

1 **Instability and Treatments of the Coupled Discrete Element and Lattice Boltzmann Method by the Immersed**
2 **Moving Boundary Scheme**

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12
13 **Abstract**

14 The immersed moving boundary (IMB) scheme has been extensively used to couple the discrete element method
15 (DEM) with the lattice Boltzmann method (LBM). In the literature, only the formulation of IMB for lattice nodal
16 cells covered by a single solid particle was given. The treatment of situations where a nodal cell is covered by two or
17 more solid particles is seldom discussed. It is found that some numerical instability can occur for such situations due
18 to an inappropriate computation of the weighting function in the IMB formulation. This work presents an enhanced
19 treatment that can resolve the issue and validates it using some benchmark tests. Furthermore, to avoid the extra
20 costs associated with the treatment and simplify the complicated procedure introduced, a simplified IMB scheme is
21 proposed. The accuracy of both enhanced and simplified IMB schemes are validated by test cases including single
22 particle sedimentation, two-particle drafting-kissing-tumbling phenomenon and multiple-particle sedimentation.
23 Then, the robustness of both schemes is examined and discussed using a specially designed flow past cylinders test.
24 The simplified IMB scheme is proved to be robust and sufficiently accurate, and simpler and more effective than the
25 enhanced scheme.

26 **Keywords**

27 Discrete Element Method; Lattice Boltzmann Method; Fluid-Particle Interaction; Immersed Moving Boundary;
28 Multiphase Flow

29
30 **List of symbols**

31 f_i is the fluid density distribution function in the i^{th} direction;

32 x is the coordinate of the current lattice node;

- 33 \mathbf{e}_i is the velocity vector in the i^{th} direction at the lattice node;
- 34 t is the current time;
- 35 C is the lattice speed;
- 36 h is the lattice spacing;
- 37 Δt is the time step for LBM;
- 38 Ω_i is a collision operator;
- 39 τ is the relaxation time;
- 40 $f_i^{eq}(x,t)$ is the equilibrium density distribution functions of fluid particles;
- 41 ω_i is the weighting factor in the i^{th} direction;
- 42 \mathbf{u} is the physical fluid velocity;
- 43 ρ is the macroscopic fluid density;
- 44 ΔP is the fluid pressure;
- 45 ν is the fluid viscosity;
- 46 Ma is the Mach number;
- 47 u_{\max} is the maximum magnitude of the velocity;
- 48 \mathbf{u}_L is the fluid velocity in lattice system;
- 49 m is the mass of a solid particle;
- 50 I is the moment of inertia of a solid particle;
- 51 c is the damping coefficient;
- 52 \mathbf{a} is the acceleration of a solid particle;
- 53 $\ddot{\theta}$ is the angular acceleration of a solid particle;
- 54 \mathbf{F}_c is the contact force between two particles;
- 55 \mathbf{T}_c is the torque caused by contact forces;
- 56 \mathbf{F}_f is the hydrodynamic force applied to a solid particle;
- 57 \mathbf{T}_f is the torque caused by hydrodynamic forces;

- 58 N_s is the sub-cycling number within a LBM time step;
- 59 Δt_{DEM} is the time step of DEM;
- 60 \mathbf{F}_i is a body force term;
- 61 B_k is the weighting function of solid particle k ;
- 62 ε_k is the ratio of the nodal cell area covered by the particle k to the total cell area;
- 63 ε_{total} is the summation of ε_k ;
- 64 Ω_i^s is an additional collision term used for calculating hydrodynamic forces;
- 65 \mathbf{U}_s is the velocity of a solid particle;

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68

69 **1 Introduction**

70 The fluid-particle interaction is frequently encountered in various disciplines. Typical problems can be found in
 71 blood flow [1], piping erosion [2], fluidized bed [3], sand production [4] and many other particle-laden flow
 72 phenomena [5,6]. The computational fluid dynamics coupled with the discrete element method (CFD-DEM) has
 73 been widely employed to investigate such problems. From the viewpoint of the coupling process, CFD-DEM can be
 74 divided into two categories: the coarse-mesh technique [7,8] and the direct simulation [9,10]. In the former, a coarse
 75 fluid mesh in which each cell can accommodate about ten particles in the 2D case is adopted, but the hydrodynamic
 76 forces including drag, lift and pressure gradient forces acting on particles need to be calculated by semi-empirical
 77 equations. The latter direct numerical simulation approach, on the other hand, requires a very fine fluid mesh, whose
 78 size should be much smaller than the particles size, so that the hydrodynamic forces can be directly calculated by
 79 various immersed boundary methods. Obviously, the direct numerical simulation is of higher accuracy but more
 80 computationally expensive in general.

81 As an alternative to the conventional CFD, the lattice Boltzmann method (LBM) [11] based on the statistical
 82 mechanics has attracted considerable attention since 1990s due to its meso-scopic nature, easy to handle complex
 83 boundary conditions, and the explicit form of the time integration. Meanwhile, the collision process of fluid and
 84 solid particle nodes only occurs locally which makes LBM natural to be parallelized. Therefore, as a direct
 85 numerical simulation technique, the coupled discrete element and lattice Boltzmann method (DEM-LBM) becomes
 86 more and more popular for problems involving fluid-particle interactions [12-14].

87 Over the past three decades, several coupling schemes have been proposed to couple DEM and LBM. The modified
 88 half-way bounce-back method was the first attempt to simulate fluid-particle suspension in the frame of LBM in

89 1994 [15]. The hydrodynamic force was calculated by momentum exchange. This method was straightforward in
90 modeling fluid-particle systems [2,16]. However, because of the step-wise boundary representation of solid particles,
91 obvious oscillation of hydrodynamic forces computed by this method can be observed. To overcome the step-wise
92 boundary profile, an interpolation-based approach [17,18,45] was developed, in which the solid boundary was
93 represented by several boundary points. The density distribution functions of a boundary point before collision can
94 be interpolated by its surrounding nodes and the density distribution functions after collision can be determined by
95 momentum exchange. Finally, the density distribution functions of a fluid node can be interpolated from the solid
96 boundary points and other surrounding fluid nodes. It is reported, however, that the no-slip boundary at the solid
97 boundary is not always satisfied.

98 To solve this problem, the immersed boundary method (IBM) proposed in CFD by Peskin in 1977 [19] was
99 introduced to LBM by Feng and Michaelides [20]. The basic idea of the IBM is to treat the particle boundary as a
100 deformable body with high stiffness and represented by a set of discrete boundary nodes. The no-slip condition at
101 the fluid-particle interface is satisfied by calculating the velocity of particle boundary points through interpolating
102 fluid velocities on neighboring nodes. Then, momentum exchange and direct forcing-based IBM [21,22] were
103 proposed. It is reported, however, that in this IBM-LBM the non-slip boundary condition is not fully enforced due to
104 the explicit nature of forcing term formulation. Then, Wu and Shu [23] improved the IB-LBM using an implicit
105 force density formulation where an unknown velocity correction is prescribed. This implicit scheme can enforce no-
106 slip boundary at the fluid-solid interface but requires complex matrix inversion and a higher computational memory
107 requirement. Recently, Dash et al. [24] proposed an implicit flexible forcing IBM by combining the implicit IB-
108 LBM with a fixed multi-direct forcing IBM [25]. Instead of a fixed iteration number in the fixed multi-direct forcing
109 IBM, a flexible sub-iteration for the velocity correction is terminated when the convergence limit is satisfied.
110 However, oscillation of hydrodynamic forces can be found in this flexible implicit IBM, especially in flows with
111 large Reynolds number [26].

112 To eliminate the obvious oscillation of hydrodynamic forces observed in the modified half-way bounce-back
113 method, an immersed moving boundary (IMB) [27], also called the partially saturated method, was proposed. In this
114 method, a weighting function associated with a nodal solid area was introduced to smoothly represent the boundary
115 profile of a solid particle. In addition, to maintain the local collision characteristics, an additional collision term for
116 nodes fully or partially covered by solid particles was introduced to the standard collision operator in LBM. Based
117 on the momentum conservation, the hydrodynamic forces applied to the solid particle can be determined from the
118 additional collision term. Because of its more accurate representation of the particle boundary, enhanced
119 computational stability and reasonable efficiency, the IMB has been widely used in the coupled DEM-LBM
120 technique where thousands of particles immersed in fluid can be modeled without difficulties [28].

