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3	TURNING TURTUE. COALING RELATIONGUIRG AND CELE RIGHTING ARRUTY IN CHELVERA CERRENTINA
4	TURNING TURTLE: SCALING RELATIONSHIPS AND SELF-RIGHTING ABILITY IN CHELYDRA SERPENTINA
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ABSTRACT

Testudines are susceptible to inversion and self-right using their necks, limbs, or both, to generate enough mechanical force to flip over. We investigated how shell morphology, neck length, and self-righting biomechanics scale with body mass during ontogeny in *Chelydra serpentina*, which uses neck-powered self-righting. We found that younger turtles flipped over twice as fast as older individuals. A simple geometric model predicted the relationships of shell shape and self-righting time with body mass. Conversely, neck force, power output, and kinetic energy increase with body mass at rates greater than predicted. These findings were correlated with relatively longer necks in younger turtles than would be predicted by geometric similarity. Therefore, younger turtles self-right with lower biomechanical costs than predicted by simple scaling theory. Considering younger turtles are more prone to inverting and their shells offer less protection, faster and less costly self-righting would be advantageous in overcoming the detriments of inversion.

1. INTRODUCTION

Predator-prey dynamics drive adaptations in animals, including the evolution of protective armour. Body armour takes many forms and is widespread in extant reptiles, where spines, spikes, and osteoderms are commonplace [1]. Arguably, one of the most recognizable forms of body armour is the shell of turtles and tortoises, which is comprised of a dorsal carapace and a ventral plastron, features that distinguish them from all other vertebrates. Despite similarities in general appearance among Testudines, shell morphology varies substantially. For example, generally species that frequently swim [2] or burrow [3] have flatter shells that can be flexible, whereas those requiring better protection from predation [4], desiccation [5], and fluctuating body temperature [5] have taller, more rigid shells [6, 7]. Testudine shells are dynamic structures and can also have important physiological roles, which include acting as blood-pH buffers and as reservoirs for water, fat, or wastes [8]. While shells impact all aspects of Testudine biology, it is locomotor performance that is perhaps the most profoundly affected [9].

In almost all tetrapods, a flexible vertebral column is an important contributor to locomotion. However, in Testudines, only the neck and tail are flexible, because the spine is fused with the underside of the shell [10]. The pectoral and pelvic girdles are also located inside the shell, which restrict movement of the limbs. As a result of having an inflexible body, Testudines traversing uneven surfaces, encountering predators, or engaging in reproductive combat are prone to inverting. Turning upside-down can have serious life-and-death consequences. Once flipped onto their backs, Testudines are susceptible to thermal stress, starvation, stranding, and predation, if they cannot effectively self-right [11, 12]. Indeed, improved self-righting performance is associated with higher survival [13] and can have a substantial impact on an individual's fitness [14]. Accordingly, understanding the underlying mechanisms and constraints on self-righting has strong ecological relevance. Self-righting is also an intriguing biomechanical behaviour and Testudines themselves are especially interesting, as they are long-lived

and continue to grow throughout their lives [15]. For example, the common snapping turtle (*Chelydra serpentina*) grows from a carapace length of ~30 mm and body mass of 10 g, as a hatchling [16], to a carapace length of over 50 cm and weighing over 40 kg, as an adult. Snapping turtles retain a high degree of carapace rotation as they walk [17] and the neck remains the primary driver in self-righting [18], throughout their lives.

