First results from *in situ* transmission electron microscopy studies of all-solid-state fluoride ion batteries

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Abstract:

Herein, a focused ion beam (FIB) system is used to fabricate a micron-sized all-solidstate fluoride ion cell from a bulk battery for *in situ* transmission electron microscopy (TEM) testing. The bulk battery is based on a $La_{0.9}Ba_{0.1}F_{2.9}$ solid-state electrolyte with a nanocomposite of Cu/C as a cathode and a nanocomposite of MgF₂, Mg, $La_{0.9}Ba_{0.1}F_{2.9}$ and C as an anode. The evolution of the morphology, structure, and composition of the electrodes and their interfaces with the electrolyte is characterized using a transmission electron microscopy (TEM) during *in situ* electrochemical cycling. The high-resolution transmission electron microscopy (HRTEM) micrographs and scanning transmission electron microscopy-energy dispersive X-ray (STEM-EDX) analysis of the cathode-electrolyte interface reveal the expected formation of CuF₂ phase during charging. During cycling, grain growth of Cu in the cathode ingredients and Cu diffusion from the cathode into the electrolyte are observed in addition to void formation.

Keywords: *In situ* transmission electron microscopy (TEM), Focused Ion Beam (FIB), Fluoride ion battery, all-solid-state battery, electrode/electrolyte interfaces, electrochemistry.

1. Introduction:

Over the last decades, great efforts have been exerted to develop efficient approaches to produce energy from renewable sources and to develop suitable energy storage systems. Rechargeable batteries are currently one of the most promising energy storage systems. They are investigated for a wide variety of applications such as electric vehicles (EV) and hybrid electric vehicles (HEV) [1,2], uninterrupted power supply (UPS) systems [3], grid stabilization [2], portable and soft electronics [4,5], and spacecraft[6]. So far, the main focus has been on lithium-ion batteries (LIBs) because of their high gravimetric and volumetric energy densities[7,8]. However, the application of LIBs is limited because of their environmental requirements, safety risks, and the high cost. Moreover, lithium could run out in the near future owing to the optimistic EV/HEV production scenarios and the low availability of lithium sources [9]. Besides, some applications like EV require longer battery lifetimes and higher power and energy density than offered by present LIBs [10,11]. Therefore, huge efforts are currently exerted to find alternative batteries to reach the required energy density and application properties. Apart from this, alternative battery technologies based on anions or cation chemistries such as Cl⁻ [12,13], F⁻ [14–18], Mg⁺ [19,20] and Na⁺ [21–23] have been investigated. Fluoride ion batteries appear as one promising candidate as they offer high theoretical energy densities more than 5000 Wh.L⁻¹, (50% above the theoretical capacity of the Li-air cell) [24]. Moreover, fluorine has a high natural abundance and, hence, a comparably low cost. Recently, the principle of a secondary battery based on a fluoride ion shuttle has been demonstrated [14]. However, fluoride ion batteries are currently limited to operate at elevated temperatures like 150 °C and above as the electrolytes have only high ionic conductivity at high temperatures [17,25,26]. Currently, fluoride ion batteries are at an early stage of development and large improvements are needed to meet the requirements for reliable longterm operation. Normally, understanding the fundamental behavior of the materials during the