121 The coupled DEM-LBM was first proposed by Cook et al. in 2000 [12,29]. In this seminal work, the IMB scheme
122 was adopted to couple DEM and LBM, and particle suspension and erosion problems were simulated. Another
123 classical work by Feng et. al. [30] developed an efficient DEM-LBM coupling framework where some key
124 computational aspects, including the sub-cycling time integration, turbulent models and variable conversion between

125 the physical system and computational lattice system were provided and discussed in depth. Later, polygons or other
126 general shaped solid particles were introduced into DEM-LBM [31, 32] in conjunction with IMB. Owen et al. [33]
127 proposed a contact buffer to approximately consider the lubrication force between two particles so that the
128 instability can be alleviated when two particles intersect at the same fluid nodal cell. Besides, different approximate
129 methods, like cell decomposition and polygon approximation, were discussed for the calculation of a nodal solid
130 area. Leonardi et al. [34] incorporated the non-Newtonian fluid model into the framework of DEM-LBM coupled by
131 IMB. Later, the two-relaxation-time model was implemented into DEM-LBM and a modified weighting function for
132 IMB was proposed to eliminate the relaxation time dependency of hydrodynamic forces [35]. In the same year, a
133 searching algorithm for efficiently identifying boundary nodes was reported by Wang et al. [36], and the fast
134 computation of a nodal solid area was proposed by Jones and Williams [37]. A more efficient searching algorithm
135 and a Gaussian integration for calculating a nodal solid area were developed to improve the computing efficiency of
136 DEM-LBM in our latest work [38,39]. Applications of this hybrid DEM-LBM-IMB technique can be found in
137 hydraulic fracture [13,40], sand production [41,42], soil erosion [5], liquefaction [43] and immersed granular
138 column collapse [44], to name a few.

139 Although a contact buffer was proposed in [33] to alleviate the instability issue when a nodal cell is covered by two
140 or more particles, which is *termed the multiple-covered node* in what follows, it is insufficient to completely resolve
141 the issue when particles move in relatively-large velocity in practical computation. This may result in the ratio of the
142 total area of solid particles at *the multiple-covered node* to the nodal area exceeding 1.0. Consequently, a negative or
143 extremely large weighting function can be encountered in the IMB and subsequently causes instability in LBM. To
144 the best of the authors' knowledge, a detailed treatment of IMB in DEM-LBM for the situation where particles may
145 overlap has not been reported. The current work aims at eliminating the instability issue of IMB encountered in
146 multiple-covered nodes by introducing a special modification of the weighting function concerned. Then, to reduce
147 the complexity and extra computing costs involved in the procedure, a simplified IMB scheme is proposed to model
148 general fluid-particle systems. Only 2D cases are considered in the current work.

149

150 **2 Coupled DEM and LBM**

151 2.1 Lattice Boltzmann method

152 The lattice Boltzmann method is a modern numerical approach in computational fluid dynamics. In LBM the fluid
153 domain is divided into regular lattices and the fluid phase is represented by a group of (imaginary) fluid particle
154 packages resided at each lattice node (see Fig. 1). Each fluid particle package includes several fluid particles, such as
155 the two-dimensional model with 9 fluid particles (so-called D2Q9). The fluid flow can be achieved through
156 resolving the particle collision and streaming processes, and the lattice Boltzmann equation is used to solve the
157 streaming and collision processes of fluid particles. Primary variables of LBM are the so-called fluid density
158 distribution functions, which are portions of the fluid density, associated with the fluid particles. Both mass and
159 momentum of fluid particles are characterized by the fluid density distribution functions. The detailed introduction
160 of LBM can be referred to [11].

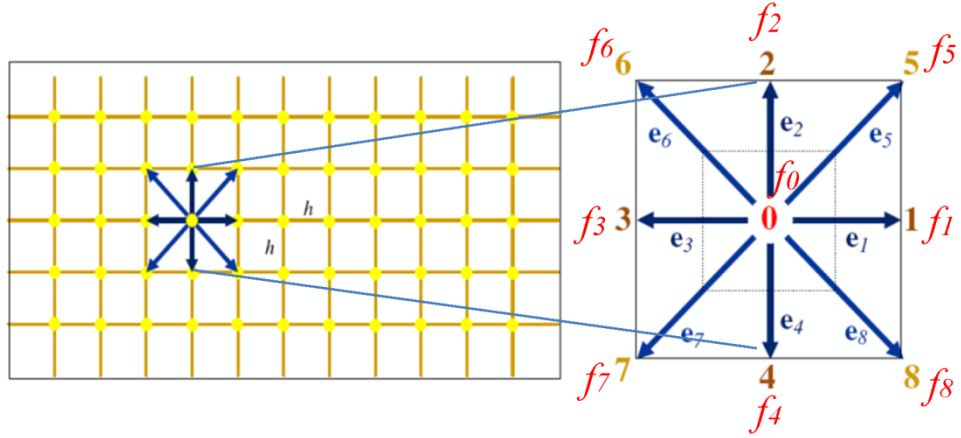


Fig. 1 Schematic of LBM with D2Q9 model

161

162 The lattice Boltzmann equation considering a body force is given by

163
$$f_i(x + \mathbf{e}_i \Delta t, t + \Delta t) - f_i(x, t) = \Omega_i + F_i \Delta t \quad (1)$$

164 where f_i is the fluid density distribution function in the i^{th} direction; x and \mathbf{e}_i are the coordinates and velocity vectors
 165 at the current lattice node (see Fig. 1); t is the current time; F_i is body force term. The 9 velocity vectors
 166 ($\mathbf{e}_i, i = 0, 8$) in the D2Q9 model are defined as

167
$$\mathbf{e}_0 = (0, 0)$$

168
$$\mathbf{e}_i = C \left(\cos \frac{\pi(i-1)}{2}, \sin \frac{\pi(i-1)}{2} \right) \quad (i = 1, \dots, 4) \quad (2)$$

169
$$\mathbf{e}_i = \sqrt{2} C \left(\cos \frac{\pi(2i-9)}{4}, \sin \frac{\pi(2i-9)}{4} \right) \quad (i = 5, \dots, 8)$$

170 in which C is the lattice speed and is related to the lattice spacing, h , and the time step, Δt , by

171
$$C = h / \Delta t \quad (3)$$

172 Ω_i in Equation (1) is a collision operator. In the adopted Bhatnagar-Gross-Krook (BGK) [11] model, Ω_i is
 173 characterized by a relaxation time τ and the **equilibrium density distribution functions** $f_i^{eq}(x, t)$ **of fluid particles at a**
 174 **certain velocity:**

175
$$\Omega_i = -\frac{\Delta t}{\tau} [f_i(x, t) - f_i^{eq}(x, t)] \quad (4)$$

176 In this work, the D2Q9 model is adopted, and $f_i^{eq}(x, t)$ are defined as:

177
$$f_i^{eq} = \omega_i \rho \left(1 + \frac{3}{C^2} \mathbf{e}_i \cdot \mathbf{u} + \frac{9}{2C^4} (\mathbf{e}_i \cdot \mathbf{u})^2 - \frac{3}{2C^2} \mathbf{u} \cdot \mathbf{u} \right) \quad (i = 0, \dots, 8) \quad (5)$$

178 where ω_i are the weighting factors:

179
$$\omega_0 = \frac{4}{9}, \quad \omega_{1,2,3,4} = \frac{1}{9}, \quad \omega_{5,6,7,8} = \frac{1}{36} \quad (6)$$

180 The corresponding fluid velocity \mathbf{u} is

181
$$\rho \mathbf{u} = \sum_{i=1}^8 f_i \mathbf{e}_i \quad (7)$$

182 where ρ is the macroscopic fluid density and given by

183
$$\rho = \sum_{i=0}^8 f_i \quad (8)$$

184 The fluid pressure is given by

185
$$\Delta P = C_s^2 \Delta \rho \quad (9)$$

186 In the application of LBM, it is more convenient to choose τ and h as two independent parameters, then the time
187 step, Δt , can be computed by

188
$$\Delta t = \left(\tau - \frac{1}{2} \right) \frac{h^2}{3\nu} \quad (10)$$

189 where ν is the fluid viscosity.

190 It is obvious from Equation (10) that τ should be greater than 0.5, and Equation (9) indicates that the
191 incompressibility of the fluid is not exactly enforced. **The LBM can be viewed as a ‘penalty-based’ method that
192 allows a limited degree of compressibility to occur where the ‘speed of sound’ in the fluid acts as a penalty value.**

193 The compressibility error is measured by the (numerical) Mach number (Ma)

194
$$Ma = \frac{u_{\max}}{C} \quad (11)$$

195 where u_{\max} is the maximum magnitude of the velocity in the fluid domain.

196 **In the practical simulation, a dimensionless lattice system is utilized to improve the computing efficiency. The
197 conversion between physical quantities and those in the lattice system can be found in [30].** In the lattice system, the
198 time step is equal to 1, and the fluid velocity \mathbf{u}_L is scaled:

199
$$\mathbf{u}_L = \frac{\mathbf{u}}{C} \quad (12)$$

200
201 2.2 Sub-cycling coupling algorithm

202 In DEM the movement of each particle is updated by Newton's second law:

203
$$m\mathbf{a} + c\mathbf{v} = \mathbf{F}_c + \mathbf{F}_f + m\mathbf{g} \quad (13)$$

204
$$I\ddot{\boldsymbol{\theta}} = \mathbf{T}_c + \mathbf{T}_f \quad (14)$$

205 where m and I are respectively the mass and the moment of inertia of a **solid** particle, c is the damping
 206 coefficient, \mathbf{a} and $\ddot{\boldsymbol{\theta}}$ are, respectively, the acceleration and angular acceleration of the particle; \mathbf{F}_c and \mathbf{T}_c are,
 207 respectively, the contact forces and corresponding torques; \mathbf{F}_f and \mathbf{T}_f are the hydrodynamic force and the
 208 corresponding torque. The lubrication force is not considered in this work.