A Testudine's ability to self-right is dependent on body size, body shape, and flexibility of the limbs, neck, or tail [19-21]. There are two distinct mechanisms by which Testudines self-right: (i) rotating the limbs, to generate rocking movements to ultimately induce body rolling, or (ii) extending the neck, to directly push against the ground and flip the animal over [20, 22]. Investigations of self-righting in Testudines are often limited to theoretical models of the impact of shell shape [20, 23, 24], the time to self-right (e.g., [25]), and biotic or abiotic influences (e.g., [11]). And, to our knowledge, just one study has looked at the biomechanics of self-righting [19]. The challenge of self-righting is that the inverted animal is in a stable and low-gravitational potential-energy state. To self-right, Testudines must add gravitational potential energy to the system, by rotating the shell, until it reaches a tipping point, from which it will then roll to the non-inverted stable state and, thereby, overcoming the so-called 'potential hill' [20, 24]. Theoretically, shells that are very high and domed should be the easiest to self-right, because the required change in height of the centre of mass is relatively small. Conversely, species with flatter shells will need to raise the centre of mass by a greater extent [20]. Testudines that self-right by limb movements often have more domed shells [20], whereas those with flatter shells, such as mud (kinosternids), pond (emydids), snapping (chelydrids), and soft-shelled (trionychids) turtles, create thrust with their necks to self-right [20, 22]. For geometrically similar animals, the minimum energy required to self-right should increase with mass^{4/3}, since the height change will scale with mass^{1/3} and the potential-energy change is proportional to the change in mass × height. In neck-based self-righting, this energy comes from a single work loop, and, since the work available in a work loop scales approximately isometrically with mass, we would expect neck-based self-righting to become progressively more difficult as body size increases.

Self-righting speed and energetics might be particularly important in smaller juveniles, which are more prone to inversion and possess shells that offer little anti-predator defence. To self-right more quickly, selection could act on shell morphology; individuals with more domed-shaped shells should self-right more quickly and with less effort [23, 24], which might predict that shell shape changes during ontogeny. Alternatively, selection could act on the neck, since it is the primary structure these turtles use to self-right [22]. The aim of this study, therefore, was to examine the influence of body mass, shell shape, and neck length on neck-powered self-righting ability and the accompanying biomechanical costs, in a freshwater turtle species, *C. serpentina*. We used animals of different ages to provide the required variation in body size. We measured the self-righting neck force (which we used to estimate kinetic energy and power output) to investigate the scaling relationships between the

physical effort to self-right and body mass. Although we would expect scaling of mass^{4/3} for the self-righting effort of geometrically similar shell shapes, we predicted that, due to selection against the possible increase in risks associated with being inverted, self-righting should be easier in younger/smaller turtles, which would be reflected in the speed and biomechanical cost.

2. MATERIALS AND METHODS

(A) ANIMALS

Nongravid female common snapping turtles (*Chelydra serpentina*), ranging in body mass [254.3 g to 4515 g; n = 33; Electronic Supplementary Materials (ESM)] and age (<1-1.5-, 4.5-, and 5.5-year-olds, n = 26, 4, and 3 turtles, respectively), were selected for the present study. Turtles were housed at 26°C, in small groups, within large plastic tubs (1.5-m wide, 1-m tall), with access to shallow water. All experimental trials took place at 26°C. Turtle husbandry and experimental procedures were carried out in accordance with an animal-care protocol (no. 11-007), approved by the University of North Texas Institutional Animal Care and Use Committee.

(B) EXPERIMENTAL SETUP & DATA COLLECTION

Before the commencement of any self-righting trials, morphological measurements of carapace width, carapace length, and shell height were taken, with digital calipers (Duratool, model D02264, Premier Farnell Ltd, Leeds, UK). Neck length was determined by encouraging the turtle to bite a piece of leather, then grasping the turtle's head (while wearing protective gloves) and gently extending it out from the shell to its full length. Using the calipers, the distance from the shell at the base of the neck to the tip of the snout was then measured and used as a proxy for neck length.

The experimental setup consisted of a force plate, with a pressure pad on top, covered by a thin rubber mat. The force plate (3D Force Plate Type 9286B, Kistler® Instruments Ltd, Hook, Hampshire, UK) was used to measure the vertical reaction force exerted by a turtle during self-righting. Force data were recorded (at 420 Hz), using the BioWare® data-acquisition software (type 2812A, Kistler®). To measure the relative contributions of the neck and body to the vertical force, a pressure pad (Pressure Mapping Sensor 7101, Tekscan, Inc, South Boston, MA, USA) was placed on top of the force plate. The pressure pad data were recorded (at 100 Hz) using the FootMat® Research software (v 7.1, Tekscan). A camera (Sony® Cyber-shot RX10 III) was used to record videos (at 100 fps) of the self-righting movements. The self-righting times were calculated from videos, using Tracker (https://physlets.org/tracker; The Open Source Physics Project). The self-righting time is defined as the duration from the moment a turtle's head first contacted the pressure pad/force plate until the head was no longer in contact with the setup (see Fig. 1A-D).

