

# Identification of metallic objects using spectral magnetic polarizability tensor signatures: Object characterisation and invariants

Paul D. Ledger<sup>1</sup> | Ben A. Wilson<sup>2</sup> | Alan A. S. Amad<sup>2</sup> | William R. B. Lionheart<sup>3</sup>

<sup>1</sup>School of Computing & Mathematics, Keele University, Newcastle under Lyme, UK

<sup>2</sup>Zienkiewicz Centre for Computational Engineering, Swansea University, Swansea, UK

<sup>3</sup>Department for Mathematics, The University of Manchester, Manchester, UK

## Correspondence

Paul D. Ledger, School of Computing & Mathematics, Keele University, Newcastle under Lyme, UK.  
Email: p.d.ledger@keele.ac.uk

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## Abstract

The early detection of terrorist threat objects, such as guns and knives, through improved metal detection, has the potential to reduce the number of attacks and improve public safety and security. To achieve this, there is considerable potential to use the fields applied and measured by a metal detector to discriminate between different shapes and different metals since, hidden within the field perturbation, is object characterisation information. The magnetic polarizability tensor (MPT) offers an economical characterisation of metallic objects that can be computed for different threat and non-threat objects and has an established theoretical background, which shows that the induced voltage is a function of the hidden object's MPT coefficients. In this article, we describe the additional characterisation information that measurements of the induced voltage over a range of frequencies offer compared with measurements at a single frequency. We call such object characterisations its MPT spectral signature. Then, we present a series of alternative rotational invariants for the purpose of classifying hidden objects using MPT spectral signatures. Finally, we include examples of computed MPT spectral signature characterisations of realistic threat and non-threat objects that can be used to train machine learning algorithms for classification purposes.

## KEYWORDS

finite element method, machine learning, magnetic polarizability tensor, metal detection, object classification, reduced order model, spectral, validation

## 1 | INTRODUCTION

The purpose of this article is to provide a demonstration of computing object characterisations for training machine learning algorithms, with the end goal of being able to classify objects for metal detection. One key application is the discrimination between threat and non-threat objects. With increased gun and knife crime in many countries (e.g., U.K. knife crime has seen a significant increase in the last 8–9 years<sup>1</sup>), the early detection of such weapons has the potential to reduce the number of related attacks and improve safety and security. The ability to discriminate between metallic

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clutter and threat objects is also important for improving the identification of hidden anti-personnel landmines and unexploded ordnance (UXO) in areas of former conflict in the developing world, offering improvements to the safety and security of local inhabitants and allowing the land to be returned to normal use. As well as safety and security, the ability to detect and discriminate between different hidden metallic objects has important applications in archaeological searches and treasure hunts, non-destructive testing as well as detecting fake coins in vending machines and automatic checkouts.

The magnetic polarizability tensor (MPT) has been shown to offer an economical characterisation of conducting permeable objects and explicit formulas for the computation of its six independent complex coefficients, which are a function of the exciting frequency, the object's size, its shape as well as its conductivity and permeability, have been obtained.<sup>2-5</sup> The behavior of the MPT's coefficients as a function of frequency, known as its spectral signature, has been studied theoretically<sup>5</sup> and an efficient method for the computation of the spectral signature using a reduced order model based on proper orthogonal decomposition (POD) has been proposed.<sup>6</sup> These computations employ the established Open Source finite element (FE) package, `NGSolve`, and the recently derived alternative explicit expressions formulas for the MPT coefficients.<sup>5</sup> The use of `NGSolve`<sup>7,8</sup> ensures that the solutions to the underlying full-order (eddy current type) transmission problems are accurately computed using high-order  $\mathbf{H}(\text{curl})$  conforming (high-order edge element) discretizations (see References 9-11 and references therein) and the POD technique ensures their rapid computation over sweeps of frequency. Included in this approach<sup>6</sup> are a posteriori error bounds that can be computed at runtime with negligible additional cost, which ensure reliability with the respect to the FE solutions and allow the MPT coefficients obtained with POD to be certified. The advantages of using this approach to compute MPT coefficients over using commercial software, in terms of accuracy, computational efficiency and applicability to wide set of applications, has previously been discussed and demonstrated in References 3,4,6,12.

With the goal of identifying hidden metallic targets in mind, the MPT spectral signature has been previously used for simple library classification,<sup>13,14</sup> a  $k$  nearest neighbors (KNN) classification algorithm,<sup>15</sup> and other machine learning approaches.<sup>16</sup> In addition, existing examples of practical MPT classification of objects include in airport security screening,<sup>15,17</sup> waste sorting,<sup>18</sup> and anti-personnel landmine detection.<sup>19</sup> In such situations, induced voltages are measured over a range of frequencies by a metal detector from which the MPT spectral signature of the hidden object is obtained and then a classifier applied.<sup>20-22</sup> With the exception of References 13,14, all previous studies used measured MPT spectral signature information to build the classifier. As pointed out in Reference 6, such libraries of measured MPT coefficients contain unavoidable errors if the object is placed in a non-uniform background field that varies significantly over the object as well as other errors and noise associated with capacitive coupling with other low-conducting objects or soil in the background. There will also be other general noise (e.g., from amplifiers, parasitic voltages and filtering).<sup>23</sup> This means the accuracy of the measured MPT coefficients is about 1% to 5%,<sup>15,23,24</sup> depending on the application. It is costly (time consuming) to produce a large library from measuring coefficients and using such libraries of measured MPT coefficients can limit the performance of machine learning classifiers (if they are used on a metal detector which has greater accuracy than that of MPT coefficients in the library). We will instead use the newly developed POD approach<sup>6</sup> to build a library for object classification. This means that the MPT coefficients are obtained with higher accuracy than can currently be achieved from measurements (noise appropriate to the system can be added to the library during a classifier training if desired), the spectral signature is accurately computed for a large frequency range (up to the limit of the eddy current model) rather than obtained at a small number of discrete frequencies and, through scripting, it allows a much larger library of objects and variations of materials to be considered, which is all highly desirable for achieving greater fidelity and accuracy when training a machine learning classifier.

From previous studies of the simpler Pólya–Szegő tensor characterisation of an object for a fixed conductivity contrast in electrical impedance tomography (EIT), it is known that shape and material contrast information cannot be separated.<sup>25</sup> In Reference 25 it is also shown, for a two-dimensional object, that a unique equivalent ellipse can be constructed that has the same Pólya–Szegő tensor if the object's conductivity contrast is known. For a three-dimensional object, a numerical procedure has been proposed for computing an equivalent ellipsoid that has the same Pólya–Szegő tensor, although it is not clear if the resulting ellipsoid is unique.<sup>26</sup> Given this, it is generally accepted that using a MPT characterisation at a single frequency also only provides limited information. By studying nanoparticles and their shape reconstruction from plasmonic resonances, Ammari et al.<sup>27</sup> show that such resonances are related to the Neumann–Poincaré operator, used in the computation of the Pólya–Szegő tensor and generalized polarization (polarizability) tensors with contrasts  $k(\omega)$  being a function of frequency  $\omega$ . In addition, for electrosensing, where it has been postulated that electric fish characterise objects by Pólya–Szegő tensors,<sup>28,29</sup> spectral information, with frequency-dependent contrasts  $k(\omega)$ , have been used for the successful classifications of objects.<sup>30</sup> Although there has been success in using the MPT spectral signature for object classification<sup>13-15,17-19</sup> and a theoretical study of the MPT spectral signature<sup>5</sup> has been made, the additional information the

spectral signature provides about the object's shape and its materials remains open. Furthermore, in such classifications, an MPT's eigenvalues are commonly used as the object's features, as they are invariant under object rotation, although there are other possibilities and the benefits of these will be explored in this work.

The main novelties of this work are, firstly, to establish that an MPT characterisation at a fixed (limiting) frequency only characterises an object up to an equivalent ellipsoid. We show that this equivalent ellipsoid is unique and that it can be found from eigenvalues of the MPT and object contrast information. Our result also shows that, for a three-dimensional object, a unique equivalent ellipsoid can be found that has the same Pólya–Szegő tensor as the object, thereby also improving the known results for EIT. Secondly, that an MPT's spectral signature, provides a sequence of different equivalent ellipsoids at each frequency of excitation where, in general, using any single equivalent ellipsoid provides a different spectral signature to that of the MPT spectral signature of the original object. Thirdly, to list, and demonstrate, appropriate sets of tensor invariants, obtained from MPT coefficients, that are useful as features in object classification as they allow objects to be discriminated. Fourth, and finally, to provide a series of practically motivated MPT spectral signature characterisations computed for realistic threat and non-threat objects.

The article is organized as follows: In Section 2, the MPT characterisation of a conducting permeable object is briefly reviewed. This section also briefly reviews approaches for the efficient computation of their spectral signature and measurement of the tensor coefficients in metal detection. Then, in Section 3, the relationship between an MPT characterisation of an object and an equivalent ellipsoid at a fixed frequency is discussed. This is followed, in Section 4, by a justification of the benefits of using an MPT spectral signature for object characterisation. Section 5 considers alternative MPT spectral signature invariants that are invariant under rotation of the object for the purpose of training a machine learning algorithm for object classification. In Section 6, we present computational examples of MPT spectral signature characterisations of realistic exemplar threat and non-threat objects with the purpose being to build a training data set for object classification. The article closes with some concluding remarks.

## 2 | REVIEW OF THE MPT FOR OBJECT CHARACTERISATION

In this section we briefly recall the economical characterisation of a small conducting permeable isolated object  $B_\alpha$  with conductivity  $\sigma_*$  and permeability  $\mu_*$  by an MPT if the background medium is non-conducting ( $\sigma = 0$  S/m) and has permeability of free space  $\mu_0 = 4\pi \times 10^{-7}$  H/m. As mentioned above, this describes the situation of metal detection for applications including security screening, for example, References 15,17, waste sorting, for example, Reference 18, and buried anti-personnel landmines and UXOs, for example, Reference 19 (where the soil's conductivity is much lower than that of object so that it can be neglected). We use the description  $B_\alpha = \alpha B + \mathbf{z}$ , which means that the object is described by a size parameter  $\alpha \ll 1$ , a unit sized object  $B$  containing the origin and a translation vector  $\mathbf{z}$ . Then, using the asymptotic formula obtained by Ammari, Chen, Chen, Garnier, and Volkov,<sup>2</sup> Ledger and Lionheart<sup>3</sup> have derived the simplified form

$$(\mathbf{H}_\alpha - \mathbf{H}_0)(\mathbf{x})_i = (\mathbf{D}_x^2 G(\mathbf{x}, \mathbf{z}))_{ij} (\mathcal{M})_{jk} (\mathbf{H}_0(\mathbf{z}))_k + O(\alpha^4), \quad (1)$$

for the magnetic field perturbation caused by the presence of the object, which holds as  $\alpha \rightarrow 0$  and makes the MPT explicit. In the above,  $\mathbf{H}_\alpha$  is the magnetic interaction field,  $\mathbf{H}_0$  is the background magnetic field (in absence of the object),  $G(\mathbf{x}, \mathbf{z}) := 1/(4\pi|\mathbf{x} - \mathbf{z}|)$  is the free space Laplace Green's function,  $(\mathbf{D}_x^2 G)_{ij} = \partial_{x_j} \partial_{x_i} G$  denote the elements of the Hessian of  $G$  and Einstein summation convention of the indices is implied in (1). In addition,  $\mathcal{M} = (\mathcal{M})_{jk} \mathbf{e}_j \otimes \mathbf{e}_k$ , where  $\mathbf{e}_j$  denotes the  $j$ th orthonormal unit vector, is the complex symmetric rank 2 MPT, which describes the shape and material properties of the object  $B_\alpha$  and is frequency dependent, but is independent of the object's position  $\mathbf{z}$ .

We state below the explicit formulas for the computation of the coefficients  $(\mathcal{M})_{ij}$  of  $\mathcal{M}$  using the splitting,  $(\mathcal{M})_{ij} := (\mathcal{N}^0)_{ij} + (\mathcal{R})_{ij} + \mathbf{i}(\mathcal{I})_{ij}$ <sup>5</sup>

$$(\mathcal{N}^0[\alpha B, \mu_r])_{ij} := \alpha^3 \delta_{ij} \int_B (1 - \mu_r^{-1}) d\xi + \frac{\alpha^3}{4} \int_{B \cup B^c} \tilde{\mu}_r^{-1} \nabla \times \tilde{\theta}_i^{(0)} \cdot \nabla \times \tilde{\theta}_j^{(0)} d\xi, \quad (2a)$$

$$(\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} := -\frac{\alpha^3}{4} \int_{B \cup B^c} \tilde{\mu}_r^{-1} \nabla \times \theta_j^{(1)} \cdot \nabla \times \overline{\theta_i^{(1)}} d\xi, \quad (2b)$$

$$(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} := \frac{\alpha^3}{4} \int_B \nu \left( \theta_j^{(1)} + (\tilde{\theta}_j^{(0)} + \mathbf{e}_j \times \xi) \right) \cdot \left( \overline{\theta_i^{(1)} + (\tilde{\theta}_i^{(0)} + \mathbf{e}_i \times \xi)} \right) d\xi, \quad (2c)$$

where  $i := \sqrt{-1}$ ,  $\omega$  is the angular frequency,  $\nu := \alpha^2 \omega \mu_0 \sigma_*$ ,  $\delta_{ij}$  is the Kronecker delta,  $\xi := (\xi_1, \xi_2, \xi_3)$  is measured from an origin in  $B$ , and, with the exception of the expression below (where it indicates the closure), the overbar denotes the complex conjugate throughout this work. Furthermore, the square brackets denote the tensors' dependence on size, geometry, materials, and exciting frequency. In addition, note that

$$\tilde{\mu}_r(\xi) := \begin{cases} \mu_r := \mu_*/\mu_0 & \xi \in B \\ 1 & \xi \in B^c := \mathbb{R}^3 \setminus \bar{B} \end{cases}.$$

The computation of the tensor coefficients in (2) rely on the real vector field solution  $\theta_i^{(0)}$ ,  $i = 1, 2, 3$ , of the transmission problem<sup>5</sup>

$$\nabla \times \tilde{\mu}_r^{-1} \nabla \times \theta_i^{(0)} = \mathbf{0} \quad \text{in } B \cup B^c, \quad (3a)$$

$$\nabla \cdot \theta_i^{(0)} = 0 \quad \text{in } B \cup B^c, \quad (3b)$$

$$[\mathbf{n} \times \theta_i^{(0)}]_\Gamma = \mathbf{0} \quad \text{on } \Gamma, \quad (3c)$$

$$[\mathbf{n} \times \tilde{\mu}_r^{-1} \nabla \times \theta_i^{(0)}]_\Gamma = \mathbf{0} \quad \text{on } \Gamma, \quad (3d)$$

$$\theta_i^{(0)} - \mathbf{e}_i \times \xi = \mathcal{O}(|\xi|^{-1}) \quad \text{as } |\xi| \rightarrow \infty, \quad (3e)$$

where  $\Gamma := \partial B$  and the complex vector field solution  $\theta_i^{(1)}$ ,  $i = 1, 2, 3$ , of the transmission problem

$$\nabla \times \mu_r^{-1} \nabla \times \theta_i^{(1)} - i\nu(\theta_i^{(0)} + \theta_i^{(1)}) = \mathbf{0} \quad \text{in } B, \quad (4a)$$

$$\nabla \times \nabla \times \theta_i^{(1)} = \mathbf{0} \quad \text{in } B^c, \quad (4b)$$

$$\nabla \cdot \theta_i^{(1)} = 0 \quad \text{in } B^c, \quad (4c)$$

$$[\mathbf{n} \times \theta_i^{(1)}]_\Gamma = \mathbf{0} \quad \text{on } \Gamma, \quad (4d)$$

$$[\mathbf{n} \times \tilde{\mu}_r^{-1} \nabla \times \theta_i^{(1)}]_\Gamma = \mathbf{0} \quad \text{on } \Gamma, \quad (4e)$$

$$\theta_i^{(1)} = \mathcal{O}(|\xi|^{-1}) \quad \text{as } |\xi| \rightarrow \infty. \quad (4f)$$

Note also that we have chosen to introduce  $\tilde{\theta}_i^{(0)} := \theta_i^{(0)} - \mathbf{e}_i \times \xi$ , which can be shown to satisfy the same transmission problem as (3), except with a nonzero jump condition for  $[\mathbf{n} \times \tilde{\mu}_r^{-1} \nabla \times \tilde{\theta}_i^{(0)}]_\Gamma$  and the decay condition  $\tilde{\theta}_i^{(0)}(\xi) = \mathcal{O}(|\xi|^{-1})$  as  $|\xi| \rightarrow \infty$ .

Furthermore, the rank 2 tensors  $\mathcal{N}^0[\alpha B, \mu_r]$ ,  $\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$ , which make up the splitting  $\mathcal{M} = \mathcal{N}^0 + \mathcal{R} + i\mathcal{I}$ , are real, symmetric and each have real eigenvalues.<sup>5</sup> They are related to the MPT by

$$\begin{aligned} \operatorname{Re}(\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]) &= \tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r] = \mathcal{N}^0[\alpha B, \mu_r] + \mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r], \\ \operatorname{Im}(\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]) &= \mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]. \end{aligned}$$

We call the MPT's coefficients as a function of  $\omega$  its spectral signature. Note that the above formulation for the MPT and associated transmission problems is for the case of a single homogenous object  $B$ , the extension to multiple inhomogeneous objects can be found in References 5,14.

## 2.1 | Efficient computation of the MPT spectral signature

An efficient procedure for computing the MPT spectral signature of a conducting permeable object has been proposed in Reference 6, is implemented in the MPT-Calculator software and will be used throughout the work to generate the numerical results. In this approach, discrete approximations to  $\theta_i^{(1)}(\omega_n)$ ,  $i = 1, 2, 3$  are computed accurately at a small number of logarithmically spaced frequencies  $\omega_n$ ,  $n = 1, \dots, N$  using the high-order finite element solver NGSolve and a  $\mathbf{H}(\operatorname{curl})$  conforming discretization<sup>7,8,10,11</sup> on unstructured tetrahedral grids. These solutions are called the representative

full-order model solution snapshots. A proper orthogonal decomposition approach using projection (PODP)<sup>31</sup> is then applied to predict the discrete approximations to  $\theta_i^{(1)}(\omega)$  at other frequencies  $\omega = \omega_m$ ,  $m = 1, \dots, M$  with  $M \gg N$ , and then resulting MPT coefficients  $(\mathcal{M}^{PODP}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$ , which make up the MPT's spectral signature, follow by simple post-processing. The a posteriori error estimates

$$|(\mathcal{R}^{PODP}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} - (\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}| \leq (\Delta[\omega])_{ij}, \quad (5a)$$

$$|(\mathcal{I}^{PODP}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} - (\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}| \leq (\Delta[\omega])_{ij}, \quad (5b)$$

which bound the accuracy of the real and imaginary parts of the PODP MPT prediction with respect to the full-order solution, have been derived<sup>6</sup> and can be computed at low-computational cost during the online stage of the reduced order model for each  $\omega = \omega_m$ ,  $m = 1, \dots, M$ . They allow the reduced order predictions to be certified without having compute additional full-order model solutions and, if desired, can be used to adaptively choose additional frequencies for the representative full-order model solution snapshots so as to improve the accuracy of the PODP prediction of the MPT. Note that in subsequent results we focus on PODP solutions and drop the PODP superscript unless any confusion may arise. We refer to Reference 6 for details of the approach.

### 2.1.1 | Limiting frequency of the MPT spectral signature

The eddy current model, on which the MPT description is based, is a low frequency approximation to the full Maxwell system and is commonly accepted to be valid if the quasi-static assumption applies (dimension  $D \approx \alpha$  of the object  $B_\alpha$  is small compared with the wavelength) and the conductivities are high ( $\sigma_{max} \gg \omega \epsilon_{max}$ , where  $\epsilon_{max}$  denotes the object's maximum permittivity, here assumed to be  $\epsilon_{max} = \epsilon_0 \approx 8.854 \times 10^{-12}$  F/m). However, the topology of the object has important role to play in determining the limiting frequency at which the approximation remains valid. Schmidt, Sterz, and Hiptmair<sup>32</sup> have obtained the following estimates

$$C_1 \epsilon_{max} \mu_{max} \omega^2 D^2 \ll 1, \quad (6a)$$

$$C_2 \epsilon_{max} \omega \sigma_{min}^{-1} \ll 1, \quad (6b)$$

that are required to hold to ensure the validity of the eddy current model. In the above,  $C_1 = C_1(B)$  and  $C_2 = C_2(B)$  are constants that depend on the object's topology. In particular, an object with a long thin extension or with a small gap (e.g., hoarse-shoe shaped conductor) lead to capacitive coupling and have large  $C_1(B)$  and  $C_2(B)$  limiting the frequency  $\omega_{limit}$  at which the MPT spectral signature remains valid compared with using the quasi-static and high conductivity conditions alone. Schmidt et al. describe a numerical procedure that allows the constants to be estimated numerically for different objects by solving a low-dimensional eigenvalue problem. Once the constants have been found, the limiting the frequency  $\omega_{limit}$  can be estimated from (6). We apply this procedure to the numerical examples in this work.

### 2.1.2 | Illustrative example of the MPT spectral signature for an irregular tetrahedron

To illustrate the method proposed in Reference 6 for computing the MPT spectral signature, as well the procedure for obtaining  $\omega_{limit}$  described in Section 2.1.1, we consider the case where  $B$  is the irregular tetrahedron with vertices

$$\mathbf{v}_1 = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}, \quad \mathbf{v}_2 = \begin{pmatrix} 7 \\ 0 \\ 0 \end{pmatrix}, \quad \mathbf{v}_3 = \begin{pmatrix} 5.5 \\ 4.6 \\ 0.0 \end{pmatrix}, \quad \mathbf{v}_4 = \begin{pmatrix} 3.3 \\ 2.0 \\ 5.0 \end{pmatrix}, \quad (7)$$

size  $\alpha = 0.01$  m and material properties  $\mu_r = 2$  and  $\sigma_* = 5.96 \times 10^6$  S/m. This object has been chosen as it does not have rotational or reflectional symmetries and so the associated MPT has six independent complex coefficients at each frequency.