electrochemical reaction is essential to optimize different performance aspects such as energy density, power density, elevated operating temperature, safety, cycle life, and self-discharge rate. For this purpose, the characterization of a battery at various stages i.e., before, during, and after cycling is critical. Although *ex situ* characterization of battery materials is an important step to understand their structure and reaction products, it only allows characterizing specific individual states of various samples. Therefore, ex situ studies leave many open questions about kinetics, ion-interaction and cell-degradation mechanisms, in addition to relaxation processes during transfer and analysis affecting the observed structure [27]. In contrast, real-time observation of phase transformations, morphological, structural and compositional variations during electrochemical cycling becomes indispensable to identify the intermediate metastable phases and understand the side reactions. Currently, major attempts are made to perform in situ studies using characterization techniques at various length scales, (e.g. optical microscopy [28,29], scanning electron microscopy (SEM) [30,31], TEM [32,33], X-ray diffraction [34,35], neutron diffraction [36,37], and Raman spectroscopy [38,39]). Among these techniques, TEM is the only characterization tool that provides direct structural, compositional and morphological information with up to atomic resolution. As the materials used in fluoride ion batteries are generally stable under the electron beam, all-solid-state rechargeable fluoride ion batteries are a good model system for in situ electrochemical studies inside the TEM. In the present work, a Cu/La_{0.9}Ba_{0.1}F_{2.9}/MgF₂ full cell has been investigated by in situ TEM electrochemical cycling to study the underlying processes providing a detailed understanding of the variations of the morphology, structure, and composition at the interfaces. Here, an optimized FIB preparation technique [15] has been employed to prepare micron-sized cells from the all-solid-state bulk fluoride ion battery.

2. Experimental procedures

2.1 Materials synthesis

The bulk battery was prepared using a composite of Mg, MgF₂, C, and La_{0.9}Ba_{0.1}F_{2.9} as an anode, a composite of Cu and C as a cathode and La_{0.9}Ba_{0.1}F_{2.9} as a solid electrolyte. The cathode and anode composites were prepared by ball-milling of the corresponding compounds [26], while the tysonite-type La_{0.9}Ba_{0.1}F_{2.9} electrolyte was prepared by ball milling of LaF₃ and BaF₂ [14]. The weight ratio of the anode material was 20% Mg, 20% MgF₂, 10% carbon black, and 50% La_{0.9}Ba_{0.1}F_{2.9}. The weight ratio of the cathode material was 90% Cu and 10% carbon black. Cu has been chosen as cathode material because of the high theoretical capacity of the Cu/CuF₂ couple (528 mAh g⁻¹ [40]) and the high potential vs. Mg/MgF₂ (2.71 V). Mg metal is sensitive to surface oxidation, even when stored under Ar, and hence, rapid performance degradation occurs when used as anode [26]. However, the poor reactivity and the low ionic conductivity of MgF₂ prevents its direct use as an anode. Therefore, a mixture of Mg and MgF₂ was used to enhance the reactivity [26,41]. Moreover, adding the electrolyte and carbon to the electrode was necessary to ensure both ionic and electronic conductivity as the pure metal fluorides are insulators and poor ionic conductors.

2.2 FIB fabrication of micron-sized battery systems

The initial all-solid-state battery was assembled by compacting the powders of the anode, electrolyte, and cathode in layers forming a pellet of 7 mm diameter (Figure S1 a, Supplementary Data). To enable FIB cross-section preparation across the anode/electrolyte/cathode within one TEM lamella, the starting battery pellet was repressed at 5 GPa using 8 mm dies to reduce the electrolyte thickness to around 20-30 µm and to reduce the porosity thus strengthening the lamella mechanically (Figure S1 b, Supplementary Data). Enabling a nearly uniform electrolyte thickness throughout the pellet is challenging but it can be achieved by careful distribution of the starting powder layers. As detailed in Ref [15], a FIB (FEI Strata 400S) was used to mill a micron-sized cell of approximately $70 \times 35 \times 8 \ \mu m^3$ from the bulk battery (Figure S2 a, Supplementary Data). Hence, the areas of interest around the electrode-electrolyte interfaces were thinned to electron transparency (Figure S2 b, Supplementary Data). An Omniprobe 200 micromanipulator was used to place the micronsized cell on a MEMS-based electro-contacting device (Protochips Inc.). Finally, the micronsized cell was contacted using local Pt-deposition between the electrical contacts of the MEMS device and the anode/cathode to enable the electrochemical biasing.