(c) Data analysis

Vertical force distributions (see Fig. 1E) were obtained from the pressure-pad data and used to calculate the relative magnitude of neck force production to the total ground reaction force for the turtle, as shown by the representative curves in Fig. 1F. This relative value was then multiplied by the absolute vertical force that was recorded by the force plate (Fig. 1F), which allowed us to determine absolute neck force in Newtons. All force-plate and pressure-pad data were filtered with a 10-Hz, two-pole Butterworth low-pass filter, using the filtfilt function on Matlab (v R2020a, MathWorks, Natick, MA, USA), which reduced high-frequency noise present in the data.

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Previous reports have shown that shell morphology affects self-righting time [20, 23, 25]. Therefore, we calculated two indices of shell shape: Sphericity Index (SI) and Flatness Index (FI) [23], as defined in equations 2.1 and 2.2.

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148 2.1

$$SI = \left(\frac{W \times H}{L^2}\right)^{\frac{1}{3}}$$

150 2.2

$$FI = \frac{L+W}{2H}$$

Where *W* and *L* are maximum carapace width and length, respectively, and *H* is shell height. Larger sphericity and flatness values indicate greater and flatter shell curvature, respectively.

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Impulse (J) was calculated as the area under the force-time curve (Fig. 4F), using equation 2.3:

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157 2.3

$$J = \sum F_t \Delta t$$

159 Where F_t is the instantaneous vertical force and Δt is time increment.

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From the impulse, kinetic-energy equivalent (KEE) was calculated (assuming a start from rest, so that the initial momentum is zero), using equation 2.4:

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$$KEE = \frac{J^2}{2M_b}$$

166 Where M_b is body mass.

168 From KEE, mean power-output equivalent (PE) was calculated, using equation 2.5:

170 2.5

$$PE = \frac{\Delta \text{KEE}}{t_{Flip}}$$

Where t_{Flip} is self-righting time.

Finally, from KEE, height-change equivalent (ΔHE) was calculated and normalized to carapace width, using equation 2.6:

177 2.6

$$\Delta HE = \frac{\Delta KEE}{M_b \cdot g \cdot W}$$

179 Where *q* is gravity (9.81 m s⁻²).

 Δ HE was calculated as a measure of self-righting efficiency, given that we would expect the minimum Δ HE to be half the shell width with a flat shell, and less than half for more rounded shells. It can be higher too if the turtle does not choose the most efficient trajectory, and if the KEE at maximum height is still substantial. Lower Δ HE values thus indicate higher self-righting energetic efficiency.

All data were graphed with GraphPad Prism 8 (GraphPad Software, San Jose, CA, USA). To determine scaling relationships, data were log-transformed and regression lines plotted, with the equation $\log(y) = \log(a) + b \cdot \log(x)$, using the ordinary least-squares (OLS) method on GraphPad and coefficients of determination (R²) were calculated. Isometric and allometric scaling relationships were determined by comparing the predicted slope with the allometric slope (b), using the 95% confidence intervals (CIs). Assuming geometric similarity (i.e., isometry) across body mass (M_b), all linear dimensions were expected to scale to $M_b^{1/3}$; force was expected to scale to $M_b^{1/3}$; KEE should scale as $M_b^{4/3}$; self-righting time should scale as $M_b^{1/2}$; and mean PE as $M_b^{5/6}$. Formal derivations of these predicted relationships are in the supplementary information. Scaling relationships were considered to show isometry when the predicted slope fell within the 95% CIs (0.95 \leq b < 1.05), positive allometry when predicted b > 1.05, and negative allometry when predicted b \leq 0.95. For the derivation of these scaling relationships, see Derivation of Scaling Predictions in the Electronic Supplementary Materials.