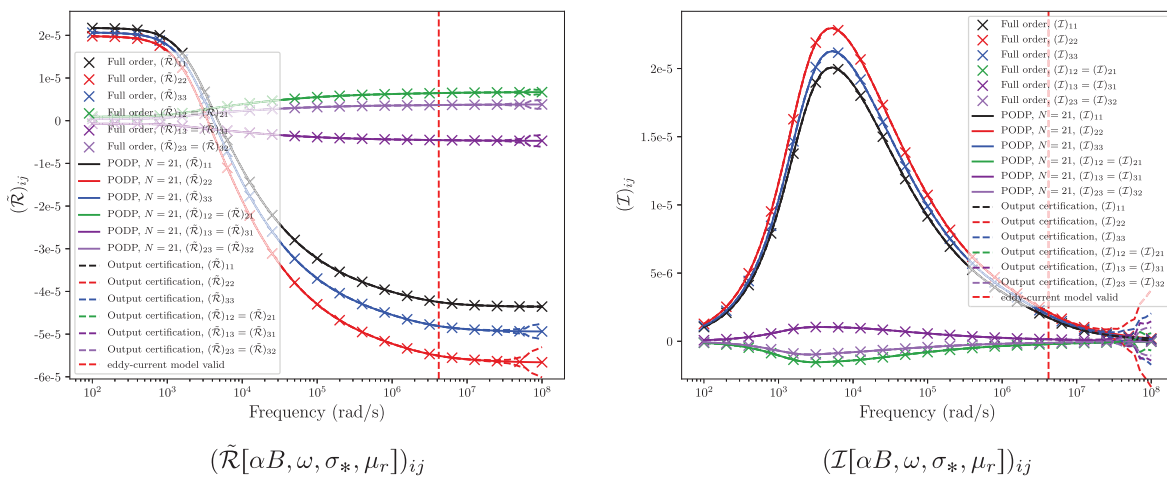
To describe the object and surrounding truncated unbounded region (set as the  $[-100, 100]^3$  box), a mesh of 21,427 unstructured tetrahedra was generated and elements of order  $p = 3$  were used so that the representative full-order model solution snapshots (corresponding to discrete approximations of  $\theta_i^{(1)}(\omega_n)$ ,  $i = 1, 2, 3$ ), obtained at  $N = 21$  logarithmically spaced frequencies,  $\omega_n$ , were found to be converged. The PODP approach was then applied with a tolerance of  $TOL = 10^{-4}$  to obtain the approximations to the solutions  $\theta_i^{(1)}(\omega)$ ,  $i = 1, 2, 3$ , at other frequencies and, hence, obtain the spectral signature shown in Figure 1. In this plot, the crosses are used to indicate the frequencies  $\omega_n$  used to compute the representative full-order model solution snapshots, the dashed lines show the certification of the output  $(\cdot) \pm (\Delta[\omega])_{ij}$ , which vanishes to the predicted MPT coefficients, at all but the highest frequencies, indicating the high accuracy of the PODP solution with respect to the full-order solution. Note that acceptable PODP solutions can also be obtained with  $N = 13$  representative full-order model solutions, but  $N = 21$  was chosen to ensure  $(\Delta[\omega])_{ij}$  is small. The plot also includes a vertical dashed line, which indicates the limiting frequency  $\omega_{\text{limit}}$ . For  $\omega > \omega_{\text{limit}}$  we expect the MPT to no longer provide a valid characterisation of the object due to the eddy current model being invalid. This figure illustrates the typical characteristic behavior of the MPT spectral signature of an object with homogeneous material parameters in which the diagonal coefficients of  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  dominate over their off-diagonal coefficients. The coefficients of  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  illustrate the typical sigmoid behavior with  $\log \omega$  and the coefficients of  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  have a single local maximum with  $\log \omega$ , which is also well understood theoretically<sup>5</sup> (see also Section 4.2). Note that behavior of these coefficients is different for objects with inhomogeneous materials.<sup>14</sup>

## 2.2 | Measurement of MPT coefficients

In the electrical engineering community, the measurement of MPT coefficients as a function of frequency is sometimes called MPT spectroscopy and procedures have been developed for anti-personnel landmine detection<sup>33-36</sup> as well as for MPT measurement and real time classification for security screening<sup>15,17,20,37,38</sup> and other applications. The basic idea is that background fields  $\mathbf{H}_0^{(m)}$ ,  $m = 1, \dots, M_e$ , from  $M_e$  different exciters are generated, in turn, and measurements of the resulting magnetic field perturbation  $(\mathbf{H}_\alpha - \mathbf{H}_0^{(m)})(\mathbf{x})$  caused by the presence of a hidden conducting permeable object are made at sufficiently many positions  $\mathbf{x}$  around the object for a range of exciting frequency. Considering a single frequency excitation, the perturbed magnetic field is usually measured as an induced voltage in the form

$$\mathbf{V}_{nm}^{\text{ind}} = \int_{C^{(n)}} \mathbf{n} \cdot (\mathbf{H}_\alpha - \mathbf{H}_0^{(m)})(\mathbf{x}) d\mathbf{x}, \quad (8)$$

where  $n = 1, \dots, M_r$  correspond to the different receiver coils and  $C^{(n)}$  is an appropriate surface related to coil  $n$ .<sup>4</sup> In light of (1), and the MPT object characterisation, we see that the leading order term gives an approximation to  $\mathbf{V}_{nm}^{\text{ind}}$  and the



**FIGURE 1** Irregular tetrahedron  $B$  with vertices as in (7),  $\alpha = 0.01$  m,  $\mu_r = 2$ , and  $\sigma_* = 5.96 \times 10^6$  S/m: PODP prediction of the spectral signature for  $(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$  and  $(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$  including the certification of the output  $(\cdot) \pm (\Delta[\omega])_{ij}$  and the limiting frequency  $\omega_{\text{limit}}$

accuracy of the approximation will depend on the uniformity of  $\mathbf{H}_0(\mathbf{z})$  as well as the object size. As explained in Section 1, the measurements  $V_{nm}^{\text{ind,measured}}$  will also have unavoidable errors and noise from a range of sources. Accepting these errors and noise, the task of determining the MPT of a hidden object separates in to first determining the position of the object  $\mathbf{z}$ , which can be done for example using a MUSIC approach<sup>2,13</sup> or some other localisation based approach, and, secondly, determining the MPT's coefficients from an over determined linear system of the form

$$\mathbf{A}\mathbf{u} = \mathbf{b}, \quad (9)$$

using least squares where Roman fonts are used for matrices and vectors in linear systems. In the above,  $\mathbf{u}$ ,  $\mathbf{A}$ , and  $\mathbf{b}$  have the entries

$$\begin{aligned} (\mathbf{u})_j &= u_j = (\mathcal{M})_{qr}, \\ (\mathbf{A})_{ij} &= A_{ij} = (\mathbf{H}_0^{(m)}(\mathbf{z}))_r \int_{C^{(n)}} (\mathbf{D}_x^2 G(\mathbf{x}, \mathbf{z}) \mathbf{n})_q dx, \\ (\mathbf{b})_i &= b_i = \int_{C^{(n)}} \mathbf{n} \cdot (\mathbf{H}_\alpha - \mathbf{H}_0^{(m)})(\mathbf{x}) dx \approx V_{nm}^{\text{ind,measured}}, \end{aligned}$$

where  $i = (n-1)M_e + m$ ,  $m = 1, \dots, M_e$ ,  $n = 1, \dots, M_r$ ,  $j = 3(q-1) + r$ ,  $q, r = 1, 2, 3$ . In addition, the known symmetry of  $\mathcal{M}$  can be used to reduce the number of complex unknowns in (9) from 9 to 6. Clearly, we need the product  $M_e M_r > 6$  in order for the system to be over determined, however, it is not only important that we have sufficiently many measurements, but also that the location of emitting and receiving coils are correctly chosen so that all of the coefficients of  $\mathcal{M}$  can be determined. For further details, and an algorithm of how this can be automated, see Reference 4. The discrete, measured spectral signature of  $\mathcal{M}$  follows by repeating the above process using  $V_{mn}^{\text{ind,measured}}$  at different excitation frequencies  $\omega_m$ ,  $m = 1, \dots, M$  and, depending on the system and application, errors of around 1%–5% can be expected with current systems.<sup>15,23,24</sup> Note that using a higher order expansion of (1), derived in Reference 39, will lead to an improved approximation of  $V_{nm}^{\text{ind}}$  in (8), particularly if  $\mathbf{H}_0(\mathbf{z})$  is non-uniform, and allow the coefficients of generalized MPTs to be found.

### 3 | MPT OBJECT CHARACTERISATION AT A FIXED FREQUENCY

In this section, we examine the extent to which a MPT at a fixed frequency uniquely characterises an object. First, we consider eigenvalue decompositions of the real and imaginary parts of the MPT for a fixed frequency and, secondly, we prove how equivalent ellipsoids can be constructed at limiting frequencies.

#### 3.1 | Eigenvalue decomposition at fixed frequency

Consider the characterisation of an object  $B_\alpha = \alpha B$  by an MPT (recall the characterisation is independent of  $\mathbf{z}$ ), which can be expressed by the splitting

$$\begin{aligned} \mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r] &= \mathcal{N}^0[\alpha B, \mu_r] + \mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r] + i\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r], \\ &= \tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r] + i\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r], \end{aligned} \quad (10)$$

where our interest in this section is for a fixed frequency  $0 \leq \omega = \Omega < \infty$ . For simplicity in this section, we assume that the parameter-dependent coefficients of the rank 2 tensors are arranged as  $3 \times 3$  matrices and use the same notation for both. Thus, the associated matrices  $\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \Omega, \sigma_*, \mu_r]$  are symmetric,  $\mathcal{N}^0[\alpha B, \mu_r]$  is positive definite if  $\mu_r > 1$ ,  $\mathcal{R}[\alpha B, \Omega, \sigma_*, \mu_r]$  is negative definite and  $\mathcal{I}[\alpha B, \Omega, \sigma_*, \mu_r]$  is positive definite and they can be diagonalised as follows

$$\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r] = \mathbf{Q}^{\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]} \mathbf{\Lambda}^{\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]} (\mathbf{Q}^{\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]})^T, \quad (11a)$$

$$\mathcal{I}[\alpha B, \Omega, \sigma_*, \mu_r] = \mathbf{Q}^{\mathcal{I}[\alpha B, \Omega, \sigma_*, \mu_r]} \mathbf{\Lambda}^{\mathcal{I}[\alpha B, \Omega, \sigma_*, \mu_r]} (\mathbf{Q}^{\mathcal{I}[\alpha B, \Omega, \sigma_*, \mu_r]})^T, \quad (11b)$$

where  $\mathbf{Q}^{\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]}$  is an orthogonal matrix whose columns are the eigenvectors of  $\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]$  and  $\mathbf{\Lambda}^{\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]}$  is a diagonal matrix whose diagonal entries are the eigenvalues of  $\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]$  and  $T$  denotes the transpose. The matrices  $\mathbf{Q}^{I[\alpha B, \Omega, \sigma_*, \mu_r]}$  and  $\mathbf{\Lambda}^{I[\alpha B, \Omega, \sigma_*, \mu_r]}$  contain the eigenvectors and eigenvalues of  $I[\alpha B, \Omega, \sigma_*, \mu_r]$ , respectively. Furthermore, if the object has reflectional or rotational symmetries, the number of independent coefficients in  $\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]$  and  $I[\alpha B, \Omega, \sigma_*, \mu_r]$  are reduced. In the case that  $\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]$  and  $I[\alpha B, \Omega, \sigma_*, \mu_r]$  have at most three independent coefficients, this reduction means that  $\mathbf{Q}^{\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]} = \mathbf{Q}^{I[\alpha B, \Omega, \sigma_*, \mu_r]} = \mathbf{Q}(B)$  where we emphasize that  $\mathbf{Q}$  only depends on  $B$ . Moreover, when  $\tilde{\mathcal{R}}[\alpha B, \Omega, \sigma_*, \mu_r]$  and  $I[\alpha B, \Omega, \sigma_*, \mu_r]$  are diagonal, due to canonical choice of  $B$  and the object's reflectional and rotational symmetries,<sup>3</sup> then  $\mathbf{Q} = \mathbb{I}$  is the identity matrix.

### 3.2 | Equivalent ellipsoids

For an ellipsoidal object  $E_\alpha = \alpha E$  of size  $\alpha$  with material parameters  $\mu_r, \sigma_*$  and aligned with coordinate axes such that  $E$  is defined by

$$\left(\frac{\xi_1}{a}\right)^2 + \left(\frac{\xi_2}{b}\right)^2 + \left(\frac{\xi_3}{c}\right)^2 = 1, \quad (12)$$

with  $a \geq b \geq c$  then, for a fixed frequency  $\omega = \Omega$ , its MPT  $\mathcal{M}[\alpha E, \Omega, \sigma_*, \mu_r]$ , as well as its real and imaginary parts, are diagonal.

We now show that for the cases of  $\omega = 0$  or  $\omega \rightarrow \infty$  that equivalent ellipsoids  $E(0)$  and  $E(\infty)$  can be found, which have the same MPT as  $\mathcal{M}[\alpha B, 0, \sigma_*, \mu_r]$  and  $\mathcal{M}[\alpha B, \infty, \sigma_*, \mu_r]$ , respectively, and comment on the construction of ellipsoids for other fixed frequencies.

#### 3.2.1 | Equivalent ellipsoid $E(0)$

For the limiting case of  $\omega = 0$ , it is known that<sup>5</sup>

$$\mathcal{M}[\alpha B, \omega = 0, \sigma_*, \mu_r] = \mathcal{N}^0[\alpha B, \mu_r] = \mathcal{T}[\alpha B, \mu_r], \quad (13)$$

where  $\mathcal{T}[\alpha B, k] = (\mathcal{T}[\alpha B, k])_{ij} \mathbf{e}_i \otimes \mathbf{e}_j$  is the Pólya–Szegő tensor.<sup>25</sup> This tensor is simpler than the MPT and characterises small homogeneous conducting objects with shape  $B$  in electrical impedance tomography ( $k = \sigma_*/\sigma_0$ ) and small permeable homogeneous objects with shape  $B$  in magnetostatics ( $k = \mu_r = \mu_*/\mu_0$ ), it is symmetric and is positive (negative) definite provided that the contrast  $k > 1$  ( $0 \leq k < 1$ ).<sup>25</sup>

We wish to consider the extent to which  $\mathcal{M}[\alpha B, \omega = 0, \sigma_*, \mu_r]$  uniquely determine the object  $B$ . Before, presenting our result, we recall that for the ellipsoid defined by (12) an analytical expression is available for  $\mathcal{T}[\alpha E, k]$  in the form

$$\mathcal{T}[\alpha E, k] = \alpha^3(k-1)|E| \begin{pmatrix} \frac{1}{1-A_1+kA_1} & 0 & 0 \\ 0 & \frac{1}{1-A_2+kA_2} & 0 \\ 0 & 0 & \frac{1}{1-A_3+kA_3} \end{pmatrix}, \quad (14)$$

where  $|E| := \frac{4}{3}\pi abc$  is the volume of the ellipsoid and  $A_1, A_2, A_3$  are the elliptical integrals

$$A_1 := \frac{bc}{a^2} \int_1^\infty \frac{1}{t^2 \sqrt{t^2 - 1 + \left(\frac{b}{a}\right)^2} \sqrt{t^2 - 1 + \left(\frac{c}{a}\right)^2}} dt,$$



$$A_2 := \frac{bc}{a^2} \int_1^\infty \frac{1}{\left(t^2 - 1 + \left(\frac{b}{a}\right)^2\right)^{3/2} \sqrt{t^2 - 1 + \left(\frac{c}{a}\right)^2}} dt,$$

$$A_3 := \frac{bc}{a^2} \int_1^\infty \frac{1}{\sqrt{t^2 - 1 + \left(\frac{b}{a}\right)^2} \left(t^2 - 1 + \left(\frac{c}{a}\right)^2\right)^{3/2}} dt,$$

that are a function of  $a, b, c$ .<sup>25</sup> These integrals can also be shown to be equivalent to the alternative expressions in terms of incomplete elliptic integrals given by Osborn,<sup>40</sup> which can be computed using standard libraries. They satisfy  $A_1 + A_2 + A_3 = 1$ .<sup>40</sup> From the above, we obtain the following result about the MPT characterisation of  $B$  at  $\omega = 0$ .

**Lemma 1.** *Given  $\alpha$  and  $0 < \mu_r < \infty$ ,  $\mu_r \neq 1$ , an equivalent ellipsoid  $E(0)$  for an object  $B$  can be found such that*

$$\Lambda^{\tilde{\mathcal{R}}[\alpha B, 0, \sigma_*, \mu_r]} = \tilde{\mathcal{R}}[\alpha E(0), 0, \sigma_*, \mu_r] = \mathcal{T}[\alpha E(0), \mu_r],$$

$$\Lambda^{I[\alpha B, 0, \sigma_*, \mu_r]} = I[\alpha E(0), 0, \sigma_*, \mu_r] = 0,$$

holds and its radii  $a, b$ , and  $c$  can uniquely be determined from  $\mathcal{M}[\alpha B, \omega = 0, \sigma_*, \mu_r] = \tilde{\mathcal{R}}[\alpha B, 0, \sigma_*, \mu_r] + iI[\alpha B, 0, \sigma_*, \mu_r]$ .

*Proof.* [Contains a step that is verified numerically]  $\mathcal{M}[\alpha B, \omega = 0, \sigma_*, \mu_r]$  is the MPT characterisation of  $B$  at  $\omega = 0$  and, at this frequency,  $\tilde{\mathcal{R}}[\alpha B, 0, \sigma_*, \mu_r] = \mathcal{N}^0[\alpha B, \mu_r]$  and  $I[\alpha B, 0, \sigma_*, \mu_r] = 0$ . Furthermore, for an ellipsoidal object  $E(0)$ ,  $I[\alpha E(0), \omega = 0, \sigma_*, \mu_r] = 0$ , and so the second expression does not provide any additional information and is automatically satisfied. In addition, from Section 3.1,  $\mathcal{N}^0[\alpha B, \mu_r]$  admits an eigenvalue decomposition  $\mathbf{Q}^{\mathcal{N}^0[\alpha B, \mu_r]} \Lambda^{\mathcal{N}^0[\alpha B, \mu_r]} (\mathbf{Q}^{\mathcal{N}^0[\alpha B, \mu_r]})^T$ .

Given that  $A_1 + A_2 + A_3 = 1$ , then, if  $\alpha$  and  $\mu_r$  are known (or at least if  $\frac{1}{\alpha^3} \left(1 + \frac{3}{\mu_r - 1}\right)$  is known), we can determine  $|E(0)|$  from

$$|E(0)| = \frac{1}{\alpha^3 L} \left(1 + \frac{3}{\mu_r - 1}\right), \quad L = \sum_{i=1}^3 \frac{1}{(\Lambda^{\mathcal{N}^0[\alpha B, \mu_r]})_{ii}}, \quad (15)$$

using the eigenvalues of  $\mathcal{N}^0[\alpha B, \mu_r]$ . The above follows from setting  $\mathcal{T}[\alpha E(0), \mu_r] = \Lambda^{\mathcal{N}^0[\alpha B, \mu_r]}$ , which are both diagonal, and summing its contributions. As  $|E(0)|$  represents the volume of a unit sized equivalent ellipsoid, we expect  $|E(0)| = O(1)$  as  $\alpha \rightarrow 0$ . Once  $|E(0)|$  is found, then we can determine

$$A_i = \frac{\alpha^3 |E(0)|}{(\Lambda^{\mathcal{N}^0[\alpha B, \mu_r]})_{ii}} - \frac{1}{\mu_r - 1}, \quad i = 1, 2, 3, \quad (16)$$

and compute  $A_2/A_1$  and  $A_3/A_1$ . Figure 2, which was obtained by numerical evaluation of the elliptic integrals in MATLAB, shows that  $(A_2/A_1, A_3/A_1) \rightarrow (b/a, c/a)$  is injective and shows the range of the map (numerical evaluation of Osborn's alternative expressions in terms of incomplete elliptic integrals gives the same result). Thus, given  $(A_2/A_1, A_3/A_1)$ , we know the solution  $(b/a, c/a)$  exists and is unique. Hence, we can determine  $(b/a, c/a)$  and find a unique equivalent ellipsoid defined by  $a, b$  and  $c$  using  $|E(0)| = \frac{4}{3} \pi abc$ . In addition, by ordering  $(\Lambda^{\mathcal{N}^0[\alpha B, \mu_r]})_{11} \geq (\Lambda^{\mathcal{N}^0[\alpha B, \mu_r]})_{22} \geq (\Lambda^{\mathcal{N}^0[\alpha B, \mu_r]})_{33}$ , we will obtain  $A_3 \geq A_2 \geq A_1$ . Since  $0 < A_i < 1$  then,  $A_3/A_1 \geq A_2/A_1$  and, hence from Figure 2, we find  $b/a \geq c/a$ . Thus,  $a \geq b \geq c$  defines the equivalent ellipsoid. ■

**Corollary 1.** *An important corollary of Lemma 1 is that  $\mathcal{M}[\alpha B, \omega = 0, \sigma_*, \mu_r]$  does not provide a unique object characterisation as there is an equivalent ellipsoid  $E(0)$  that has the same MPT.*

*Remark 1.* Proving analytically that the map  $(A_2/A_1, A_3/A_1) \rightarrow (b/a, c/a)$  is injective is an open question. Nonetheless, the numerical justification provided in Figure 2 shows that this map is injective in practice.

*Remark 2.* There are an infinite number of ways to choose  $\alpha \ll 1$  and  $B$  that result in the same product  $\alpha B$ . If  $|B|$  is chosen such that  $\det(\mathcal{T}[B, \mu_r]) = 1$  then  $\alpha$  can be recovered from  $\det(\mathcal{T}[\alpha B, \mu_r])$  and Lemma 1 only requires knowledge of  $\mu_r$  to determine the equivalent ellipsoid  $E(0)$ .

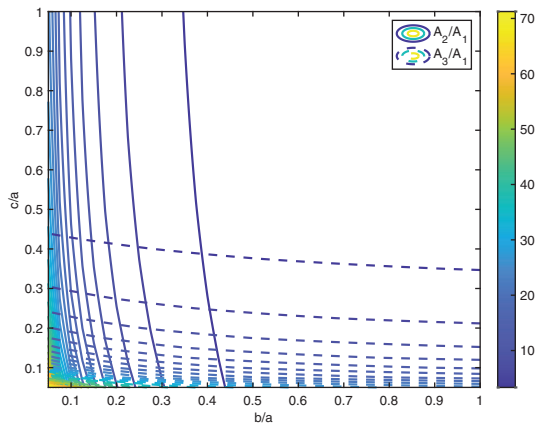


FIGURE 2 Contours of  $A_2/A_1$  and  $A_3/A_1$  as function of  $b/a$  and  $c/a$

A numerical approach to finding the equivalent ellipsoid has been previously proposed by Khairuddin and Lionheart<sup>26</sup> and involves solving the minimisation problem

$$\min_{\mathbf{u}} \left| \sum_{i=1}^3 (\Lambda^{\mathcal{N}^0[\alpha B, \mu_r]})_{ii} - (\mathcal{T}[\alpha E(0, \mathbf{u}), \mu_r])_{ii} \right|^2, \quad (17)$$

for  $\mathbf{u} = (a, b, c)$  so as to find the equivalent ellipsoid  $E(0)$ . Since  $a > 0$ ,  $b > 0$  and  $c > 0$  it can be shown that the associated non-linear system is continuous with respect to the unknown variables, hence the approximate solution of this non-linear system is well posed,<sup>26</sup> but it was unclear if the solution  $a$ ,  $b$ , and  $c$  exists and is unique. Lemma 1 addresses this issue and, if desired, the steps in the proof can be followed as alternative approach for determining  $a$ ,  $b$  and  $c$ . In practice, applying (17) gives the same result as our procedure for the examples presented in Section 3.2.4.

### 3.2.2 | Equivalent ellipsoid $E(\infty)$

For the case of  $\sigma_* \rightarrow \infty$  it is known that<sup>5</sup>

$$\lim_{\sigma_* \rightarrow \infty} \mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r] = \mathcal{M}^\infty[\alpha B] \equiv \lim_{\omega \rightarrow \infty} \mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r], \quad (18)$$

where, importantly, the latter equivalence must be viewed with care as  $\omega \rightarrow \infty$  would violate the eddy current assumption and, instead, this limit should be viewed as the limiting frequency for which the eddy current model is valid. If the topology of  $B$  is such that its Betti number  $\beta_1(B) = 0$  then<sup>5</sup>

$$\lim_{\sigma_* \rightarrow \infty} \mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r] = \mathcal{M}^\infty[\alpha B] = \mathcal{T}[\alpha B, 0] \equiv \lim_{\omega \rightarrow \infty} \mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]. \quad (19)$$

Recall that  $\beta_0(B)$  indicates the number of connected parts of  $B$ , which for a bounded connected region in  $\mathbb{R}^3$  is always 1,  $\beta_1(B)$  is the genus and is equal to the number of holes in  $B$  and  $\beta_2(B)$  is equal to the number of voids in  $B$ . For further details on Betti numbers and their implications for MPTs see Reference 12 and references therein. The following result on the characterisation of  $B$  as  $\omega \rightarrow \infty$  (up to the limit of the eddy current model) can be proved in an analogous way to Lemma 1.

**Lemma 2.** *Given  $\alpha$ , an equivalent ellipsoid  $E(\infty)$  independent of  $\mu_r$  can be found for an object  $B$  with  $\beta_1(B) = 0$  such that*

$$\begin{aligned} \lim_{\omega \rightarrow \infty} \Lambda^{\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]} &= \lim_{\omega \rightarrow \infty} \tilde{\mathcal{R}}[\alpha E(\infty), \omega, \sigma_*, \mu_r] = \mathcal{T}[\alpha E(\infty), 0], \\ \lim_{\omega \rightarrow \infty} \Lambda^{I[\alpha B, \omega, \sigma_*, \mu_r]} &= \lim_{\omega \rightarrow \infty} I[\alpha E(\infty), \omega, \sigma_*, \mu_r] = 0, \end{aligned}$$

holds (up to the limit of the eddy current model) and its radii  $a$ ,  $b$ , and  $c$  can uniquely be determined from  $\lim_{\omega \rightarrow \infty} \mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r] = \lim_{\omega \rightarrow \infty} (\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r] + i\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])$ .

**Corollary 2.** In a similar manner to Lemma 1, Lemma 2 shows that  $\lim_{\omega \rightarrow \infty} \mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  (up to the limit of the eddy current model) does not provide a unique object characterisation as there is an equivalent ellipsoid  $E(\infty)$  that has the same MPT.

*Remark 3.* The equivalent ellipsoid  $E(\infty)$  is independent of  $\mu_r$  since  $\lim_{\omega \rightarrow \infty} \Lambda^{\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]}$  is independent of  $\mu_r$ .<sup>5</sup> However, in general,  $E(0)$  is dependent on  $\mu_r$  as  $\mathcal{M}[\alpha B, \omega = 0, \sigma_*, \mu_r]$  is dependent on  $\mu_r$ . We can only guarantee that  $E(0)$  is independent of  $\mu_r$  if  $B$  is an ellipsoid and in this case  $B = E(0) = E(\infty)$ .

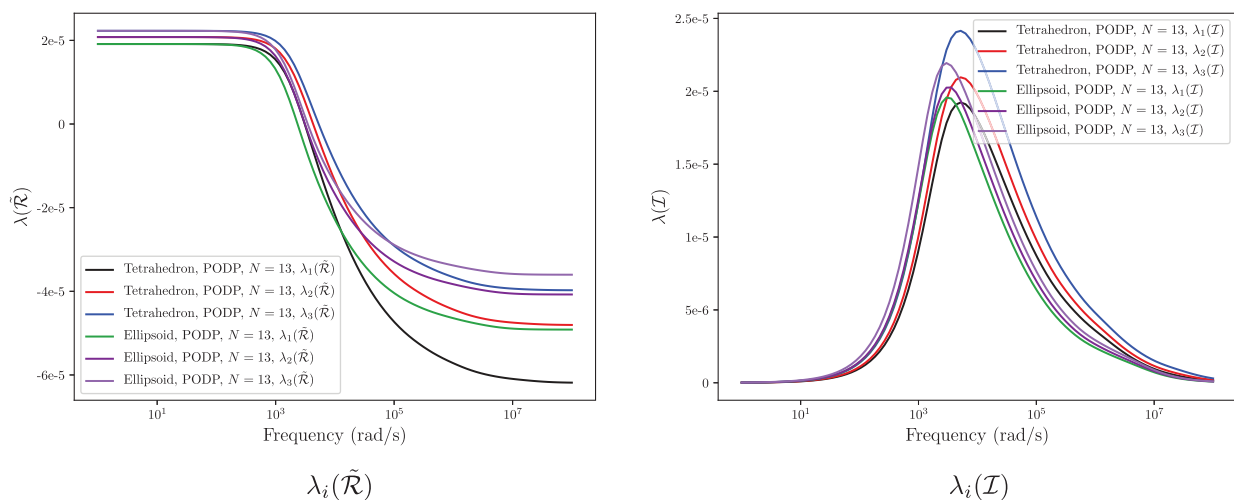
### 3.2.3 | Equivalent ellipsoid $E(\Omega)$

For the non-limiting fixed frequency case  $\omega = \Omega$ ,  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  is non-vanishing and we can no longer express  $\Lambda^{\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]}$  in terms  $\mathcal{T}[\alpha E, k]$ . However, a semi-analytical solution is available for the MPT of an ellipsoid,<sup>41–43</sup> which would allow an analogous numerical procedure to (17) to be applied to find an equivalent ellipsoid. But, given the non-explicit nature of this solution, it is not possible to show existence or uniqueness in this case. Nonetheless, we conjecture that for  $\beta_1(B) = 0$  such an equivalent ellipsoid exists so that the MPT characterisation at a fixed frequency does not uniquely characterise the object's shape and materials. To be able to do this a lot more data would be required.