2.3 Electrochemical measurements

Before carrying out the *in situ* TEM studies, a bulk sample of the same battery system $(Cu/La_{0.9}Ba_{0.1}F_{2.9}/Mg+MgF_2)$ has been cycled *ex situ* by applying a current density of ±4 mA·g⁻¹ at an elevated temperature of 150 °C, where the capacity evolution upon 20 cycles has been obtained [26]. Moreover, in the present work, a micron-sized Cu/LaBaF/MgF₂ full cell was cycled *ex situ* in a high vacuum of approximately 10⁻⁷ mbar at room temperature (RT). The cell was charged by applying a voltage sweep from 0 to 3.5 V over 4h. Subsequently, discharging was performed by sweeping the voltage from 3.5 V to 0 V over 4 h.

For *in situ* studies, another micron-sized Cu/LaBaF/MgF₂ full cell was studied *in situ* inside the Titan 80-300 TEM (FEI Company) using an Aduro single tilt sample holder (Protochips Inc.) and a Keithley 2611A source meter. The electrochemical measurements were performed by cyclic voltammetry (CV) at RT. The micron-sized full cell was charged by applying a voltage sweep from 0 V to 3.5 V over 2 h. Then, the voltage was held at 3.5 V for 30 min to enable TEM investigation. Afterward, discharging was performed by sweeping the voltage from 3.5 V to 0 V over 2 h. Using the same conditions, a second cycle was started.

3. Results & discussion:

3.1 Electron beam effects on the micron-sized battery

The electron microscopic imaging and spectroscopy provide significant information about the chemical composition and micro/nanostructure of materials with high spatial resolution. However, the electron beam of the electron microscope may also cause undesirable changes in the surface or the bulk structure of the specimen under investigation. Therefore, before preparing the sample for the *in situ* TEM studies, the as-prepared components of the fluoride ion battery were investigated to study the electron beam effect on the materials. The results confirmed that the fluoride ion battery materials are stable under the normal operation conditions, so that standard TEM techniques can be used for imaging and analytical TEM of the fluoride ion battery components.

Besides, the effect of the electron beam current on the measured current in the electrochemical circuit was measured by positioning the electron beam in the TEM on the electron transparent thinned areas, the thick areas, and the Pt contacts. For these measurements, a DC voltage between 0 and 180 mV was applied to the micron-sized battery and the corresponding areas illuminated by an electron beam with a current of 9.16 nA. The thickness of the thin and thick areas was approximately 100 nm and 6 μ m, while the thickness of the Pt contacts was around 4 μ m. The measured current of the electron beam adding to the charging was approximately 0.5 nA, 1-2 nA and 1-1.5 nA on the electron transparent area, the thick area and the Pt contacts, respectively. These currents are negligible compared to the operating currents of the fluoride ion battery.

All of the above indicates that the all-solid-state fluoride battery is a suitable system for the *in situ* TEM electrochemical measurements.

3.2 Electrochemical study

The cell voltage vs. current for the *ex situ* charging and discharging of the micron-sized full cell is shown in Figure 1. During the voltage sweep, the current slowly increased until it reached a first maximum around 2 V. This peak can be attributed to the formation of copper (I) oxide [26], probably due to residual oxygen present in the electrolyte and electrodes from the bulk sample preparation and/or the carbon black added to the cathode composite. A second maximum starting from around 2.77 V and continuing to 3.2 V was observed, which can be attributed to the formation of copper (II) fluoride. That potential is slightly higher than the theoretical potential of 2.71 V for the Cu/CuF2 redox couple vs. Mg/MgF2, which can be attributed to the polarization and, potentially, due to the small number of grains, each with different diffusion/reaction rates depending on the grain and boundary orientation. During discharging, the reverse reaction, the formation of copper (0) from the copper (II) fluoride, was observed. In addition, during charging at around 3 V a slight short-circuit developed adding an ohmic behavior to the cyclic voltammetry, which is visible by the increased baseline conductivity between 3.2 and 3.5 V during charging and discharging. At 2.85 V during discharging, the short-circuit increased and the I-V curve only shows an ohmic behavior. The Cu₂O formation is irreversible so that no counterpart to the oxide formation at around 2V is observed during discharging [26]. Considering the area of the CV measurement corresponding to the Cu/CuF₂ formation, the reaction is fairly complete, based on the measured conversion charge.

