

Critical behavior in the single flavor Thirring model in 2 + 1D

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Results of a lattice field theory simulation of the single-flavor Thirring model in 2 + 1 spacetime dimensions are presented. The lattice model is formulated using domain wall fermions as a means to recover the correct U(2) symmetries of the continuum model in the limit where the wall separation $L_s \rightarrow \infty$. Simulations on $12^3, 16^3 \times L_s$, varying self-interaction strength g^2 and bare mass m , are performed with $L_s = 8, \dots, 48$, and the results for the bilinear condensate $\langle \bar{\psi}\psi \rangle$ are fitted to a model equation of state assuming a $U(2) \rightarrow U(1) \otimes U(1)$ symmetry-breaking phase transition at a critical g_c^2 . First estimates for $g_c^2 a$ and critical exponents are presented, showing small but significant departures from mean-field values. The results confirm that a symmetry-breaking transition does exist and therefore the critical number of flavors for the Thirring model $N_c > 1$. Results for both the condensate and associated susceptibility are also obtained in the broken phase on $16^3 \times 48$, suggesting that here the $L_s \rightarrow \infty$ extrapolation is not yet under control. We also present results obtained with the associated 2 + 1D truncated overlap operator D_{OL} demonstrating exponential localization, a necessary condition for the recovery of U(2) global symmetry, but that the recovery of the Ginsparg-Wilson condition as $L_s \rightarrow \infty$ is extremely slow in the broken phase.

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I. INTRODUCTION

The Thirring model is a quantum field theory of relativistic fermions interacting via a contact between conserved currents. In this paper we will examine the model in 2 + 1D spacetime dimensions, and therefore further specify the use of reducible (i.e., four-component) spinor fields, permitting the formulation of a parity-invariant mass term. The Lagrangian density reads

$$\mathcal{L} = \bar{\psi}_i (\not{\partial} + m)\psi_i + \frac{g^2}{2N} (\bar{\psi}_i \gamma_\mu \psi_i)^2 \quad (1)$$

where $\mu = 0, 1, 2$ and a sum over i indexing N fermion species is implied. For a reducible representation of the Dirac algebra, there are two independent matrices γ_3 and γ_5 which anticommute with the kinetic term in Eq. (1), which results in a $U(2N)$ global symmetry generated by the set $\{1, \gamma_3, \gamma_5, i\gamma_3\gamma_5\}$. For $m \neq 0$ this breaks as $U(2N) \rightarrow U(N) \otimes U(N)$. The symmetry can also break spontaneously

through the generation of a bilinear condensate $\langle \bar{\psi}\psi \rangle \neq 0$; while there are clear analogies with “chiral” symmetry breaking, this nomenclature should be eschewed in odd spacetime dimensions.

Spontaneous $U(2N)$ symmetry breaking is believed to be theoretically possible for sufficiently large self-coupling g^2 and sufficiently small N . Previous investigations of this question have used truncated Schwinger-Dyson equations [1–3], and the functional renormalization group approach [4–6]. The prototype scenario has a symmetry breaking transition at $g_c^2(N)$, where g_c^2 is an increasing function of N . A UV-stable renormalization group fixed point can be defined as $g^2 \rightarrow g_c^2$, where we identify a *quantum critical point* (QCP) such that there exists an interacting continuum field theory solely specified by the field content, dimensionality and pattern of symmetry breaking. The critical flavor number N_c required for symmetry breaking defined by $g_c^2(N)|_{N=N_c} \rightarrow \infty$, which in principle need not be an integer, is an important property of the model. Since symmetry breaking is not accessible via expansion in any small parameter, the identification of N_c for the Thirring model is an important and challenging problem in nonperturbative quantum field theory.

The model also provides a natural arena for the application of lattice field theory methods, and may well be the simplest fermion field theory *requiring* a computational

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solution. It turns out that the choice of fermion discretization has undue influence. Many early studies [7–12] used staggered fermions, which naturally support a symmetry breaking $U(\frac{N}{2}) \otimes U(\frac{N}{2}) \rightarrow U(\frac{N}{2})$ [13]. The results of these studies are broadly consistent; the most wide-ranging of them, exploiting plausible assumptions about the $g^2 \rightarrow \infty$ limit on a lattice, found $N_c = 6.6(1)$ [11]. The critical exponents found for $N < N_c$ appear rather sensitive to N , suggesting the existence of a rich family of distinct QCPs.

There are reasons to question whether this prediction for N_c is correct; issues arising from a lattice perspective were reviewed in Ref. [14]. Here we present a nonrigorous plausibility argument for caution based on symmetry. The Thirring model in 2 + 1D may be studied analytically in the limit of large N , and the mass M_V of a vector $f\bar{f}$ bound state interpolated by the current density $\bar{\psi}\gamma_\mu\psi$ is predicted in this limit to be [15]

$$\frac{M_V}{m} = \sqrt{\frac{6\pi}{mg^2}}; \quad \lim_{g^2 \rightarrow \infty} \frac{M_V}{m} = 0. \quad (2)$$

Hence in the strong-coupling limit the Thirring model is a theory of conserved currents interacting via the exchange of a massless spin-1 boson. Asymptotically the boson propagator switches from the canonical $D_{\mu\nu}(k) \propto k^{-2}$ to $\propto k^{-1}$; this UV behavior is exactly that predicted for the photon of QED₃ in the IR limit. Hence the Thirring QCP, if it exists, could well be identical with the IR fixed point of QED₃, and the critical N_c for both theories should then coincide. The parallels between the Thirring model and Abelian gauge theory are more apparent still once an auxiliary vector field A_μ is introduced, as reviewed in Sec. II below and more generally throughout the rest of the paper.

Now, there is an old argument [16] for estimating N_c in QED₃, based on the conjecture $f_{\text{IR}} \leq f_{\text{UV}}$, where

$$f_{\text{IR}} = -\frac{90}{\pi^2} \lim_{T \rightarrow 0} \frac{\mathcal{F}}{T^4}; \quad f_{\text{UV}} = -\frac{90}{\pi^2} \lim_{T \rightarrow \infty} \frac{\mathcal{F}}{T^4}, \quad (3)$$

and \mathcal{F} is the thermodynamic free energy density. For asymptotically free theories such as QED₃, f_{UV} is related to the count of noninteracting constituents:

$$f_{\text{UV}} = \frac{3}{4} \times 4N + 1. \quad (4)$$

Here $\frac{3}{4}$ is the appropriate factor for Fermi-Dirac statistics in 2 + 1D, 4 is the number of spinor components per flavor and 1 counts the single physical polarisation state of the photon, which remains unconfined in QED₃. For a phase with spontaneously broken symmetry the number of weakly interacting degrees of freedom is the Goldstone count plus the photon. Hence

$$f_{\text{IR}} = \begin{cases} 2N^2 + 1 & U(2N) \rightarrow U(N) \otimes U(N); \\ \frac{N^2}{4} + 1 & U(\frac{N}{2}) \otimes U(\frac{N}{2}) \rightarrow U(\frac{N}{2}). \end{cases} \quad (5)$$

For QED₃, and by extension the continuum Thirring model, the conjecture therefore predicts $N_c \leq \frac{3}{2}$, whereas for the symmetry breaking dictated by the staggered formulation the equivalent bound is the much less stringent $N_c \leq 12$. This disparity is a strong motivation for exploring lattice fermions with the correct global symmetry.

Recently this program has been developed in two distinct directions. Lattice fermions employing the SLAC derivative, which is nonlocal but manifestly $U(2N)$ -symmetric, have been used to investigate the model in Refs. [17,18]. Since the Thirring model is not a gauge theory, the long-standing objections [19] to the SLAC approach associated with the lack of localization of the fermion-gauge vertex do not apply. No evidence has been found for spontaneous symmetry breaking for any integer value of N (i.e., any unitary local version of the model); an estimate $N_c = 0.80(4) < 1$ was reported in Ref. [18]. Meanwhile, the Thirring model formulated with domain wall fermions (DWFs) has been investigated by us in Refs. [14,20]. DWFs employ a local lattice derivative at the cost of introducing a fictitious extra dimension. In this case the $U(2N)$ symmetry is not manifest but hopefully recovered in a controlled way in the limit that the separation L_s between domain walls located at either end of this “third” dimension is made large. An aspect worth highlighting is that in the most promising approach the fermions interact with the auxiliary field A_μ throughout the bulk, i.e., for $0 < x_3 < L_s$, very similar to the way gauge theories are formulated with DWFs [21].