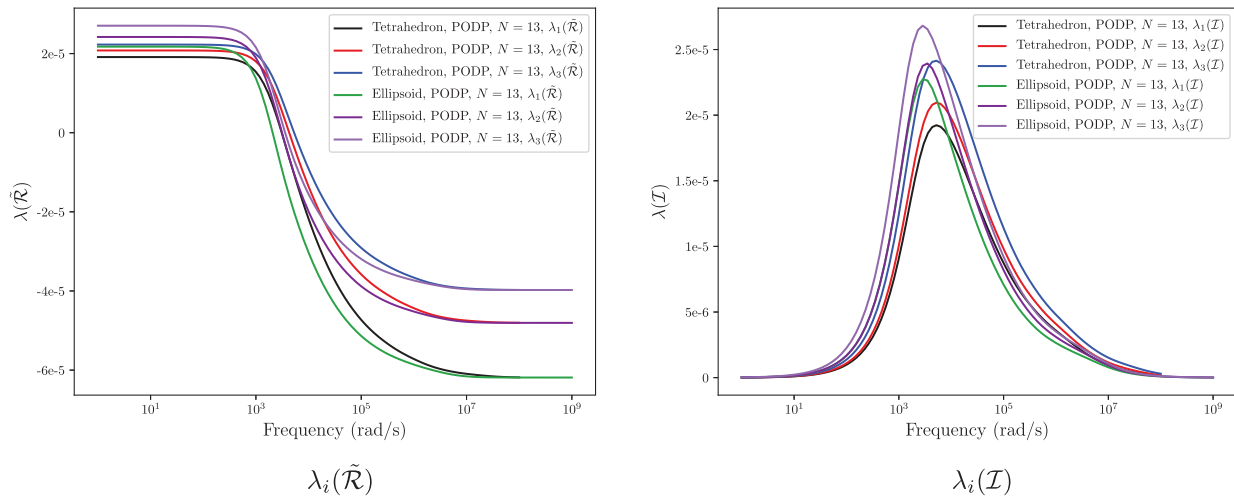
### 3.2.4 | Equivalent ellipsoids at fixed frequency for an irregular tetrahedron

We have seen from Lemmas 1 and 2 that an equivalent ellipsoid can be found that has the same MPT as the object  $B$  at  $\omega = 0$  and for  $\omega \rightarrow \infty$  (up to the limit of the eddy current model). To illustrate that the spectral signature of the MPT for an object contains richer information than the spectral signature of an equivalent ellipsoid obtained at a fixed frequency, we compare  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{M}[\alpha E(0), \omega, \sigma_*, \mu_r]$  in Figure 3 using method summarised in Section 2.1 for the case where  $B$  is the irregular tetrahedron as described in Section 2.1.1. The resulting equivalent ellipsoid  $E(0)$  has  $a = 1.4426$ ,  $b = 1.8797$ , and  $c = 2.4243$  (to 4dp). We observe that the eigenvalues of the real and imaginary parts of  $\mathcal{M}[\alpha E(0), \omega, \sigma_*, \mu_r]$  agrees well with those of  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  for small  $\omega$ , but the spectral signature differs for large  $\omega$ .

The corresponding results comparing  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{M}[\alpha E(\infty), \omega, \sigma_*, \mu_r]$  are shown in Figure 4, where the equivalent ellipsoid  $E(\infty)$  is defined by  $a = 1.3693$ ,  $b = 1.9090$ , and  $c = 2.9404$  (to 4dp). We observe that the eigenvalues of



**FIGURE 3** Irregular tetrahedron  $B$  with vertices as stated in (7),  $\alpha = 0.01$  m,  $\mu_r = 2$ , and  $\sigma_* = 5.96 \times 10^6$  S/m. Comparison of  $\lambda_i(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])$  and  $\lambda_i(\tilde{\mathcal{R}}[\alpha E(0), \omega, \sigma_*, \mu_r])$  as well as  $\lambda_i(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])$  and  $\lambda_i(\mathcal{I}[\alpha E(0), \omega, \sigma_*, \mu_r])$  using an equivalent ellipsoid  $E(0)$



**FIGURE 4** Irregular tetrahedron  $B$  with vertices as stated in (7),  $\alpha = 0.01$  m,  $\mu_r = 2$ , and  $\sigma_* = 5.96 \times 10^6$  S/m: Comparison of  $\lambda_i(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])$  and  $\lambda_i(\tilde{\mathcal{R}}[\alpha E(\infty), \omega, \sigma_*, \mu_r])$  as well as  $\lambda_i(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])$  and  $\lambda_i(\mathcal{I}[\alpha E(\infty), \omega, \sigma_*, \mu_r])$  using an equivalent ellipsoid  $E(\infty)$

the real and imaginary parts of  $\mathcal{M}[\alpha E(\infty), \omega, \sigma_*, \mu_r]$  agree well with those of  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  for large  $\omega$ , but the spectral signature differs considerably for other  $\omega$ .

These results motivate the advantages of using spectral MPT signature over using MPT information from a single frequency, since, rather than a single equivalent ellipsoid, there are multiple equivalent ellipsoids corresponding to different  $\omega$  providing greater information for the classification. In the next section we provide further theoretical insights in to the object characterisation using spectral data.

## 4 | MPT OBJECT CHARACTERISATION FROM SPECTRAL DATA

In this section, we examine the improvements to MPT object characterisation given spectral data. Firstly we consider eigenvalue decompositions of the real and imaginary parts of the MPT for spectral data and then, secondly, we provide a theoretical justification for the observations seen in Figures 3 and 4.

### 4.1 | Eigenvalue decomposition in the spectral case

We now consider the case of the characterisation of an object by an MPT with varying frequency  $\omega$  so that the real and imaginary parts of an MPT expressed by (10) are available continuously as a function of  $\omega$ . A similar decomposition to (11) again applies, except  $\mathbf{Q}^{\tilde{\mathcal{R}}}$ ,  $\Lambda^{\tilde{\mathcal{R}}}$ ,  $\mathbf{Q}^{\mathcal{I}}$ , and  $\Lambda^{\mathcal{I}}$  are functions of  $\omega$ . If  $B$  has reflectional or rotational symmetries,  $\mathbf{Q}^{\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]} = \mathbf{Q}^{\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]} = \mathbf{Q}(B)$  and, in the limiting case where  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  are diagonal,  $\mathbf{Q} = \mathbb{I}$  is the identity matrix. If  $\mathbf{Q}^{\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]} = \mathbf{Q}^{\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]} = \mathbf{Q}(B)$ , the only dependence of the MPT's coefficients on  $\alpha$ ,  $\omega$ ,  $\mu_r$ , and  $\sigma_*$  is through  $\Lambda^{\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]}$  and  $\Lambda^{\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]}$ .

### 4.2 | Understanding the spectral signature of MPTs

Building on the examples presented in Section 3.2.4, we provide a theoretical justification as to why an object's MPT spectral signature offers an improved object characterisation over an MPT obtained at a single frequency. Recalling that  $\nu := \alpha^2 \omega \mu_0 \sigma_*$ , then for an object  $B$ , the following spectral representations have been derived<sup>5</sup> for the tensor coefficients

$$(\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} = \frac{\alpha^3}{4} \sum_{n=1}^{\infty} \text{Re}(\beta_n^B(\nu)) \lambda_n^B \left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_n^B, \theta_j^{(0)} \right\rangle_{L^2(B)}, \quad (20a)$$

$$(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} = \frac{\alpha^3}{4} \sum_{n=1}^{\infty} \text{Im}(\beta_n^B(\nu)) \lambda_n^B \left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_n^B, \theta_j^{(0)} \right\rangle_{L^2(B)}, \quad (20b)$$

where the notation  $\langle \mathbf{u}, \mathbf{v} \rangle_{L^2(B)} := \int_B \mathbf{u} \cdot \bar{\mathbf{v}} d\xi$  denotes the  $L^2$  inner product over  $B$  and

$$\beta_n^B(\nu) = \text{Re}(\beta_n^B(\nu)) + i \text{Im}(\beta_n^B(\nu)) = -\frac{\nu^2}{\nu^2 + (\lambda_n^B)^2} + i \frac{\nu \lambda_n^B}{\nu^2 + (\lambda_n^B)^2}, \quad (21)$$

are functions whose real part is sigmoid with  $\log \nu$  (or  $\nu$ ) and whose imaginary part has a single local maximum with  $\log \nu$  (or  $\nu$ ). In the above,  $(\lambda_n^B, \phi_n^B)$  are real eigensolution pairs for the problem

$$\nabla \times \mu_r^{-1} \nabla \times \phi_n^B = \lambda_n \phi_n^B \quad \text{in } B, \quad (22a)$$

$$\nabla \times \nabla \times \phi_n^B = \mathbf{0} \quad \text{in } B^c, \quad (22b)$$

$$[\mathbf{n} \times \phi_n^B] = \mathbf{0} \quad \text{on } \partial B, \quad (22c)$$

$$[\mathbf{n} \times \mu_r \nabla \times \phi_n^B] = \mathbf{0} \quad \text{on } \partial B, \quad (22d)$$

$$\phi_n^B = O(|\xi|^{-1}) \quad \text{as } |\xi| \rightarrow \infty, \quad (22e)$$

and the real weights  $\lambda_n^B \left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_n^B, \theta_j^{(0)} \right\rangle_{L^2(B)}$  together with the complex functions  $\beta_n^B(\nu)$  ultimately determine the shape of an MPT's spectral signature.

Applying an eigenvalue decomposition, then it follows that

$$(\Lambda^{\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r]})_{ij} = \frac{\alpha^3}{4} \sum_{n=1}^{\infty} \text{Re}(\beta_n^B(\nu)) \lambda_n^B (\mathbf{Q}^{\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r]})_{pi} (\mathbf{Q}^{\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r]})_{jq} \left\langle \phi_n^B, \theta_p^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_n^B, \theta_q^{(0)} \right\rangle_{L^2(B)}, \quad (23a)$$

$$(\Lambda^{\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]})_{ij} = \frac{\alpha^3}{4} \sum_{n=1}^{\infty} \text{Im}(\beta_n^B(\nu)) \lambda_n^B (\mathbf{Q}^{\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]})_{pi} (\mathbf{Q}^{\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]})_{jq} \left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_n^B, \theta_j^{(0)} \right\rangle_{L^2(B)}, \quad (23b)$$

where, as discussed in Section 4.1, if  $B$  has reflectional or rotational symmetries, then  $\mathbf{Q}^{\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]} = \mathbf{Q}^{\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]} = \mathbf{Q}(B)$  and, in the limiting case where the MPT is diagonal,  $\mathbf{Q}(B) = \mathbb{I}$ , consequently it is again the real weights  $\lambda_n^B \left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_n^B, \theta_j^{(0)} \right\rangle_{L^2(B)}$  together with the complex functions  $\beta_n^B(\nu)$  that describe eigenvalues as a function of  $\omega$ .

For small  $\omega$ , the shape of the MPT spectral signature is well captured by a single dominant mode in the summations (20) so that, if the dominant mode is the first mode,

$$(\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} \approx \frac{\alpha^3}{4} \text{Re}(\beta_1^B(\nu)) \lambda_1^B \left\langle \phi_1^B, \theta_i^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_1^B, \theta_j^{(0)} \right\rangle_{L^2(B)}, \quad (24a)$$

$$(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} \approx \frac{\alpha^3}{4} \text{Im}(\beta_1^B(\nu)) \lambda_1^B \left\langle \phi_1^B, \theta_i^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_1^B, \theta_j^{(0)} \right\rangle_{L^2(B)}, \quad (24b)$$

which makes the roles of  $\text{Re}(\beta_1^B(\nu))$  and  $\text{Im}(\beta_1^B(\nu))$  explicit in determining the shape of the MPT frequency spectral signature. Similar arguments can also be applied to (23). For further details see Reference 5.

Similar representations to (20) can also be obtained for  $(\mathcal{R}[\alpha E, \omega, \sigma_*, \mu_r])_{ij}$  and  $(\mathcal{I}[\alpha E, \omega, \sigma_*, \mu_r])_{ij}$  for an ellipsoidal object  $E_\alpha = \alpha E$ , which is aligned with the coordinate axes such that the associated tensor is diagonal. The nonzero coefficients for  $i=j$  are expressed in terms of real eigensolutions  $(\lambda_n^E, \phi_n^E)$  where

$$\nabla \times \mu_r^{-1} \nabla \times \phi_n^E = \lambda_n \phi_n^E \quad \text{in } E, \quad (25a)$$

$$\nabla \times \nabla \times \phi_n^E = \mathbf{0} \quad \text{in } E^c, \quad (25b)$$

$$[\mathbf{n} \times \phi_n^E] = \mathbf{0} \quad \text{on } \partial E, \quad (25c)$$

$$[\mathbf{n} \times \mu_r \nabla \times \phi_n^E] = \mathbf{0} \quad \text{on } \partial E, \quad (25d)$$

$$\phi_n^E = O(|\xi|^{-1}) \quad \text{as } |\xi| \rightarrow \infty. \quad (25e)$$

Using the results, above we now explain the results observed in Figures 3 and 4.

#### 4.2.1 | Comparing $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$ and $\mathcal{M}[\alpha E(0), \omega, \sigma_*, \mu_r]$

**Lemma 3.** *If  $B$  is not an ellipsoid, the coefficients of  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{M}[\alpha E(0), \omega, \sigma_*, \mu_r]$  are different away from  $\omega = 0$ .*

*Proof.* Without loss of generality, we consider an object  $B$  where its MPT is diagonal such that the eigenvalues of  $\mathcal{N}^0$ ,  $\mathcal{R}$ , and  $\mathcal{I}$  coincides with the corresponding non-zero tensor coefficients. Then, by comparing  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{M}[\alpha E(0), \omega, \sigma_*, \mu_r]$ , we have, for an equivalent ellipsoid constructed at  $\omega = 0$ , that

$$\mathcal{M}[\alpha B, \omega = 0, \sigma_*, \mu_r] = \mathcal{N}^0[\alpha B, \mu_r] = \mathcal{N}^0[\alpha E(0), \mu_r] = \mathcal{M}[\alpha E(0), \omega = 0, \sigma_*, \mu_r], \quad (26)$$

but, there is no equivalence between the eigensolutions  $(\lambda_n^B, \phi_n^B)$  and  $(\lambda_n^E, \phi_n^E)$  and, hence, given expansions of the form (20), we expect the coefficients of  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$ ,  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  to be different from  $\tilde{\mathcal{R}}[\alpha E(0), \omega, \sigma_*, \mu_r]$ ,  $\mathcal{I}[\alpha E(0), \omega, \sigma_*, \mu_r]$  away from  $\omega = 0$ . ■

#### 4.2.2 | Comparing $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$ and $\mathcal{M}[\alpha E(\infty), \omega, \sigma_*, \mu_r]$

**Lemma 4.** *If  $B$  is not an ellipsoid, the coefficients of  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{M}[\alpha E(\infty), \omega, \sigma_*, \mu_r]$  are different away from  $\omega \rightarrow \infty$  (up to the limit of the eddy current model).*

*Proof.* In a similar manner to the proof of Lemma 3 we consider an object  $B$  where its MPT is diagonal then we have, for an equivalent ellipsoid constructed for  $\omega \rightarrow \infty$ , that

$$\begin{aligned} \mathcal{M}^\infty[\alpha B] &= \mathcal{N}^0[\alpha B, \mu_r] + \lim_{\omega \rightarrow \infty} (\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r] + i\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]) \\ &= \mathcal{N}^0[\alpha B, \mu_r] + \lim_{\omega \rightarrow \infty} \mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r] \\ &= \mathcal{N}^0[\alpha E(\infty), \mu_r] + \lim_{\omega \rightarrow \infty} \mathcal{R}[\alpha E(\infty), \omega, \sigma_*, \mu_r] = \mathcal{M}^\infty[\alpha E(\infty)]. \end{aligned} \quad (27)$$

In addition,

$$\begin{aligned} \lim_{\omega \rightarrow \infty} (\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} &= -\frac{\alpha^3}{4} \sum_{n=1}^{\infty} \lambda_n^B \left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)} \left\langle \phi_n^B, \theta_j^{(0)} \right\rangle_{L^2(B)}, \\ \lim_{\omega \rightarrow \infty} (\mathcal{R}[\alpha E(\infty), \omega, \sigma_*, \mu_r])_{ij} &= -\frac{\alpha^3}{4} \sum_{n=1}^{\infty} \lambda_n^E \left\langle \phi_n^E, \theta_i^{(0)} \right\rangle_{L^2(E(\infty))} \left\langle \phi_n^E, \theta_j^{(0)} \right\rangle_{L^2(E(\infty))}. \end{aligned}$$

We now consider the cases of  $\mu_r = 1$  and  $\mu_r \neq 1$  separately.

**Case of  $\mu_r = 1$**  If  $\mu_r = 1$  then  $(\mathcal{N}^0[\alpha B, \mu_r])_{ij} = (\mathcal{N}^0[\alpha E(\infty), \mu_r])_{ij} = 0$  and, thus, for  $i = j$  we have

$$\sum_{n=1}^{\infty} \lambda_n^B \left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)}^2 = \sum_{n=1}^{\infty} \lambda_n^E \left\langle \phi_n^E, \theta_i^{(0)} \right\rangle_{L^2(E(\infty))}^2. \quad (28)$$

However, only in the case where  $B = E(\infty)$  can we expect the solutions  $\theta_i^{(0)}$  to the transmission problems (3) for the objects  $B$  and  $E(\infty)$  to be same. Otherwise, we expect the solutions  $\theta_i^{(0)}$  to be different and  $(\lambda_n^B, \phi_n^B) \neq (\lambda_n^E, \phi_n^E)$ . Expression



(28) only guarantees that the sum is the same and, consequently, the coefficients of  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  will be different to those of  $\mathcal{M}[\alpha E(\infty), \omega, \sigma_*, \mu_r]$  away from the limiting cases of  $\omega = 0$  and  $\omega \rightarrow \infty$  for  $\mu_r = 1$ .

**Case of  $\mu_r \neq 1$**  If  $\mu_r \neq 1$  then  $(\mathcal{N}^0[\alpha B, \mu_r])_{ij} \neq (\mathcal{N}^0[\alpha E(\infty), \mu_r])_{ij}$  and, therefore, for  $i = j$  we have

$$\sum_{n=1}^{\infty} \lambda_n^B \left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)}^2 \neq \sum_{n=1}^{\infty} \lambda_n^E \left\langle \phi_n^E, \theta_i^{(0)} \right\rangle_{L^2(E)}^2. \quad (29)$$

Thus,  $\lambda_n^E \neq \lambda_n^B$  and  $\left\langle \phi_n^B, \theta_i^{(0)} \right\rangle_{L^2(B)}^2 \neq \left\langle \phi_n^E, \theta_i^{(0)} \right\rangle_{L^2(E(\infty))}^2$  for all  $n$ . Consequently, the coefficients of  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  will be different to those of  $\mathcal{M}[\alpha E(\infty), \omega, \sigma_*, \mu_r]$  away from the limiting cases of  $\omega \rightarrow \infty$  for  $\mu_r \neq 1$ . ■

*Remark 4.* Provided that  $B$  is not an ellipsoid, Lemma 3 has shown that the coefficients of  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{M}[\alpha E(0), \omega, \sigma_*, \mu_r]$  are different away from  $\omega = 0$  and Lemma 4 has shown that the coefficients of  $\mathcal{M}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{M}[\alpha E(\infty), \omega, \sigma_*, \mu_r]$  are different away from  $\omega \rightarrow \infty$  (up to the limit of the eddy current model). Furthermore, as discussed in Remark 3, unless  $B$  is an ellipsoid,  $E(0) \neq E(\infty)$ . Indeed, we conjecture that if the real and imaginary parts of an MPT expressed by (10) are available at discrete frequencies  $0 \leq \omega_m < \infty$ ,  $m = 1, \dots, M$  then there are  $M$  different equivalent ellipsoids  $E(\omega_n)$ ,  $n = 1, \dots, M$ . Thus, the MPT spectral signature provides considerable advantages over MPT characterisation at a single fixed frequency and, hence, forms the basis of object characterisation strategy in the following.

## 5 | MPT SPECTRAL SIGNATURE INVARIANTS FOR OBJECT CLASSIFICATION

Bishop<sup>44</sup> describes the process of classification as taking an input vector  $\mathbf{x}$  and assigning it to one of  $K$  discrete classes  $C_k$ ,  $k = 1, \dots, K$ . For example, in security screening, the simplest form of classification with  $K = 2$  involves only the classes *threat* ( $C_1$ ) and *non-threat* ( $C_2$ ), and one with a higher level of fidelity might include the classes of metallic objects such as key ( $C_1$ ), coin ( $C_2$ ), gun ( $C_3$ ), knife ( $C_4$ ), where the class numbers are assigned as desired. He recommends that it is convenient (in probabilistic methods of classification) to use a 1-of- $K$  coding system in which the entries in a vector  $\mathbf{t} \in \mathbb{R}^K$  take the form

$$t_i := \begin{cases} 1 & \text{if } i = k \\ 0 & \text{otherwise} \end{cases},$$

if the correct class is  $C_k$ . Requiring that we always have  $\sum_{k=1}^K t_k = 1$ , then this approach has the advantage that  $t_k$  can be interpreted as the probability that the correct class is  $C_k$ . In this section, we focus on alternative choices of the  $F$  features in the input vector  $\mathbf{x} \in \mathbb{R}^F$  for the classifier. In future work we will compare the performance of different classifiers based for these alternatives. We focus on suitable features that are invariant to rotation of the object. Note that the rank 2 MPT, and hence the invariants considered below, are invariant to the position of the object.

### 5.1 | Tensor eigenvalues

Recall that the diagonal matrices  $\Lambda^{\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]}$  and  $\Lambda^{\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]}$  contain the eigenvalues of  $\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]$ , respectively, and satisfy the object rotation invariant property

$$\begin{aligned} \lambda_i(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]) &= (\Lambda^{\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]})_{ii} = (\Lambda^{\tilde{\mathcal{R}}[\alpha R(B), \omega_m, \sigma_*, \mu_r]})_{ii} = \lambda_i(\tilde{\mathcal{R}}[\alpha R(B), \omega_m, \sigma_*, \mu_r]), \\ \lambda_i(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]) &= (\Lambda^{\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]})_{ii} = (\Lambda^{\mathcal{I}[\alpha R(B), \omega_m, \sigma_*, \mu_r]})_{ii} = \lambda_i(\mathcal{I}[\alpha R(B), \omega_m, \sigma_*, \mu_r]), \end{aligned}$$

at each of the discrete frequencies  $\omega_m$ ,  $m = 1, \dots, M$  in the MPT spectral signature. Thus, one option is to select the features for the classifier as

$$(\mathbf{x})_i = x_i = \begin{cases} \lambda_j(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]), & i = j + (m - 1)M \\ \lambda_j(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]), & i = j + (m + 2)M \end{cases},$$

where  $j = 1, 2, 3$  and  $m = 1, \dots, M$  so that  $F = 6M$ . This is particularly attractive, since any hidden object is likely to be in some unknown rotated configuration compared with canonical choice of the corresponding object in the library and, as the eigenvalues are invariant under object rotation, we do not need knowledge of the orthogonal rotation matrix  $\mathbf{R}$  to perform the classification. Furthermore, in practice, measurements lead to noisy tensor coefficients in the form  $\mathcal{M} + \mathcal{E}_r + i\mathcal{E}_i$  where  $\mathcal{E}_r + i\mathcal{E}_i$  is a complex symmetric rank 2 tensor and represents the noise. To understand the effects of noise, consider for simplicity a symmetric real matrix  $\mathbf{A}$  corrupted by a real symmetric  $\mathbf{E}$ , applying results on eigenvalue perturbations<sup>45</sup> we find that

$$\sum_{i=1}^3 (\lambda_i(\mathbf{A} + \mathbf{E}) - \lambda_i(\mathbf{A}))^2 \leq \|\mathbf{E}\|_F^2,$$

so that the eigenvalues  $\lambda_i$  of  $\mathbf{A}$  are similar to those of  $\mathbf{A} + \mathbf{E}$  provided  $\mathbf{E}$  represents the low-moderate noise. However, for an eigenvalue–eigenvector pair  $\lambda_1, \mathbf{q}_1$ <sup>45</sup>

$$\text{dist}(\mathbf{q}_1(\mathbf{A}), \mathbf{q}_1(\mathbf{A} + \mathbf{E})) \leq \frac{4}{d} C(\mathbf{E}),$$

where  $d = \min_{\mu \in \lambda_i(\mathbf{A})} |\lambda_i - \mu| > 0$  and  $C(\mathbf{E})$  is a constant depending on  $\mathbf{E}$ . In other words, if the eigenvalues are close (so  $d$  is small), the eigenvectors will be badly effected by the noise. The same applies to the real and imaginary parts of  $\mathcal{M} + \mathcal{E}_r + i\mathcal{E}_i$  when the coefficients are arranged as matrices.

