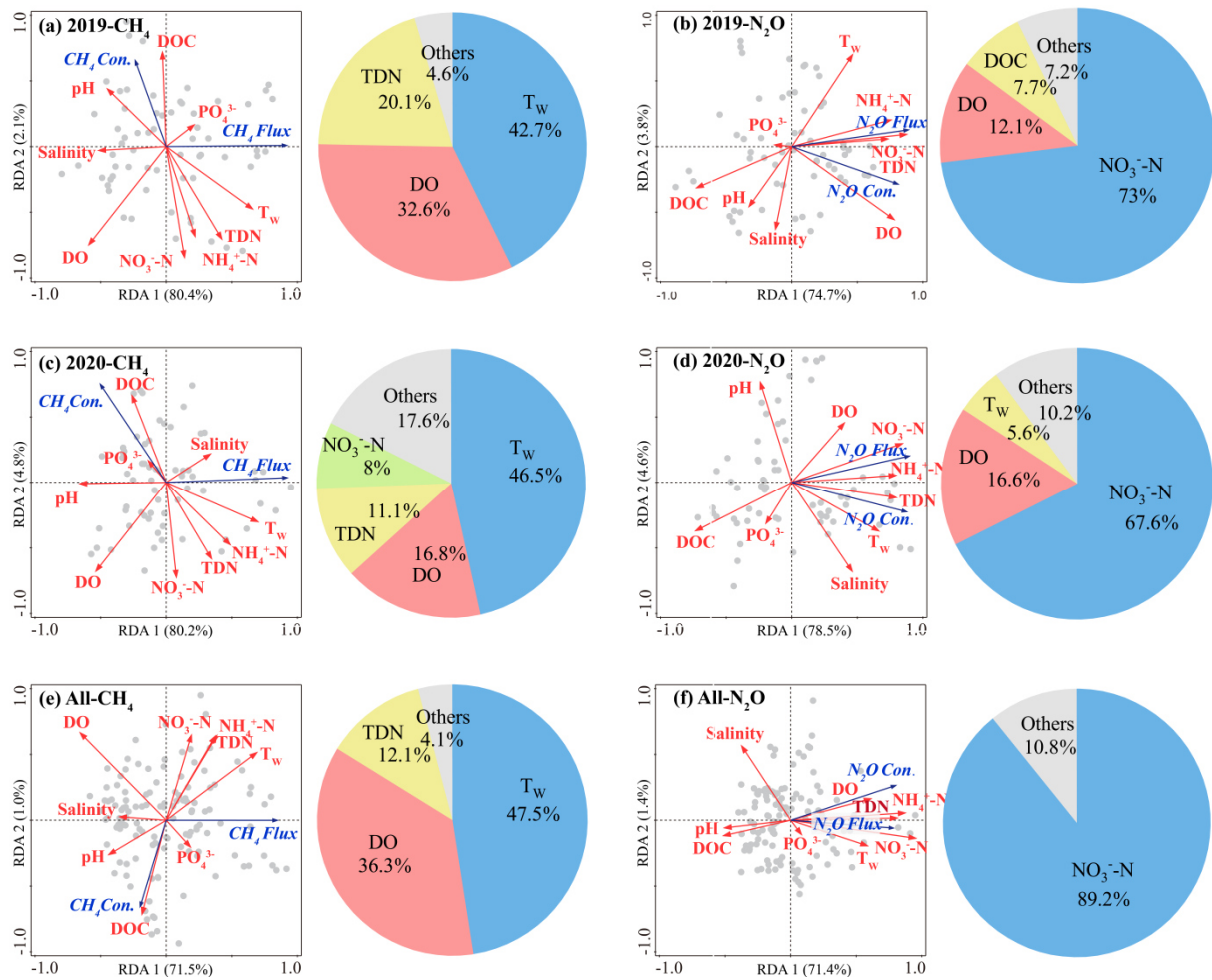
15

16 **Figure 5.** CH₄ ebullitive flux vs diffusive flux in the aquaculture ponds during the
 17 farming period in 2019 and 2020. NAP, AP I, and AP II represent non-aeration
 18 pond, aerated pond I and aerated pond II, respectively.



19

20 **Figure 6.** Combined CO₂-equivalent emissions from the aquaculture ponds during the farming
 21 period in 2019 (a) and 2020 (b). NAP represents non-aeration pond; AP I and AP II represent aerated
 22 pond I and II; AEC represent CO₂ emission from aerator's electricity consumption.