3. RESULTS

(A) MORPHOMETRICS

The log-log models fit the data extremely well for linear shell dimensions, with R^2 values ranging from 0.979 to 0.988 and the 95% CIs of the slopes overlapping the $\frac{1}{2}$ value that would be predicted from geometric similarity, thereby, providing no evidence for shell-shape change (as defined in the current study) with increasing body mass during ontogeny (Fig. 2A, Tables 1, and Table S1). Furthermore, derived measures of sphericity and flatness were calculated and, unsurprisingly, given the likely geometric scaling of the shell shape, had no dependency on body mass, although individual values did show moderate variability (Fig. 2B and Fig. S1). Neck length also fit the log-log model well ($R^2 = 0.895$); however, the 95% CI range (0.246 to 0.316) suggests that scaling is anisometric, with larger animals having shorter necks than would be predicted by geometric scaling (Fig. 2C, Table 1, and Table S1). The scatter might reflect greater ontogenetic variability or simply greater measurement uncertainty for this parameter.

(B) SELF-RIGHTING DYNAMICS

To analyse self-righting performance, we plotted the log of self-righting time (defined as the duration of time in which the neck is applying force via the head) against the log of body mass (Fig. 2D). The OLS regression was significant (slope = 0.357, 95% CIs = 0.173 to 0.54; Table 1) and the 95% CIs overlap the 0.5 value for the exponent predicted by our model based on geometric similarity. Smaller turtles self-righted proportionally faster (Table 1 and Table S1), but the duration of time it took for a turtle to place its head on the ground to initiate the self-righting maneuver (the pre-neck latency time) and the duration of time to complete the self-righting maneuver when the turtle no longer used the neck to flip over (the post-neck latency time) did not differ between the age groups (Fig. S2).

We also plotted the log of kinetic-energy equivalent against the log of body mass (Fig. 3A) and found a modest effect, since the OLS slope is 1.548 (95% CIs = 1.341 to 1.755; Table 1 and Table S1), which does not overlap the 1.333 predicted by geometric similarity, suggesting the energy expended by a larger turtle is increasing more rapidly than our model would predict. To investigate the interaction between self-righting time and energetics, we plotted the log of mean of power-output equivalent against the log of body mass (Fig. 3B). We found an OLS slope of 1.191 (95% CIs = 0.961 to 1.422; Table 1 and S1), which is higher than the 0.833 predicted by our model, indicating that the larger turtles are using higher power output to self-right than would be predicted by geometric scaling. To further illustrate how much more energetically expensive it is, for larger turtles, we calculated the shell width normalised height-change equivalent as a fraction of carapace width (Fig. 3C). This value should be unchanged with body mass, but, in fact, increases as the animals get larger.

4. DISCUSSION

In most species, juveniles are more susceptible to mortality and often must avoid the same predators as adult conspecifics [26]. Natural selection tends to counteract this higher mortality, often by favouring improved locomotor performance through relatively longer limbs, faster muscle contractile velocities, and other physiomorphological changes that favour faster speeds and higher accelerations [26]. In the present study, we show that a simple geometric model, based on body mass, predicts shell shape and self-righting time when neck force is applied, in *C. serpentina*. However, we also show that energy and power outputs are greater during the self-righting process than would be predicted by our model (Fig. 3A, B, Table 1, and Table S1). The disproportionate increase in energetic cost is clearly shown by the height-change equivalent (Fig. 3C). Furthermore, younger turtles have disproportionally longer necks, which could be part of the reason they have lower-than-expected power outputs for their body size. Although our model does not predict the total time taken to self-right, smaller turtles complete the self-righting process faster than larger turtles in absolute terms and apply force via their neck for a shorter time (Fig. S1, Table S1). Considering that self-righting might be widespread among other Testudine species, to assist younger individuals in avoiding a vulnerability that contributes to the high predation they face in nature [27].

(A) INTERACTION BETWEEN SHELL SHAPE AND SELF-RIGHTING EFFORT

Our analyses demonstrated that shell shape in C. serpentina does not deviate from geometric scaling throughout ontogeny (Fig. 2C) and, therefore, cannot be associated with the changes seen in self-righting energy and power (Fig. 3A, B). In this respect C. serpentina appear to be different from some Testudine species, in which juveniles inhabit a different micro-environment, which can drive morphological and biomechanical adaptations between life stages [28, 29]. Accordingly, there are no morphological traits of the shell that would ameliorate the increased difficulty of self-righting as the turtles grow and age. Indeed, self-righting time increases with body mass, as predicted (Fig. 2D). Since the various risks of being inverted reduce with increased body size, this would support the idea that the evolutionary pressure is primarily on smaller turtles, considering there is no evidence of adaptations to reduce self-righting times in the larger animals. Our results parallel a study on Hermann's tortoises (Testudo hermanni), which shows that immature individuals self-right faster and with a higher probability of success than sexually mature adults [30]. Immature tortoises also display more anti-predatory behaviours, like boldness, and spend less time hiding in their shells during simulated predatory attacks, because their shells are weaker than adults [30]. Our data show that larger snapping turtles spend a longer time and disproportionately higher energy on self-righting when using the neck (even more than required by geometric similarity), suggesting that there might be an evolutionary pressure on juveniles for faster self-righting and to reduce its associated costs.