Figure 2 shows the cell voltage vs. current for the 1st *in situ* charging/discharging and the 2nd charging of the micron-sized full cell. The basic redox steps observed during charging are comparable to the *ex situ* investigation of the micron-sized full cell mentioned above. Similar potentials were also observed *ex situ* for the corresponding Cu/La_{0.9}Ba_{0.1}F_{2.9}/CeF₃ full battery system [26]. The electrochemical potential of Mg²⁺ and Ce³⁺ is very similar, -2.372 V

and -2.336 V respectively. This confirms that the *in situ* analysis of the fluoride ion batteries yields comparable results to the ex situ analysis, providing a chance to study the fundamental processes in detail in situ. However, unfortunately, this time the charging curve is on top of strong leakage current due to a short circuit between cathode and anode, probably due to higher residual contamination during FIB preparation compared to the cell studied ex situ. Nevertheless, the two peaks in the charging curve indicate that the electrochemical reaction is still occurring. During the holding period at 3.5 V, the current initially slightly decreased and then suddenly jumped from \sim 33 µA to \sim 100 µA indicating a stronger short circuit formed in the cell, which may be due to Pt migration from the contacts [42]. Therefore, a strong selfdischarge has to be expected during the further cycling of the cell. During discharging, the current is dominated by a short circuit, but a slight peak around 2.7 V can be seen, indicating some electrochemically driven discharging of the cell. During the second charging, no characteristic CV peaks could be observed, but the micron-sized cell fractured at the cathodeelectrolyte interface at a voltage where the electrochemical reaction occurs. If this is due to the short-circuit or due to limited reversibility is unclear. However, in the ex situ studies of the bulk battery, the capacity faded very strongly from 390 mAh g⁻¹ to only 33 mAh g⁻¹ after the first discharge [26], suggesting that only a very limited reaction should be overserved during the second charging. Nevertheless, the fracture around 3.1-3.5 V suggests that some electrochemical reactions still occurred, presumably causing the fracture because of the volumetric expansion of Cu during fluorination.

3.3 STEM and SEM analysis

STEM images of the cathode-electrolyte interface before cycling, after the first cycle, and after a failure during the second cycle are shown in Figure 3. From the STEM images, one can see that the Cu/C cathode contains nanocrystalline Cu surrounded by carbon black. The Cu

crystallite size is in the range of 40-250 nm. Comparing the STEM images of the cathode before and after the 1st cycle reveals some coarsening of the Cu. Also, void formation in the cathode is visible, to some extent after the first cycle and more pronounced after failure. CuF₂ formation was confirmed by HRTEM (Figure 7), providing direct proof that the electrochemical reaction occurred despite the short-circuits. Finally, a new phase can be seen as a bright line at the interface, identified from the EDS data as Mo enrichment at the interface. This Mo contamination can be attributed to the usage of the Mo TEM grid during FIB sample preparation. In contrast, on the anode side, no significant changes were observed in the thin area of the anode-electrolyte interface (Figure S3, Supplementary Data).

The morphological changes are more pronounced at the thick part of the cathode (Figure 4c,f). The new phase at the interface is clearer compared to the thin area. Moreover, the new phase and the Cu particles are slightly protruding from the cathode due to the local volume change during charging. The volumetric change in the cathode due to the fluorination of Cu forming CuF₂ [26] is also leading to the fracture at the interface to the electrolyte (Figure 4f). The fracture is particularly noticeable in these *in situ* samples as the porosity was reduced compared to bulk batteries cycled *ex situ*, thus providing less free volume to compensate for the volumetric changes.