23

24 **Figure 7.** The redundancy analysis (RDA) biplots of the CH₄ (or N₂O) concentration and
 25 emission, and environmental parameters of the aquaculture ponds, showing the loadings of
 26 ancillary environmental parameters (arrows) and the scores of observations in two sampling
 27 years [2019 (a, b) and 2020 (c, d)] and in all sampling campaign all together (e, f). T_w, DO,
 28 DOC and TDN represent water temperature, dissolved oxygen, dissolved organic carbon and
 29 total dissolved organic nitrogen, respectively. The pie charts show the percentages of emission
 30 variance explained by the different parameters.

1 **Supporting Information**

2 **Contrasting effects of aeration on methane (CH₄) and Nitrous oxide**
3 **(N₂O) emissions from subtropical aquaculture ponds and implications**
4 **for global warming mitigation**

5 Ping Yang^{a,b*}, Kam W. Tang^c, Hong Yang^{d,e}, Chuan Tong^{a,b}, Linhai Zhang^{a,b}, Derrick Y. F.
6 Lai^f, Yan Hong^{a,b}, Lishan Tan^f, Wanyi Zhu^{a,b}, Chen Tang^{a,b}

7 ^a*School of Geographical Sciences, Fujian Normal University, Fuzhou 350007, P.R. China*

8 ^b*Key Laboratory of Humid Subtropical Eco-geographical Process of Ministry of Education,*
9 *Fujian Normal University, Fuzhou 350007, P.R. China*

10 ^c*Department of Biosciences, Swansea University, Swansea SA2 8PP, U. K.*

11 ^d*Department of Geography and Environmental Science, University of Reading, Reading, UK*

12 ^e*College of Environmental Science and Engineering, Fujian Normal University, Fuzhou,*
13 *350007, China*

14 ^f*Department of Geography and Resource Management, The Chinese University of Hong Kong,*
15 *Hong Kong, China*

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19 ***Correspondence to:**

20 Ping Yang (yangping528@sina.cn)

21 Telephone: 086-0591-87445659 Fax: 086-0591-83465397

22 **Supporting Information Summary**

23 **No. of pages: 7** **No. of figures: 3** **No. of tables: 2**

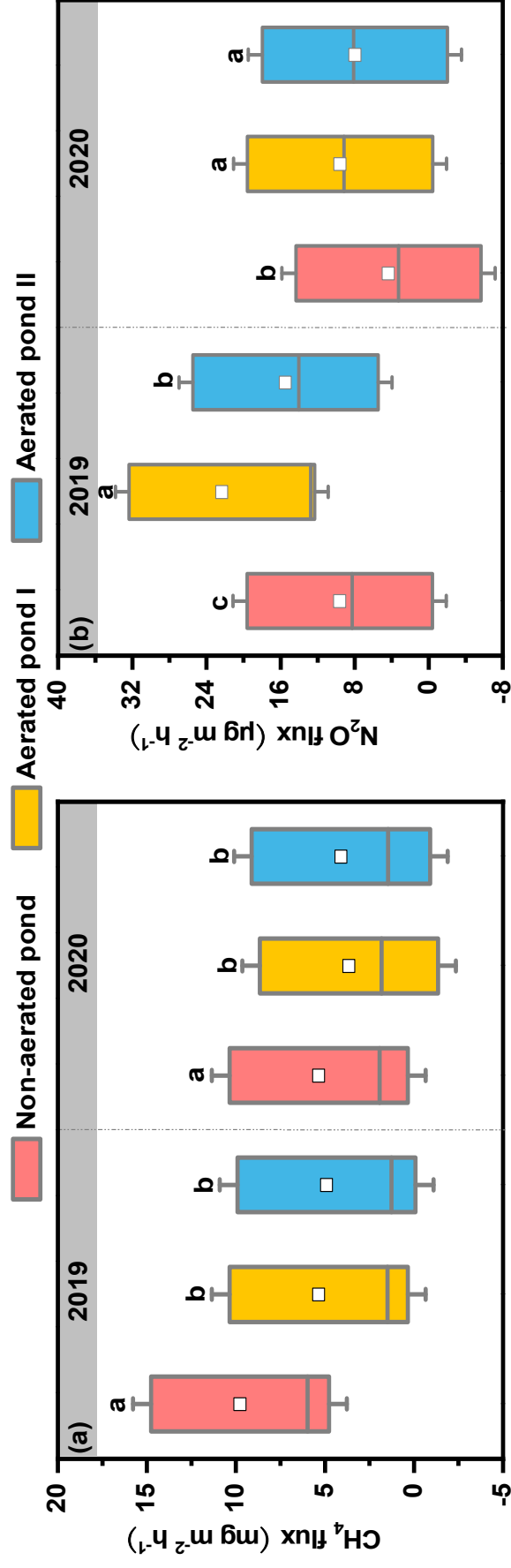
24 **Page S3:** Figure S1 Boxplots of CH₄ (a) and N₂O (b) emission fluxes from non-aerated
25 ponds and aerated ponds during the farming period in 2019 and 2020. Each box shows
26 the quartiles and median, while the square and whiskers represent the mean and values
27 within 1.5 times of the interquartile range, respectively. Different lowercase letters above
28 the bars indicate significant differences ($p < 0.05$) between ponds in each sampling year.