209 **The normal contact force is simulated by a linear spring model and the shearing force is calculated by the Coulomb**
 210 **friction model. Details of the contact model used in this work can be found in the work [30].** The time integration of
 211 DEM is achieved by the central difference method.

212 When coupling DEM with LBM, a sub-cycling time integration algorithm is employed. In general the time step of
 213 the DEM system is smaller than that of LBM. Therefore, in one LBM time step Δt , N_s sub-steps of DEM
 214 simulations will be performed:

215
$$N_s = \left\lceil \frac{\Delta t}{\Delta t_{DEM}} \right\rceil \quad (15)$$

216 where Δt_{DEM} is the time step of DEM, and $\lceil \cdot \rceil$ denotes an integer round-off operator.

217 2.3 Immersed moving boundary scheme

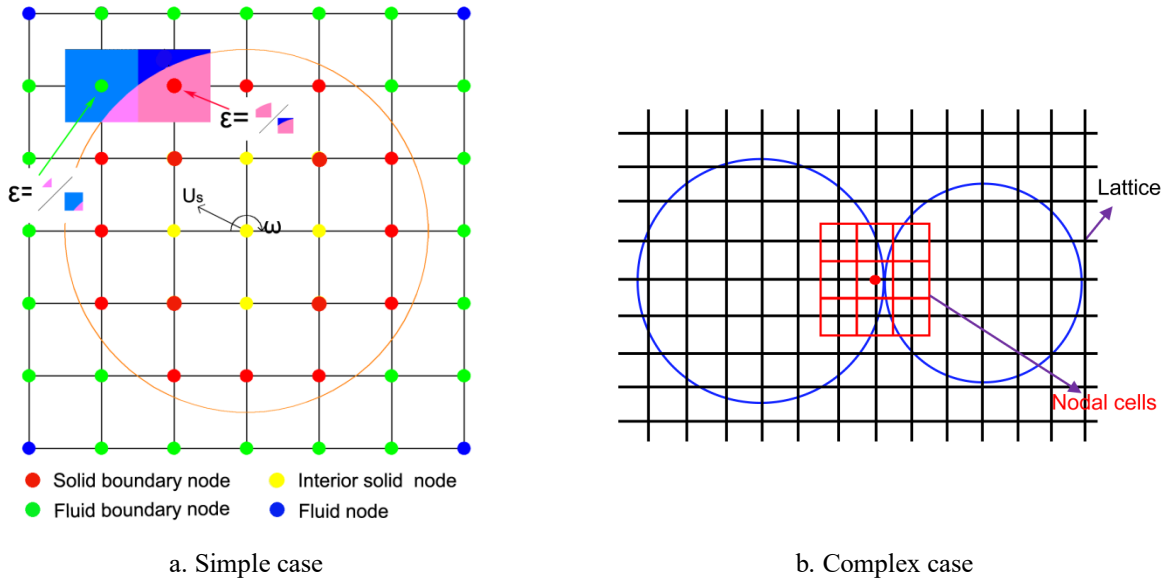


Fig. 2 Diagram of IMB scheme

218 In the immersed moving boundary scheme [27], a solid particle is represented by the solid (lattice) nodes which are
 219 located within the particle. A solid node is called interior if its linked nodes are all solid nodes, while if a solid node
 220 has at least one linked fluid node, it is called a solid boundary node. A fluid node having at least one link to a solid
 221 node is defined as the fluid boundary node. Thus, there are four types of node in the IMB scheme: interior solid node,
 222 solid boundary node, fluid boundary node and normal fluid node, which are respectively marked in yellow, red,
 223 green and blue in an illustrative diagram of IMB in Fig. 2a. Each node is assigned a square cell of $h \times h$ (when using
 224 the lattice units, $h = 1$), called the *nodal cell*, and the node is located in the centre of the cell.

225 In order to retain the advantages of LBM, namely the locality of the collision operator and the simple linear
 226 streaming operator, an additional collision term, Ω_i^s , for the boundary nodes covered partially or fully by a solid
 227 particle is introduced to the standard collision operator of LBM. The modified collision operator [27] for resolving
 228 the fluid-solid interaction is given by

$$229 \quad \Omega_i = -\frac{\Delta t}{\tau} \left(1 - \sum_{k=1}^n B_k\right) [f_i(x, t) - f_i^{eq}(x, t)] + \left(1 - \sum_{k=1}^n B_k\right) \Delta t \mathbf{F}_i + \sum_{k=1}^n B_k \Omega_i^s \quad (16)$$

230 where \mathbf{F}_i is a body force term, B_k is the weighting function of solid particle k that depends on the local solid ratio
 231 ε_k which is defined as the ratio of the nodal cell area covered by the particle to the total cell area (see Fig. 2a); n is
 232 the number of particles covering the current nodal cell at x .

233 In some previous coupling schemes [2,15,16], the surface of a circular particle is represented by stepwise lattices,
 234 which is neither accurate nor smooth unless a sufficiently small lattice spacing is used. More seriously, when the
 235 particle is in motion, its boundary nodes will continually change, but in an ‘on–off’ fashion, which has serious
 236 implications in the computed interaction forces. It should be highlighted that compared to other coupling schemes
 237 mentioned in Introduction, the IMB scheme could smoothly represent the solid boundary during movement due to
 238 the incorporation of the weighting function B_k .

239
 240 In the original IMB, the weighting function can be either in a linear form of the solid ratio

$$241 \quad B_k = \varepsilon_k \quad (17)$$

242 or in a nonlinear form as

$$243 \quad B_k = \frac{\varepsilon_k (\tau/\Delta t - 0.5)}{(1 - \varepsilon_k) + (\tau/\Delta t - 0.5)} \quad (18)$$

244 When the lattice nodal cell is fully covered by fluid, $\varepsilon_k = 0$, the corresponding weighting function $B_k = 0$; When
 245 the nodal cell is fully occupied by a solid particle, $\varepsilon_k = 1$ and $B_k = 1$. This relation works only for the lattice node
 246 covered by a single solid particle. However, if a node is covered by multiple particles (see Fig. 2b), the weighting
 247 function should be different from Equation (18) and this complex situation was not mentioned in the literature
 248 [12,27,30,39]. Take the solid node marked by the red point in Fig. 2b for an example, the nodal cell marked by a red
 249 box is intersected by two particles. To satisfy the aforementioned relation between the solid ratio ε and the

250 weighting function B , the weighting function B_k of each solid particle intersecting with the lattice nodal cell
 251 should be defined as

$$252 \quad B_k = \frac{\varepsilon_k (\tau/\Delta t - 0.5)}{(1 - \varepsilon_{total}) + (\tau/\Delta t - 0.5)} \quad (19)$$

253 where

$$254 \quad \varepsilon_{total} = \sum_{k=1}^n \varepsilon_k \quad (20)$$

255 Now when $\varepsilon_{total} = 0$, $\sum_{k=1}^n B_k = 0$; $\varepsilon_{total} = 1$, $\sum_{k=1}^n B_k = 1$.

256 Note that when the ratio of the total area of solid particles at the node to the nodal area ε_{total} exceeds 1, B_k should
 257 be defined as follows so that the summation of B_k will not exceed the limit 1.

$$258 \quad B_k = \frac{\varepsilon_k}{\varepsilon_{total}} \quad (21)$$

259

260 The additional collision term is based on the bounce-back rule for the non-equilibrium part

$$261 \quad \Omega_i^s = f_{-i}(x, t) - f_i(x, t) + f_i^{eq}(\rho, \mathbf{U}_s) - f_{-i}^{eq}(\rho, \mathbf{u}) \quad (22)$$

262 where \mathbf{U}_s is equal to the velocity of the solid particle (see Fig. 2a) and \mathbf{u} is the velocity of the fluid at the node. It
 263 should be highlighted that the selection of \mathbf{U}_s is only valid for the node occupied by one solid particle. When
 264 multiple solid particles are present at this nodal cell, \mathbf{U}_s should be the averaged velocity, defined as

$$265 \quad \mathbf{U}_s = \frac{\sum_{k=1}^n \varepsilon_k \mathbf{U}_s^k}{\varepsilon_{total}} \quad (23)$$