On the anode side, some volumetric changes are visible as small cracks in the Mg/MgF₂/C composite and at the interface with the electrolyte (Figure 4a, d). However, more noticeable, the surface of the cycled anode (Figure 4d) is remarkably different from the pristine anode (Figure 4a). After cycling, the anode surface is covered by small particles in agreement with previously reported *ex situ* results where "snowflake-like" particles were formed on the anode surface [43,44]. It was found that these particles are MgF₂ sticking out of the anode surface [43–45]. The formation of these particles on the anode surface has been suggested to be the result of the low ionic conductivity of the electrolyte, leading to preferential fluoride

migration on the surface [46]. If the MgF₂ formation on the anode surface is due to fast fluoride ion migration on the surface, this would significantly impact the *in situ* TEM measurements, where the surface to volume ratio of the electron transparent regions is extreme. In addition to the 20x reduced electrode thickness compared to the bulk battery, surface migration could partially explain why it is possible to cycle the micron-sized fluoride ion cell at RT, whereas elevated temperatures are needed to increase the conductivity sufficiently for the bulk battery. However, we did not observe any MgF₂ formation on the surface of the electron transparent part of the cell (only on the thicker part), which suggests that the formation of the surface decoration requires a more complex explanation.

3.4 STEM-EDX analysis

The STEM-EDX map of a cross-section of an as-prepared cathode-electrolyte interface is shown in Figure S4 (Supplementary Data). Even though the cathode consists of 90 wt% copper and 10 wt% carbon black, the oxygen map reveals significant amounts of oxygen in the cathode, which anti-correlates with the copper concentration. The origin of oxygen in the cathode materials is attributed to the carbon black, whereas the copper particles do not show significant oxidation. Small amounts of oxygen can also be observed in the electrolyte, which is more stable against oxidation. The La, Ba, and F maps reveal the good homogeneity of the electrolyte. The STEM-EDX map of the cathode-electrolyte interface after failure is shown in Figure 5. The migration of fluorine into the Cu/C cathode can be observed from the fluorine distribution. Moreover, the diffusion of Cu into the electrolyte can also be seen. The strong volumetric change accompanying the Cu/CuF₂ reaction [26] probably led to Cu diffusion into the pores of the electrolyte to compensate for the volume change.

From the thick part of the sample right next to the area investigated *in situ*, a fresh thin area was prepared at the cathode-electrolyte interface after cycling to investigate potential

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thickness effects during *in situ* cycling. The morphological changes observed in this thick part and the thin area that investigated *in situ* are comparable, confirming that the sample thickness does not significantly influence the morphological changes observed *in situ* even though surface effects might change the fluoride ion conductivity. A STEM-EDX map from this area is shown in Figure 6. The fluoride migration into the Cu/C cathode can be seen more clearly compared to the initially thinned area. HRTEM imaging further confirmed the formation of CuF₂. Similar to the thin part, diffusion of Cu into the electrolyte was also observed in the thick part of the sample, presumably due to the effects of the volumetric changes associated with the fluorination [47]. In addition, the formation of Cu oxide may lead to an inhomogeneous distribution of the current density through the cathode and hence, a localized overheating that favors void formation. Moreover, the molybdenum map reveals Mo enrichment at the electrolyte/electrode interface, which could be a failure mode due to impurities.