We have found that the $L_s \rightarrow \infty$ limit becomes particularly challenging precisely in the strong-coupling regime where symmetry breaking is anticipated. In particular, Ref. [14] presented results for $N = 0, 1, 2$ on $12^3 \times L_s$ (for $N > 0$) with L_s ranging from 8 to 40. The results for $N = 0$ and $N = 2$ are fairly clear-cut: symmetry breaking is observed in the former case and not in the latter. For $N = 1$ there are qualitative indications of a change in the system’s behavior at the strongest coupling explored ($g^2 a = 0.3$, where the lattice spacing a defines the scale). In particular the bilinear condensate $\langle \bar{\psi}\psi(m) \rangle$ displays significant L_s dependence in this regime, and the resulting signal estimated in the $L_s \rightarrow \infty$ limit is significantly greater than that from weaker couplings; there is also a marked departure from linear m dependence. The conclusion reached in Ref. [14] is that $0 < N_c < 2$ with “strong evidence” for $N_c > 1$.

Settling the issue is hard for a couple of reasons. One is that the Rational Hybrid Monte Carlo (RHMC) simulation algorithm required for $N = 1$ is numerically more demanding [14]. Another is that in a finite volume the bilinear condensate vanishes identically for $m = 0$ so that neither

the order parameter nor associated susceptibility are directly accessible in the $U(2N)$ -symmetric limit. In the current paper we apply improved code and substantially enhanced computing resources to both issues, by exploring the model on both $12^3 \times L_s$ and $16^3 \times L_s$, with L_s as large as 48, with much finer resolution along the coupling axis, particularly in the suspected critical region. The resulting order parameter data $\langle \bar{\psi}\psi(g^2, m) \rangle$ are obtained with sufficient statistical precision to permit fits to a renormalization-group-inspired equation of state (EoS) applicable away from $m = 0$, yielding estimates for both the critical coupling g^2 and accompanying critical exponents β_m and δ defined in Eq. (15) below. A similar strategy has been applied successfully in staggered fermion studies [7,9,11]. As the methodology implies, the main results of the study are the confirmation that $N_c > 1$ and a first tentative characterization of the critical properties of the QCP.

The remainder of the paper is organized as follows. Section II sets out the lattice formulation of the model using DWFs and the auxiliary field method, and reviews the simulation algorithm and principal observables. Results are presented in Sec. III in three subsections. Section III A presents a systematic study of varying $\beta \equiv g^{-2}a$, m , L_s and spacetime volume, in the regime $0.3 \leq \beta \leq 0.52$. Results for $\langle \bar{\psi}\psi \rangle$ are first extrapolated to $L_s \rightarrow \infty$, and then fitted to the empirical EoS (16) below. The picture that emerges appears under control; the L_s extrapolation and EoS-fitting procedures almost, but not quite, commute, yielding fairly stable estimates for $\beta_c \approx 0.28$ and estimates for the exponents β_m, δ distinct from those of mean field theory. Finite-volume effects appear to be remarkably small. However, all the data used in this analysis lie in the symmetric phase. To address this Sec. III B presents a complementary study at fixed $L_s = 48$, but this time exploring couplings as strong as $\beta = 0.23$. Both $\langle \bar{\psi}\psi \rangle$ and its associated susceptibility χ_ℓ are studied. Here some issues emerge: the EoS fit is not so successful at describing data in the strong-coupling phase, and χ_ℓ , while having a peak as expected near criticality, exhibits a nonstandard scaling as m is varied. We also present results for a residual δ_h introduced in Ref. [22] to quantify the recovery of $U(2N)$ symmetry, and the Bose action density $(2g^2)^{-2} \langle A_\mu^2 \rangle$. In Sec. III C we present results for the locality, and recovery of the Ginsparg-Wilson relation, of the truncated overlap operator (corresponding to finite L_s) in the critical region. Both are key ingredients in demonstrating the existence of a local $U(2N)$ -symmetric field theory at the QCP. Our conclusions are discussed in Sec. IV.

II. FORMULATION AND NUMERICAL SIMULATION

We use an auxiliary field formulation to represent the Thirring interaction, which recasts the fermion action as a bilinear form while preserving the global symmetries. In continuum notation the Lagrangian density reads

$$\mathcal{L}' = \bar{\psi}(\not{\partial} + i\not{A} + m)\psi + \frac{1}{2g^2}A_\mu^2. \quad (6)$$

On a lattice the vector auxiliary field A_μ is naturally formulated on a link. Preserving fermion global symmetries while transcribing to a lattice is of course a long-standing issue in lattice field theory. Our approach uses DWFs, in which a fictitious third dimension is introduced so the fermions $\Psi(x, s)$ are defined in $2 + 1 + 1D$:

$$S = \sum_{x,y} \sum_{s,s'} \bar{\Psi}(x, s) [\delta_{s,s'} D_{W,x,y}[A] + \delta_{x,y} D_{3s,s'}] \Psi(y, s') + mS_m + \frac{1}{2g^2} \sum_{x,\mu} A_\mu^2(x). \quad (7)$$

We use the Möbius formulation, implying that $D_W[A = 0]$, D_3 are free Wilson derivative operators, with $D_{3s,s'}$ having open boundary conditions implemented on the hopping terms, viz. $\delta_{s \mp 1, s'}(1 - \delta_{s', 1/L_s})$, at domain walls located at $s = 1, L_s$. The auxiliary field $A_\mu(x)$ is 3-static, taking the same value on every spacetime slice along the third direction. In previous work we have referred to this as the *bulk* version of the model [14]. Our model employs a *noncompact* formulation of the interaction in which each hopping term in D_W carries a nonunitary link factor $(1 \pm iA_\mu(x))$; in this way integration over A generates solely 4-Fermi terms, and not higher-point contact interactions. Further details were set out in Ref. [14].

In the large- L_s limit the free kinetic operator approaches an overlap operator D_{OL} defined in $2 + 1D$ and satisfying a generalization of the Ginsparg-Wilson relations [23,24]

$$\begin{aligned} \{\gamma_3, D_{OL}\} &= 2D_{OL}\gamma_3D_{OL}; & \{\gamma_5, D_{OL}\} &= 2D_{OL}\gamma_5D_{OL}; \\ \{\gamma_3\gamma_5, D_{OL}\} &= 0. \end{aligned} \quad (8)$$

For weakly interacting fields, the rhs of the first two of these relations are formally $O(a)$ and thus vanish in the continuum limit, recovering the desired $U(2)$ global symmetry of the continuum model. This only holds for the bulk formulation of the Thirring model with DWFs, and not the alternative ‘‘surface’’ formulation discussed in Refs. [14,20]. For strongly interacting fields the recovery of the GW relations (8) and the locality of the corresponding D_{OL} will be discussed further in Sec. III C below.

The bridge between $2 + 1 + 1D$ and the target model rests on the identification of physical fermion fields in $2 + 1D$ localized entirely on the domain walls, which we regard as a working assumption:

$$\begin{aligned} \psi(x) &= P_- \Psi(x, 1) + P_+ \Psi(x, L_s); \\ \bar{\psi}(x) &= \bar{\Psi}(x, L_s) P_- + \bar{\Psi}(x, 1) P_+, \end{aligned} \quad (9)$$

with projectors $P_\pm \equiv \frac{1}{2}(1 \pm \gamma_3)$. The mass term mS_m in Eq. (7) needs some discussion. A $U(2)$ -symmetric theory

has three physically equivalent parity-invariant mass terms $m_h \bar{\psi} \psi$, $im_3 \bar{\psi} \gamma_3 \psi$ and $im_5 \bar{\psi} \gamma_5 \psi$. For DWF fermions with L_s finite the choice $im_3 \bar{\psi} \gamma_3 \psi$ with ψ , $\bar{\psi}$ specified in Eq. (9) gives the best approach to $L_s \rightarrow \infty$ [14,22,24], and we use this definition throughout this paper. The approach to the U(2)-symmetric limit will be monitored in Sec. III B below via the residual $\delta_h \simeq \langle \bar{\psi} \psi \rangle - \langle \bar{\psi} i \gamma_3 \psi \rangle$ [22].