266 The resultant hydrodynamic force and torque exerted on each solid particle can be calculated by

$$267 \quad \mathbf{F}_f = Ch \left[\sum_k (B_k \sum_i \Omega_i^s \mathbf{e}_i) \right] \quad (24)$$

$$268 \quad \mathbf{T}_f = Ch \left\{ \sum_k [(x - x_p) \times (B_k \sum_i \Omega_i^s \mathbf{e}_i)] \right\} \quad (25)$$

269 Here the summation is over all nodes occupied by the solid particle under consideration.

270

271 The implementation of the above mentioned IMB scheme for nodes covered by multiple solid particles is not
 272 straightforward. The total number of solid particles presented in these nodal cells should be known before the
 273 relaxation of these nodes and the calculation of hydrodynamic forces applied to the corresponding solid particles. In
 274 addition to this added complexity, the computational CPU cost, which is one of the deficiencies of DEM-LBM, will
 275 further increase. From the latest report by Yang et al. [44] and our previous experience, when the size ratio of the
 276 (mean) particle diameter to the lattice spacing is greater than or equal to 20, numerical results are found insensitive

277 to the weighting function. To avoid the complicated treatment for special cases and expensive computational costs,
 278 we propose a simplified IMB as follows

$$279 \quad \Omega_i = -\frac{\Delta t}{\tau}(1-B_k)[f_i(x,t) - f_i^{eq}(x,t)] + (1-B_k)\Delta t \mathbf{F}_i + B_k \Omega_i^S \quad (26)$$

280 In this simplification, the summation $\sum_{k=1}^n B_k$ of the weighting functions in Equation (16) is replaced by B_k . In the
 281 actual implementation, the relaxation of both fluid and solid boundary nodes is performed under the loop of solid
 282 particles. B_k is taken to be the weighting function of an arbitrary solid particle that is intersecting with the nodal cell,
 283 for instance, the particle with the greatest ID. The hydrodynamic force and corresponding torque applied to the solid
 284 particle can be computed by Equations (24) and (25). However, to comply with the principle of momentum
 285 conservation, the weighting function used here should be Equation (18) rather than (19) and (21). Now there are
 286 three different IMB schemes which are compared in Table 1. Their performance in terms of accuracy and
 287 computational cost will be examined in the next section.

288

289

Table 1 Comparison of different IMB schemes

	Collision operator	Weighting function	Solid velocity
Original IMB	Equation (16)	Equation (17) for linear Equation (18) for nonlinear	-
Enhanced IMB	Equation (16)	Equation (17) for linear Equations (19-21) for nonlinear	Equation (23)
Simplified IMB	Equation (26)	Equation (17) for linear Equation (18) for nonlinear	-

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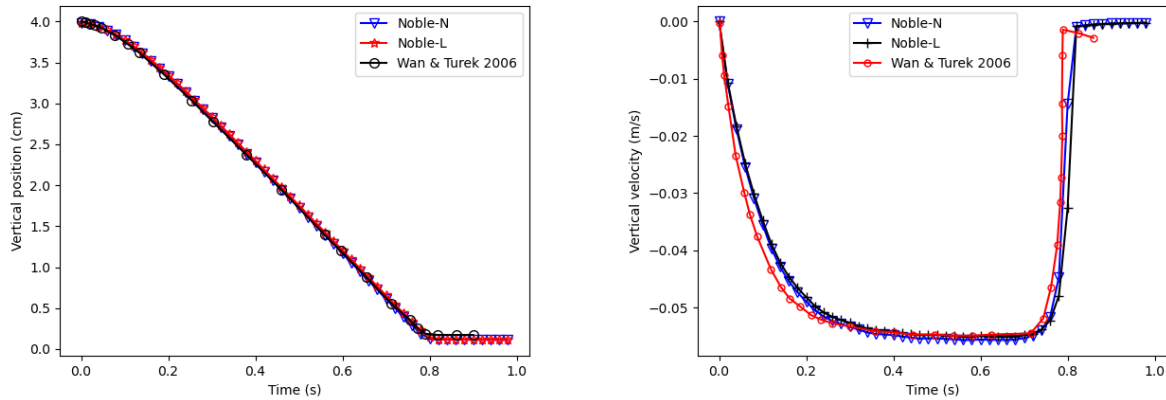
3 Numerical examples and validations

293 In this section, a series of numerical tests with increasing complexity are carried out to validate and compare the
 294 three different forms of the weighting function. First, the extensively-investigated single particle sedimentation is
 295 modelled using both linear and nonlinear weighting functions. Then, two-particle drafting-kissing-tumbling (DKT)
 296 phenomenon is performed to further validate the accuracy of the weighting functions for the enhanced and
 297 simplified IMB. Next, a multiple-particle sedimentation case is used to check the applicability and accuracy of the
 298 enhanced and simplified IMB. Finally, a special flow past cylinders case, where two moving particles behind a
 299 stationary cylinder may have a large overlap, is further carried out to demonstrate the robustness of the proposed
 300 schemes.

3.1 Single particle sedimentation

302 This benchmark is the most commonly used to validate the accuracy of IMB in DEM-LBM simulations. A
 303 stationary solid particle with diameter 0.25 cm is located at the position (1 cm, 4 cm) in a tube 2 cm width and 6 cm

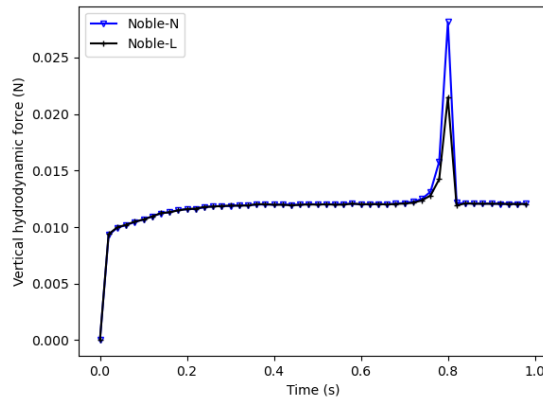
304 height at the beginning of simulation. The densities of the solid particle and the fluid are respectively 1.25 g/cm^3 and
 305 1.0 g/cm^3 . The kinematic viscosity of the fluid is $10^{-5} \text{ m}^2/\text{s}$. In the simulation, the relaxation time 0.65 is selected and
 306 the lattice spacing (h) is 0.01 cm so that the ratio (25) of the particle diameter to the lattice spacing is greater than 20
 307 which is the minimum value for an accurate simulation in DEM-LBM. **The time step for LBM and DEM has the**
 308 **same value 10^{-5} s .** Due to the gravity force, the particle will move downward. Because the simplified IMB and
 309 enhanced IMB are the same for simulating a single particle, only the linear and nonlinear weighting functions
 310 proposed in [27] are, respectively, employed and compared with the result obtained by the direct numerical
 311 simulation of CFD [45].



a. Variation of particle vertical position

b. Variation of particle vertical velocity

312



c. Comparison of variation of hydrodynamic forces

Fig. 3 Comparison of particle position, velocity and hydrodynamic forces simulated by linear and nonlinear weighting functions

313

314 Figures 3a and 3b compare the variation of both vertical position and velocity of the solid particle over time,
 315 respectively. It is found that the motion of the particle obtained by both linear and nonlinear weighting functions is

316 the same and matches the CFD simulation [45] in Figure 3a. A slight difference between the velocity of the CFD
 317 and IMB simulations can be observed. Because the hydrodynamic force in the CFD simulation is not available, only
 318 the hydrodynamic forces obtained by both linear and nonlinear weighting function are compared in Figure 3c. They
 319 are almost the same except after the particle collides with the bottom boundary. From this benchmark, there seems
 320 no obvious difference between the linear and nonlinear weighting functions in the IMB scheme.