Although shell shape indices (sphericity and flatness) were not associated with changes in self-righting time in *C. serpentina* (Fig. 2B), they are good predictors of interspecific differences in self-righting in Testudines [20, 23-25]. When comparing snapping turtles with two freshwater turtle species (that also use their necks to self-right), higher sphericity indices (SIs) are associated with faster self-righting time. The snapping turtle has the most domed shell (average SI = 0.758 ± 0.003) and self-rights fastest, followed by the red-eared slider (*Trachemys scripta elegans*; SI = 0.7 ± 0.01) [25], and then the Spanish terrapin (*Mauremys leprosa*; SI = 0.64 ± 0.004) [25]. These intraspecies differences might persist throughout life, given that shell sphericity does not vary after the hatchling life-stage, as found in *C. serpentina* (this study and [31]) and in *T. scripta* [25, 32]. However, there are also instances of intraspecific differences in shell shape that are driven by habitat or sexual selection. For example, rainforest-dwelling scorpion mud turtles (*Kinosternon scorpioides*) have shorter shells than conspecifics living in dry forests [33], which are better for hiding, but would presumably hinder self-righting [20], and inverted male angulate tortoises (*Chersina angulata*), when battling other males for access to females, will self-right faster if they have a wider carapace [34]. Given the wide distribution of snapping turtles in North America [15], it would be interesting in further studies to determine whether there are geographical or sex differences in shell morphology that influence self-righting biomechanics.

(B) ONTOGENY AND THE SCALING RELATIONSHIPS OF SELF-RIGHTING

In agreement with our hypothesis that self-righting would be completed faster in smaller, compared to larger individuals, we demonstrated that the youngest turtles self-right about twice as fast as the older cohorts, when neck force is applied. This is in line with the predictions of our model. However, the energetic effort is considerably lower for smaller turtles and the only morphological measure that does not scale geometrically is neck length, which is disproportionally longer in smaller turtles. The neck, in this case, can be considered an extra limb and the disproportionally longer necks of younger snapping turtles agree with anisometric scaling trajectories seen for limbs in other tetrapods. For example, allometric growth of bird wings [35] and shark caudal fins [36] have been interpreted as enabling juveniles to move with greater speed or agility than adults. Moreover, like other turtle species, snapping turtles rapidly project their necks to hunt, and neck length is primarily driven by prey-capture dynamics [37]. Because younger turtles are predominantly carnivorous, rather than omnivorous (like older turtles) [38], their disproportionately longer necks, would also be more efficient for seizing moving prey [37]. Thus, in younger snapping turtles, a relatively longer neck can serve at least two important functions: capturing prey more effectively and facilitating more energetically efficient self-righting.

To examine how a disproportionately shorter neck in larger turtles' affects self-righting effort, given that shell shape does not change, would require an investigation of the ontogenetic changes in neck musculature. Indeed, our findings of negative allometric neck growth and mass-specific neck force being independent of body mass

(Table 1 and S1) also fit the general pattern of growth in snapping turtles. During ontogeny, head size changes with negative allometry, whereas bite force scales isometrically, relative to carapace length [38]. Such scaling patterns suggest that the size, strength, or physiology of the jaw muscles change throughout ontogeny, to preserve bite performance, despite a progressively smaller head [38]. Similar changes to the neck muscles might also occur during ontogeny, in snapping turtles. However, this remains to be determined.