Specifying the bilinear component of the action (7) by \mathcal{M} , then the action simulated using bosonic pseudofermion fields Φ , Φ^\dagger is

$$S_{\text{pf}} = \Phi^\dagger \{ [\mathcal{M}^\dagger \mathcal{M}_{m_h=1}]^{\frac{1}{4}} [\mathcal{M}^\dagger \mathcal{M}_{m_3=m}]^{-\frac{1}{2}} [\mathcal{M}^\dagger \mathcal{M}_{m_h=1}]^{\frac{1}{4}} \} \Phi. \quad (10)$$

Assuming $\det \mathcal{M}$ is real, the resulting functional weight $\det[\mathcal{M}_{m_3=m} \mathcal{M}_{m_h=1}^{-1}]$ tends to $\det D_{\text{OL}}(m)$ in the limit $L_s \rightarrow \infty$ [24]. An RHMC algorithm is needed to handle the fractional powers in Eq. (10), as described in Ref. [14]. Some tests of the accuracy of the rational approximation needed to implement fractional powers in the parameter regime of interest are presented in Sec. III B. In the meantime the code has been modified in two main aspects: multiprocessing parallelization and a simplified, more stable solver. The parallelization makes use of the Message Passing Interface paradigm, with a 3D domain decomposition across the spatial and temporal directions, leaving the domain wall dimension uncut to allow the compiler—as in the original version of the code—to perform automatic vectorization. The alternative solver implementation is a conjugate gradient-based multishift solver, having the advantages of requiring 33% less memory compared to the original Quasi-Minimal Residual-based one, and being stable in single precision. The possibility of running the solver in single precision during the molecular dynamics part of the algorithm leads to another 50% save in memory which, as the solver is severely memory bound, translates into a direct performance increase. We have made the simulation code publicly available [25].

Finally, the observables studied in the bulk of the paper are simply the bilinear condensate

$$\langle \bar{\psi} \psi \rangle \equiv \frac{\partial \ln \mathcal{Z}}{\partial m} \equiv \langle \Sigma \rangle \quad (11)$$

and its associated susceptibility

$$\chi_\ell = \langle \Sigma^2 \rangle - \langle \Sigma \rangle^2. \quad (12)$$

The notation is slightly loose: the bilinear actually used in computations should be understood to be $i\bar{\psi} \gamma_3 \psi$. The susceptibility χ_ℓ defined in Eq. (12) is often referred to as the *disconnected* component, and is expected to manifest any singular behavior expected at a continuous phase transition. The full susceptibility corresponding to $\partial^2 \ln \mathcal{Z} / \partial m^2$ contains an extra *connected* component not

included in the variance of the bilinear order parameter calculated here. In our work the expectations (11)–(12) are calculated with unbiased estimators using ten independent Gaussian noise vectors per configuration.

III. RESULTS

A. Equation of state on 12^3 , 16^3 , and the extrapolation $L_s \rightarrow \infty$

In previous work [14], simulations of the $N = 1$ model on a $12^3 \times L_s$ system found signals consistent with broken U(2) symmetry in the vicinity of $\beta \equiv g^{-2} a \approx 0.3$. Crucially, in order to demonstrate this it proved necessary to explore a large range $8 \leq L_s \leq 40$ in order to permit an extrapolation $L_s \rightarrow \infty$. In this subsection we revisit this issue, this time exploring the coupling range $0.3 \leq \beta \leq 0.52$ with much finer resolution, with fermion masses $ma = 0.01, \dots, 0.05$, spacetime volumes 12^3 and 16^3 and $L_s = 8, 16, \dots, 48$. The data presented result from at least 3000 RHMC trajectories of mean length 1.0 for each parameter set $\{\beta, m, L_s\}$.

First let us discuss the $L_s \rightarrow \infty$ extrapolation; empirically the convergence to the large- L_s limit is exponential [14]:

$$\langle \bar{\psi} \psi \rangle_{L_s=\infty} - \langle \bar{\psi} \psi \rangle_{L_s} = A(\beta, m) e^{-\Delta(\beta, m) L_s}. \quad (13)$$

Sample fits are shown in Fig. 1. The extracted decay constant $\Delta(\beta, m)$ is shown in Fig. 2. While errors are appreciable, particularly at weaker couplings where the signal is small, it can be seen that for the weaker couplings $0.36 \leq \beta \leq 0.44$ $\Delta \approx 0.03$ and is approximately m independent, whereas for the stronger couplings $0.3 \leq \beta \leq 0.34$, $\Delta(m)$ is roughly linear, with an intercept $\Delta(m=0) \approx 0.007$. This suggests that $L_s \sim O(10^2)$ is needed to completely control the extrapolation in this regime.

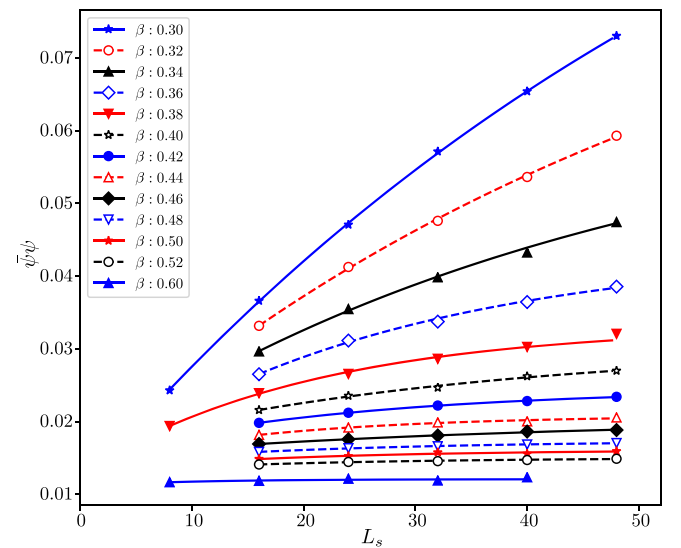


FIG. 1. Bilinear condensate $\langle \bar{\psi} \psi(L_s) \rangle$ for $ma = 0.01$ on 16^3 together with a fit to Eq. (13).

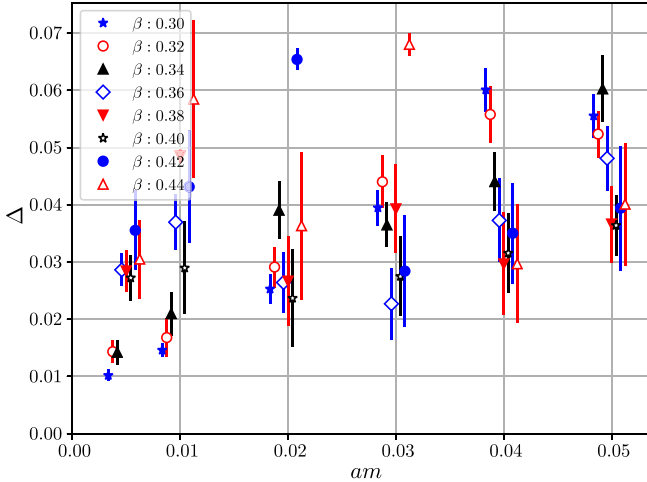


FIG. 2. The decay constant $\Delta(\beta, m)$ defined in Eq. (13) on 16^3 , showing distinct trends in the coupling ranges $\beta \geq 0.36$ and $\beta \leq 0.34$. Data points have been shifted horizontally for improved readability.

Next we turn to an analysis of the critical point, assumed present at $(\beta, m) = (\beta_c, 0)$. Since data are collected in the presence of a U(2)-symmetry-breaking mass $m \neq 0$, we follow an indirect route, motivated by the renormalization group [7]. At fixed spacetime volume, assume a scaling form

$$m(\langle \bar{\psi}\psi \rangle, t) = \langle \bar{\psi}\psi \rangle^\delta \mathcal{F}\left(t \langle \bar{\psi}\psi \rangle^{-\frac{1}{\beta_m}}\right) \quad (14)$$

where $t \equiv \beta - \beta_c$. In the limits $m = 0$, $t = 0$ we immediately recover

$$|t|^{\beta_m} \propto \langle \bar{\psi}\psi \rangle; \quad m = \mathcal{F}(0) \langle \bar{\psi}\psi \rangle^\delta \quad (15)$$

whereupon we identify the conventional critical exponents β_m and δ familiar from the ferromagnetic transition. For small t we may Taylor expand the scaling function \mathcal{F} to yield the EoS:

$$m = A(\beta - \beta_c) \langle \bar{\psi}\psi \rangle^{\delta - \frac{1}{\beta_m}} + B \langle \bar{\psi}\psi \rangle^\delta. \quad (16)$$

Results of a least-squares fit of Eq. (16) to data from $16^3 \times 48$, $0.3 \leq \beta \leq 0.52$, and $ma = 0.005, 0.01, 0.02, \dots, 0.05$ are shown in Fig. 3, together with the curve resulting from the same fit parameters as $m \rightarrow 0$. The data support a symmetry-breaking continuous phase transition at $\beta_c = 0.2537(2)$ (the fit of Fig. 7 below with significantly smaller $\chi^2/\text{d.o.f.}$ results from excluding the data with $\beta = 0.3$; here we retain them for the sake of uniformity in the subsequent analysis). The fitted exponents $\beta_m = 0.42(1)$, $\delta = 3.41(5)$ differ significantly from their predicted values in mean field theory, namely $\beta_m = \frac{1}{2}$, $\delta = 3$.