321 3.2 Two-particle DKT simulation

322 To check the accuracy of the simplified IMB and further compare the accuracy of the linear and nonlinear weighting
 323 functions in the enhanced IMB, the well-known DKT phenomenon is selected as the second benchmark test. A
 324 rectangular box of size 2 cm by 8 cm is filled with water. The fluid density and kinematic viscosity are 1.0 g/cm^3
 325 and $10^{-6} \text{ m}^2/\text{s}$ respectively. Initially, two particles with the same diameter (0.2 cm) and density (1.01 g/cm^3) are
 326 placed, respectively, at positions (1.0 cm, 7.2 cm) and (1.0 cm, 6.8 cm). The relaxation time and lattice spacing (h)
 327 are selected as 0.65 and 0.01 cm. **The time step of LBM is $5 \times 10^{-4} \text{ s}$ and the sub-cycling number (N_s) of DEM**
 328 **within one LBM time step is 47. Lattices around particles are shown in Figure 4.** To simulate the lubrication force, a
 329 contact buffer ($0.3h$) is adopted in our code and the contact stiffness between solid particles is 10^7 N/m . These two
 330 particles will fall under gravity force. Then, a well-known drafting-kissing-tumbling phenomenon should be
 331 observed, **but only in the simulation using the nonlinear weighting function.**

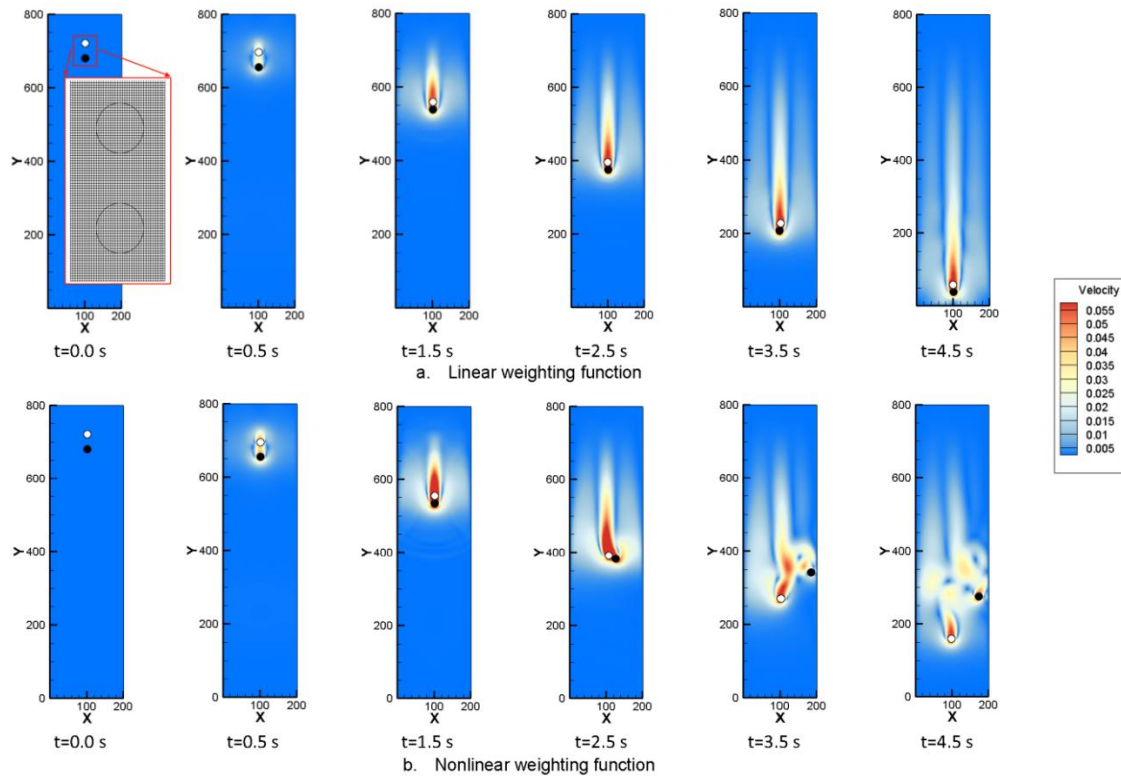


Fig. 4 Comparison of fluid velocities and particle positions at different time instants for linear (a) and nonlinear (b) weighting functions

332 Figure 4 shows the instantaneous particle positions and the velocity contours of the fluid flow at different time
 333 instants. In the legend, the magnitude of the fluid velocity in the lattice system is given, because the maximum fluid
 334 velocity in the lattice system is the Mach number which measures fluid compressibility. As the Mach number is
 335 smaller than 0.1, the simulation can be regarded as reliable. It is found that the linear weighting function cannot
 336 recover the DKT process, though it works well for the single particle case. In contrast, the enhanced IMB with
 337 nonlinear weight function and our simplified IMB succeed in simulating the intended phenomenon. Figure 5 depicts
 338 the vertical and horizontal variations of the particle positions and compares them with some existing results obtained
 339 by different IBM simulations [20,21]. It can be seen that almost no difference in the particle position is observed
 340 between the enhanced IMB with the nonlinear weight function and the simplified IMB, and both are similar to the
 341 result of [21]. Then, the high-order results, velocity and hydrodynamic forces, of the two particles obtained by
 342 different IMB schemes are compared in Figures 6 and 7. A slight difference in the vertical velocity and the
 343 hydrodynamic force can be seen, but an obvious difference in the horizontal hydrodynamic forces can be observed
 344 when the top particle approaches the other one.

345 It should be highlighted that in the current DEM-LBM development most researchers only use the single particle
 346 sedimentation as the quantitative benchmark, and this DKT case proves that the single particle sedimentation may be
 347 insufficient to validate different IMB schemes. In addition, comparison of hydrodynamic forces obtained from
 348 different coupling schemes may tell more story than the commonly used position and velocity. However,
 349 hydrodynamic forces were seldom given in the literature. Our recent work [26] reported that some IBM schemes
 350 are capable of obtaining smooth profiles of particle position and velocity but unexpected oscillation of computed
 351 hydrodynamic forces can be found.

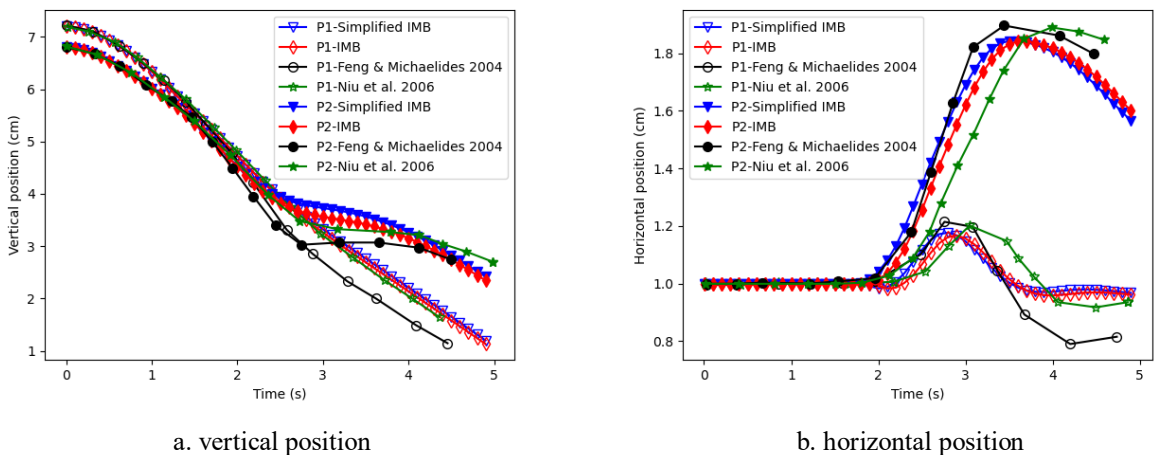


Fig. 5 Variation of particle positions in DKT (P1 - top particle; P2 – bottom particle)