## 5.2 | Tensor invariants

While  $\lambda_i(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r])$ ,  $\lambda_i(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r])$ ,  $m = 1, \dots, M$ , are invariant under object rotation, their behavior is well understood and they behave well for noisy measurements, classifying objects on the basis of these may still cause practical issues. Firstly, care is needed with the ordering of the eigenvalues since choosing a simple rule such as  $\lambda_1 \geq \lambda_2 \geq \lambda_3$  may lead to confusing results. For example, if the object has rotational and/or reflectional symmetries, we might find there are only two independent eigenvalues at each frequency in the real and imaginary parts of the MPT, then, applying the aforementioned rule independently to  $\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]$  could lead to  $\lambda_2(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]) = \lambda_3(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r])$  and  $\lambda_1(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]) = \lambda_2(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r])$ . Secondly, there is a danger that different ordering rules are applied in the creation of the training library for the classifier compared with that used for testing some new candidate object. To overcome this, tensor invariants can be used, which are independent of how  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$  are assigned. One possibility are the principal tensor invariants, which, for a rank 2 tensor  $\mathcal{A}$ , are (e.g., Reference 46)

$$I_1(\mathcal{A}) := \text{tr}(\mathcal{A}) = \lambda_1(\mathcal{A}) + \lambda_2(\mathcal{A}) + \lambda_3(\mathcal{A}), \quad (30a)$$

$$I_2(\mathcal{A}) := \frac{1}{2} (\text{tr}(\mathcal{A})^2 - \text{tr}(\mathcal{A}^2)) = \lambda_1(\mathcal{A})\lambda_2(\mathcal{A}) + \lambda_1(\mathcal{A})\lambda_3(\mathcal{A}) + \lambda_2(\mathcal{A})\lambda_3(\mathcal{A}), \quad (30b)$$

$$I_3(\mathcal{A}) := \det(\mathcal{A}) = \lambda_1(\mathcal{A})\lambda_2(\mathcal{A})\lambda_3(\mathcal{A}), \quad (30c)$$

which contain the same information as the tensor's eigenvalues  $\lambda_i(\mathcal{A})$  and can also be computed from (30). They satisfy

$$\lambda^3 - I_1(\mathcal{A})\lambda^2 + I_2(\mathcal{A})\lambda - I_3(\mathcal{A}) = 0, \quad (31)$$

are rotationally invariant and, like the eigenvalues, are less susceptible to noise than the tensor's eigenvectors.

Borrowing notation from continuum mechanics (e.g., Reference 46),  $I_1(\mathcal{A})$  is related to the *hydrostatic part* of  $\mathcal{A}$  given by  $\mathcal{H} = \frac{1}{3} \text{tr}(\mathcal{A})\mathbb{I}$  and is associated with the extent to which the operation  $\mathcal{H}\mathbf{v}$  stretches or shrinks the magnitude of  $\mathbf{v}$ . The invariant  $I_2(\mathcal{A})$  is often, but not exclusively, related to the *deviatoric part* of  $\mathcal{A}$  given by  $\mathcal{S} = \mathcal{A} - \mathcal{H}$  describing the extent to which  $\mathcal{S}\mathbf{v}$  distorts the components of  $\mathbf{v}$ . The invariant  $I_3(\mathcal{A})$  describes the extent of coupling of the two aforementioned cases and whether or not the tensor  $\mathcal{A}$ , when arranged as a  $3 \times 3$  matrix, is singular or not. In addition, when applied to

(limiting cases) of  $\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]$ , it has a further physical interpretation: Recall that the product  $\alpha B$  implies that there are an infinite number of ways to choose  $\alpha \ll 1$  and  $B$ , which still result in the same  $\alpha B$ . For example, if  $|B|$  is chosen such that  $\det(\mathcal{N}^0[\alpha B, \mu_r]) = I_3(\mathcal{N}^0[\alpha B, \mu_r]) = \alpha^9$  then this invariant provides object size information, while, in general  $I_3(\mathcal{N}^0[\alpha B, \mu_r])$ , will be a function of  $|B|$ ,  $\alpha$  and  $\mu_r$ . Similarly,  $I_3(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r])$  and  $I_3(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r])$  will be functions of  $|B|$ ,  $\alpha$ ,  $\omega_m$ ,  $\sigma_*$  and  $\mu_r$ . Thus,  $I_3(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r])$  and  $I_3(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r])$ , for fixed  $\alpha$ ,  $\omega_m$ ,  $\sigma_*$  and  $\mu_r$ , will scale like  $|B|$ . Using principal invariants, we could then select the features as

$$x_i = \begin{cases} I_j(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]), & i = j + (m - 1)M \\ I_j(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]), & i = j + (m + 2)M \end{cases} \quad (32)$$

where  $j = 1, 2, 3$  and  $m = 1, \dots, M$  so that  $F = 6M$ .

As an alternative to the principal invariants stated in (30), the alternative set of invariants (e.g., Reference 46)

$$I_1(\mathcal{A}) := \text{tr}(\mathcal{A}) = \lambda_1(\mathcal{A}) + \lambda_2(\mathcal{A}) + \lambda_3(\mathcal{A}), \quad (33a)$$

$$\begin{aligned} J_2(\mathcal{A}) &:= \frac{1}{2} \text{tr}(S^2) = \frac{1}{3} I_1(\mathcal{A})^2 - I_2(\mathcal{A}) = \frac{1}{2} (s_1(S)^2 + s_2(S)^2 + s_3(S)^2) \\ &= \frac{1}{2} ((\lambda_1(\mathcal{A}) - I_1(\mathcal{A})/3)^2 + (\lambda_2(\mathcal{A}) - I_1(\mathcal{A})/3)^2 + (\lambda_3(\mathcal{A}) - I_1(\mathcal{A})/3)^2), \end{aligned} \quad (33b)$$

$$\begin{aligned} J_3(\mathcal{A}) &:= \det(S) = \frac{2}{27} I_1(\mathcal{A})^3 - \frac{1}{3} I_1(\mathcal{A}) I_2(\mathcal{A}) + I_3(\mathcal{A}) = \frac{1}{3} s_1(S) s_2(S) s_3(S) \\ &= (\lambda_1(\mathcal{A}) - I_1(\mathcal{A})/3) (\lambda_2(\mathcal{A}) - I_1(\mathcal{A})/3) (\lambda_3(\mathcal{A}) - I_1(\mathcal{A})/3), \end{aligned} \quad (33c)$$

where  $\lambda_i(\mathcal{A}) = s_i(\mathcal{A}) + I_1(\mathcal{A})/3$  can be used. These invariants satisfy

$$s^3 - J_2(\mathcal{A})s - J_3(\mathcal{A}) = 0,$$

and the roots of this equation are the eigenvalues  $s_i$  of  $S$ . The invariants  $J_2(\mathcal{A})$  and  $J_3(\mathcal{A})$  are both related to the extent to which  $S\mathbf{v}$  distorts the components of  $\mathbf{v}$ . In this case, we can select the features as

$$x_i = \begin{cases} I_1(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]), & i = 1 + (m - 1)M \\ J_j(\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]), & i = j + (m - 1)M \\ I_1(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]), & i = 1 + (m + 2)M \\ J_j(\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]), & i = j + (m + 2)M \end{cases} \quad (34)$$

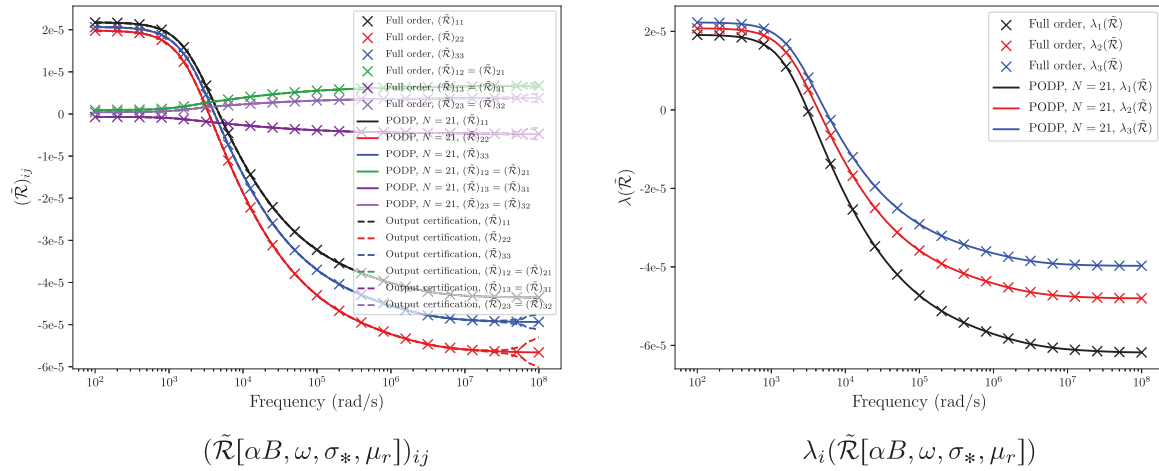
where  $j = 2, 3$  and  $m = 1, \dots, M$  and  $F = 6M$ . One potential advantage of using (33) as a set of features is that, for the case where  $\tilde{\mathcal{R}}[\alpha B, \omega_m, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega_m, \sigma_*, \mu_r]$  are each a multiple of identity (such as for the MPT characterisation of a cube or sphere),  $J_2$  and  $J_3$  vanish.

### 5.3 | Eigenvalues of the commutator of $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$ and $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$

The off-diagonal entries  $(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$  and  $(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$  with  $i \neq j$  are much smaller than those on the diagonal with  $i = j$  as the results

$$|(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}| \leq |\text{tr}(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])| = \left| \sum_{k=1}^3 \lambda_k(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]) \right|, \quad (35a)$$

$$(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} \leq \text{tr}(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]) = \sum_{k=1}^3 \lambda_k(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]), \quad (35b)$$



**FIGURE 5** Irregular tetrahedron  $B$  with vertices (7),  $\alpha = 0.01$  m,  $\mu_r = 2$ , and  $\sigma_* = 5.96 \times 10^6$  S/m: Comparison of  $(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$  and  $\lambda_i(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])$

obtained in lemma 6.1 of Reference 5 show. This implies that the tensor’s eigenvalues, and hence its principal invariants (30) (as well as the alternative invariants (33)), are dominated by the behavior of its diagonal coefficients. To illustrate this, we show, in Figure 5, the comparison between  $\lambda_i(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])$  and  $(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$  for the irregular tetrahedron discussed in Section 4.1. We observe that the behavior of the eigenvalues is dominated by the diagonal coefficients of  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$ , similar arguments also apply to  $\lambda_i(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])$  and  $(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$ .

To improve the discrimination between objects whose tensors  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  have different eigenvectors, we consider their commutator, which has coefficients

$$(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r])_{ij} := (\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])_{ik}(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{kj} - (\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ik}(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])_{kj}, \quad (36)$$

where Einstein summation convention of the indices is implied. The commutator measures how different the eigenspaces of  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  are. It vanishes when the  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  are simultaneously diagonalisable (i.e., the eigenvectors of  $\mathbf{Q}^{\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]} = \mathbf{Q}^{\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]} = \mathbf{Q}(B)$  are the same). In lemma 8.11 of Reference 5,  $|(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}|$  has been shown to grow at most linearly with  $\omega$ . In addition, the coefficients of  $\mathcal{Z}$  transform as a rank 2 tensor and so the eigenvalues of  $\mathcal{Z}[\alpha B]$  and  $\mathcal{Z}[\alpha \mathbf{R}(B)]$  are the same.

It is easy to show that, since  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  are symmetric,  $\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r]$  has vanishing diagonal coefficients and is skew symmetric. Then, by arranging the coefficients of  $\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r]$  as a  $3 \times 3$  matrix, we find that its eigenvalues are zero or purely imaginary

$$\lambda_i(\mathcal{Z}) \in \left\{ 0, \pm i \sqrt{(\mathcal{Z})_{12}^2 + (\mathcal{Z})_{13}^2 + (\mathcal{Z})_{23}^2} \right\},$$

and, thus,  $\sqrt{(\mathcal{Z})_{12}^2 + (\mathcal{Z})_{13}^2 + (\mathcal{Z})_{23}^2} = \sqrt{I_2(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r])}$  is useful as an additional classifier for situations where the off-diagonal coefficients of the tensors are among its independent coefficients\*. For an object where the only independent coefficients  $(\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$  and  $(\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r])_{ij}$  are associated with  $i = j$  then  $\sqrt{(\mathcal{Z})_{12}^2 + (\mathcal{Z})_{13}^2 + (\mathcal{Z})_{23}^2}$  vanishes. This invariant can easily be added to the list of features in (30), (32) or (34) as

$$x_i = \sqrt{I_2(\mathcal{Z}[\alpha B, \omega_m, \sigma_*, \mu_r])}, \quad i = 6M + m, \quad (37)$$

for  $m = 1, \dots, M$  bringing the total number of features to  $F = 7M$ .

\*Note that  $I_1(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r]) = I_3(\mathcal{Z}[\alpha B, \omega_m, \sigma_*, \mu_r]) = 0$ .

## 6 | BUILDING A TRAINING DATA SET FOR CLASSIFICATION

In this section, we provide a series of illustrative examples to demonstrate how the PODP approach<sup>6</sup> to compute the MPT spectral signatures described in Section 2.1 can be combined with an appropriate choice of eigenvalues or tensor invariants in Section 5 and sampling at  $M$  frequencies to form a training dataset for object classification. Forming this dictionary involves considering different physical objects  $B_\alpha^{(p)}$ ,  $p = 1, \dots, P$ , and provides the pairs  $(\mathbf{x}_p \in \mathbb{R}^F, \mathbf{t}_p \in \mathbb{R}^K)$  for each object where the entries  $\mathbf{x}_p$  are of the form of (30) (or (32) or (34)) and (37) and the entries of  $\mathbf{t}_p$  are all 0 except for one entry with value 1, corresponding to the class of the object  $B_\alpha^{(p)}$ . For the numerical examples considered, we use the authors' MPT-Calculator program (commit number b861dfb) to generate the MPT spectral signatures, which, in turn, uses the NGSolve package (version 6.2.2004) developed by the group led by Schöberl for the underlying finite element computations.<sup>7,8,10,11</sup> We note that this data set can be easily enriched by using the scaling results obtained in lemmas 5.1 and 5.2 of Reference 6, which enable the MPT spectral signatures of objects with the same underlying geometry, but with different sizes and different conductivities to be obtained at no cost.

We separate the results into four subsections the first two relating to non-threat items (house keys and British coins) and the second two to threat items (TT-33 semi-automatic pistol and knives).

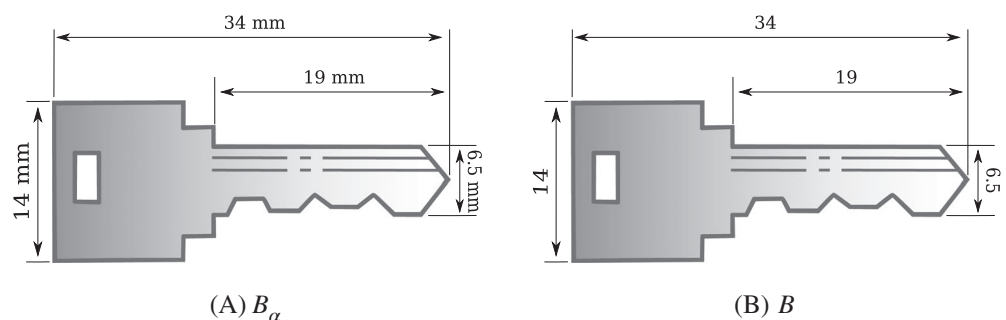
### 6.1 | Non-threat items: Keys for pin-tumbler locks

Common materials for keys for pin-tumbler locks include brass, plated brass, nickel silver, and steel. Among these, brass is often chosen due to its low cost, ease of cutting and its self-lubricating characteristics, which avoids the key getting stuck in a lock. Therefore, for this study, we restrict ourselves to keys made of brass and we select the material parameters to be  $\mu_r = \mu_*/\mu_0 = 1$  and  $\sigma_* = 1.5 \times 10^7$  S/m that correspond to its conductivity value being at 26% of the value for copper in the International Annealed Copper Standard (IACS).<sup>47</sup> An illustration of the cross-section of a key for a pin-tumbler lock is included in Figure 6 where the dimensions are similar to a house key and we indicate the physical key  $B_\alpha$  as well as the nondimensional object  $B$  used in the computations.

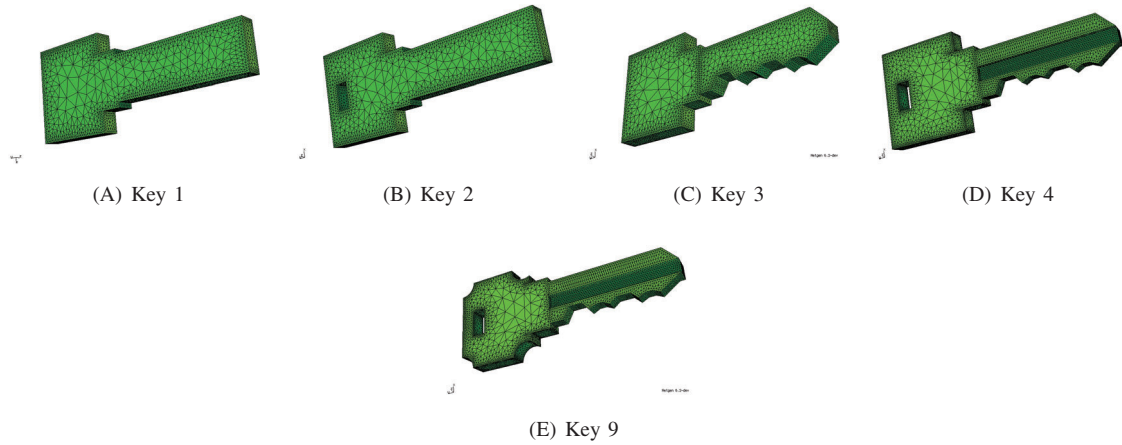
To understand how the effects of small changes in the key geometry affect the MPT frequency spectral signature for frequencies in the range  $10^2 \leq \omega \leq 10^8$  rad/s, a sequence of nine different key geometries were produced. In each case, we set  $\alpha = 0.001$  m and specify the dimensions of the different cases for  $B$  to be non-dimensional. For example, for key 1,  $B$  is of length 34, has a width of 6.5 (min)–14 (max) and a thickness of 2.5 whereas  $B$  for key 4 has a maximum thickness of 2.5 and a deep blade cut of 0.75 and notches of maximum size 1.75. The meshes of the two sets of keys are shown in Figures 7 and 8. These meshes have local refinement toward the edges of the keys and each case the mesh extends out to a truncation boundary in the form of the  $[-1000, 1000]^3$  rectangular box and comprise of between 51,726 and 108,523 unstructured tetrahedra. Importantly, note the connectedness of the different key types: Of the different keys, keys 2, 4, 6, 7, 8, and 9 are multiply connected and have Betti numbers  $\beta_0(B) = \beta_1(B) = 1$  and  $\beta_2(B) = 0$  the remaining keys are simply connected with  $\beta_0(B) = 1$  and  $\beta_1(B) = \beta_2(B) = 0$ .

#### 6.1.1 | Set 1 of brass house keys

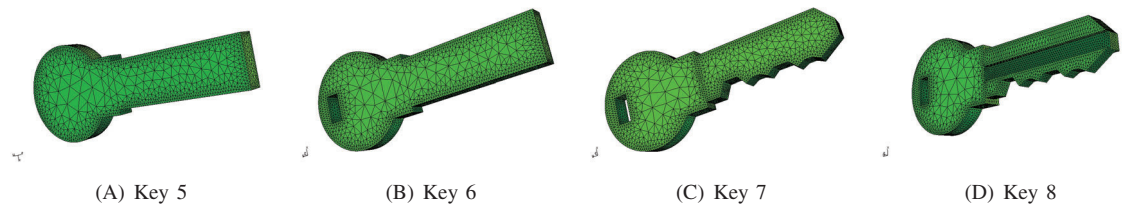
Restricting consideration to the set 1 of house keys, we begin by illustrating that  $p$ -refinement of the mesh of 56,241 unstructured tetrahedra for key 1 using  $p = 0, 1, 2, 3$  order elements leads to a rapid convergence of the MPT spectral



**FIGURE 6** Typical key for a pin-tumbler lock: (A) Physical object  $B_\alpha$  and (B) non-dimensional object  $B$



**FIGURE 7** Set 1 of brass house keys: Surface distribution of elements of the keys in the meshes cases 1–4 and 9



**FIGURE 8** Set 2 of brass house keys: Surface distribution of elements of the keys in the meshes cases 5–8 (see Figure 7 for key 9 also in this set)

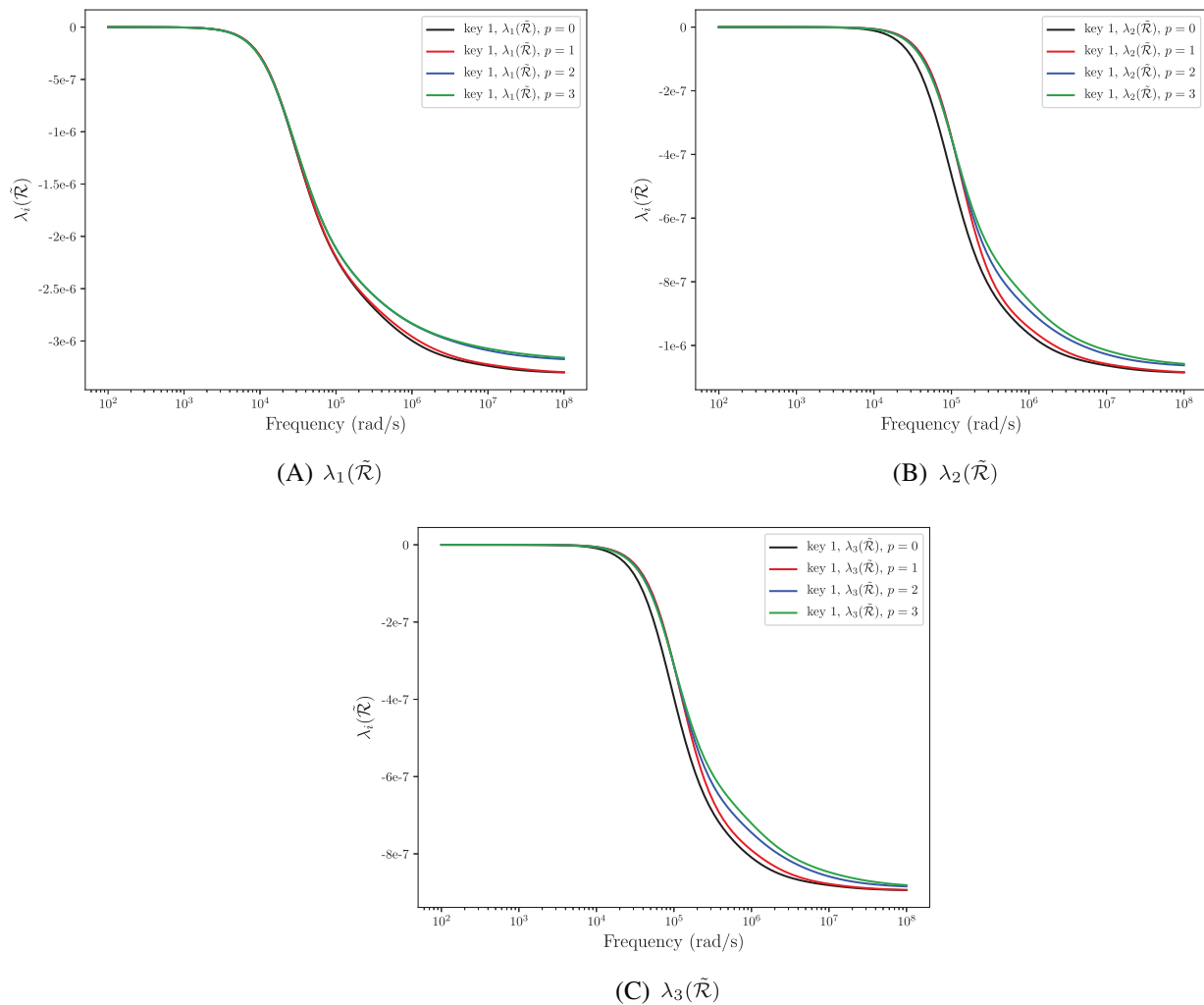
signature presented in the form of the eigenvalues of  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]^\dagger$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$ , namely  $\lambda_i(\tilde{\mathcal{R}})$  and  $\lambda_i(\mathcal{I})$ ,  $i = 1, 2, 3$ , as illustrated in Figures 9 and 10. Note that, due to the reflectional symmetries for key 1, there are only three independent coefficients each in  $\tilde{\mathcal{R}}$  and  $\mathcal{I}$ .