However, in order to identify this transition with the breaking of U(2) symmetry a minimum requirement is

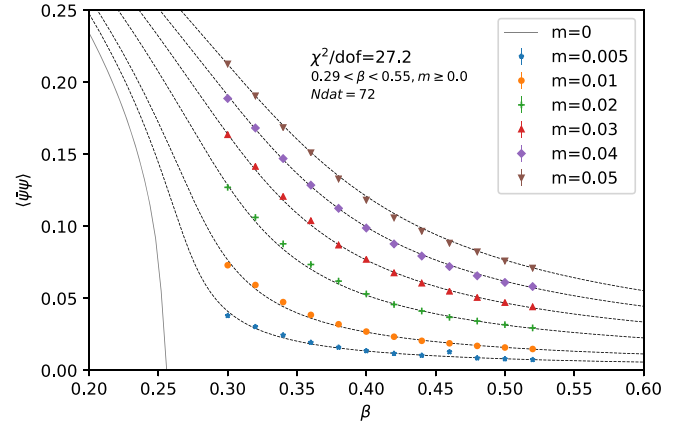


FIG. 3. Fit to the EoS (16) for $16^3 \times 48$. The full line shows the fit extrapolated to the U(2)-symmetric limit $m = 0$. The large χ^2 value is dominated by data with $\beta = 0.30$; see Fig. 7 below.

stability under the extrapolation $L_s \rightarrow \infty$. The purist's approach, presented first, is to fit Eq. (16) to data which has been first extrapolated using Eq. (13). Such a fit is shown in Fig. 4. The first thing to note is the far larger errors on the data points (and correspondingly smaller $\chi^2/\text{d.o.f.}$); the extrapolation is particularly difficult to control at weak coupling where the signal is small. The fitted EoS still supports a continuous phase transition, though with modified exponents $\beta_m = 0.31(2)$, $\delta = 4.3(2)$. The critical point is also shifted to weaker coupling: $\beta_c = 0.279(1)$. In the immediate vicinity of the critical point the fitted curves do not well describe the small-mass data $ma = 0.005, 0.01$; however, due to the large error bars the exclusion of these masses does not significantly alter the fit.

A more pragmatic approach is to perform fits at fixed L_s and then study the behavior of the fit parameters as $L_s \rightarrow \infty$. This is less well motivated theoretically, but has the practical advantage that the data passed to the least-squares fitting procedure is of much higher statistical quality. Results for the critical coupling and the exponents

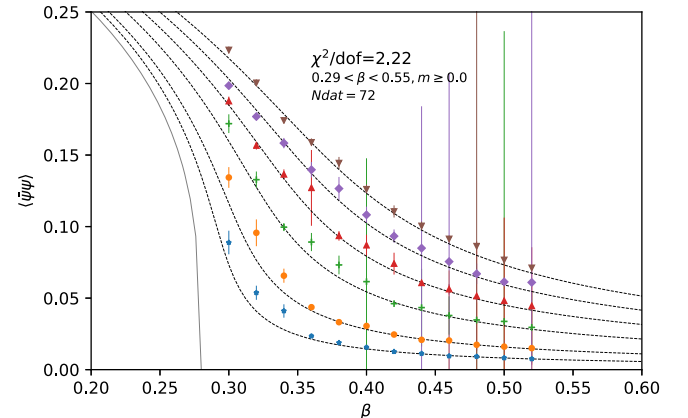


FIG. 4. Fit to the EoS (16) for 16^3 extrapolated to infinite L_s .

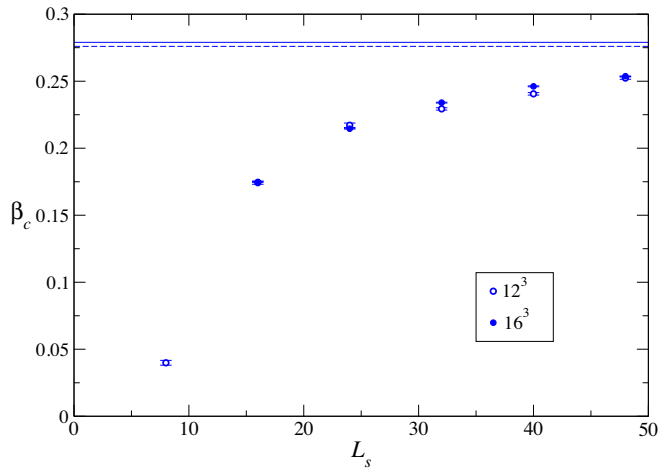


FIG. 5. Fitted critical coupling β_c on both 12^3 and 16^3 spacetime volumes as a function of L_s . The full (16^3) and dashed (12^3) lines show the values from fits of $L_s \rightarrow \infty$ extrapolated data.

are shown in Figs. 5 and 6 respectively. In Fig. 5 it is notable that the $\beta_c(L_s)$ values from 12^3 and 16^3 volumes are compatible; the evolution with L_s is smooth but falls significantly short of the fit of the extrapolated data even by $L_s = 48$, so it is not clear whether extrapolation and fitting commute. In Fig. 6 by contrast the fitted $\beta_m(L_s)$ and $\delta(L_s)$, while agreeing at large L_s , approach this limit from opposite directions on 12^3 and 16^3 ; moreover while on 12^3 the data from the largest available L_s are compatible with the values extracted from the extrapolated data, as already remarked there is a significant disparity on 16^3 .

B. Towards stronger couplings on 16^3 , $L_s = 48$

The results of the previous subsection support the claim made in Ref. [14] that the $N = 1$ model has a continuous

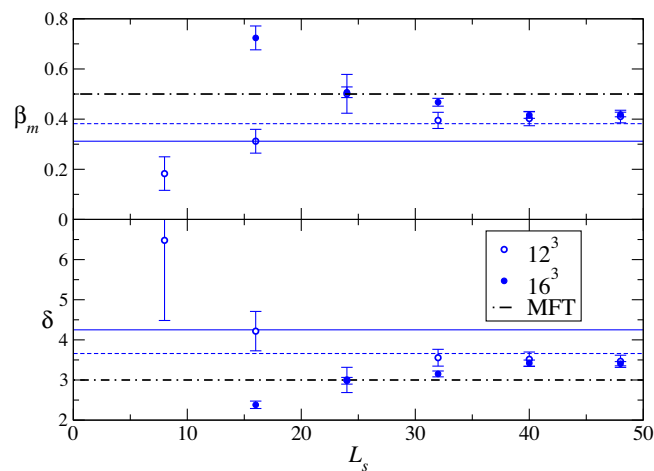


FIG. 6. Fitted critical exponents β_m (upper panel) and δ (lower panel) on both 12^3 and 16^3 spacetime volumes as a function of L_s . Dash-dotted lines show mean field values.

phase transition associated with the onset of a bilinear fermion condensate for $\beta \approx 0.3$. There is no sign of any significant finite-volume effect. Moreover, for the largest L_s examined, the transition is reasonably well modeled by an EoS with critical exponents both distinct from mean-field values, and consistent with a QCP defining a previously unknown strongly coupled quantum field theory of fermions. We might be concerned, however, that all data analyzed in Sec. III A lie on the weak-coupling side of the putative transition, and that there are hints from Fig. 3 and particularly Fig. 4 that the EoS fit is not doing such a good job as either $m \rightarrow 0$ or $\beta \rightarrow \beta_{c+}$. To investigate further we now turn to data generated using approximately 5000 RHMC trajectories at fixed $L_s = 48$ on spacetime volume 16^3 , but extending to β values on the strong-coupling side of the transition. Additionally, at the lightest mass $ma = 0.005$ the β axis is sampled with a finer resolution $\Delta\beta = 0.01$ in the strong-coupling region.