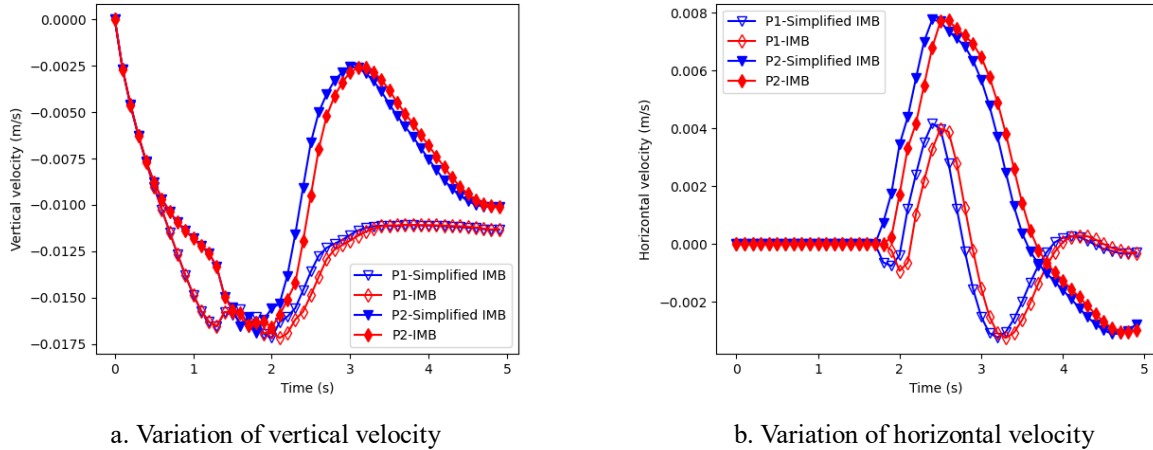


Fig. 6 Variation of particle velocities in DKT

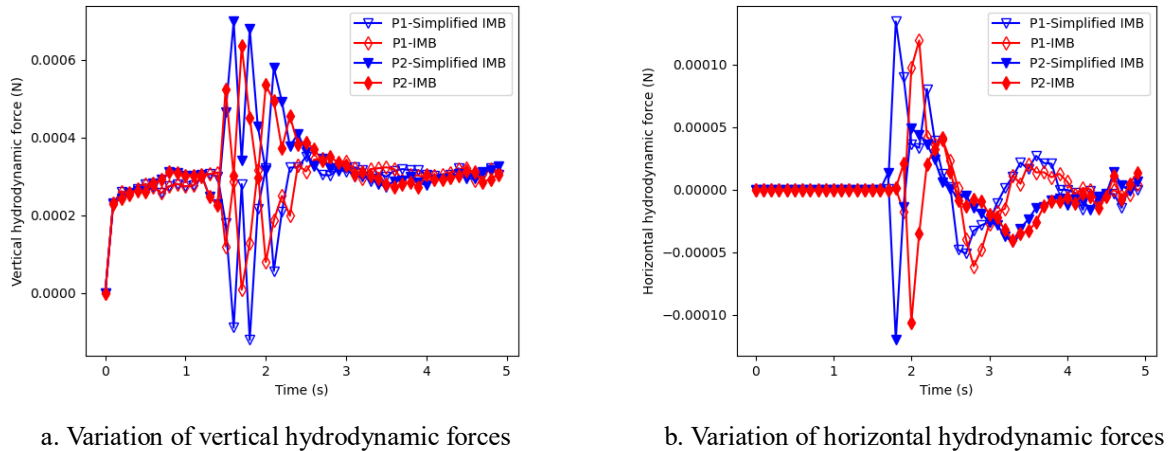


Fig. 7 Variation of hydrodynamic forces applied to particles in DKT

352 3.3 Multiple-particle sedimentation

353 To further examine the applicability of the enhanced and simplified IMB schemes for problems with potential
 354 multiple-covered nodes, the multiple-particle sedimentation of particles with two different sizes are carried out, in
 355 which the particles can be in contact with each other. The diameters of white and black particles are respectively 0.2
 356 cm and 0.3 cm, and their contact stiffness and density are respectively 10^7 N/m and 3.0 g/cm³. The fluid density and
 357 kinematic viscosity are respectively 1.0 g/cm³ and 10^{-5} m²/s. The relaxation time and lattice spacing are selected as
 358 0.501 and 0.01 cm, respectively. **The time step for LBM and DEM has the same value 3.33×10^{-7} s.** The no-slip
 359 boundary is applied to the four boundaries. At the beginning all the particles are stationary and they start to fall
 360 under gravity.

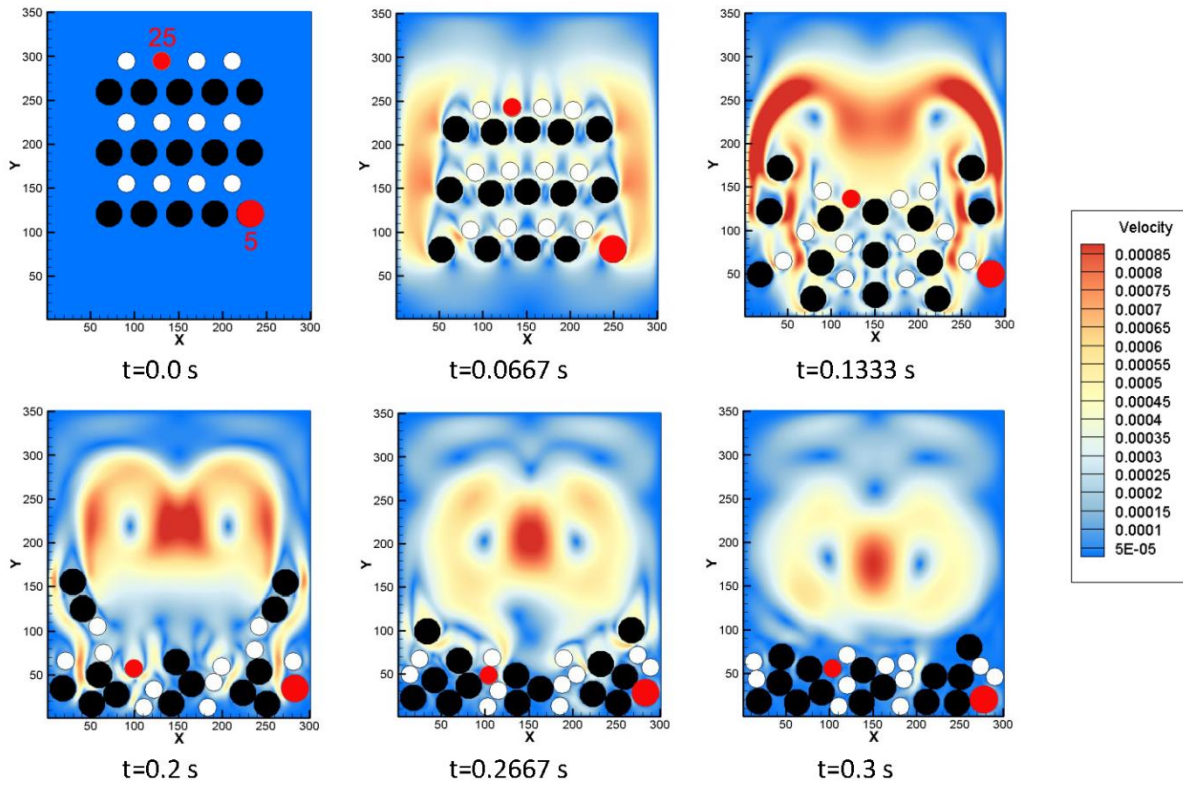


Fig. 8 Contour of fluid velocity and position of particles of multiple-particle sedimentation at different time instants

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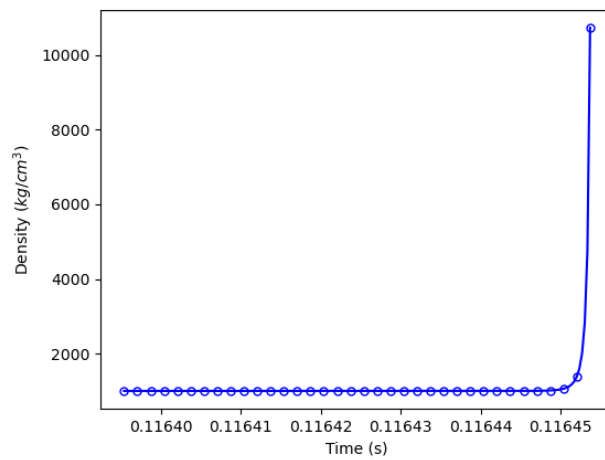


Fig. 9 Abnormal variation of fluid density at node (217,30) over time

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364

365 Figure 8 shows the snapshots of the velocity contour of the fluid and the distribution of the solid particles at
 366 different time instants. Again, the magnitude of fluid velocity in the lattice system is given here and in the other
 367 examples below. During the sedimentation process, many particles collide with others, and the multiple-covered grid
 368 nodes are encountered. Figure 9 shows the abnormal variation of fluid density at node (217, 30) before the
 369 simulation using the original IMB crashes. With the enhanced IMB, the instability of original IMB can be resolved.
 370 Detailed discussion on instability of the original IMB scheme will be given in the next section. Both the enhanced
 371 and simplified IMB can successfully simulate this problem.
 372