3.5 TEM and HRTEM study

BF-TEM imaging is used to determine the particle size in the Cu/C cathode and to investigate the cathode-electrolyte interface before cycling (Figure S5a, Supplementary Data). The TEM images reveal that the Cu/C cathode material consists of nano-particles 40-250 nm in size while the electrolyte consists of denser and smaller nano-particles. The small particle size and fine mixture of the electrode materials are essential for the electrochemical performance of the cell as a high contact area and good ion and electron diffusion pathways are required for a fast and complete conversion reaction [48–50]. HRTEM micrographs have been used to characterize the crystal structure of the cathode materials. Figure S5b in the Supplementary Data shows a HRTEM micrograph and the corresponding Fast Fourier Transform (FFT) of cathode material of the as-prepared cell. The HRTEM micrograph confirms the high crystallinity of the cathode and the presence of Cu. The lattice spacings of a

Cu particle measured from the FFT are in good agreement with the tetragonal phase of copper metal (a = b = 2.892 Å, c = 2.708 Å, $\alpha = \beta = \gamma = 90.0$) [ICSD-248435].

TEM and HRTEM micrographs of the Cu/C cathode after failure and the corresponding FFTs are shown in Figure 7(a, b, c), confirming the presence of CuF₂ after cycling. The measured lattice spacings fit well to the monoclinic phase of copper (II) fluoride (a = 3.309 Å, b = 4.569 Å, c = 5.362 Å, α = 90.0, β = 121.11, γ = 90.0) [ICSD-9788]. In addition to CuF₂ formation, the presence of Cu₂O is confirmed by HRTEM as was expected from the CV peak at around 2 V. The lattice spacings of the Cu₂O crystal are in good agreement with cubic copper (I) oxide (a = b = c = 4.27 Å, $\alpha = \beta = \gamma = 90.0$) [ICSD-173982]. Furthermore, BF-TEM images of the cathode-electrolyte interface after failure are shown in Figure 8(a, b, c). The images reveal the formation of spherical-particles at the interface (Figure 8a) in addition to amorphous carbon (Figure 8c). The formation of voids and spherical-particles would fit the hypothesis that the localized overheating has occurred due to an inhomogeneous distribution within the conductive matrix. These spherical-particles were confirmed by HRTEM to be copper (II) fluoride, (Figure 8b).

4. Conclusion:

Electrochemical cycling of a secondary fluoride ion cell $(Cu+C//La_{0.9}Ba_{0.1}F_{2.9}//MgF_2+Mg+La_{0.9}Ba_{0.1}F_{2.9}+C)$ has been performed *in situ* inside a TEM. The CV peaks measured during the *in situ* studies occurred at voltages comparable to *ex situ* TEM studies. The structural and morphological studies of the cathode after cycling showed the fluoride migration into the cathode composite forming copper (II) fluoride. However, in addition, copper (I) oxide was formed during charging, which might have caused localized overheating and, hence, the formation of voids and spherical-particles. The micron-sized cell

fractured at the cathode-electrolyte interface during the second *in situ* charging as a result of the volumetric changes associated with the CuF_2 formation and limited free volume available to compensate the volume changes. Cracks and voids formation were observed both in the thin and thick part of the sample thus confirming that these effects are not just due to the thin film setup for *in situ* TEM. Moreover, Cu diffusion from the composite cathode into the electrolyte was observed, presumably associated with the volumetric changes and some porosity of the electrolyte.

In summary, reliable *in situ* TEM analysis is possible for all-solid-state fluoride ion battery systems with a setup closely resembling bulk solid-state cells. However, the development of a good fluoride electrolyte with high ionic conductivity at RT, preventing oxidation of the electrode materials, and optimizing the porosity and pore size distribution of the electrodes and electrolyte are essential to enhance the performance of the fluoride ion battery to meet the requirements for the new applications.

Acknowledgment:

The authors acknowledge financial support from the European Union's Seventh Programme for research, technological development and demonstration under grant agreement No. 608575 (Hi-C) and from the DFG through the research unit FOR2093, as well as the support of the Karlsruhe Nano Micro Facility (KNMF) for access to the Electron Microscopy and Spectroscopy facilities. M. H. Fawey acknowledges a PhD scholarship of the IDB. The authors acknowledge support by Julia Ivanisenko for compressing the battery pellets. This work contributes to the research performed at CELEST (Center for Electrochemical Energy Storage Ulm-Karlsruhe).

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