Figure 7 shows the $\langle \bar{\psi}\psi(\beta, m) \rangle$ data set, and a fit to Eq. (16) based on $0.32 \leq \beta \leq 0.52$. The fit is compatible with that shown in Fig. 3, and as advertised is of slightly higher quality as a result of excluding $\beta = 0.30$. It is immediately apparent, however, that it fails to model data on the strong-coupling side of the transition; here $\langle \bar{\psi}\psi \rangle$ falls below the model, and the effect is more pronounced with decreasing m , until by $ma = 0.005$ the curve becomes flat for $\beta \lesssim 0.25$, as shown in Fig. 8.

The flattening of the condensate at strong coupling is not a new story; indeed, simulations with staggered fermions actually exhibit a maximum before dropping as $\beta \rightarrow 0_+$. A possible origin of this behavior was suggested in Ref. [7]: as a result of the linear coupling between A_μ and the fermion current, the leading-order large- N correction to the auxiliary propagator is not transverse in a lattice regularization, leading to the breakdown of positivity as $\beta \rightarrow 0$. In the large- N approach the effect is mitigated by an additive renormalization of g^{-2} , so that the strong-coupling

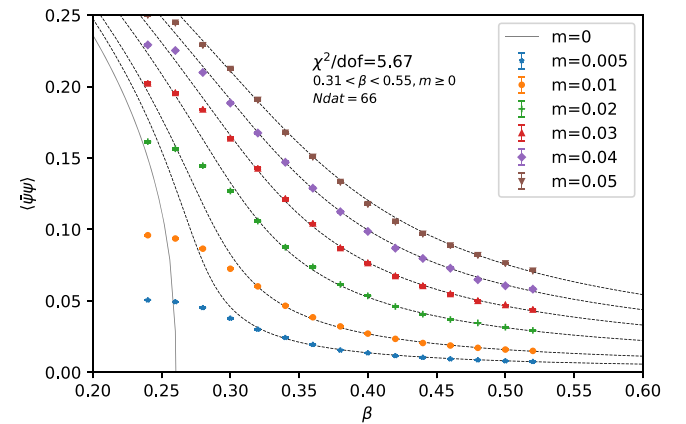


FIG. 7. The fixed- L_s data set used for the analysis of Sec. III B, together with a fit to the EoS (16). The fit parameters are $\beta_c = 0.2601(4)$, $\beta_m = 0.413(15)$, and $\delta = 3.44(9)$.

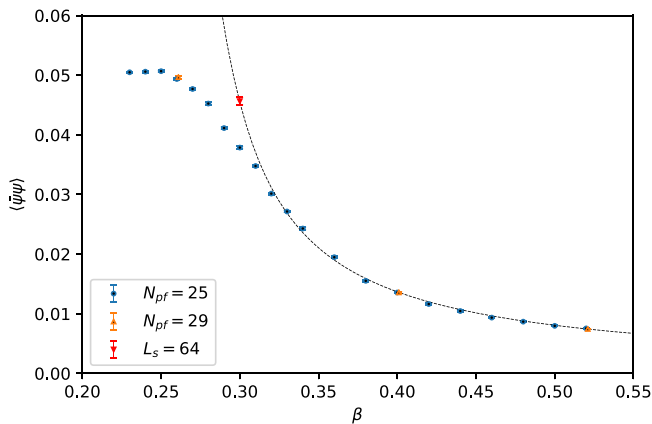


FIG. 8. $\langle \bar{\psi}\psi(\beta) \rangle$ for $ma = 0.005$ on $16^3 \times 48$. The dashed line is the same EoS fit shown in Fig. 7. Also shown is the result of a pilot simulation with $\beta = 0.3$, $L_s = 64$.

limit of the model is now taken as $\beta \rightarrow \beta^* > 0$. In Ref. [11] β^* was taken to be the location of the maximum of $\langle \bar{\psi}\psi(\beta) \rangle$, enabling a model equation of state $\langle \bar{\psi}\psi(N) \rangle$ in the effective strong-coupling limit and consequent prediction of N_c . A decrease of $\langle \bar{\psi}\psi \rangle_{\beta \rightarrow 0}$ has also been reported in simulations with SLAC fermions [17,18] and with DWFs in a variant “surface” formulation of the model [20], suggesting that strong-coupling lattice artifacts are a generic feature of the Thirring model, and may have a more general origin than that suggested by the large- N approach. Be that as it may it will clearly be important to establish a clear separation between β^* and any β_c associated with a Thirring model QCP. From Fig. 8 we might estimate $\beta^* \approx 0.25$, uncomfortably close, with current resolution, to the β_c estimates of Sec. III A.

At this point it is appropriate to discuss a technical aside. In the RHMC algorithm described in Ref. [14], it is necessary to calculate fractional powers of the fermion kernel $\mathcal{A} = \mathcal{M}^\dagger \mathcal{M}$. In practice this is performed using a rational approximation

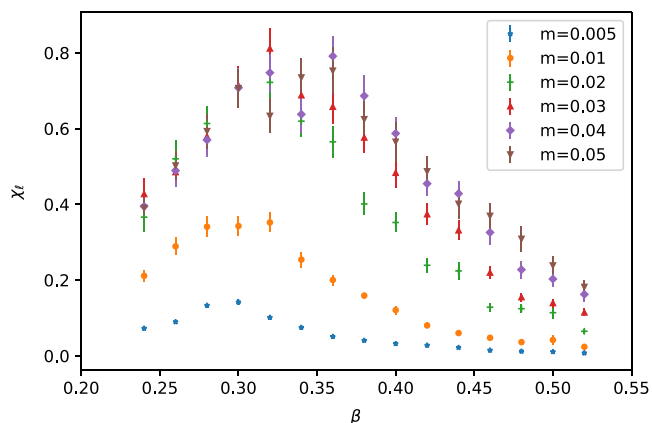


FIG. 9. Susceptibility $\chi_\ell(\beta, m)$ on $16^3 \times 48$.

$$\mathcal{A}^p \simeq r_p(\mathcal{A}) = \alpha_0 + \sum_{i=1}^{N_{pf}} \frac{\alpha_i}{\mathcal{A} + \beta_i}, \quad (17)$$

where the coefficients α_i , β_i may be calculated using the Remez algorithm implementation described in Ref. [26]. They are chosen so that over a spectral range $(\lambda_d, 50.0)$, $|r_p(x) - x^p| < 10^{-6}$ for matrices needed during trajectory guidance and $< 10^{-13}$ for those needed in the Monte Carlo acceptance step. For all work to date we have used $\lambda_d = 10^{-4}$ corresponding to the smallest value of $(ma)^2$ explored, which translates to partial fraction numbers $N_{pf} = 12$ (guidance) and $N_{pf} = 25$ (acceptance); however one might question whether this is sufficiently accurate for studies with $ma = 0.005$. Accordingly we have performed “enhanced” simulations at three β values with Remez coefficients generated with $\lambda_d = 10^{-5}$, corresponding to $N_{pf} = 14$ (guidance), and $N_{pf} = 29$ (acceptance). As shown in Fig. 8, fortunately there appears to be no significant difference with data calculated using the previous $\lambda_d = 10^{-4}$.

Next we present data for the susceptibility χ_ℓ defined in Eq. (12), for the whole data set in Fig. 9 and for the lightest $ma = 0.005$ in Fig. 10. As might be anticipated, statistical errors in χ_ℓ are considerably larger than those for the condensate, and accordingly we choose not to attempt an $L_s \rightarrow \infty$ extrapolation. However, again, the agreement between results obtained using the default and enhanced rational approximations seen in Fig. 10 is reassuring. For each value of m $\chi_\ell(\beta)$ is nonmonotonic, with the peak shifting to stronger coupling as m decreases in accord with expectations for a second derivative of the free energy at a critical point; this is corroborated by the model prediction obtained by differentiation of Eq. (16) with respect to m , and plotted using the fitted parameters in Fig. 11. Figure 10 suggests that the location and even the sharpness of the

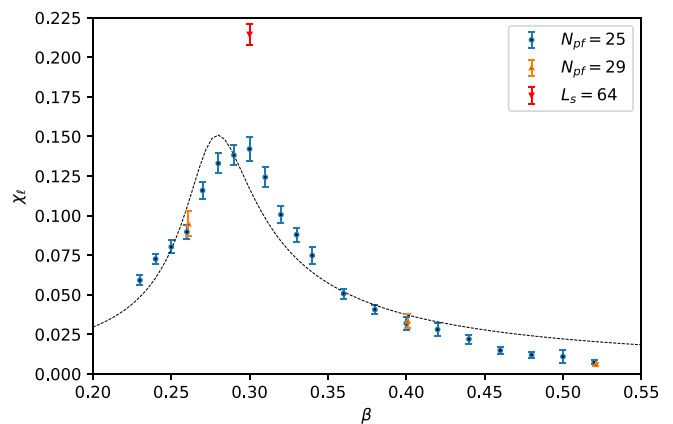


FIG. 10. Susceptibility $\chi_\ell(\beta)$ for $ma = 0.005$ on $16^3 \times 48$. The dashed line is calculated using the same EoS fit shown in Fig. 7, multiplied by an empirical factor 0.014. Also shown is the result of a pilot simulation with $\beta = 0.3$, $L_s = 64$.