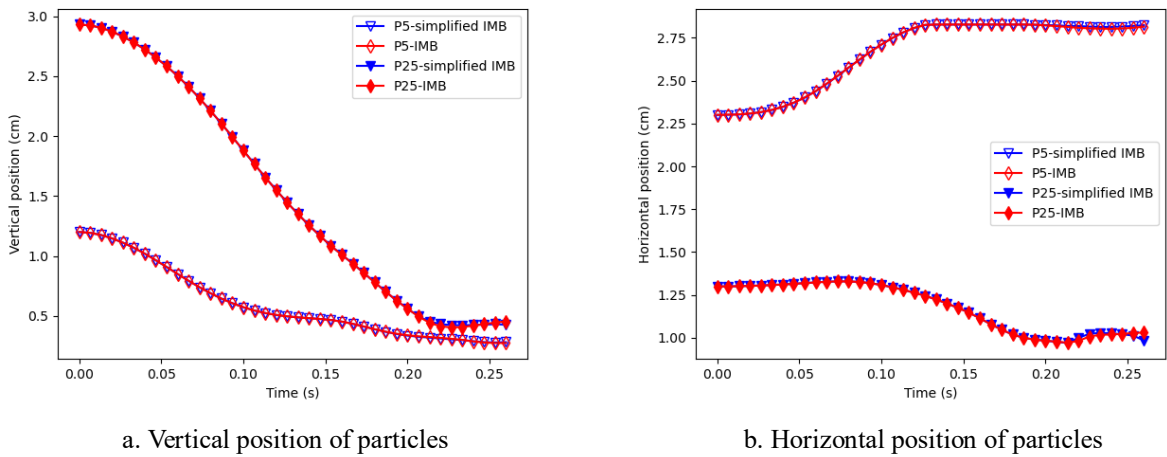


Fig. 10 Comparison of particle positions over time

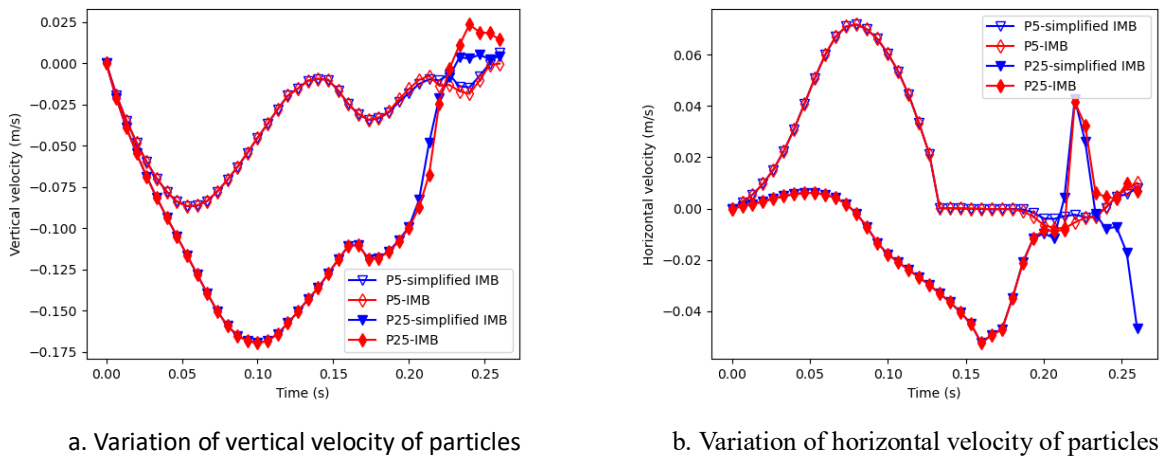
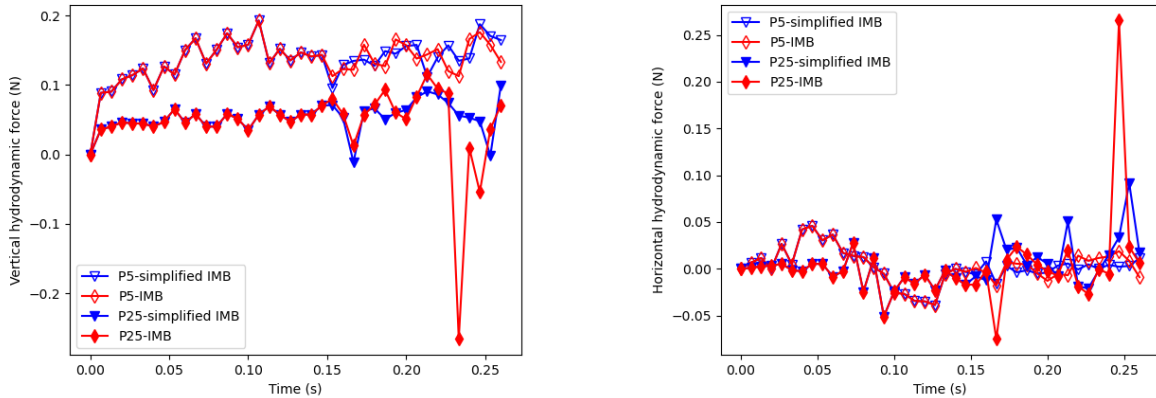


Fig. 11 Comparison of particle velocities over time

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374



a. Comparison of vertical hydrodynamic forces

b. Comparison of horizontal hydrodynamic forces

Fig. 12 Comparison of hydrodynamic forces applied to solid particles

376

377 To quantitatively compare the two schemes, the time histories of positions, velocities and hydrodynamic forces of
 378 two randomly selected particles in red (5th and 25th) are given in Figures 10 to 12. Similar to the finding in the DKT
 379 simulation, the position profiles of the two particles are almost the same. Differences between their velocities and
 380 hydrodynamic forces can be observed when particles are in contact with others. This example further indicates that
 381 the velocity and, especially, hydrodynamic force should be examined as quantitative validations. Overall, the
 382 simplified and enhanced IMB schemes are in good agreement for this test case.

383 3.4 Special flow past cylinders

384 This test case is a specially designed flow past cylinders problem consisting of three white particles representing
 385 three fixed cylinders, and two black particles departing from each other first and then moving vertically towards
 386 each other with the same fixed speed (0.0003 cm/s). A pressure gradient between the left inlet and the right outlet is
 387 achieved by specifying two different densities at the inlet and outlet ($\rho_{in} = 1000.1 \text{ kg/m}^3$ and $\rho_{out} = 1000 \text{ kg/m}^3$).
 388 The diameter of all particles is 20 cm, and their contact stiffness and density are 10^6 N/m and 3.0 g/cm^3 . The fluid
 389 density and kinematic viscosity are 1.0 g/cm^3 and $10^{-5} \text{ m}^2/\text{s}$. The time step for LBM and DEM has the same value
 390 0.03 s. The relaxation time and lattice spacing are selected as 0.6 and 1 cm, respectively. This test is designed to
 391 examine the stability issue of the enhanced IMB and the robustness of the simplified IMB.

392 In the first stage ($t \leq 3333 \text{ s}$), the two black particles depart from each other until they are in (slight) overlap with a
 393 white cylinder. In a later stage, the two black particles will move toward each other until they are fully overlapped.
 394 Thus some multiple-covered nodes are expected to be encountered.

395 Figure 13 shows the velocity contour of the fluid flow at different time instants obtained by the simplified IMB.
 396 Smooth and stable fluid flow patterns are observed here.

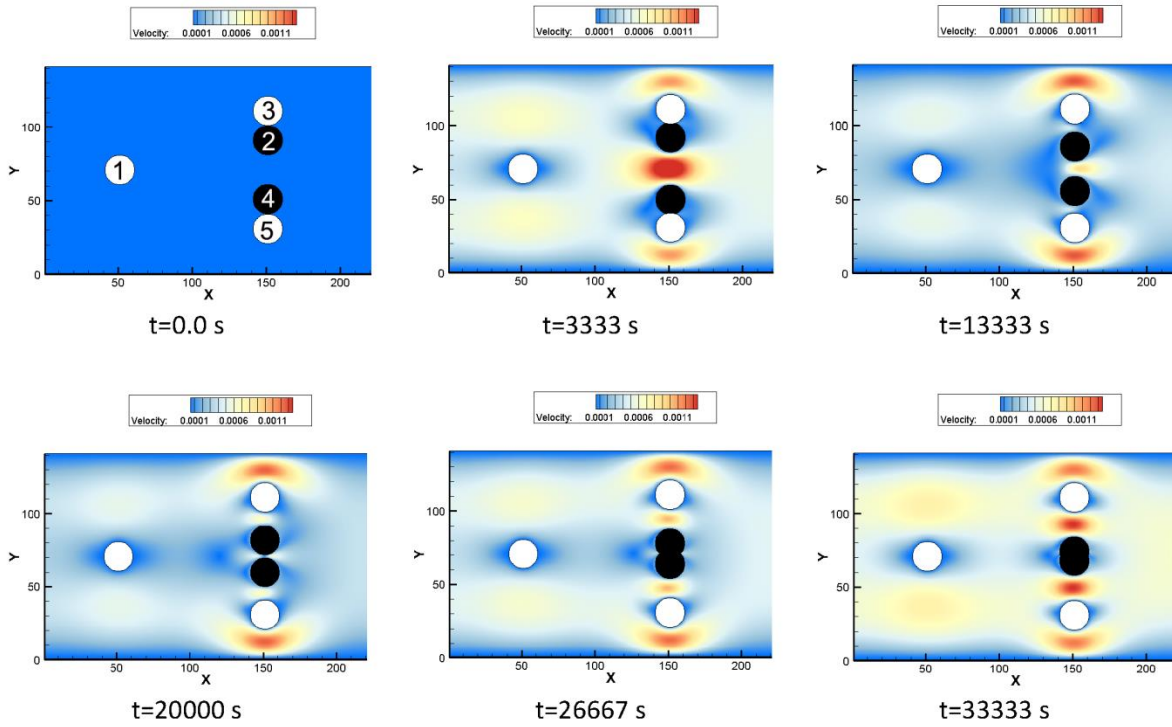


Fig. 13 Contour of fluid velocity at different time instants

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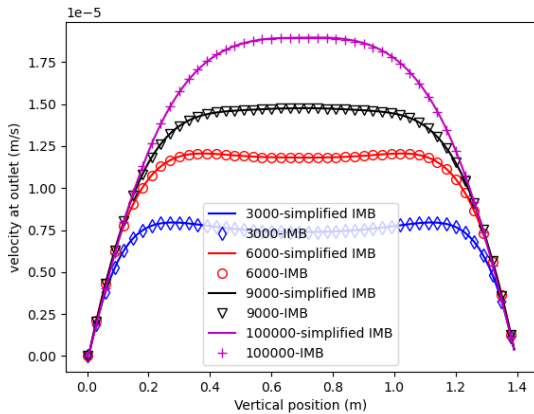