29 **Page S4:** Figure S2 Relationship between CH₄ (N₂O) emission flux and dissolved oxygen
30 concentration (upper 20 cm water depth) in the aquaculture ponds during the farming
31 period in 2019 and 2020, and in combined data.

32 **Page S5:** Figure S3 Relationships between NO₃⁻-N, NH₄⁺-N, TDN concentrations and
33 N₂O flux (upper 20 cm water depth) in the aquaculture ponds during the farming period
34 in 2019 and 2020, and in combined data.

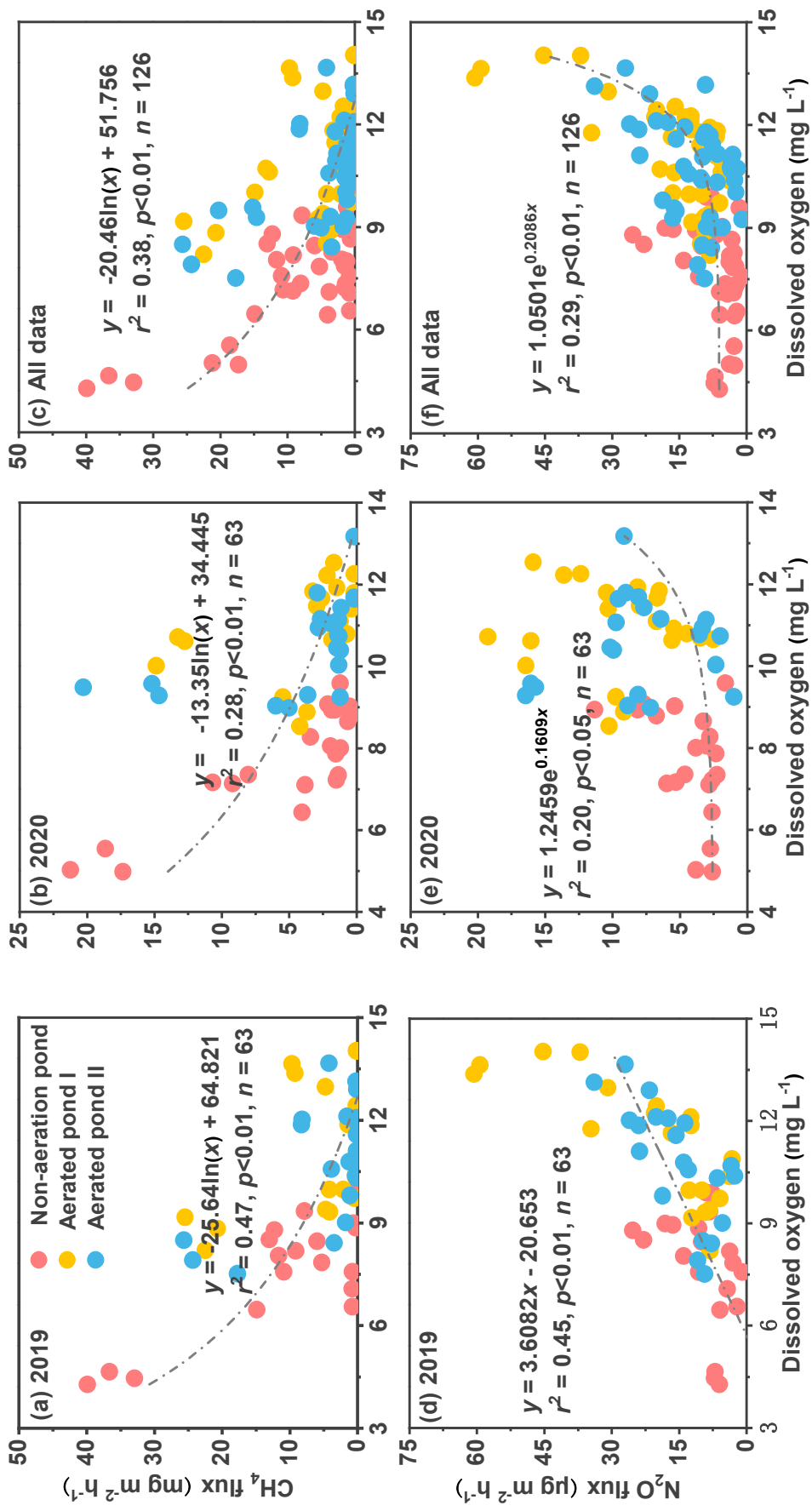
35 **Page S6: Table S1** Summary of two-way ANOVAs (with sampling date specified as the
36 random term) examining the effects of sampling ponds, sampling years and their
37 interactions on the environmental variables in the surface water (upper 20 cm).