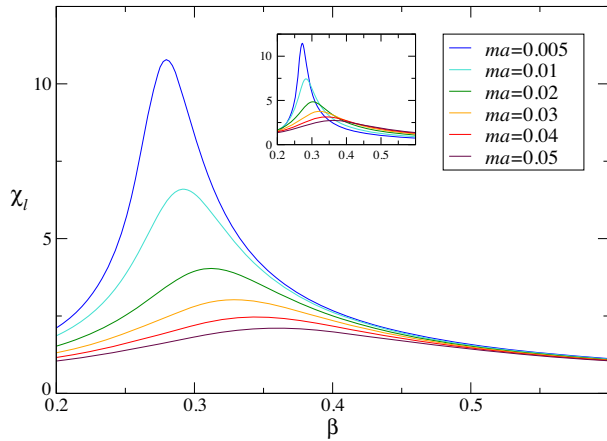


FIG. 11. Susceptibility $\chi_\ell(\beta, m)$ obtained by differentiation of the EoS (16). The inset shows the “corrected” version discussed in Sec. IV.

peak at criticality is roughly as expected, once an empirical rescaling is applied. However, there are features of Fig. 9 which are clearly problematic: the m ordering of the data is opposite to model expectations, with χ_ℓ increasing with m over the whole β range studied, and the convergence of χ_ℓ curves with different m as β grows large seen in Fig. 11 is *not* observed. We postpone further discussion of these issues to Sec. IV.

Next we discuss the approach to recovery of U(2) symmetry expected as $L_s \rightarrow \infty$. Reference [22] introduced a residual δ_h defined in terms of the 2 + 1 + 1-dimensional fields as follows:

$$\begin{aligned} \delta_h(L_s) &= \text{Im}\langle \bar{\Psi}(x, s=1) i\gamma_3 \Psi(x, s=L_s) \rangle \\ &= -\text{Im}\langle \bar{\Psi}(x, s=L_s) i\gamma_3 \Psi(x, s=1) \rangle. \end{aligned} \quad (18)$$

In Ref. [22] $2\delta_h$ was found to furnish a lower bound for the difference between $\langle \bar{\psi}\psi \rangle$ and $\langle \bar{\psi}i\gamma_3\psi \rangle$, and to vanish $\propto e^{-cL_s}$ for quenched QED₃. In the Thirring model, $\delta_h(L_s)$ for various couplings was presented in Fig. 12 of Ref. [14]. While in all cases δ_h still decreases with L_s , it grows larger as coupling increases, and by $\beta = 0.3$ its decay constant c even develops a dependence on m . Figure 12 taken at fixed $L_s = 48$ confirms that the m dependence of δ_h does indeed set in for $\beta \lesssim 0.4$, and that δ_h continues to grow as β decreases, suggesting that the recovery of U(2) symmetry will be an ever-increasing challenge in the symmetry-broken phase as $m \rightarrow 0$.

Finally, Fig. 13 shows results for the bosonic auxiliary action density $(2g^2)^{-1}\langle A_\mu^2 \rangle$. As discussed in Ref. [14], for DWFs with the bulk formulation of the Thirring model there is no simple interpretation in terms of a local four-fermion condensate available; rather we regard it as an extra observable sensitive to light fermion dynamics. Its behavior is nonmonotonic, with a minimum at $\beta \simeq 0.46$ before rising to approach and then exceed the free-field value $\frac{3}{2}$ at

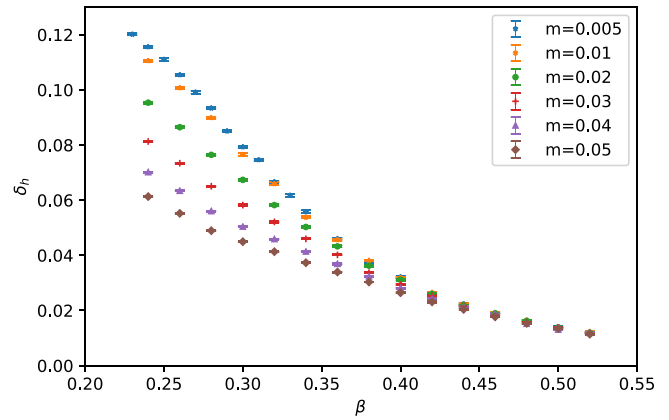


FIG. 12. The U(2)-breaking residual $\delta_h(\beta, m)$ on $16^3 \times 48$.

$\beta \simeq 0.24$. The notable feature of Fig. 13 is the fermion mass dependence; broadly speaking the departure from the free-field result increases with decreasing m (although the m ordering of the data is somewhat noisy), the effect being most pronounced for $0.3 \lesssim \beta \lesssim 0.4$ immediately above the suspected critical region.

C. Properties of the associated overlap operator

The equivalence of DWFs [21,27] and the (truncated) overlap operator [28] is well established in 3 + 1D, e.g., [29]. This equivalence is further shown in 2 + 1D [24] for both the regular mass term $m\bar{\psi}\psi$ and the linearly independent twisted mass terms $im\bar{\psi}\gamma_{3,5}\psi$ introduced above. As such, the locality of the domain wall operator in the target dimensionality can be demonstrated by showing the locality of the overlap operator.

We use the Shamir and Wilson formulations of the overlap operator with twisted mass $-im\bar{\psi}\gamma_3\psi$ given by

$$D_{\text{OL}}^3(m) = \frac{1 - im\gamma_3}{2} + \frac{1 + im\gamma_3}{2} V_{S/W} \quad (19)$$

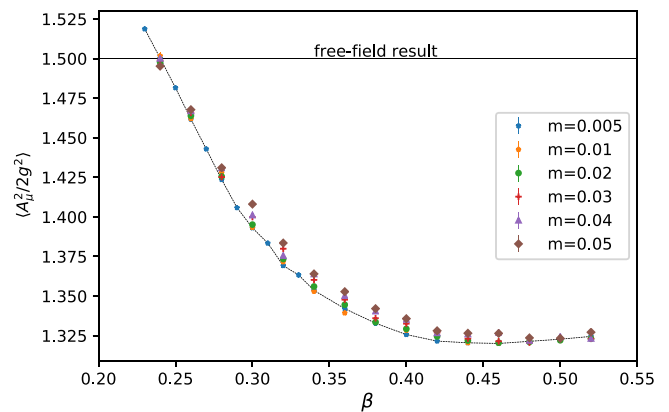


FIG. 13. Auxiliary action density $(2g^2)^{-1}\langle A_\mu^2 \rangle$ vs β on $16^3 \times 48$. The dashed line through the $ma = 0.005$ data is merely to guide the eye.

where the Wilson and Shamir kernels, defined via $V = \gamma_3 \text{sgn}(H)$, are

$$\begin{aligned} H_W &= \gamma_3 D_W, \\ H_S &= \gamma_3 \frac{D_W}{2 + D_W} \end{aligned} \quad (20)$$

and $D_W \equiv D_W(-M)$ is the massive Wilson Dirac operator, with M fixed to 1. Note, however, that the link factors multiplying the difference operators in D_W are the non-unitary $[1 \pm iA_\mu]$ rather than the unitary $e^{\pm iA_\mu}$ characteristic of a gauge theory. The key relation $H\gamma_3 = (\gamma_3 H)^\dagger$ is preserved. Our formulation of DWFs in the $L_s \rightarrow \infty$ limit is expected to recover Eq. (19) with the Shamir kernel [24].