The role played by a key's topology and its equivalent ellipsoid at a fixed frequency is now considered. Previously, in Lemma 2, the equivalence between  $\lambda_i(\mathcal{M}^\infty[\alpha B])$  and  $\lambda_i(\mathcal{T}[\alpha B, 0]) = \mathcal{T}[\alpha E(\infty), 0]$ , for the situation where  $\beta_1(B) = 0$  was established. In Figure 11, we compare  $\lambda_i(\tilde{\mathcal{R}})$  and  $\lambda_i(\mathcal{T}[\alpha B, 0]) = \mathcal{T}[\alpha E(\infty), 0]$  for key 1 and key 2, the former having  $\beta_1(B) = 0$  and the latter having  $\beta_1(B) = 1$ . As expected, since  $\lim_{\omega \rightarrow \infty} (\mathcal{I})_{ij} = 0$ , we see good agreement in the limiting case as  $\omega \rightarrow \infty$  (up to the limit of the eddy current model) between  $\lambda_i(\tilde{\mathcal{R}})$  and  $\lambda_i(\mathcal{T}[\alpha B, 0])$  for key 1, but not for key 2 where we see  $\lambda_i(\tilde{\mathcal{R}})$  is different to  $\lambda_i(\mathcal{T}[\alpha B, 0]) = \mathcal{T}[\alpha E(\infty), 0]$  since the equivalent ellipsoid  $E(\infty)$  cannot describe the MPT spectral signature as  $\omega \rightarrow \infty$  if  $\beta_1(B) \neq 0$ . Thus, highlighting the important role that an object's topology plays.

In a similar manner to the results shown in Figures 9 and 10, by performing  $p$ -refinement on the meshes for the other keys, and considering snapshot frequencies, the MPT coefficients were found converge using  $p = 3$  elements. However, to accelerate the computation of the MPT spectral signature for the keys, the PODP approach described in Reference 6, and outlined in Section 2.1, was followed. This involves computing solutions at  $N$  representative full-order model solutions at logarithmically spaced frequencies and then extracting a basis using a tolerance of  $TOL = 10^{-8}$  and solving reduced sized problems to approximate  $\theta_i^{(1)}(\omega)$  at other frequencies and, henceforth, predict the MPT coefficients at other frequencies. We illustrate the process in Figure 12 for key 1 using  $N = 31$ . The a posteriori error estimates  $(\Delta[\omega])_{ij}$  that are obtained at low-computational cost at run-time during the online stage of the reduced order model, are used to certify the reduced order model solutions that have been obtained, are also shown in this figure. These illustrate that, in this case, the reduced order model predictions are reliable with respect to the full-order model prediction of the MPT. Note that the PODP solutions are also very acceptable using  $N = 13$  representative full-order model solution snapshots, but we have used  $N = 31$  to ensure small  $(\Delta[\omega])_{ij}$  is small at all but the highest frequencies. Still further, the frequency  $\omega_{limit}$ , obtained using the method described in Section 2.1.1, at which the eddy current approximation for this

<sup>†</sup>Note that the coefficients of  $\mathcal{N}^0$  vanish in this case as  $\mu_r = 1$ , but we keep to the notation of  $\tilde{\mathcal{R}} = \mathcal{N}^0 + \mathcal{R}$  for ease of comparison with later results.





**FIGURE 9** Key 1 from set 1 of brass house keys:  $p$ -refinement study using  $p = 0, 1, 2, 3$  order elements for (A)  $\lambda_1(\tilde{\mathcal{R}})$ , (B)  $\lambda_2(\tilde{\mathcal{R}})$ , and (C)  $\lambda_3(\tilde{\mathcal{R}})$

object is predicted to break down is shown. Smaller  $(\Delta[\omega])_{ij}$  could be obtained by increasing  $N$ , however, this was not deemed to be necessary as the bounds, which provide confidence that the PODP predictions are accurate, are already tight for  $\omega \leq \omega_{\text{limit}}$ .

To compare the results for different keys in set 1, we present the MPT spectral signature using the principal invariants  $I_i$ ,  $i = 1, 2, 3$ , for  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  that have been obtained using the PODP approach in Figure 13. In this figure, we observe a family of curves that each show a similar behavior for all the keys in the set.

The invariant  $I_1(\tilde{\mathcal{R}})$  is monotonically decreasing with  $\log \omega$ , implying the hydrostatic part of  $\tilde{\mathcal{R}}$  is associated with a maximum response at high frequencies, while the invariant  $I_2(\tilde{\mathcal{R}})$ , which is monotonically increasing with  $\log \omega$ , implies the deviatoric part of  $\tilde{\mathcal{R}}$  begin to play a significant role for  $\omega > 10^5$  rad/s. The invariant  $I_3(\tilde{\mathcal{R}})$ , which is monotonically decreasing with  $\log \omega$ , implies the interaction between the hydrostatic and deviatoric part of  $\tilde{\mathcal{R}}$  begin to play a significant role for  $\omega > 10^5$  rad/s. The invariants  $I_i(\mathcal{I})$ ,  $i = 1, 2, 3$ , each have a single local maximum and are greater or equal to 0 for all  $\omega$ . The invariant  $I_1(\mathcal{I})$  implies that hydrostatic part of  $\mathcal{I}$  is associated with a maximum response at  $\omega \approx 10^5$  rad/s and has a broad response over the frequency range  $10^2 \leq \omega \leq 10^8$  rad/s while the invariant  $I_2(\mathcal{I})$  implies the deviatoric part of  $\mathcal{I}$  is associated with a maximum response at  $\omega \approx 10^5$  rad/s, but its effects are more limited to the narrower frequency band  $10^4 \leq \omega \leq 10^7$  rad/s. Finally, the invariant  $I_3(\mathcal{I})$  has a maximum at  $\omega \approx 10^5$  rad/s, although interaction between hydrostatic and deviatoric parts are more limited to the  $10^4 \leq \omega \leq 10^6$  rad/s. Comparing the keys, the effects are diminished from keys 1, 2, 3, 4, and 9, in turn and, for example, the peak value of  $I_3(\mathcal{I})$  reduces in sequence of the volumes of the keys, as expected. Furthermore, the results for  $I_i$ ,  $i = 2, 3$  applied to  $\tilde{\mathcal{R}}$  and  $\mathcal{I}$  are similar when comparing the keys 1 and 2.



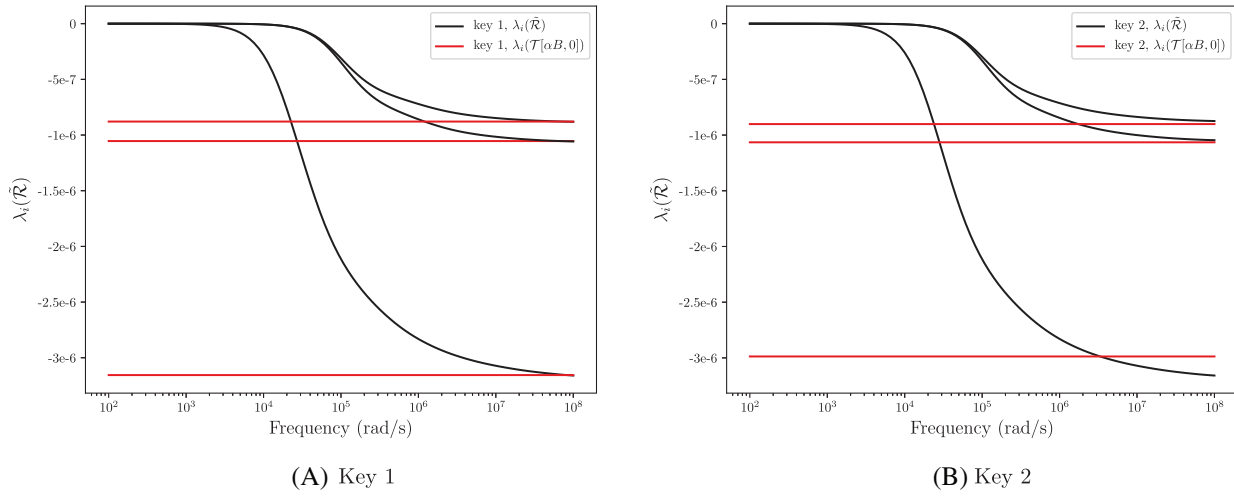


FIGURE 11 Set 1 of brass house keys: Comparison of  $\lambda_i(\tilde{\mathcal{R}})$  and  $\lambda_i(\mathcal{T}[\alpha B, 0])$  for (A) key 1 and (B) key 2

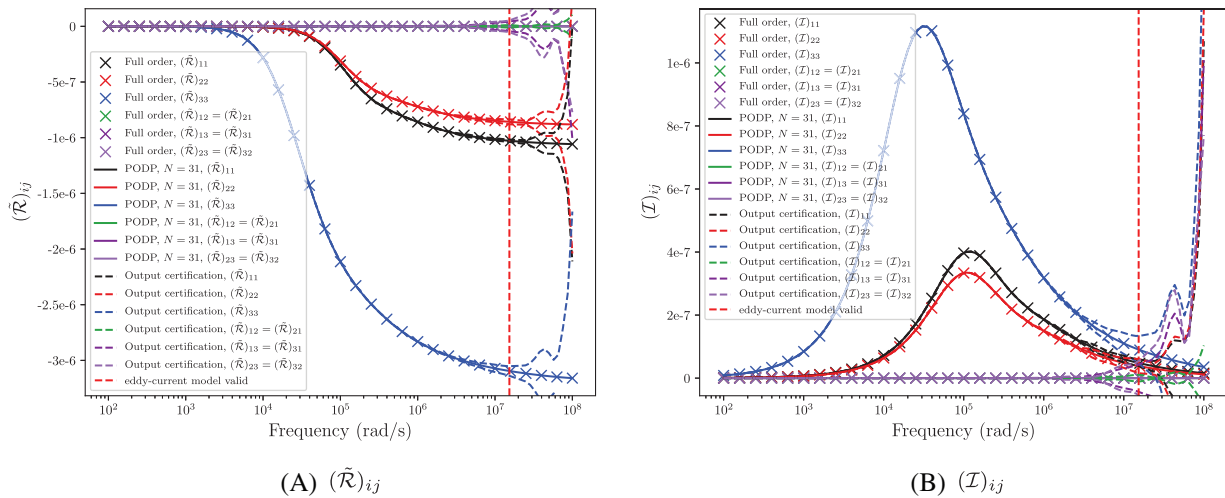
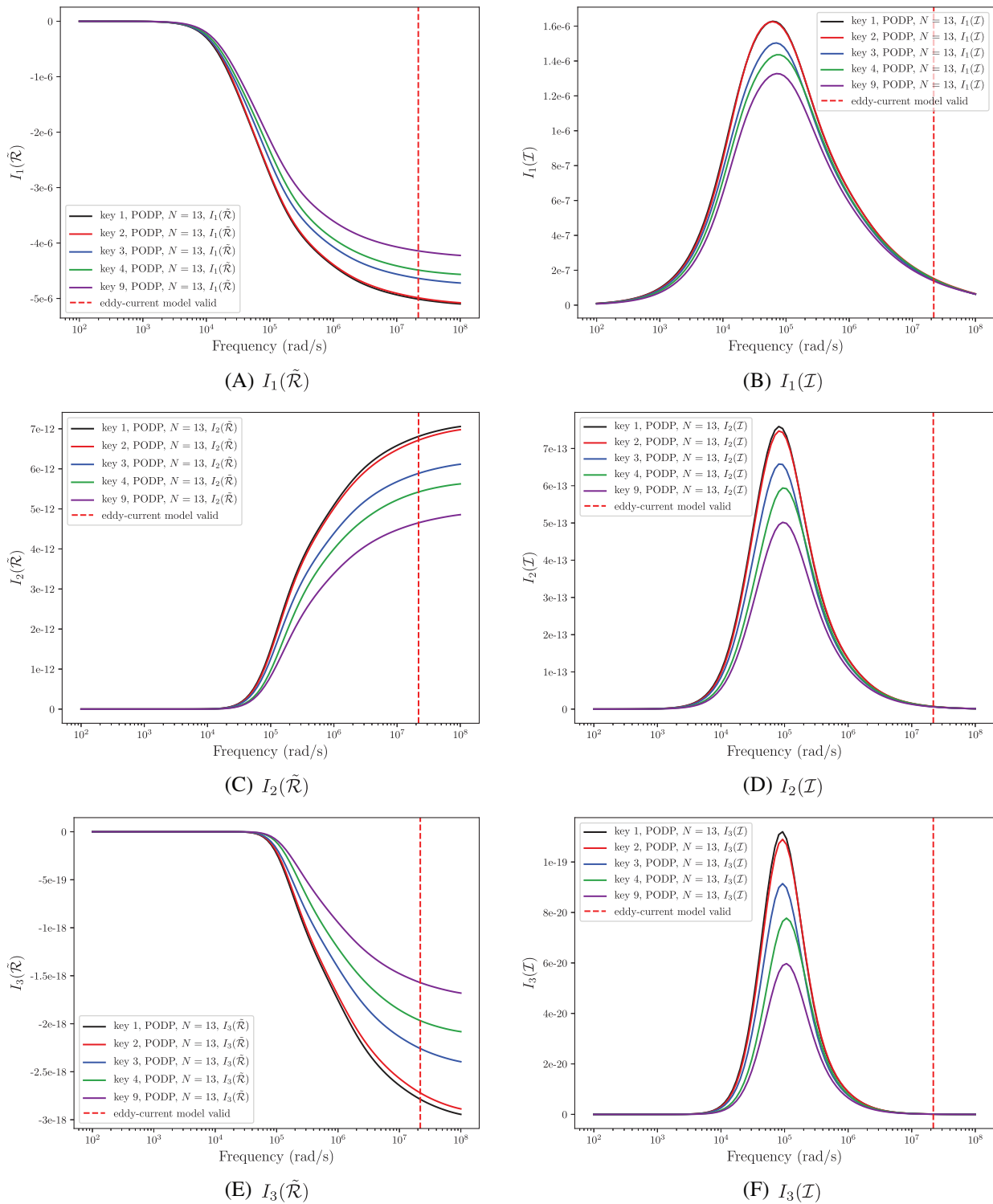


FIGURE 12 Key 1 from set 1 of brass house keys: PODP prediction of the spectral signature showing also the frequencies used for the representative full-order solution snapshots and limiting frequency for (A)  $(\tilde{\mathcal{R}})_{ij}$  and (B)  $(\mathcal{I})_{ij}$

## 6.1.2 | Set 2 of brass house keys

Turning our attention to set 2 of the brass house keys, we reproduce the results previously shown in Figure 13 for the invariants  $I_i$ ,  $i = 1, 2, 3$ , for  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]^{\ddagger}$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  for the second set of keys and show these in Figure 17. In a similar manner to Figure 13, we see that the results included in Figure 17 form a family of similar curves and that their behavior follows a similar pattern to that previously described for the keys in set 1. The results for  $I_i$ ,  $i = 1, 2, 3$  for the tensor characterisations of keys 5 and 6 are similar, which is not surprising given the similarities in these geometries. In addition, there are only small differences in  $I_i$ ,  $i = 1, 2, 3$  for the tensor characterisations of keys 8 and 9. Note that key 8 has a circular head and key 9 a polygonal head, but the volume of material is similar and the symmetries of the objects and the number of independent coefficients in  $\tilde{\mathcal{R}}$  and  $\mathcal{I}$  (for each frequency) are otherwise the same for these two keys. Keys 5–8 are associated with a gradual reduction in the volume of the material for the key and we can see that the magnitude of the associated  $I_3(\mathcal{I})$  and  $I_3(\tilde{\mathcal{R}})$ , curves for these cases reduces as expected.

<sup>‡</sup>Note that the coefficients of  $\mathcal{N}^0$  vanish as  $\mu_r = 1$ , but we keep to the notation of  $\tilde{\mathcal{R}} = \mathcal{N}^0 + \mathcal{R}$  for ease of comparison with later results.



**FIGURE 13** Set 1 of brass house keys: Comparison of tensor invariants. (A)  $I_1(\tilde{\mathcal{R}})$ , (B)  $I_1(\mathcal{I})$ , (C)  $I_2(\tilde{\mathcal{R}})$ , (D)  $I_2(\mathcal{I})$ , (E)  $I_3(\tilde{\mathcal{R}})$ , and (F)  $I_3(\mathcal{I})$

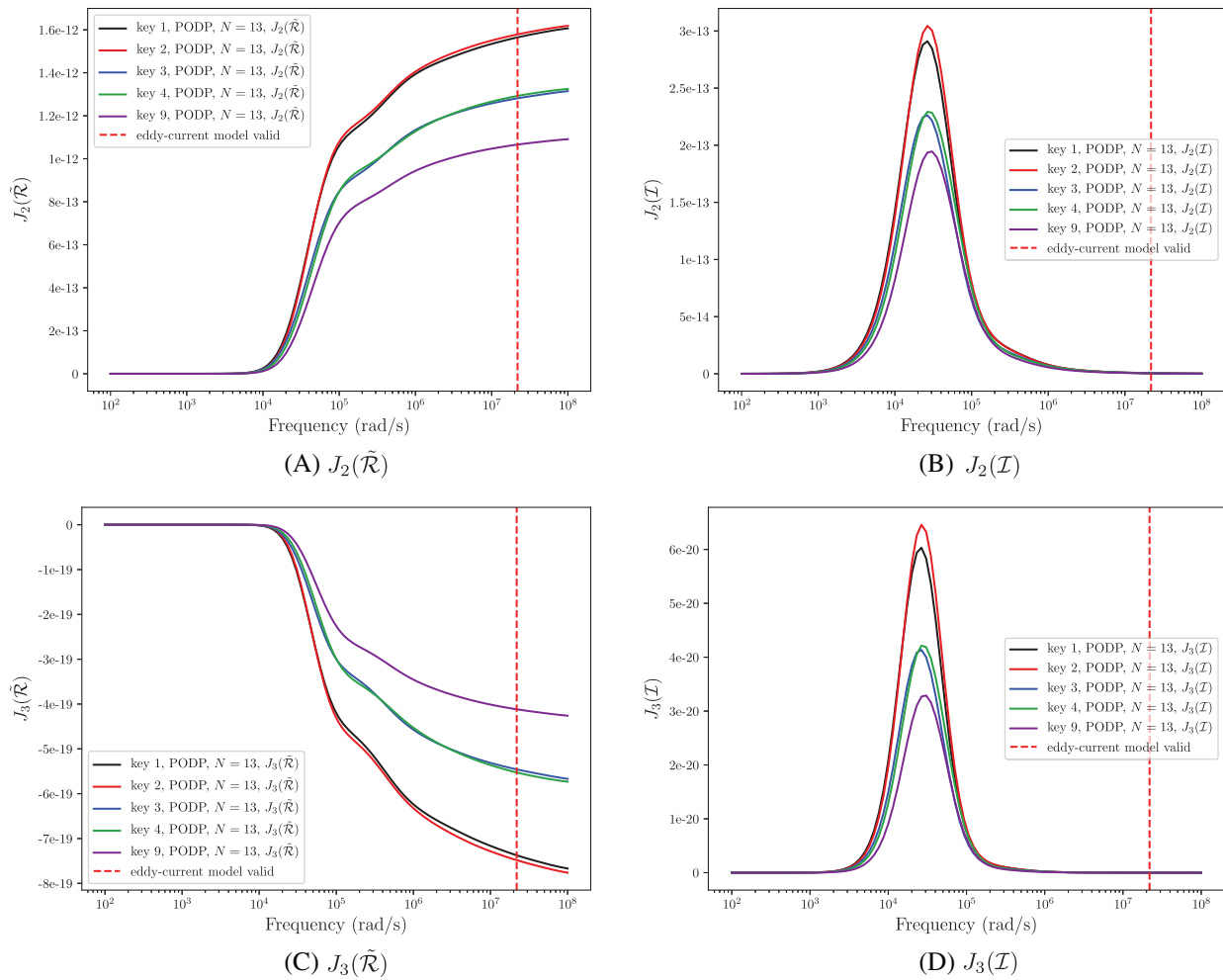


FIGURE 14 Set 1 of brass house keys: Comparison of tensor invariants. (A)  $J_2(\tilde{\mathcal{R}})$ , (B)  $J_2(\mathcal{I})$ , (C)  $J_3(\tilde{\mathcal{R}})$ , and (D)  $J_3(\mathcal{I})$

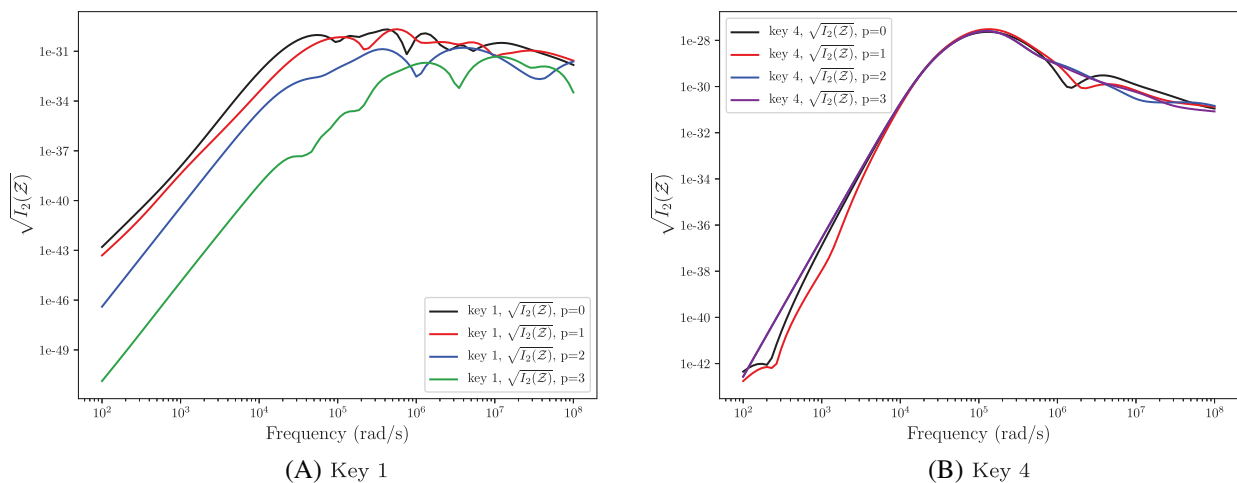
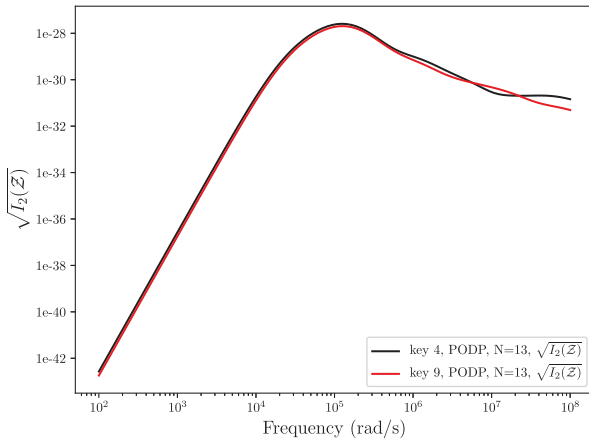


FIGURE 15 Set 1 of brass keys:  $p$ -refinement study for  $\sqrt{I_2(\mathcal{Z})}$  for (A) key 1 and (B) key 4



**FIGURE 16** Set 1 of brass keys: Comparison of  $\sqrt{I_2(\mathcal{Z})}$  for keys 4 and 9

Similarly, in Figure 18, we see the results for the invariants  $J_i$ ,  $i = 2, 3$  form a family of curves with the behavior of the invariants similar to that described for the keys in set 1. Note that the results for the keys in set 2 for  $J_i$ ,  $i = 2, 3$  can be grouped into keys 5, 6 and keys 7, 8, and 9 where the results for keys 8 and 9 for  $J_2(\mathcal{I})$  (and  $J_3(\mathcal{I})$ ) are indistinguishable on this scale. The former group does not contain the notches or the blade cut while the latter set all have the same notches, keys 8 and 9 have the deep blade cut and key 9 differs from the others by having a polygonal head rather than a circular head (although has a similar volume to keys 7 and 8).

Of the keys in set 2, only keys 8 and 9 have independent coefficients in  $\mathcal{R}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  that are not only associated with the diagonal entries of the tensor. The behavior of  $\sqrt{I_2(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r])}$  for these keys is shown in Figure 19. For the other keys in set 2 we set  $\sqrt{I_2(\mathcal{Z})} = 0$ .