38 **Page S7: Table S2** Pearson correlation coefficients between dissolved GHG
39 concentrations, GHG emission fluxes and different environmental variables in the surface
40 water (20-cm depth) in the aquaculture ponds.

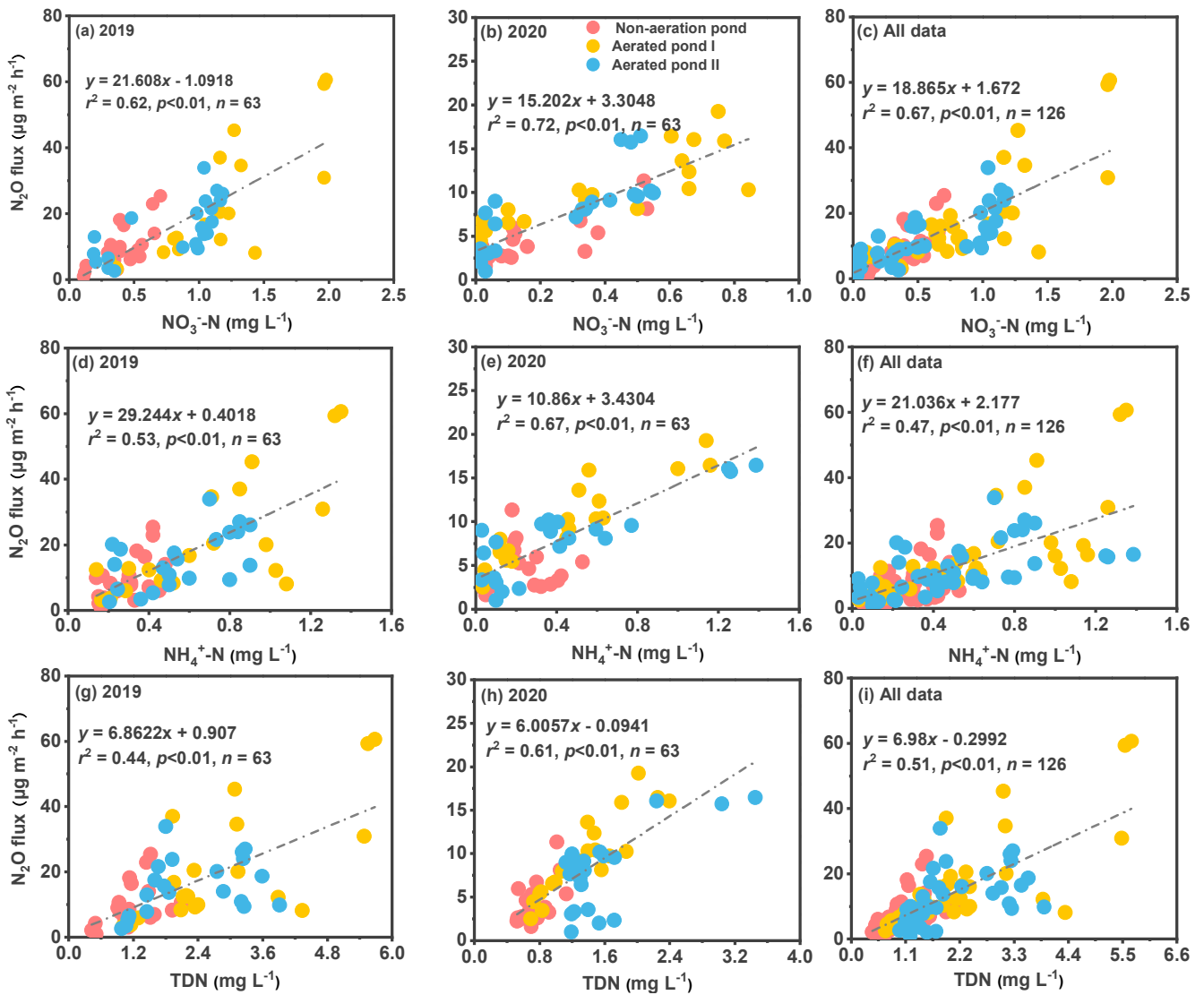


41

42 **Figure S1.** Boxplots of CH₄ (a) and N₂O (b) emission fluxes from non-aerated ponds and aerated ponds during the farming period in 2019
 43 and 2020. Each box shows the quartiles and median, while the square and whiskers represent the mean and values within 1.5 times of the
 44 interquartile range, respectively. Different lowercase letters above the bars indicate significant differences ($p < 0.05$) between ponds in each
 45 sampling year.