The standard mass formulation of the overlap operator is $D_{\text{OL}}^I(m) = \frac{1+m}{2} + \frac{1-m}{2} V_{S/W}$. The signum function typically is approximated with a rational function resulting in a

truncated overlap operator. The hyperbolic tangent (polar) approximation is the most commonly used and may be expressed as [30]

$$\text{sgn}(x) \approx \tanh(n \tanh^{-1} x) = xn \frac{\prod_{j=1}^{n/2-1} [x^2 + (\tan \frac{j\pi}{n})^2]}{\prod_{j=0}^{n/2-1} [x^2 + (\tan \frac{(j+1/2)\pi}{n})^2]} \quad (21)$$

for n even. For the Shamir formulation it is much more efficient to use a formulation exploiting an extra dimension, and the truncated overlap operator can be reconstructed directly from a domain wall formulation. The standard mass and alternative mass domain wall operators may be expressed as $D_{\text{DW}}^{I/3} = D_{\text{DW}}^0 + m D_{\text{DW}}^{I/3}$, where (for $n \equiv L_s = 4$)

$$D_{\text{DW}}^0 = \begin{pmatrix} D_W + I & -P_- & 0 & 0 \\ -P_+ & D_W + I & -P_- & 0 \\ 0 & -P_+ & D_W + I & -P_- \\ 0 & 0 & -P_+ & D_W + I \end{pmatrix}, \quad (22)$$

$$D_{\text{DW}}^I = \begin{pmatrix} 0 & 0 & 0 & P_+ \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ P_- & 0 & 0 & 0 \end{pmatrix}, \quad D_{\text{DW}}^3 = \begin{pmatrix} 0 & 0 & 0 & i\gamma_3 P_+ \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ i\gamma_3 P_- & 0 & 0 & 0 \end{pmatrix}. \quad (23)$$

Then with

$$C = \begin{pmatrix} P_- & P_+ & 0 & 0 \\ 0 & P_- & P_+ & 0 \\ 0 & 0 & P_- & P_+ \\ P_+ & 0 & 0 & P_- \end{pmatrix}, \quad C^\dagger = C^{-1} = \begin{pmatrix} P_- & 0 & 0 & P_+ \\ P_+ & P_- & 0 & 0 \\ 0 & P_+ & P_- & 0 \\ 0 & 0 & P_+ & P_- \end{pmatrix} \quad (24)$$

we have the following relation [24,29], where the precise form of the Δ terms is unimportant for our purposes:

$$K^3 = C^\dagger (D_{\text{DW}}^I(1))^{-1} D_{\text{DW}}^3(m) C = \begin{pmatrix} D_{\text{OL}}^3(m) & 0 & 0 & 0 \\ -(1-m)\Delta_2^R & 1 & 0 & 0 \\ -(1-m)\Delta_3^R & 0 & 1 & 0 \\ -(1-m)\Delta_4^R & 0 & 0 & 1 \end{pmatrix}. \quad (25)$$

So the overlap operator (19), with the Shamir kernel (20), truncated with the polar approximation (21), evaluated with given n , is identical to the top left entry of the matrix K [Eq. (25)] using a domain wall extent $L_s = n$. There is a similar relation for the Wilson kernel, with a different domain wall formulation. We also have $K^I = C^\dagger (D_{\text{DW}}^I(1))^{-1} D_{\text{DW}}^I(m) C$.

Invariant transformations, corresponding to the Ginsparg-Wilson (GW) relations (8) are given by [22]

$$\begin{aligned} \Psi &\rightarrow e^{i\alpha\gamma_3(1-\frac{aD}{2})}\Psi; & \bar{\Psi} &\rightarrow \bar{\Psi}e^{i\alpha\gamma_3(1-\frac{aD}{2})}, \\ \Psi &\rightarrow e^{i\alpha\gamma_5(1-\frac{aD}{2})}\Psi; & \bar{\Psi} &\rightarrow \bar{\Psi}e^{i\alpha\gamma_5(1-\frac{aD}{2})}, \\ \Psi &\rightarrow e^{i\alpha\gamma_3\gamma_5}\Psi; & \bar{\Psi} &\rightarrow \bar{\Psi}e^{i\alpha\gamma_3\gamma_5}. \end{aligned} \quad (26)$$

This relation is exact for the 2 + 1D overlap operator $D = D_{\text{OL}}$, and is reproduced by DWFs in 2 + 1 + 1D in the $L_s \rightarrow \infty$ limit. In order to recover the U(2) symmetry in the continuum limit $a \rightarrow 0$, we must have the GW terms $aD\gamma_3 D$ and equivalently the transform terms $\frac{aD}{2}$ vanishing in the same limit. A sufficient condition for this to be the case is the Dirac operator being exponentially local. Evidence for this has been given for the overlap operator in 3 + 1D [31], and it behooves us to investigate the 2 + 1D case. Since the overlap operator is a dense matrix and manifestly nonlocal, a demonstration of exponential locality is important, especially around critical regions.

To see that exponential locality is necessary to ensure the recovery of the continuum U(2) symmetry, note that

$$e^{i\alpha\gamma_3(1-\frac{aD}{2})}\Psi = \left(I + i\alpha\gamma_3 \left(1 - \frac{aD}{2} \right) + \dots \right) \Psi \quad (27)$$

so that recovery requires

$$[aD\Psi]_{a \rightarrow 0} = 0. \quad (28)$$

We have $\Psi'_j = [a \sum_i D_{ji} \Psi_i]_{a \rightarrow 0} = 0$ which is true if $[\sum_i D_{ji} \Psi_i]_{a \rightarrow 0} < \infty$ which is true for any bounded Ψ if $[\sum_i D_{ji}]_{a \rightarrow 0} < \infty$ which is true if D is exponentially local, and hence exponential locality allows the recovery of U(2) symmetry.

In order to illustrate the locality of the overlap operator, we follow Ref. [31]. Let

$$\psi(x) = D\eta(x) \quad (29)$$

where the point source $\eta(x) = \delta_{x,y} \delta_{\alpha,1}$ where y is an arbitrary location and α is a spinor index. Then we calculate the decay as

$$f(r) = \max\{\|\psi(x)\|_2 : \|x - y\|_1 = r\} \quad (30)$$

where the ‘‘Manhattan taxi distance,’’ $\|x - y\|_1 = \sum_\mu |x_\mu - y_\mu|$ is just the L_1 norm.

The locality of D_{OL} in the critical region is illustrated for the twisted $im\bar{\psi}\gamma_3\psi$ mass term with $ma = 0.005$ in Figs. 14 and 15. Within the limitations imposed by a 16^3 volume, Fig. 14 is consistent with exponential falloff for $ra \gtrsim 10$. There is a mild β dependence; as expected the falloff slows down as the coupling gets stronger. Also shown for comparison are data obtained with unitary link fields $e^{\pm iA_\mu}$, where exponential localization is more manifest. Convergence to a meaningful value of the decay rate on this small volume is seen to be difficult in Fig. 15 showing the decrement from one r value to the next, by plotting $f(r)/f(r-1)$. As the coupling strength gets closer to criticality there is only marginal variation, indicating locality is not jeopardized at a critical point. Varying m does not

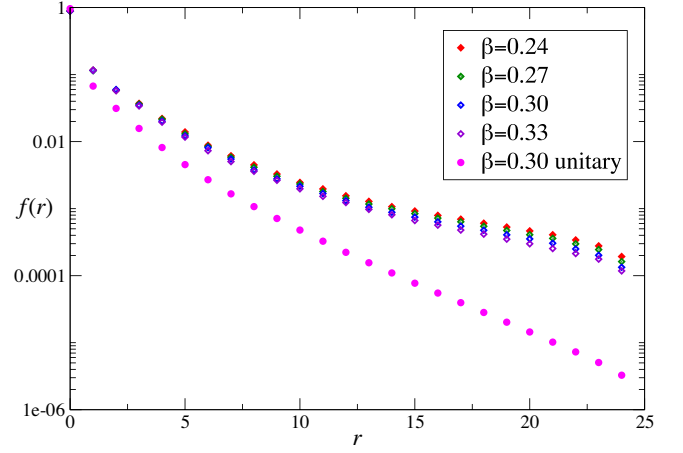


FIG. 14. Localization of the overlap D with the Shamir kernel and $L_s = 48$ averaged over 32 sources on each of five configurations.

change the conclusions, nor does using the Wilson kernel rather than the Shamir kernel.