## 6.2 | Non-threat items: British coins

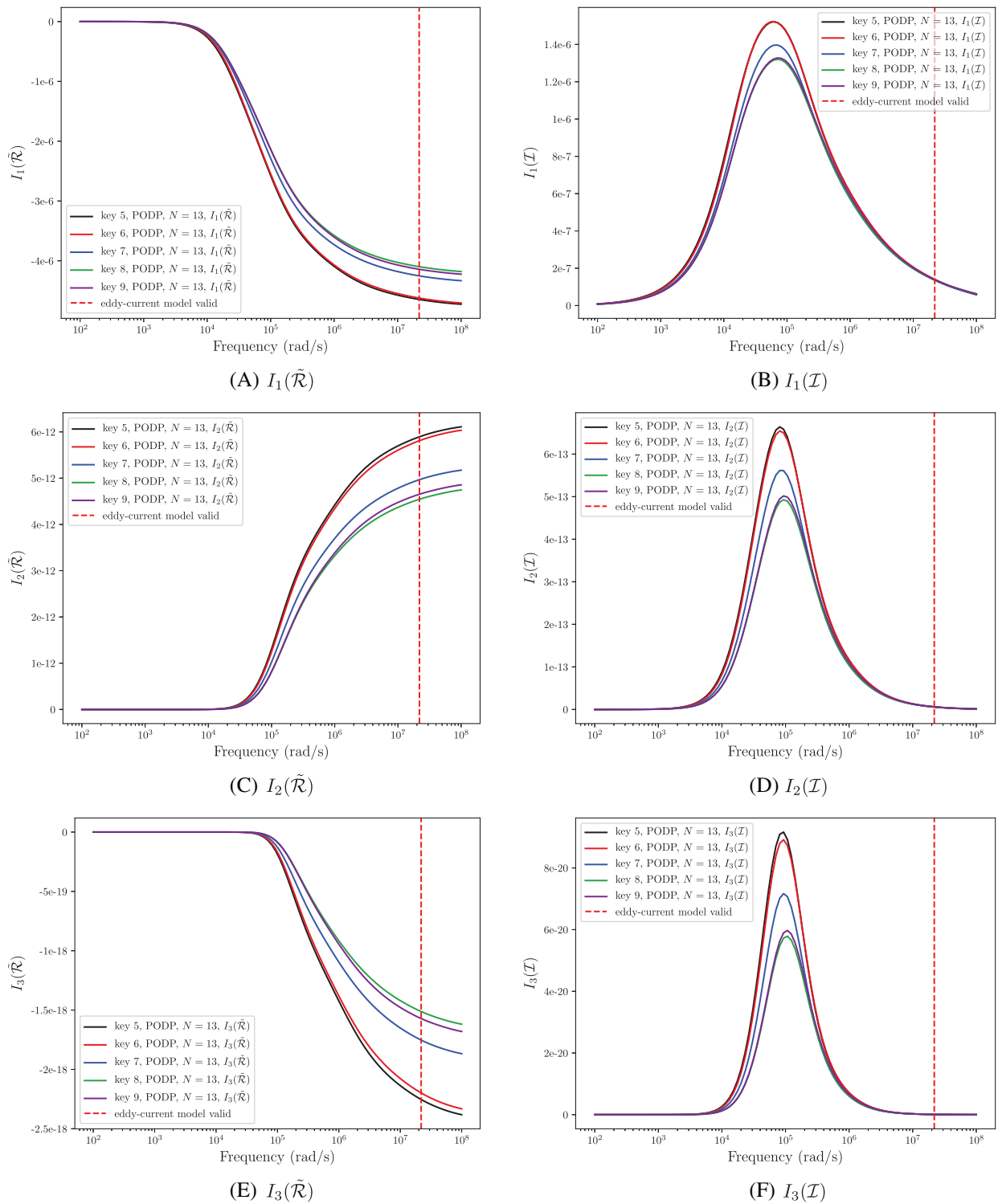
In this section, inspired by the previous article on MPT characterisations of U.S. coins,<sup>24</sup> we present MPT spectral signature characterisations for British coins in the denominations 1 pence (p), 2p, 5p, 10p, 20p, 50p, £1, £2. We use the 1982 (20p), 1992 (1p, 2p), 1997 (50p), 1998 (£2), 2012 (5p, 10p), 2017 (£1) issues of these denominations as listed in Table 1, which also summarizes the shape, diameter, thickness, composition based on the information available from the Royal Mint.<sup>48</sup> The table also sets out the electrical properties, where the conductivity values for the different material compositions have been obtained from Reference 49 at room temperature. For the quoted compositions, we have assumed that  $\mu_r = 1$ , however, in practice, some copper–nickel mixtures with a high iron content can have a  $\mu_r$  slightly above 1 (e.g., Reference 50). The later issues of the 1p, 2p, 5p, and 10p coins have a significantly different composition to that presented in Table 1 and, instead of a high copper content, they are instead copper-plated steel. Note that each of the coins considered are simply connected.

With the exception of the £1 and £2 denominations, the coins are modeled as homogeneous conductors while the former are each modeled as an annulus with two different materials. The majority of the coins have a circular face and only the 20p and 50p differ, being Reuleaux heptagonal discs. The coins with a circular face are modeled so that their circular region lies in the plane spanned by  $\mathbf{e}_1$  and  $\mathbf{e}_2$  and, hence, they have rotational symmetry about the  $\mathbf{e}_3$  axis (for any angle). Consequently, the independent coefficients of  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$ <sup>§</sup> and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  for such coins are  $(\tilde{\mathcal{R}})_{11} = (\tilde{\mathcal{R}})_{22}$ ,  $(\tilde{\mathcal{R}})_{33}$  and  $(\mathcal{I})_{11} = (\mathcal{I})_{22}$  and  $(\mathcal{I})_{33}$  (for each frequency). The Reuleaux heptagonal discs are modeled in a similar way, with a 51.4280 (4dp) degree rotational symmetry about the  $\mathbf{e}_3$  and, consequently, it also follows that their independent coefficients of the MPT for such coins are associated with the same entries.

To model the 1p coin, we considered  $B$  to be a circular disc of diameter 20.3 and thickness 1.52 and set  $\alpha = 0.001$  m. An unstructured mesh of 33,351 unstructured tetrahedra was generated to model the object and the region surrounding it out to a truncation boundary in the form of the rectangular box  $[-1000, 1000]^3$ . In a similar way, unstructured meshes of between 24,963 and 36,957 tetrahedra were generated to model the other coins. On these meshes,  $p = 4$  elements were found to be satisfactory for accurately computing the representative full-order model solution snapshots. In order to

<sup>§</sup>Note that the coefficients of  $\mathcal{N}^0$  vanish as  $\mu_r = 1$ , but we keep to the notation of  $\tilde{\mathcal{R}} = \mathcal{N}^0 + \mathcal{R}$  for ease of comparison with later results.





**FIGURE 17** Set 2 of brass house keys: Comparison of tensor invariants. (A)  $I_1(\tilde{\mathcal{R}})$ , (B)  $I_1(\mathcal{I})$ , (C)  $I_2(\tilde{\mathcal{R}})$ , (D)  $I_2(\mathcal{I})$ , (E)  $I_3(\tilde{\mathcal{R}})$ , and (F)  $I_3(\mathcal{I})$

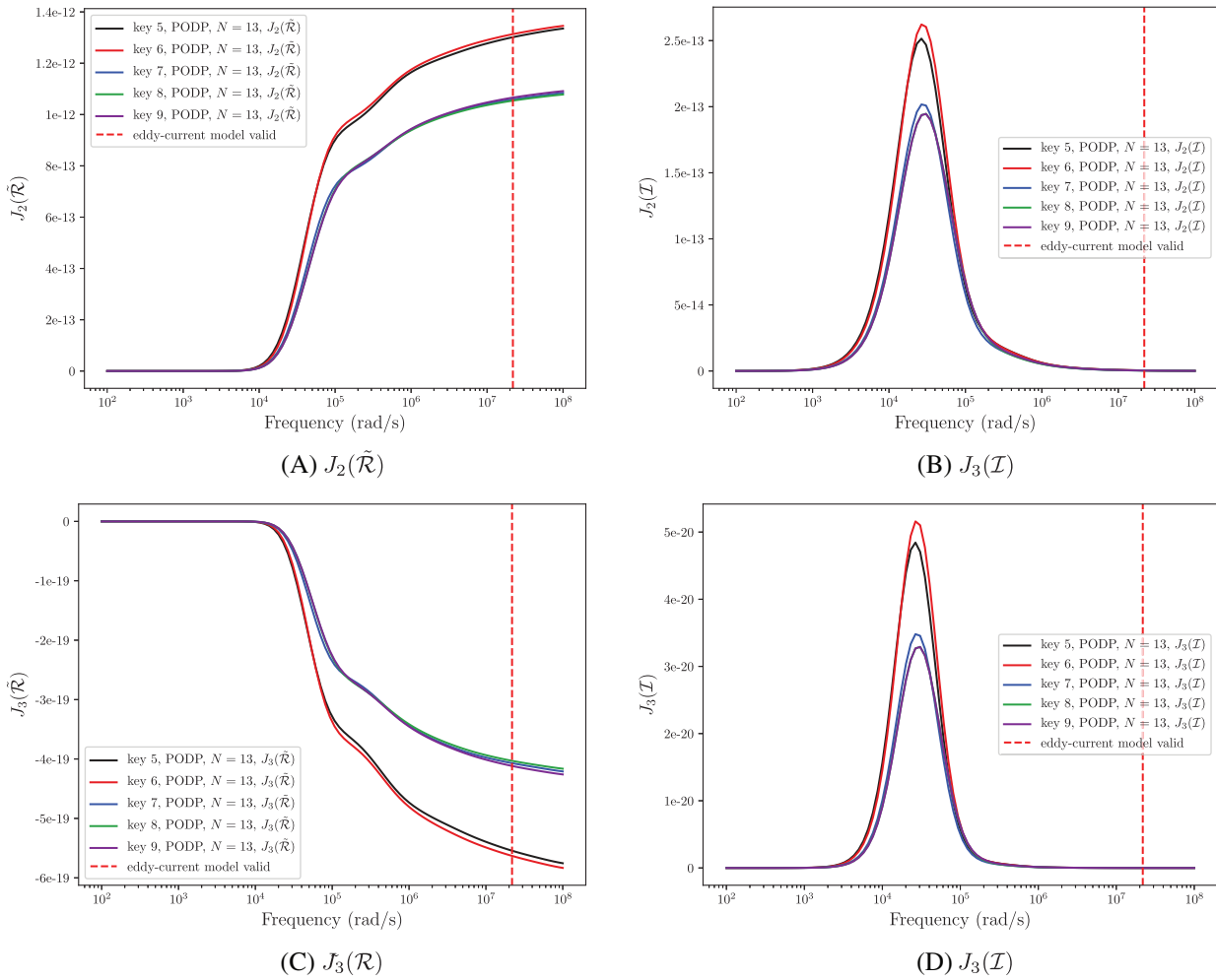


FIGURE 18 Set 2 of brass house keys: Comparison of tensor invariants. (A)  $J_2(\tilde{\mathcal{R}})$ , (B)  $J_2(\mathcal{I})$ , (C)  $J_3(\tilde{\mathcal{R}})$ , and (D)  $J_3(\mathcal{I})$

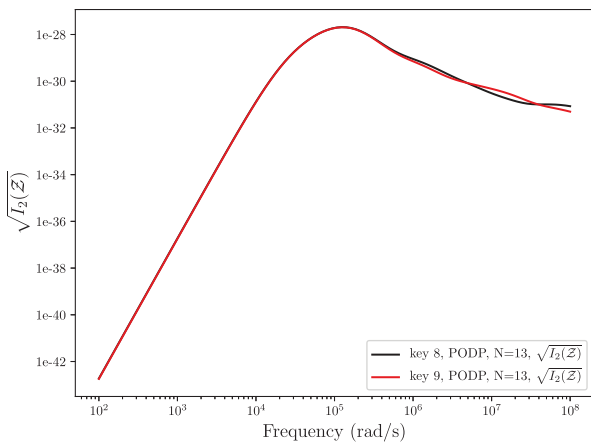


FIGURE 19 Set 2 of brass house keys: Comparison of the tensor invariant  $\sqrt{I_2(\tilde{\mathcal{Z}})}$

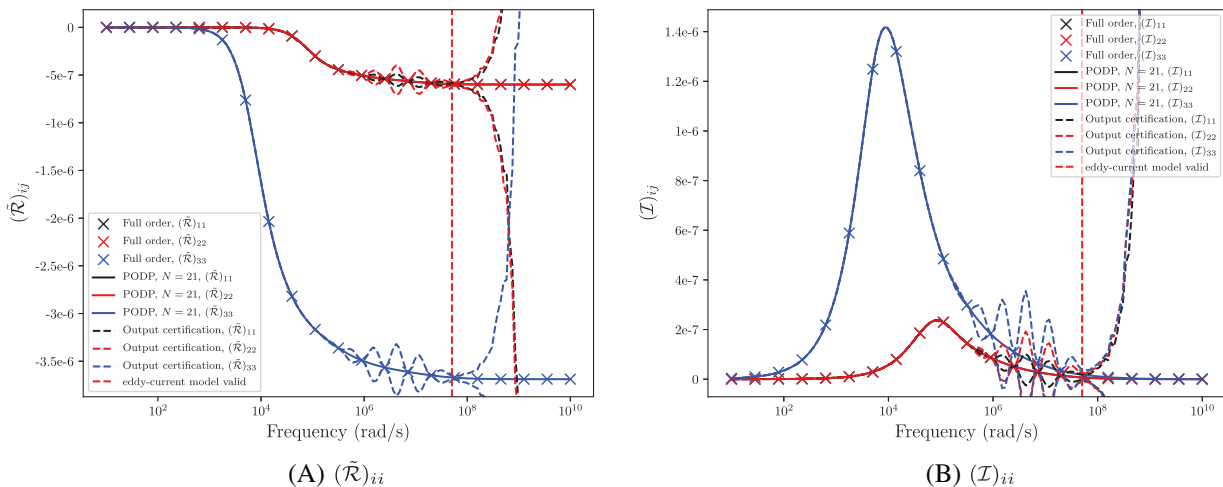
TABLE 1 Set of British coins 1p, 2p, 5,10p, £1, and £2: Coin shape, dimensions, and electrical properties

Coin	Shape	Diameter in mm	Thickness in mm	Composition	Relative permeability ( $\mu_r$ )	Conductivity ( $\sigma_*$ ) in S/m
 (1971-Date)	1p Circular disc	20.3	1.52	97% copper, 2.5% zinc, and 0.5% tin	1	$4.03 \times 10^7$
 (1971-Date)	2p Circular disc	25.9	2.03	97% copper, 2.5% zinc, and 0.5% tin	1	$4.03 \times 10^7$
 (1990-Date)	5p Circular disc	18	1.7	75% copper and 25% nickel	1	$2.91 \times 10^6$
 (1990-Date)	10p Circular disc	24.5	1.85	75% copper and 25% nickel	1	$2.91 \times 10^6$
 (1982-Date)	20p Reuleaux heptagonal disc	21.4	1.7	84% copper and 16% nickel	1	$5.26 \times 10^6$
 (1997-Date)	50p Reuleaux heptagonal disc	27.3	1.78	75% copper and 25% nickel	1	$2.91 \times 10^6$
 (2017-Date)	£ 1 Annulus	15.2/23.45 (in/out)	2.8/2.8 (in/out)	Nickel-plated brass/70% copper, 24.5% zinc, and 5.5% nickel	1/1 (in/out)	$1.63 \times 10^7$ / $5.26 \times 10^6$ (in/out)
 (1998-Date)	£ 2 Annulus	21/28.4 (in/out)	2.5/2.5 (in/out)	75% copper and 25% nickel/97% copper, 2.5% zinc, and 0.5% tin	1/1 (in/out)	$2.91 \times 10^6$ / $1.93 \times 10^7$ (in/out)

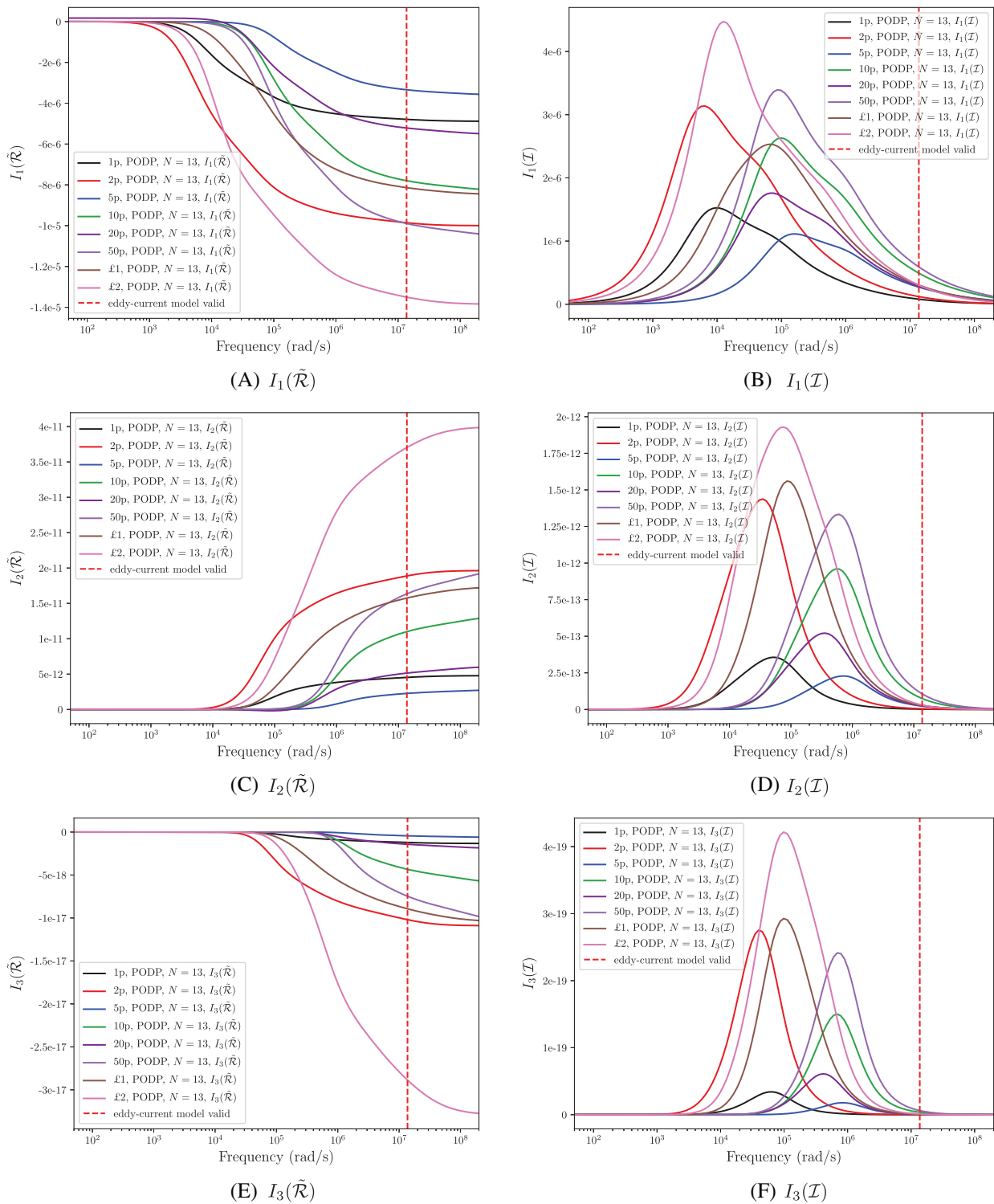
produce the MPT spectral signature for the coins,  $N = 13$  representative full-order solution snapshots were obtained at logarithmically spaced frequencies over the range  $10^1 \leq \omega \leq 10^{10}$  rad/s were used in combination with the PODP approach and a tolerance of  $TOL = 10^{-6}$ .

Although the PODP solutions are very acceptable using  $N = 13$  representative full-order model solution snapshots, in order to achieve smaller a posteriori error estimates, results obtained with  $N = 21$  and  $TOL = 10^{-8}$  are considered and shown in Figure 20. Also included in this figure is the limiting frequency  $\omega_{\text{limit}}$  predicted by following the approach in Section 2.1.1. The rotational symmetry of the object implies that the object has just two independent coefficients each in  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$ , which lie on the diagonal of the tensors. Of these  $(\tilde{\mathcal{R}})_{33}$  and  $(\mathcal{I})_{33}$  have the largest magnitude in a direction that is perpendicular to the plane of the disc, which is as expected for a non-magnetic disc.<sup>24</sup> Note that the 1p coin issued after 1992, which has a high  $\mu_r$  value, would have dominant components  $(\tilde{\mathcal{R}})_{11} = (\tilde{\mathcal{R}})_{22}$  and  $(\mathcal{I})_{11} = (\mathcal{I})_{22}$  in the plane of the disc, as expected for a magnetic disc.<sup>24</sup> A similar study was performed for each of the coins listed in table 1 in Reference 51 in order to ensure the results were accurate.

To compare the results for the different coins, we present the MPT spectral signature using the principal invariants  $I_i$ ,  $i = 1, 2, 3$ , for  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  that have been obtained using the PODP approach in Figure 21. In this figure, we have restricted consideration to frequencies such that  $10^2 \leq \omega \leq 10^8$  rad/s in order to allow comparisons with the earlier key results. In practice, the eddy current model breaks down at a frequency of  $\omega_{\text{limit}} < 10^8$  rad/s (or greater) for all the coins considered and so higher frequencies are physically invalid in any case. Unlike the corresponding results for the house keys shown in Figures 13 and 17, the results obtained for the coins shown in Figure 21 do not form a family of similar curves since both the volumes and materials of the coins vary significantly motivating the ability to discriminate between different coins, however, some of the trends previously observed carry over to this case also. The curves for  $I_1(\tilde{\mathcal{R}})$  and  $I_3(\tilde{\mathcal{R}})$  are monotonically decreasing with  $\log \omega$ , while  $I_2(\tilde{\mathcal{R}})$  is monotonically increasing with  $\log \omega$ . The curves for  $I_i(\mathcal{I})$ ,  $i = 1, 2, 3$ , each have a single local maximum, although the peaks appear at different frequencies for different coins and the different invariants, however, the width of the frequency band reduces for all cases, when considering  $I_2(\mathcal{I})$  and  $I_3(\mathcal{I})$  compared with  $I_1(\mathcal{I})$ . On considering the different coins, we see similarities between the MPT spectral signatures of the 1p, 2p coins, the 5p, 10p coins, and the 20p and 50p coins. This can be explained as follows: the composition of the coins in these groups is the same and their dimensions can be approximately obtained by a simple scaling, hence, the scaling results in lemma 5.2 of Reference 6 predict that the tensor coefficients of the larger sized coin can be obtained from the smaller object by a translation and scaling, which is also observed in the invariants. The 50p coin has the largest volume and also the highest peak value in  $I_3(\mathcal{I})$ , the magnitude of the peaks reduce in sequence of the volumes of the coins, as expected. The multiple local maxima in the coefficients of  $\mathcal{I}$  and the multiple points of inflection in the coefficients of  $\tilde{\mathcal{R}}$ , which are known to be associated with objects with inhomogeneous conductivity,<sup>14</sup> are not easily distinguished on the invariants for the £1 and £2 coins, probably due to the difference in conductivities being approximately 1 order of magnitude or less.



**FIGURE 20** 1p Coin from set of British coins: PODP prediction of the spectral signature showing also the frequencies used for the representative full-order solution snapshots and limiting frequency for (A)  $(\tilde{\mathcal{R}})_{ii}$  and (B)  $(\mathcal{I})_{ii}$



**FIGURE 21** Set of British coins: Comparison of tensor invariants. (A)  $I_1(\tilde{\mathcal{R}})$ , (B)  $I_1(\mathcal{I})$ , (C)  $I_2(\tilde{\mathcal{R}})$ , (D)  $I_2(\mathcal{I})$ , (E)  $I_3(\tilde{\mathcal{R}})$ , and (F)  $I_3(\mathcal{I})$

The corresponding results obtained for the alternative invariants  $J_i$ ,  $i = 2, 3$ , for  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  are presented in Figure 22. Again, unlike the keys, we see that the plots of these invariants do not form a family of similar curves as both the volumes and conductivities of the different coins are different. While for most coins  $J_2(\tilde{\mathcal{R}})$  is monotonically increasing with  $\log \omega$  and  $J_3(\tilde{\mathcal{R}})$  is monotonically decreasing with  $\log \omega$ , we see that there are exceptions, most notably with the £2 coin, which can be explained by its inhomogeneous materials. One might expect a similar behavior with the inhomogeneous £1 coin, but it is difficult to observe on this scale. The behavior of  $J_2(\mathcal{I})$  and  $J_3(\mathcal{I})$  with  $\log \omega$  shows a single local maximum for each coin where the presence of multiple local maxima for the £1 and £2 cannot be observed on this scale. Of the coins considered, the curves associated with the 2p, 50p, and £2 cases have the largest magnitude, indicating that they have the largest deviatoric component, which is also expected, given the geometries of these objects.

In order to compute the MPT spectral signature, the solution of a reduced order model is obtained at each frequency of interest. As the frequency increases, the skin depth reduces and the associated eddy currents become confined to a thin layer close to the surface of the conductor. In Figure 23, we show a cut through the 1p coin, on the plane spanned by  $\mathbf{e}_1$  and  $\mathbf{e}_3$ , in order to illustrate the eddy currents  $\mathbf{J}^e = i\omega\sigma_*\theta_3^{(1)}$  obtained at the frequencies  $\omega = 10^3$  rad/s,  $\omega = 10^5$  rad/s, and  $\omega = 10^7$  rad/s. In Figure 24, we show a contour plot for the eddy-currents  $\mathbf{J}^e = i\omega\sigma_*\theta_3^{(1)}$  in cut through the £1 coin, on a plane spanned by  $\mathbf{e}_1$  and  $\mathbf{e}_3$  for  $\omega = 10^3$  rad/s. This figure also includes the field lines for  $\text{Re}(\mathbf{J}^e)$ .

For the coin models, each of the associated MPT frequency spectra have independent coefficients that are only associated with diagonal entries of the tensor. Thus, we set  $\sqrt{\mathcal{I}_2(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r])} = 0$  in each case.