Fig. 14 Fluid velocity profiles at the outlet at different time steps

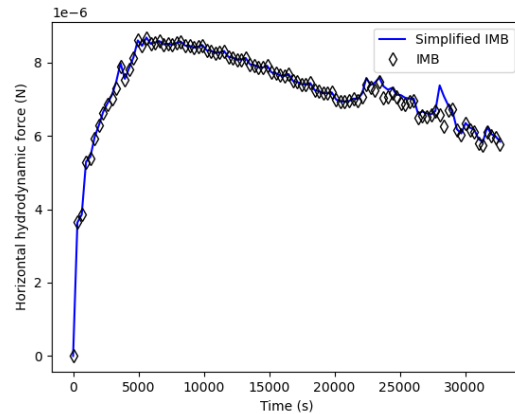


Fig. 15 Time histories of hydrodynamic force (particle 2)

400 Figure 14 quantitatively compares the velocity profiles at the outlet for four time instants by the enhanced and
 401 simplified IMB schemes. It is found that the velocity profiles from the two schemes match very well. Next, the time
 402 histories of the hydrodynamic force applied to particle 2 by both enhanced IMB and simplified IMB are examined
 403 and showed in Figure 15. A generally very good agreement except for a few points between the enhanced IMB and

404 the simplified IMB can be seen. It should be highlighted that when two particles start overlapping with each other, it
 405 will result in the total area of solid particles at some nodes exceeding the nodal area, which could cause the stability
 406 issue if Equation (21) is not adopted in the original IMB. However, the simplified IMB is free of this issue. The
 407 mechanism of instability of the original IMB will be explained and discussed in detail in the next section. The
 408 robustness of both enhanced and simplified IMB schemes has been demonstrated by this special test case.

409
 410 **4 Discussions**

411 In most existing IMB references, the treatment of multiple-covered nodes is seldom reported. Normally, two or more
 412 particles can intersect with one node, but overlap between particles should not happen at a node in reality. However,
 413 in DEM simulations, particles may be in overlap even if the lubrication is accounted for in a fluid-particle system
 414 due to the penalty-based contact treatment nature of DEM and its coupling with the fluid solver. In DEM, a small
 415 overlap is allowed and used to calculate contact forces between particles in contact. Besides, in a fluid-particle
 416 system involving strong coupling, due to the explicit time integration used in DEM, a relatively large overlap could
 417 occur when hydrodynamic forces are much higher than contact forces. This may result in the **total area of solid**
 418 **particles at some nodes exceeding the nodal cell area**. Consequently, the denominator in Equation (19) may become
 419 an extremely small value, leading to a negative or extremely large weighting function and subsequently causing
 420 instability in LBM.

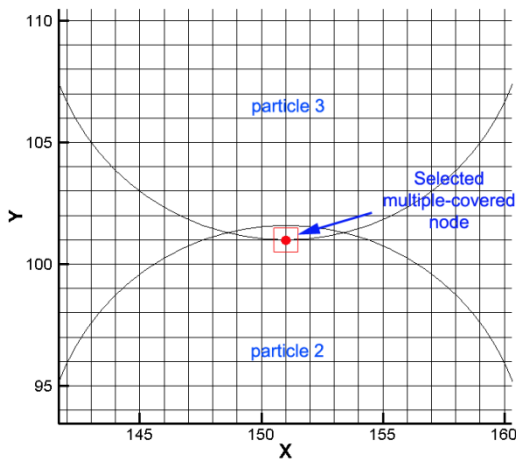


Fig. 16 Snapshot of an **instable case with LBM mesh**

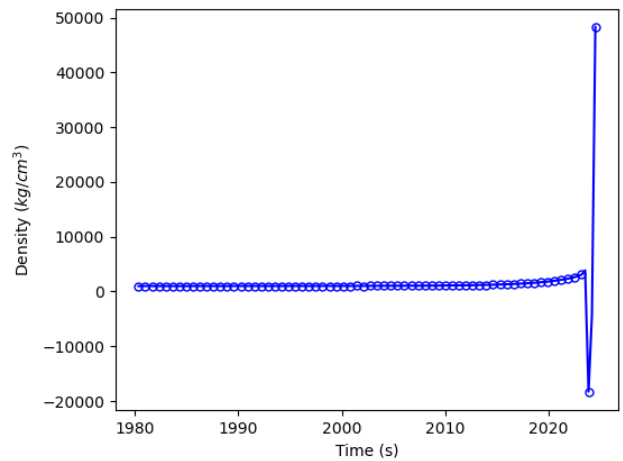


Fig. 17 Abnormal variation of density at the selected multiple-covered node

421
 422 **Take the special flow past cylinders in Section 3.4 for example**, Figure 16 shows the snapshots of a case where an
 423 instable simulation using Equation (19) is encountered **when the ratio of the total area of solid particles to the nodal**
 424 **area exceeds 1.0** in some nodes. The abnormal variation of the density at the selected node marked in red is given in
 425 Figure 17. Theoretically, the density should be around 1000 kg/cm^3 , but a negative and extremely large value (over

426 40000) can be observed in the simulation due to the multiple-covered node in the original IMB. This issue can be
 427 overcome by the treatment of the weighting function in Equation (19) for the multiple-covered situations, and the
 428 proposed form in Equation (21) is a feasible solution. Furthermore, to make the velocity field of the fluid smooth,
 429 the fluid velocity of a multiple-covered node is the solid ratio weighted average from all the related solid particles
 430 (See equation (23)). It should be noticed that the linear form of the weighting function is free of such a stability
 431 problem.

432 Although the DEM-LBM-IMB technique has been extensively investigated in the past 20 years, the validation of
 433 accuracy is mainly performed based on fluid-stationary particle cases or single particle sedimentation. In this work,
 434 it is found that these simple cases are insufficient to validate the accuracy of DEM-LBM-IMB. At least, the DKT
 435 case or other complex simulations should be established as additional benchmarks. In addition, in most of DEM-
 436 LBM work only velocities and positions of solid particles are compared. This is found insufficient to examine the
 437 accuracy and stability of an IMB scheme, and the hydrodynamic force is shown to be a better quantity for validation
 438 in this work.

439 Finally, the computational efficiency of the enhanced IMB and the simplified IMB are compared. Table 2 presents
 440 the computational costs for the 3 test cases. For the DKT and the special flow past cylinders example where only a
 441 few movable particles are involved, the simplified IMB can only save very limited computing time. With the
 442 increase of particles number as in the multiple-particle sedimentation, the percentage of the saved time increases.

443 Although the computational efficiency is not significantly improved, the simplified IMB is much easier to be
 444 implemented than the enhanced IMB in the framework of DEM-LBM. It avoids the complicated treatment of the
 445 weighting function and additional loops over all particles, and there is no need to identify and counter multiple-
 446 covered nodes.

447 Table 2 Computational cost of simulations using IMB and simplified IMB

	DKT	Flow past cylinder	Multiple-particle sedimentation
Enhanced IMB	188 s	254 s	8617 s
Simplified IMB	186 s	249 s	8183 s
Saved time	1.1%	2.0%	5.0%

448

449 **5 Conclusions**

450 This work has presented and analyzed an instability issue of DEM-LBM-IMB for multiple-covered nodes, where
 451 several particles may intersect with one lattice nodal cell. An enhanced IMB formulation has been proposed to
 452 resolve the issue. Another contribution of this work is the development of a simplified but general IMB scheme to
 453 overcome issues encountered in the original IMB, and to avoid the complicated treatment of the weighting function
 454 and related additional loops over particles. Validations of the enhanced and simplified IMB methods have been
 455 carried out using a series of numerical tests with increasing complexity. The following conclusions can be drawn.

456 1) The instability of the original IMB stems from a possible very small denominator in the nonlinear form of the
457 weighting function in IMB for a moving multiple-covered particle. This may happen when the **total area of solid**
458 **particles at a multiple-covered node exceeds the nodal cell area**, leading to an extremely large weighting function
459 and subsequently causing large/negative fluid density distribution functions.

460 2) An enhanced IMB with a special treatment of the weighting function and using the averaged velocity for a
461 multiple-covered particle is proposed. Its accuracy and stability are demonstrated by the tests.

462 3) To simplify the code implementation, a simplified IMB scheme is further proposed. It is proved to be, to a certain
463 degree, more efficient with a reasonable accuracy than the enhanced IMB.

464 4) For the validation of stability of the DEM-LBM method with various coupling schemes, the hydrodynamic force
465 of a particle, which is a high-order quantity compared to particle position and velocity, is more important and should
466 be examined.

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