We also examine the truncation/finite- L_s error of the overlap/DWF operator via the GW term, as a means to assess the recovery of U(2) symmetry. In the $n \rightarrow \infty$ ($L_s \rightarrow \infty$) limits the GW error δ_{GW} , given as

$$\delta_{\text{GW}} = \|(\gamma_3 D + D\gamma_3 - 2D\gamma_3 D)\phi\|_\infty \quad (31)$$

where ϕ is a complex field chosen at random at each lattice site such that each component is distributed uniformly in $(-1, 1)$, should be exactly zero for zero mass. We use a single auxiliary field configuration to plot δ_{GW} in Fig. 16. Although the boson field is generated with a nonzero mass, the GW error is measured with $D(m = 0)$.

The GW error vanishes only very slowly, and in fact δ_{GW} is numerically very similar to δ_h defined in Eq. (18) (plotted as a function of L_s in Fig. 12 of Ref. [14]). It is interesting that convergence with the Wilson kernel is slightly faster as compared to the Shamir formulation, which is surprising

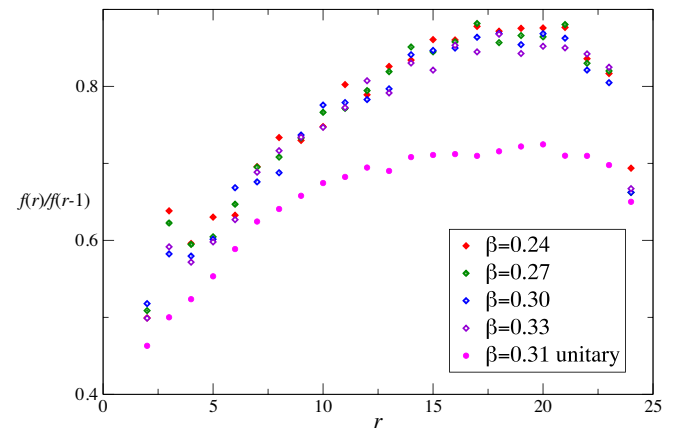


FIG. 15. The decrement $f(r)/f(r-1)$ for the data of Fig. 14.

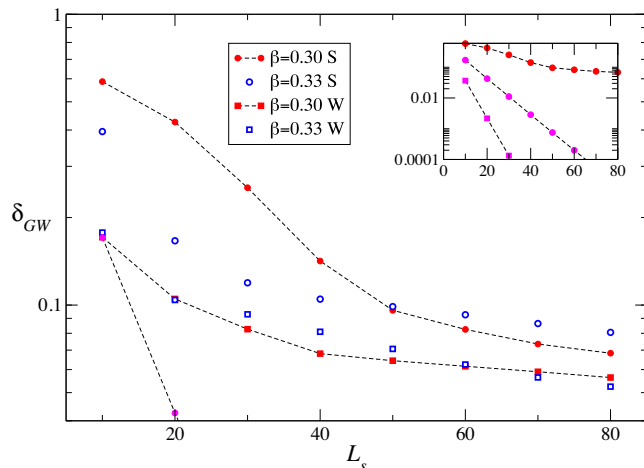


FIG. 16. GW error δ_{GW} calculated on a single configuration for Shamir (S) and Wilson (W) kernels. The inset compares results obtained using unitary link fields at $\beta = 0.3$.

since with nonunitary links the Wilson kernel is not bounded which should prejudice convergence [24,30]. Again, for comparison results obtained with unitary link fields are included in the inset; here exponential falloff of the error is much sharper. These results suggest that the very large values of L_s needed for $U(2N)$ recovery in the critical region have their origin in the nonunitary nature of the link fields in this formalism.

IV. DISCUSSION

The main conclusion of this study is that we can state with much more confidence that there is a phase transition associated with bilinear condensation in the Thirring model defined with $N = 1$ domain wall fermions, and hence that $N_c > 1$ as originally suggested in Ref. [14]. We have also made the first steps towards characterizing the critical properties. The enhanced data set we have generated demonstrates both the importance and the stability of the $L_s \rightarrow \infty$ extrapolation, enabling fits to a renormalization-group-inspired equation of state with $\beta_c \simeq 0.279(1)$ which work extremely well for $\beta \gtrsim \beta_c$. The fitted critical exponents are significantly different from their mean-field values, although both Fig. 2 and Figs. 5 and 6 suggest simulations with larger L_s , perhaps even $L_s \sim O(10^2)$, will be needed in order to fully control the predictions. Further encouraging signs are a susceptibility peak of the expected shape seen in Fig. 10 and the m dependence of the bosonic action in the subcritical region seen in Fig. 13.

The result $N_c \gtrsim 1$ is in clear contrast with predictions obtained with staggered fermions, for the reasons reviewed in the Introduction, but more interestingly also with $N_c = 0.80(4)$ obtained with SLAC fermions [18]. We speculate that the two lattice approaches describe different continuum theories, and that the bulk DWF formulation followed here more closely conforms to a picture of the strong dynamics

in which the auxiliary boson A_μ resembles a gauge field. Ultimately, physics at a QCP is specified not by a Lagrangian density, either continuum-like or regularized, but by more primitive considerations such as dimensionality, field content, and of course the pattern of global symmetry breaking. Interestingly, a recent study using the conformal bootstrap predicted $1 < N_c < 2$ for QED_3 [32].

For the first time in Sec. III C we have studied properties of the $2 + 1\text{D}$ overlap operator D_{OL} associated with DWFs in the vicinity of the critical point. Figure 14 suggests there exists a limit where $aD_{\text{OL}} \rightarrow 0$, a necessary condition for the existence of a continuum limit with conventional $U(2N)$ symmetry, although confirmation will require studies on larger spacetime volumes. However, symmetry recovery also requires the restoration of the Ginsparg-Wilson relations (8). Both the direct estimates shown in Fig. 16 and the indirect measure via the residual δ_h shown in Fig. 12 suggest this is at best restored only very slowly in the critical region. Note that $\delta_h \rightarrow 0$ is not guaranteed even once $O(e^{-\Delta L_s})$ corrections are applied to the order parameter as described in Sec. III A. It is now becoming apparent that this behavior has its origins in the use of nonunitary link fields in the Wilson operator D_W ; recall that this originates in the desire to have only four-point fermion interactions left once the auxiliary is integrated over. Figure 16 is also a motivation to explore measurement using D_{OL} defined with the alternative Wilson kernel, and/or with an expansion order n greater than the L_s used in ensemble generation with DWFs.

It is also important to review areas where the simulation falls short of the QCP ideal. As outlined in Sec. III B, at fixed $L_s = 48$ EoS fits fail to describe the data with $\beta < \beta_c$, and the susceptibility plot Fig. 9 shows an inverted m hierarchy when compared with the expectation of Fig. 11, and moreover requires a seemingly arbitrary rescaling even to fit a single m value. The behavior at weaker couplings can be somewhat accommodated by replacing the lhs of the EoS (16) by a factor $m(m/m_0)^\alpha$. The inset of Fig. 11 shows the susceptibility curves thus obtained with $m_0 a = 0.005$, $\alpha = 0.3$. This fails to fix the hierarchy in the critical region, however; it seems safer to conclude that χ_ℓ defined in Eq. (12) is *not* the second derivative of the free energy of a $2 + 1\text{D}$ theory. A modification of the physical field prescription (9) may be needed, to allow for the possibility that the relevant modes close to the walls “leak” somewhat into the bulk as $ma \rightarrow 0$. A related puzzle, again a failure to reconcile the observed behavior of order parameter and susceptibility data with possibly the same cause, is the breakdown of the axial Ward identity noted in Ref. [20].

The flattening of the order parameter at small β seen in Figs. 7 and 8 remains a worrying aspect. Possibly the $L_s \rightarrow \infty$ extrapolation is not yet under control in the broken region; this scenario is supported by the results of pilot simulations on $16^3 \times 64$ with $\beta = 0.3$, $ma = 0.005$, plotted in Figs. 8 and 10 (the $L_s = 64$ point lying on the fitted curve in Fig. 8 should be regarded at this stage as a

coincidence). Another possibility is that the scaling window where Eq. (16) is applicable is simply very narrow on the horizontal scale used in these figures. As things stand, however, we have not yet demonstrated a clear separation between β_c and a putative β^* where lattice artifacts dominate, and hence do not yet have an understanding of the broken phase comparable with that for $\beta > \beta_c$. The slow convergence to the $U(2N)$ limit discussed above may prove a formidable obstacle; there is much work still to be done.

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