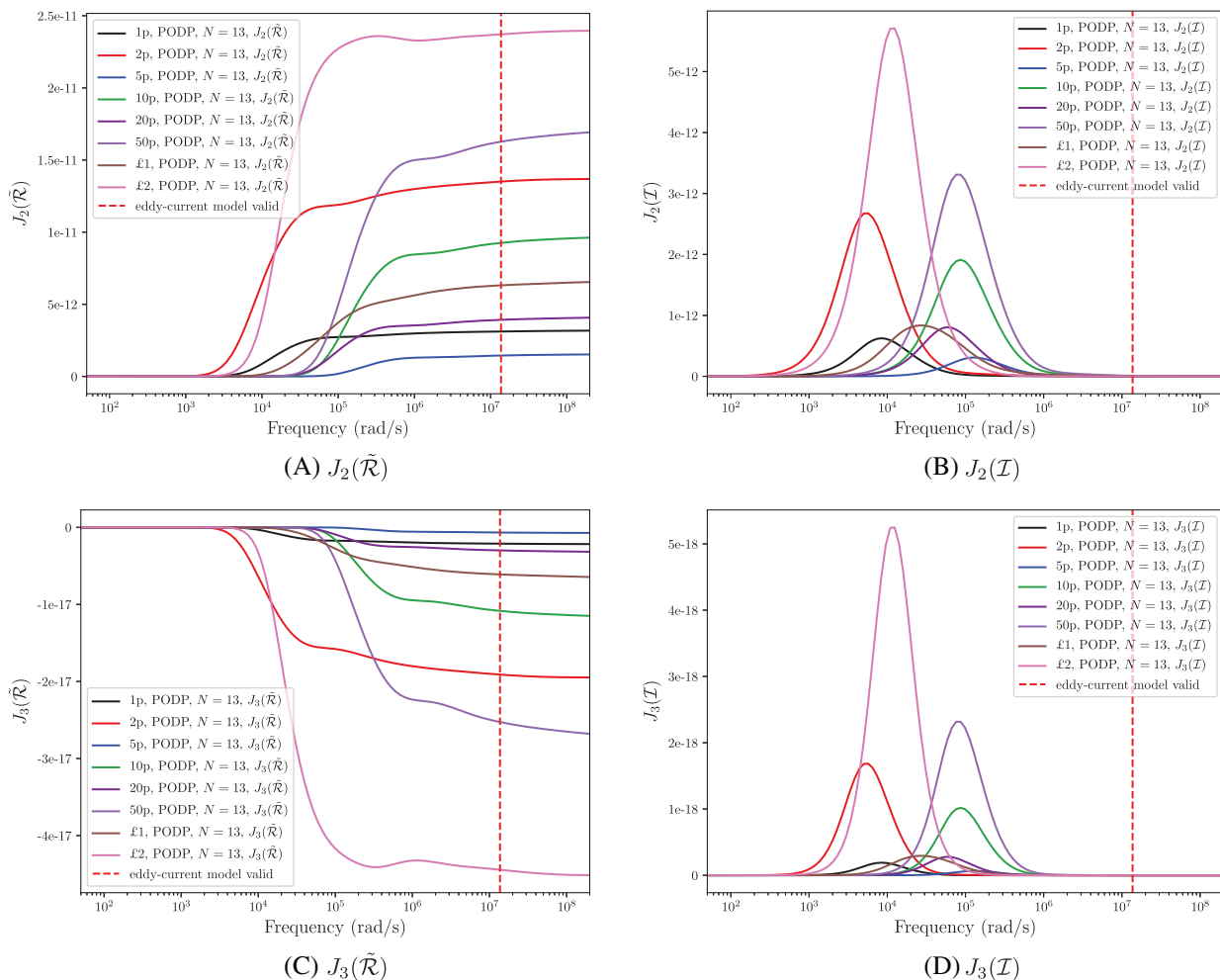
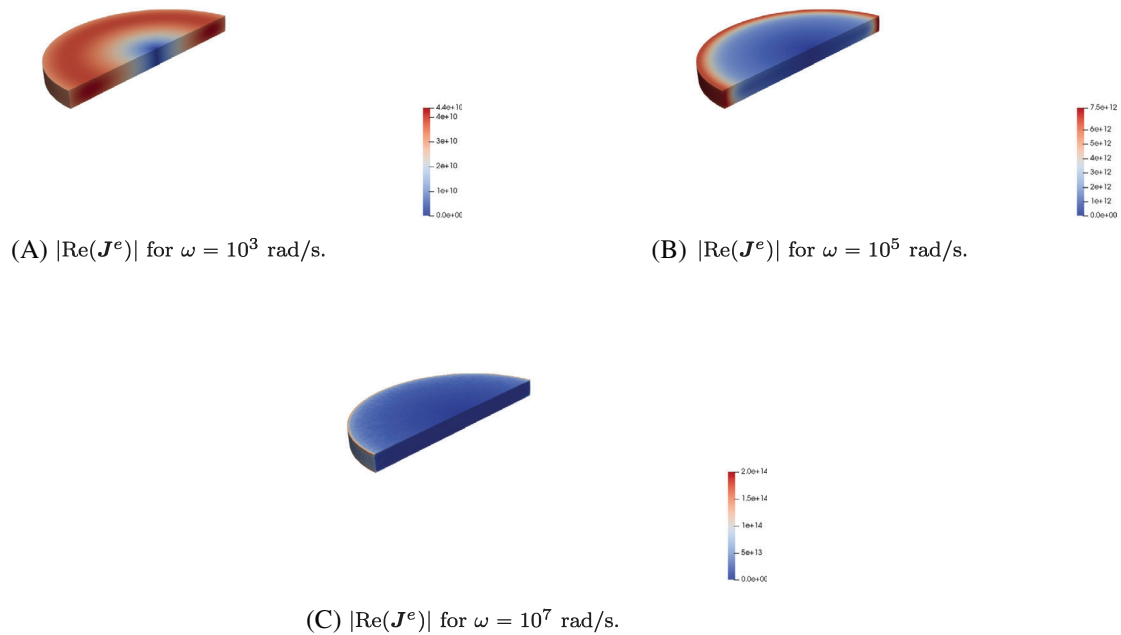


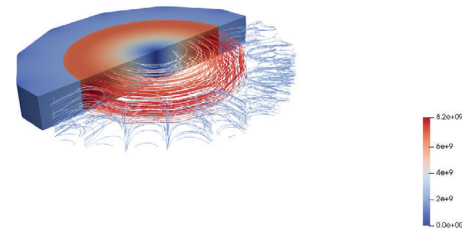
FIGURE 22 Set of British coins: Comparison of tensor invariants. (A)  $J_2(\tilde{\mathcal{R}})$ , (B)  $J_2(\mathcal{I})$ , (C)  $J_3(\tilde{\mathcal{R}})$ , and (D)  $J_3(\mathcal{I})$





**FIGURE 23** 1p coin from the set of British coins: Contours of the eddy-currents  $\mathbf{J}^e = i\omega\sigma_*\theta_3^{(1)}$  for different values of  $\omega$ , (A)  $\omega = 10^3$  rad/s, (B)  $\omega = 10^5$  rad/s, (C)  $\omega = 10^7$  rad/s in a cut through the coin, on the plane spanned by  $\mathbf{e}_1$  and  $\mathbf{e}_3$

**FIGURE 24** £1 coin from set of British coins: Contours of  $|\text{Re}(\mathbf{J}^e)|$  in a cut through the coin, on the plane spanned by  $\mathbf{e}_1$  and  $\mathbf{e}_3$ , where  $\mathbf{J}^e = i\omega\sigma_*\theta_3^{(1)}$  are the eddy currents and showing the field lines corresponding to  $\text{Im}(\mathbf{J}^e)$  with  $\omega = 10^3$  rad/s



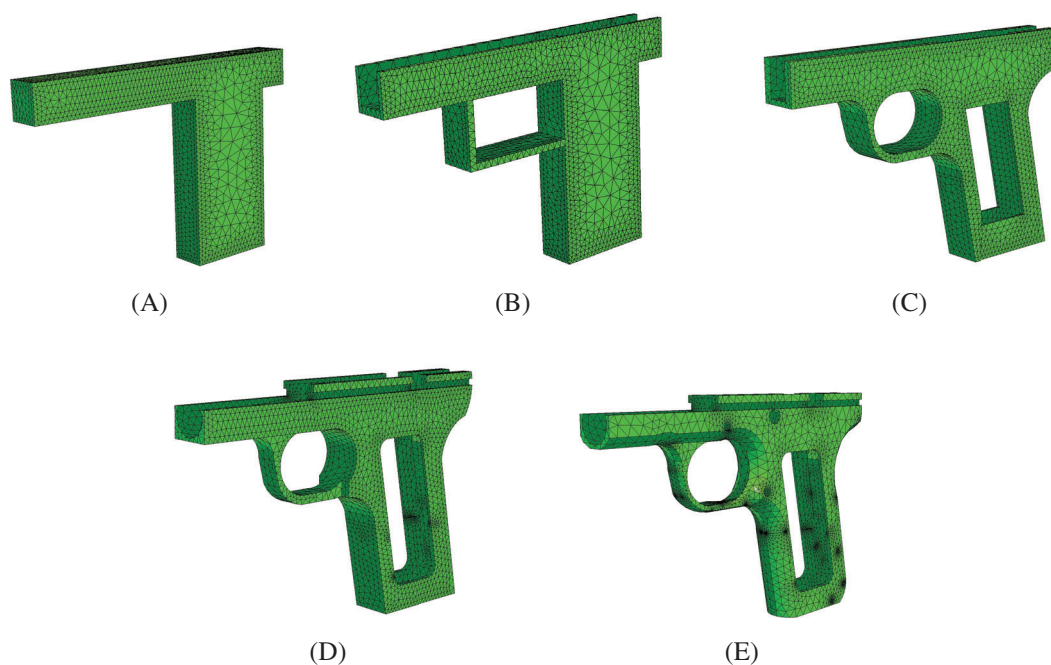
### 6.3 | Threat items: TT-33 semi-automatic pistol

In this section, we present the MPT spectral signature characterisations for components of an exemplar semi-automatic pistol. We have chosen the Tokarev TT-33, shown in Figure 25, which was originally designed in the Soviet Union in the late 1920's, with production in the USSR between 1930 and 1954.<sup>52</sup> It has also been produced in other countries including China, Hungary, North Korea, Pakistan, Romania, Vietnam, and Yugoslavia and exported to other nations around world. It is still used by the Bangladeshi and North Korean armed forces and the police in Pakistan often carry the pistol as a side arm. Under a different name, it is occasionally supplied to the police and armed forces in China.<sup>53</sup> We chose to model this gun due to both its simplicity and prevalence in conflict zones and less economically developed countries with about 1.7 million being produced in total.<sup>52</sup>

The starting point for our characterisation are the blueprints for the larger parts of a non-firing replica,<sup>55</sup> which we expect to be sufficient for our purposes. We have chosen to focus our attention on the receiver (with the magazine and ammunition removed), the reason being that someone wishing to disguise an semiautomatic pistol might disassemble the main pieces and carry them separately through a security control check. As the receiver is one of the larger components, it should be easiest to identify by a metal detector. The exact materials of the receiver are not known although it is likely to be made of a carbon steel alloy such as 1020 or 4140, which has a conductivity of around  $\sigma_* = 4.5 \times 10^6$  S/m to  $\sigma_* = 6.25 \times 10^6$  S/m<sup>56</sup> and, for our simulations, we have chosen  $\sigma_* = 6.2 \times 10^6$  S/m. Such steels are ferrous and exhibit a non-linear constitutive relationship between the magnetic flux density  $\mathbf{B}$  and the magnetic field  $\mathbf{H}$ , but, if we restrict ourselves to low field strengths, where the relationship is linear,  $\mu_* = \mu_r\mu_0 = |\mathbf{B}|/|\mathbf{H}|$  and the mathematical model developed in References 2,3 still applies. Values of  $\mu_r$  obtained experimentally for different steels vary enormously (e.g., from  $\mu_r = 100$  to  $\mu_r = 600$  or larger) as often  $\mu_* = \mu_r\mu_0 = |\mathbf{B}|/|\mathbf{H}|$  is applied when the curve is no longer straight. Numerical simulations using high values of  $\mu_r$  become increasingly challenging and so we have chosen  $\mu_r = 5$ .



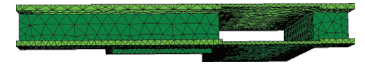
**FIGURE 25** Image of Tokarev TT-33 from Reference 54 reproduced with permission under Creative Commons License CC-BY



**FIGURE 26** Set of receiver models for TT-33 pistol: surface distribution of elements for (A) L-shape domain, (B) TT-33 with a trigger hole, (C) TT-33 with no internals, (D) TT-33 without chamfers, and (E) TT-33 with chamfers

An extreme simplification of the receiver for TT-33 is to model it as simple L-shape made up of two rectangular regions glued together (the overall dimensions of the physical L-shape are  $148 \text{ mm} \times 17.5 \text{ mm} \times 10.1 \text{ mm}$ ). A mesh discretizing the L-shape, with overall dimensions  $148 \times 17.5 \times 101$ , and the surrounding region out to a truncation boundary, in the form of a box of dimensions  $[-1000, 1000]^3$ , was generated with  $h$ -refinement toward the edges, containing 62,656 unstructured tetrahedra with  $\alpha = 0.001 \text{ m}$ . We then considered a sequence of geometric improvements on the basic L-shape model, as shown in Figure 26, which we refer to as TT-33 with a trigger hole (also known as a trigger guard), TT-33 with no internals, TT-33 without chamfers and TT-33 with chamfers, having discretizations comprising of between 94,092 and 175,217 tetrahedral elements, respectively. With the exception of the L-shape, all contain a model of the trigger guard (a loop of steel where the trigger would be placed) and assume that the magazine is removed, as the top-view of TT-33 with trigger hole shown in Figure 27 illustrates. TT-33 with no internals and TT-33 without chamfers offer further geometric improvements with TT-33 with chamfers being the closest to the actual blueprint and includes small holes in the receiver used to fix the other components of the pistol in place. Only the L-shape is simply connected with  $\beta_1(B) = 0$ , TT-33 with

FIGURE 27 Set of receiver models for TT-33 pistol: top view of TT-33 with a trigger hole



trigger hole has  $\beta_1(B) = 2$ , TT-33 with no internals and TT-33 without chamfers each have  $\beta_1(B) = 4$  while TT-33 with chamfers has  $\beta_1(B) = 13$  each object has  $\beta_0(B) = 1$  and  $\beta_2(B) = 0$ .

In each case,  $N = 13$  representative solution snapshots to full-order problem at logarithmically spaced frequencies in the range  $8 \times 10^{-1} \leq \omega \leq 8 \times 10^8$  rad/s were found to converge by using  $p = 4$  elements. Then, by applying the PODP approach described in Section 2.1 with a tolerance of  $TOL = 10^{-6}$ , the MPT spectral signature for each of the receiver models was obtained. A detailed presentation of the results can be found in Reference 51. With the exception of TT-33 with chamfers each of the models of the receiver has an axis of symmetry in the  $\mathbf{e}_2$  direction and so there are four independent coefficients each in  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  corresponding to  $(\tilde{\mathcal{R}})_{11}, (\tilde{\mathcal{R}})_{22}, (\tilde{\mathcal{R}})_{33}, (\tilde{\mathcal{R}})_{13} = (\tilde{\mathcal{R}})_{31}$  at each frequency with similar for  $\mathcal{I}$ . The TT-33 with chamfers, which lacks this symmetry, has six independent coefficients each in  $\tilde{\mathcal{R}}$  and  $\mathcal{I}$  (at each frequency), which means that all coefficients of the symmetric MPT are independent of each other.

We show a comparison of the MPT spectra using the principal invariants  $I_i, i = 1, 2, 3$  for  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  that have been obtained using the PODP approach in Figure 28. In this figure, we have restricted consideration to frequencies such that  $10^2 \leq \omega \leq 10^8$  rad/s in order to allow comparisons with the earlier key and coin results. In practice, the eddy current model brakes down at a frequency  $\omega_{\text{limit}} < 2 \times 10^6$  rad/s for all the TT-33 models considered and so, in practice, higher frequencies are not relevant. While the  $I_i(\mathcal{I}), i = 1, 2, 3$ , invariants for the TT-33 models are similar to that for the keys and coins, the behavior of  $I_i(\tilde{\mathcal{R}}), i = 1, 2, 3$  are quite different due to  $\mu_r \neq 1$ . For  $I_1(\tilde{\mathcal{R}})$ , we see the curves are monotonically decreasing with  $\log \omega$ , but do not asymptote to 0 for small  $\omega$ . For  $I_2(\tilde{\mathcal{R}})$  and  $I_3(\tilde{\mathcal{R}})$  we see the curves are neither monotonically increasing or decreasing with  $\log \omega$  and the curves do not asymptote to 0 for small  $\omega$  motivating that discrimination between the object is possible. The L-shape exhibits significant differences to the TT-33 models with a different location of resonant peak in  $I_i(\mathcal{I}), i = 1, 2, 3$  and a significantly different behavior for  $I_i(\tilde{\mathcal{R}}), i = 1, 2, 3$ . The results for the TT-33 with a trigger hole and TT-33 with no internals models are similar with further differences for the TT-33 without chamfers and TT-33 with chamfers. However, all these latter four cases exhibit a resonance peak of around  $\omega = 10^4$  rad/s for  $I_i(\mathcal{I}), i = 1, 2, 3$ . The magnitude of the resonance peak for  $I_3(\mathcal{I})$  decreases in sequence of the associated volume of the different TT-33 models.

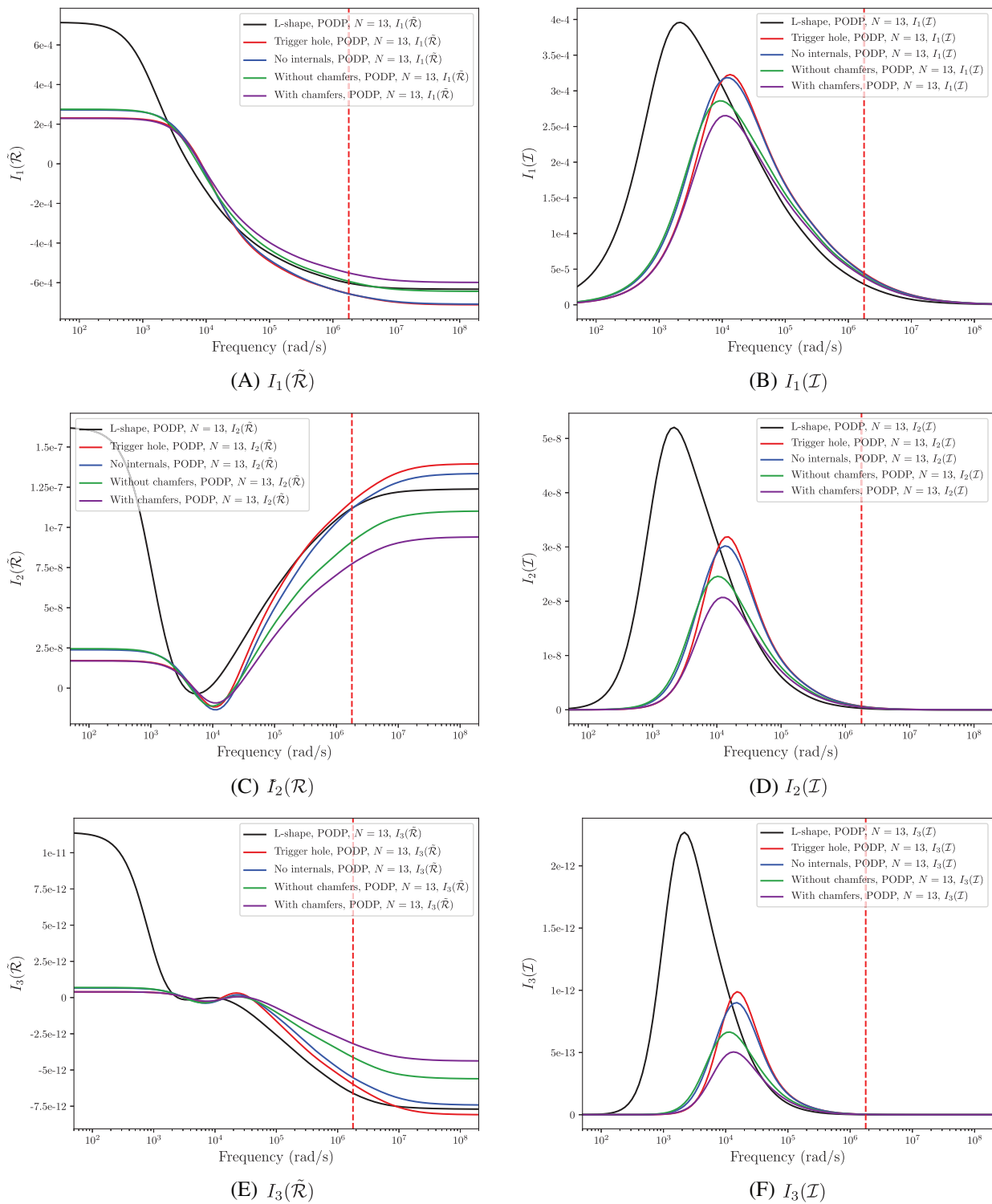
The corresponding results obtained for the invariants  $J_i, i = 2, 3$  for  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  that have been obtained using the PODP approach are shown in Figure 29. These results again illustrate the significant difference between the L-shape and the other models. With the exception of the L-shape, the results for  $J_2(\tilde{\mathcal{R}})$  show a monotonic increase with  $\log \omega$ , those for  $J_3(\tilde{\mathcal{R}})$  show a monotonic decrease,  $J_2(\mathcal{I})$  and  $J_3(\mathcal{I})$  show a single local maximum. The results for TT-33 with and without chamfers are similar with greater differences exhibited between the TT-33 with no internals and TT-33 with trigger hole. The results shown in Figures 28 and 29 indicate the significant difference between the spectral signatures of the L-shape and the more realistic models, which adds the credibility that the MPT spectral signature makes it possible to distinguish between a carpenter's metallic set-square (which closely resembles an L-shape) and the receiver of a pistol, for example.

For the TT-33 models, each of the associated MPT frequency spectra have independent coefficients that are associated with both on and off diagonal entries of the tensor. The behavior of  $\sqrt{I_2(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r])}$  for the different models is shown in Figure 30.

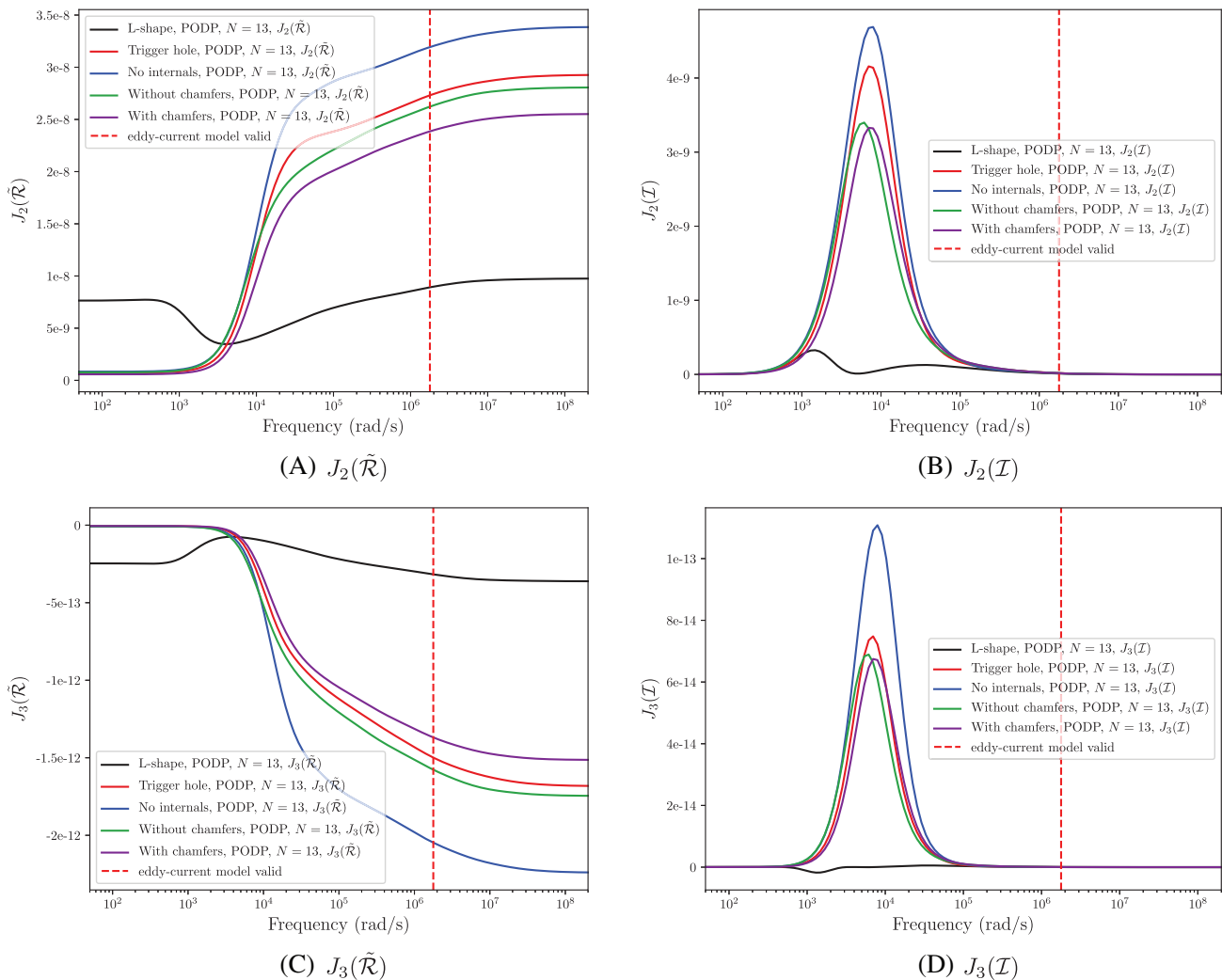
Finally, in Figure 31 we show the contours of  $|\text{Re}(\mathbf{J}^e)|$  and field lines for  $\text{Re}(\mathbf{J}^e)$  on the plane spanned by  $\mathbf{e}_1$  and  $\mathbf{e}_3$  with  $\xi_2 = 0$  and the TT-33 with chamfers model for the situations where  $\mathbf{J}^e = i\omega\sigma_*\theta_1^{(1)}, \mathbf{J}^e = i\omega\sigma_*\theta_2^{(1)}, \mathbf{J}^e = i\omega\sigma_*\theta_3^{(1)}$  are the eddy currents corresponding to  $\omega = 10^3$  rad/s.