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5. PERSPECTIVES

In the present study, we have demonstrated that increasing body size during ontogeny increases self-righting times, as well as the accompanying biomechanical costs, and there is a reduction in the relative length of the neck, in snapping turtles. A young turtle's superior self-righting ability would be beneficial, as it would allow it to avoid the perils of being inverted as they traverse a landscape. Considering that C. serpentina, as well as other Testudine species, possess shells optimized for the environment that they inhabit [2, 3, 5, 33], intraspecific selfrighting times and its biomechanical correlates could vary substantially, depending on geography. Therefore, future studies should investigate how the substrate from these different landscapes affects self-righting. Moreover, as interspecific differences in self-righting effort in Testudines is also influenced by shell shape, it is likely that it is also altered by shell rigidity. For example, common snapping turtles and spiny softshell turtles (Apalone spinifera) can live in the same environments, but the latter have more flexible and smoother shells, and prefer to stay in water than on land. Thus, it would be worthwhile to investigate the trade-offs in self-righting ability of species that have flexible shells or spend most of their time in water. Lastly, because larger turtles use disproportionately more energy during self-righting, it begs the question of where this extra energy goes. Since the turtles do not leave the ground and the shell does not alter in shape, it must mean that the extra energy is likely converted into unnecessary body movement, or lost due to increased rolling resistance. Given the diversity and abundance of Testudine species worldwide, as well as their vulnerability to anthropogenic and climatechange stressors, it is surprising how little we still know about some of their most basic biomechanical attributes that are associated with important survival behaviours, such as self-righting.

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422 **GENERAL**

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425 **AUTHOR CONTRIBUTIONS**

- 426 Conceptualization: J.R.C.; Methodology: W.I.S. and J.R.C.; Formal analysis: I.M.R. and K.A.R.R.; Investigation:
- 427 I.M.R., K.A.R.R., W.I.S., D.A.C., and J.R.C.; Writing original draft: I.M.R.; writing review and editing: I.M.R,
- 428 K.A.R.R., W.I.S., D.A.C., and J.R.C.; Supervision: J.R.C.; Project administration: J.R.C.; Funding acquisition: J.R.C.,
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COMPETING INTERESTS

The authors declare no competing interests.

DATA ACCESSIBILITY

The dataset associated with this study is available from the Dryad Digital Repository.

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440 TABLES

Table 1. Scaling relationships between morphometric/biomechanical variables and body mass or between morphometrics and maximum neck force. The regression slope indicates proportional change in variable size with increasing body mass, and 95% confidence intervals (CIs) are shown (N = 33). Measured slopes in agreement (using 95% confidence intervals) with predicted slopes from our geometric model are indicated by a check mark (\checkmark) and measured slopes lesser or greater than model predictions are indicated by negative signs (-) and positive signs (+), respectively.

Dependent variable	Independent variable	Slope predicted by geometric model	Measured slope	In agreement with model prediction?	Lower 95% CI	Upper 95% CI	R²	P-value
Carapace length (mm)	Body mass (kg)	0.33	0.323	✓	0.31	0.336	0.988	≤ 0.001
Carapace width (mm)	Body mass (kg)	0.33	0.336	\checkmark	0.318	0.354	0.979	≤ 0.001
Carapace height (mm)	Body mass (kg)	0.33	0.31	\checkmark	0.295	0.335	0.984	≤ 0.001
Neck length (mm)	Body mass (kg)	0.33	0.281	-	0.246	0.316	0.895	≤ 0.001
Self-righting time (s)	Body mass (kg)	0.5	0.357	✓	0.173	0.54	0.337	0.004
Neck force (N)	Body mass (kg)	0.67	0.901	+	0.757	1.045	0.84	≤ 0.001
Kinetic energy equivalent (J)	Body mass (kg)	1.33	1.548	+	1.341	1.755	0.882	≤ 0.001
Power output (W)	Body mass (kg)	0.83	1.191	+	0.961	1.422	0.782	≤ 0.001
Neck force (N)	Neck length (mm)	2	2.976	\checkmark	2.447	3.505	0.809	≤ 0.001
	Carapace length (mm)	2	2.77	\checkmark	2.325	3.215	0.839	≤ 0.001
	Carapace width (mm)	2	2.607	\checkmark	2.146	3.067	0.811	≤ 0.001
	Shell height (mm)	2	2.837	\checkmark	2.34	3.334	0.814	≤ 0.001