46
 47 **Figure S2.** Relationship between CH₄ (N₂O) emission flux and dissolved oxygen concentration (upper 20 cm water depth) in the
 48 aquaculture ponds during the farming period in 2019 and 2020, and in combined data.



49

50 **Figure S3.** Relationships between $\text{NO}_3^- \text{-N}$, $\text{NH}_4^+ \text{-N}$, TDN concentrations and N_2O flux (upper 20 cm
 51 water depth) in the aquaculture ponds during the farming period in 2019 and 2020, and in combined
 52 data.

53 **Table S1**

54 Summary of two-way ANOVAs (with sampling date specified as the random term) examining the effects of sampling ponds, sampling years and their
 55 interactions on the environmental variables in the surface water (upper 20 cm).

	<i>df</i>	<i>T_w</i>		pH		Salinity		DO		DOC		PO ₄ ³⁻		NO ₃ ⁻ -N		NH ₄ ⁺ -N		TDN	
		<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>
Sampling ponds	2	0.896	=0.434	0.620	=0.549	3.592	=0.060	147.11	< 0.001	4.641	=0.05	0.992	=0.395	17.82	< 0.001	10.490	=0.002	10.10	= 0.003
Sampling years	1	5.907	=0.051	13.29	= 0.011	31.02	< 0.001	0.181	=0.686	2.484	=0.140	7.646	= 0.030	28.72	= 0.002	3.294	=0.200	9.105	= 0.023
Sampling ponds × years	2	0.986	=0.401	0.817	=0.465	3.376	=0.069	0.023	=0.978	5.992	= 0.020	0.634	=0.550	8.327	= 0.005	1.482	=0.266	7.151	= 0.009

56 *T_w*, water temperature; DO, dissolved oxygen; DOC, dissolved organic carbon; TDN, total dissolved organic nitrogen.

57 **Table S2**

58 Pearson correlation coefficients between dissolved GHG concentrations, GHG emission fluxes and different environmental variables in the surface
 59 water (20-cm depth) in the aquaculture ponds.

Environmental variables	Dissolved GHG concentration						GHG emission flux					
	CH ₄			N ₂ O			CH ₄			N ₂ O		
	2019	2020	All data	2019	2020	All data	2019	2020	All data	2019	2020	All data
Water temperature (<i>T_w</i>)	-0.480**	-0.556**	-0.474**	NS	0.659**	0.429**	0.562**	0.614**	0.583**	0.422**	0.534**	0.512**
pH	0.381**	0.301*	0.258**	NS	-0.404**	-0.419**	-0.362**	-0.440**	-0.370**	-0.292*	NS	-0.427**
Salinity	NS	NS	NS	NS	0.558**	-0.175*	-0.419**	NS	-0.300**	NS	0.311*	-0.352**
Dissolved oxygen (DO)	-0.332**	-0.264*	-0.305**	0.731**	NS	0.530**	-0.619**	-0.491**	-0.555**	0.618**	0.411**	0.514**
Dissolved organic carbon (DOC)	0.493**	0.638**	0.505**	-0.438**	-0.493**	-0.438**	0.265*	0.554**	0.326**	-0.509**	-0.644**	-0.430**
PO ₄ ³⁻	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
NO ₃ ⁻ -N	-0.478**	-0.557**	-0.498**	0.612**	0.707**	0.659**	NS	-0.273*	NS	0.739**	0.818**	0.693**
NH ₄ ⁺ -N	-0.545**	-0.576**	-0.493**	0.645**	0.749**	0.716**	NS	0.353**	0.315**	0.680**	0.782**	0.743**
Dissolved organic nitrogen (TDN)	-0.563**	-0.560**	-0.462**	0.709**	0.647**	0.739**	NS	NS	NS	0.793**	0.838**	0.824**

60 The symbols * and ** denote significant correlations at $p < 0.05$ and $p < 0.01$, respectively. NS means non-significant relationship.