## 6.4 | Threat items: Knives

Knife crime in the U.K. is a persistent issue with 47,000 offences involving a knife or sharp instrument in England and Wales from April 2018 to March 2019, 285 of which currently recorded as homicide<sup>1</sup> with trend being a significant increase in the last 8–9 years. The early recognition of threat objects through metal detection may help to reduce the number of offences involving a sharp instrument. In this section, we present MPT spectral signature characterisations for exemplar knife models.



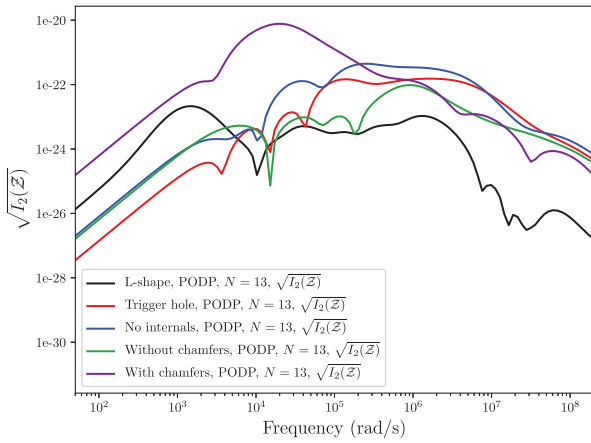
**FIGURE 28** Set of receiver models for TT-33 pistol: Comparison of tensor invariants. (A)  $I_1(\tilde{\mathcal{R}})$ , (B)  $I_1(\mathcal{I})$ , (C)  $I_2(\tilde{\mathcal{R}})$ , (D)  $I_2(\mathcal{I})$ , (E)  $I_3(\tilde{\mathcal{R}})$ , and (F)  $I_3(\mathcal{I})$



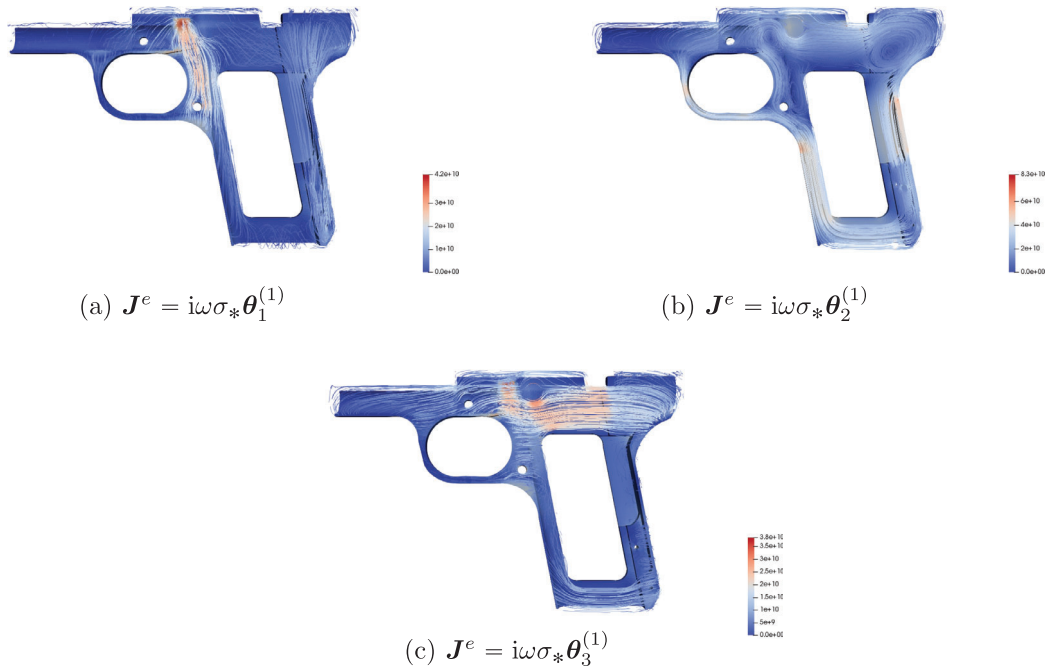
**FIGURE 29** Set of receiver models for TT-33 pistol: Comparison of tensor invariants. (A)  $J_2(\tilde{\mathcal{R}})$ , (B)  $J_2(\mathcal{I})$ , (C)  $J_3(\tilde{\mathcal{R}})$ , and (D)  $J_3(\mathcal{I})$

We will consider a set of five different knife models, which we name as chef, cutlet, meat cleaver, Santoku, and Wusthof. The chef knife is a model of a cheap chef knife, featuring a 20 cm long, 5 cm tall blade with a constant thickness of 1.5 mm and a partial tang<sup>¶</sup>. This model is an example of a stamped knife would normally be constructed with a plastic handle and only the cutting edge of the knife would be sharpened to a point. Obviously, we have only modeled the metallic part of the knife. The cutlet knife is a model of a cheap cutlet, featuring a 11 cm long, 2 cm tall blade with a constant thickness of 1.25 mm and a partial tang. This model is an example of a stamped knife and would normally be constructed with a plastic handle and only the cutting edge of the knife would be sharpened to a point. The cleaver is a model of a meat cleaver featuring a 20 cm long, 9 cm tall blade with a thickness of 3 mm over the majority of the blade with a double bevel 6.4 cm from the spine and a full tang with three rivets, which are each 2 cm long, have radius 3 mm and are spaced 45 mm apart center to center. This model could be made using either the method of stamping or forging. The Santoku features a 17 cm long, 4.5 cm tall blade with a thickness of 1.275 mm at its spine, which tapers over the height of the blade to a point at the cutting edge. It features a full tang with three rivets, which are each 2 cm long, have radius 3.5 mm and are spaced 42.5 mm apart center to center. This model would normally be constructed from a single piece of steel with the two sides of the handle being made with either wood or a plastic material which are then both stuck and riveted to the steel. Finally, the Wusthof has a 20 cm long, 5 cm tall blade with a thickness of 2 mm at its spine, which tapers over the height of the blade to a point at the cutting edge. It features a full tang with three rivets, which are each

<sup>¶</sup>The tang is the back portion of the blade, which extends or connects to a handle, a full tang extends the full length of the handle while a partial tang only extends partially in to the handle.<sup>57</sup>



**FIGURE 30** Set of receiver models for TT-33 pistol: Comparison of the tensor invariant  $\sqrt{I_2(\mathcal{Z})}$



**FIGURE 31** TT-33 with chamfers from the set of receiver models for TT-33 pistol: Contours of  $|\text{Re}(\mathbf{J}^e)|$  and streamlines for  $\text{Re}(\mathbf{J}^e)$  on the plane spanned by  $\mathbf{e}_1$  and  $\mathbf{e}_3$  with  $\xi_2 = 0$ . (A)  $\mathbf{J}^e = i\omega\sigma_*\boldsymbol{\theta}_1^{(1)}$ , (B)  $\mathbf{J}^e = i\omega\sigma_*\boldsymbol{\theta}_2^{(1)}$ , and (C)  $\mathbf{J}^e = i\omega\sigma_*\boldsymbol{\theta}_3^{(1)}$

2 cm long, have radius 3.5 mm and are spaced 42.5 mm apart center to center. In each case, the measurements quoted have been obtained by approximately measuring the dimensions of common household knives. The blade of the knives have been assumed to be made of 440 grade stainless steel, which has a relative permeability  $\mu_r = 62^{58}$  and conductivity  $\sigma_* = 1.6 \times 10^6$  S/m,<sup>56</sup> but modeled instead with a lower relative permeability  $\mu_r = 5$ , and the rivets to be made of copper, which is non-magnetic having a relative permeability  $\mu_r = 1$  and a conductivity  $\sigma_* = 5.8 \times 10^7$  S/m.<sup>56</sup> Note that each of the knives are simply connected.

A mesh of each of the geometries was generated assuming dimensionless units, the size parameter  $\alpha = 0.001$  m and by placing the knife configuration centrally in a box of dimensions  $[-1000, 1000]^3$ . The resulting meshes contain 25,742, 14,935, 55,26, 55,226, and 79,945 unstructured tetrahedra for the chef, cutlet, meat cleaver, Santoku, and Wusthof knives, respectively, and images of the distribution of elements on the surface of the object are reproduced in Figure 32. Each of the knives has been orientated so that the blade is parallel to the  $\mathbf{e}_1$  direction and lies in the plane spanned by  $\mathbf{e}_1$  and  $\mathbf{e}_2$  with the knife configuration being symmetrical in the  $\mathbf{e}_3$  direction. Thus, there are four independent coefficients each in  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  corresponding to  $(\tilde{\mathcal{R}})_{11}, (\tilde{\mathcal{R}})_{22}, (\tilde{\mathcal{R}})_{33}, (\tilde{\mathcal{R}})_{13} = (\tilde{\mathcal{R}})_{31}$  at each frequency with similar for  $\mathcal{I}$ .



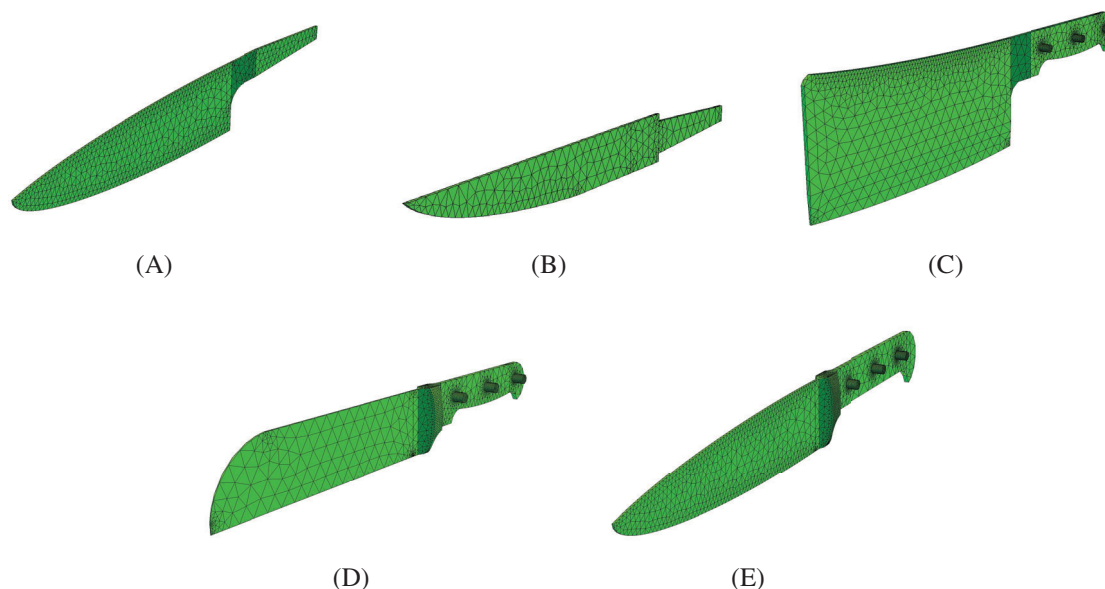


FIGURE 32 Set of knives: surface distribution of elements for (A) chef, (B) cutlet, (C) meat cleaver, (D) Santoku, and (E) Wusthof

The results obtained by using  $N = 13$  representative full-order solution snapshots at logarithmically spaced frequencies in the range  $10^1 \leq \omega \leq 10^{10}$  rad/s were found to converge with  $p = 4$  elements. Then, by applying the PODP algorithm described in Section 2.1 with a tolerance of  $TOL = 10^{-6}$ , the MPT spectral signature for each of the receiver models was obtained. A detailed presentation of the results can be found in Reference 51. We show a comparison of the MPT spectra using the principal invariants  $I_i$ ,  $i = 1, 2, 3$  for  $\tilde{\mathcal{R}}[\alpha B, \omega, \sigma_*, \mu_r]$  and  $\mathcal{I}[\alpha B, \omega, \sigma_*, \mu_r]$  that have been obtained using the PODP approach in Figure 33. In this figure, we have restricted consideration to frequencies such that  $10^2 \leq \omega \leq 10^8$  rad/s in order to allow comparisons with the earlier results. In practice, the eddy current model brakes down at a frequency  $\omega_{\text{limit}} < 5 \times 10^6$  rad/s for all the knives considered and so higher frequencies are not relevant.

The results obtained for the different models shown in Figure 33 have some similarities to the TT-33 models in that  $I_1(\tilde{\mathcal{R}})$  is monotonically increasing with  $\log \omega$  and  $I_2(\tilde{\mathcal{R}})$ ,  $I_3(\tilde{\mathcal{R}})$  are not monotonically increasing or decreasing with  $\log \omega$  and the curves  $I_i(\mathcal{I})$ ,  $i = 1, 2, 3$ , each show a single local maximum with  $\log \omega$ . However, the characteristics of the curves is otherwise quite different, again motivating that discrimination between objects is possible. Comparing the different knife models, we see different behavior of the invariants in each case. On closer inspection of the eigenvalues of  $\tilde{\mathcal{R}}$  and  $\mathcal{I}$  (presented in Reference 51) it is possible to observe multiple non-stationary points of inflection and multiple local maxima, respectively, particularly when considering the Santoku and Wusthof knives, which are inhomogeneous. Although the cleaver also has inhomogeneous materials, the larger extent of material in the blade largely disguises these effects. The corresponding results for the alternative invariants are shown in Figure 34, where again observe a significant difference between the cleaver and the other models.

For the knife models, each of the associated MPT frequency spectra have independent coefficients that are associated with both on and off diagonal entries of the tensor. The behavior of  $\sqrt{I_2(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r])}$  for the different models is shown in Figure 35.

## 7 | CONCLUSION

In this article we have demonstrated how a dictionary of object characterisation can be computed for training machine learning algorithms, with the end goal of being able to classify objects for metal detection. We have focused on the MPT characterisation of conducting objects and have shown, at a fixed frequency, that the characterisation provided is equivalent up to an equivalent ellipsoid. But, by considering an MPT's spectral signature, in which the coefficients are obtained as a function of frequency, the characterisation becomes much richer, containing much more information, whereby the object is characterised by a series of different equivalent ellipsoids at each of the excitation frequencies considered. A series of alternative MPT spectral signature invariants have been provided, which are invariant to object rotation, and,

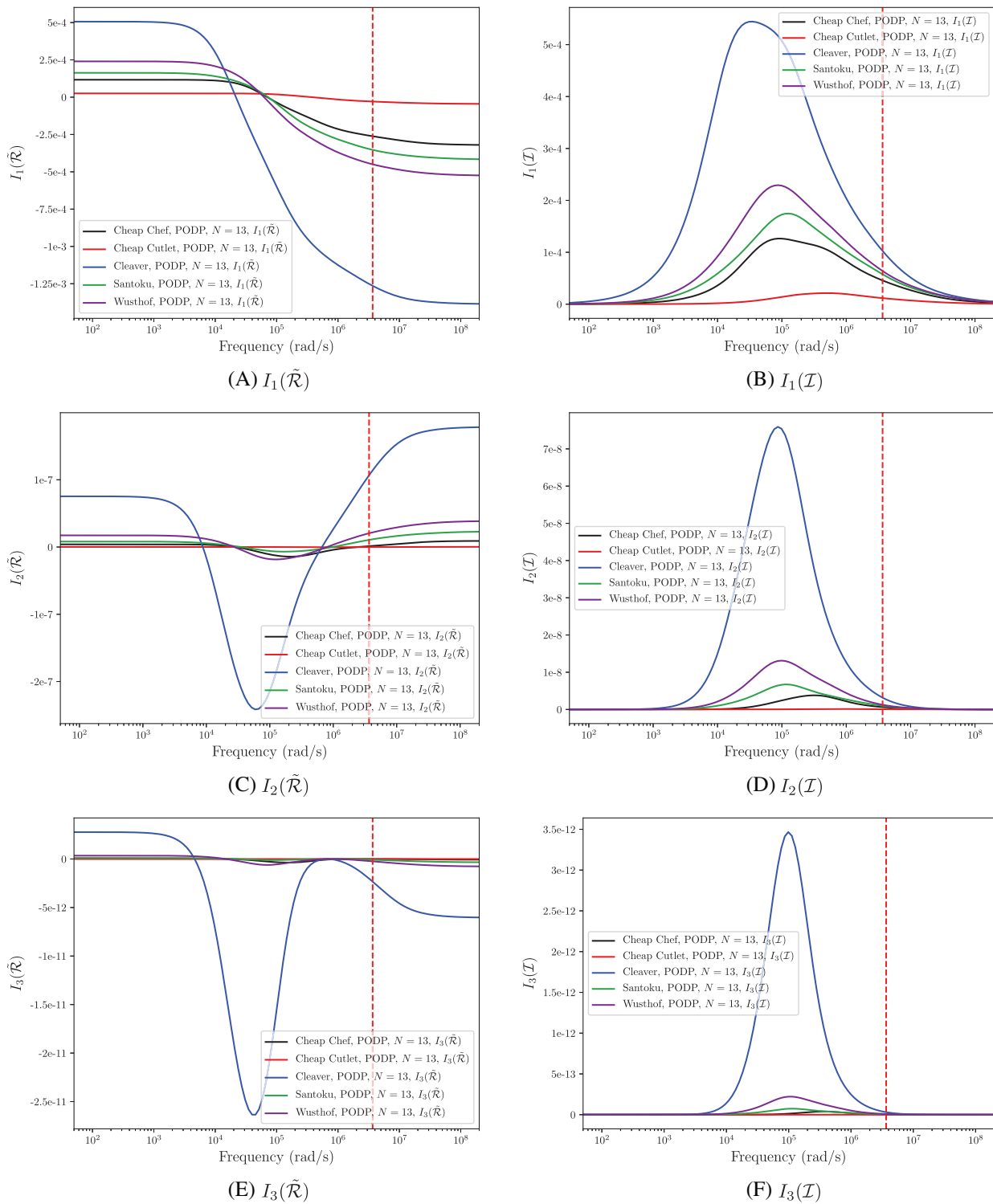


FIGURE 33 Set of knives: Comparison of tensor invariants. (A)  $I_1(\tilde{\mathcal{R}})$ , (B)  $I_1(\mathcal{I})$  (C)  $I_2(\tilde{\mathcal{R}})$ , (D)  $I_2(\mathcal{I})$ , (E)  $I_3(\tilde{\mathcal{R}})$ , and (F)  $I_3(\mathcal{I})$

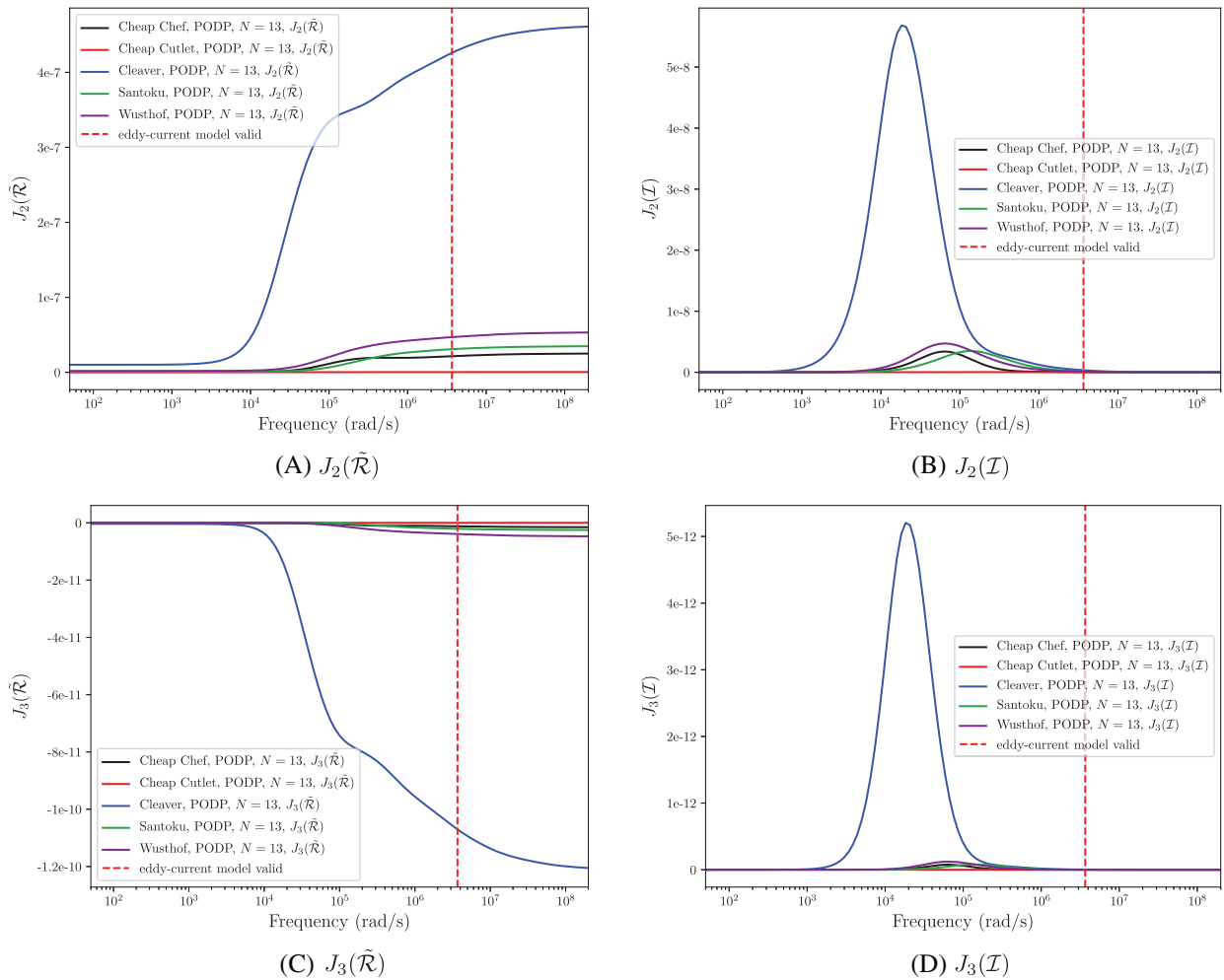
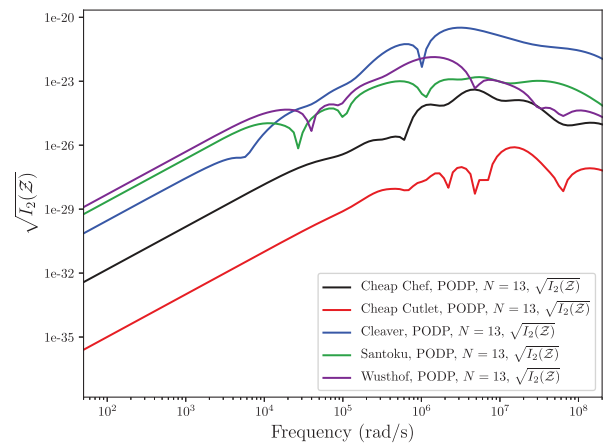


FIGURE 34 Set of knives: Comparison of tensor invariants. (A)  $J_2(\tilde{\mathcal{R}})$ , (b)  $J_2(\mathcal{I})$ , (C)  $J_3(\tilde{\mathcal{R}})$ , and (D)  $J_3(\mathcal{I})$

FIGURE 35 Set of knives: Comparison of the tensor invariant  $\sqrt{I_2(\mathcal{Z}[\alpha B, \omega, \sigma_*, \mu_r])}$



although the principal and alternative invariants contain the same information as a tensor's eigenvalues, they offer advantages by providing MPT spectral signatures that are not always sigmoid with  $\log \omega$  when applied to  $\tilde{\mathcal{R}}$  and do not always have a single local maxima with  $\log \omega$  for  $\mathcal{I}$ .

Finally, a series of practically motivated computational examples have been presented consisting of both non-threat objects (a range of brass keys and set of British coins of different sizes and shapes and with different materials) and threat objects (a series of models of the receiver part of a TT-33 semi-automatic pistol and a series of different knives). The MPT spectral signature of these different objects using the tensor invariants  $I_i$ ,  $i = 1, 2, 3$  and  $J_i$ ,  $i = 2, 3$  applied to  $\tilde{\mathcal{R}} = \mathcal{N}^0 + \mathcal{R}$  and  $\mathcal{I}$  have shown quite different characteristics, illustrating the possibility to use them to discriminate between different objects. This set can easily be extended to a much larger dictionary by combining these results with the previous scaling results obtained in Reference 6 that allow for changes in object size and object conductivities.

In future work we intend to compare the performance of different machine learning classifiers using the dictionary of MPT spectral signature characterisation described in this work.

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## DATA AVAILABILITY STATEMENT

The data that support the findings of this study are openly available in Zenodo at <https://doi.org/10.5281/zenodo.4616496>, reference number Version 1.

## CONFLICT OF INTEREST

This paper does not have any conflicts of interest.

## ORCID

Paul D. Ledger  <https://orcid.org/0000-0002-2587-7023>

Alan A. S. Amad  <https://orcid.org/0000-0001-7709-5536>

William R. B. Lionheart  <https://orcid.org/0000-0003-0971-4678>

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