

1 **Representativeness of global climate and vegetation by**
2 **carbon-monitoring networks; implications for estimates of gross and net**
3 **primary productivity at biome and global levels**

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6 1 Abstract

7 One of the major uncertainties in estimating global Net Primary Productivity (NPP) and Gross Primary
8 Productivity (GPP) is the ability of carbon-monitoring sites to represent the climate and canopy-density
9 of global vegetation (“representativeness”). These sites are used for empirical upscaling and calibration of
10 global land-surface models. The current study determines the representativeness of two important carbon-
11 monitoring networks – FLUXNET2015 and the Ecosystem Model-Data Intercomparison (EMDI) – by calcu-
12 lating the euclidian distance in climate-canopy space between each global 0.5° cell and all carbon-monitoring
13 sites of the same biome or Plant Functional Type (PFT). Reliance on the single (most similar) site has been
14 adopted in the past. A straightforward weighted upscaling, using inverse euclidian distance, identifies which
15 PFTs contribute most to global primary productivity in the context of how well they are represented in
16 carbon-monitoring networks. Some vegetation types, which are numerically well-represented within the
17 network, are sampled at the ‘wrong’ latitude and in more temperate climes than their global distribution.
18 This includes non-mediterranean needleleaf forest which is one of the main vegetation types contributing to
19 global GPP and NPP. (Semi-)arid regions (mean annual precipitation $<400 \text{ mm yr}^{-1}$) are undersampled
20 as well as the sparse vegetation that tends to characterise them. These regions include the tundra and the
21 northern half of the boreal forest where growth is disproportionately affected by climate change. We find
22 a large spread in NPP and GPP recorded at sites of the same PFT (standard deviation is 56% mean).
23 Consequently, our bootstrap error analysis indicates that a minimum of 50 climate-representative sites per
24 PFT is required to quantify adequately (2% precision) the primary productivity of each global vegetation
25 type. Selecting uncharted climate-canopy space for new sites appears to be more important than a simple
26 increase in site numbers.

27 Keywords

28 Gross Primary Productivity (GPP); Net Primary Productivity (NPP); representativeness; MODIS; land-
29 surface modelling; Plant Functional Types;

30 **Highlights**

- 31 • global productivity dominated by tropical/needleleaf forest & C3 grass/crops
- 32 • well sampled PFTs (e.g. needleleaf forest) sampled at wrong latitude & climate
- 33 • (semi-)arid ($\text{MAP} < 400 \text{ mm yr}^{-1}$) & sparse ($\text{LAI} \leq 2 \text{ m}^2 \text{ m}^{-2}$) vegetation undersampled
- 34 • each PFT requires > 50 climate-representative sites to determine its productivity

2 Introduction

Estimates of NPP and GPP (see Tab. 1 for acronyms used frequently in the text) at PFT and global levels are still uncertain, despite their importance to the terrestrial carbon-cycle and rising atmospheric CO₂ concentration (Keenan et al 2016). For example, model estimates of global NPP vary by $\pm 20\%$ (55 ± 11 Gt yr⁻¹; Cramer et al 1999; Ito 2011), whilst anthropogenic carbon release is less than this dispersion (9 Gt yr⁻¹; Le Quéré et al 2015). Therefore, our precision in quantifying the fluxes of the carbon cycle must improve considerably if we are to reliably identify carbon sinks/sources and to predict accurately the response of vegetation to climate change.

How can we account for the uncertainty in global estimates of primary productivity? Firstly, no direct measurements (“truth”) exist for global NPP or GPP (Anav et al 2015). Secondly, the process-based land-surface and carbon models, which are frequently used for estimation, vary in their representation of mechanisms which are not completely understood (e.g. stomatal conductance, soil water dependence, leaf biochemistry; Knorr & Heimann 2001; Cramer et al 2001; Baker et al 2008; Bonan et al 2011). Thirdly, many of these models are over-parameterised with respect to the number of observable quantities available for either assignment or model calibration (Medlyn et al 2005; Zaehle et al 2005; Friend et al 2007; Prentice et al 2015). Fourthly, measurement or inference of NPP and GPP at site level, which is then used for global upscaling or model calibration, is subject to significant ($\sim 20\%$) bias e.g. a preponderance of carbon sinks and lack of closure at FLUXNET sites (Wilson et al 2002; Baldocchi 2008), and systematic underestimation of NPP owing to frequently unmeasured below-ground productivity (Clark et al 2001; Malhi et al 2011).

Another reason why global estimation is challenging – one that has received less attention in the past – is that the sampling at site level is sparse and with an uneven geographical distribution. That several PFTs are undersampled *numerically*, by FLUXNET for example, is noted by several previous authors. For example, Beer et al (2010) highlight inadequate coverage of C4 vegetation in their estimates of global GPP based on an ensemble of land-surface and statistical models. In proportion to global vegetation, Alton (2013) notes a dearth of tropical broadleaf forests and C4 grasslands. Indeed, a review by Schimel et al (2015) reveals that 85% FLUXNET sites are located between 30-50°N and that coverage is severely limited in two critical “tipping regions” for positive feedbacks of the carbon cycle: the tropics and latitudes $\geq 60^\circ\text{N}$. That certain geographical regions are devoid of FLUXNET towers is also noted (e. g. India; Sundareshwar et al 2007).

Whilst undersampling of certain vegetation types is generally recognised, what is less clear is whether carbon-monitoring sites are typical, in terms of climate and canopy density, of the global PFTs they are intended to represent. With respect to canopy density, Baret et al (2006) note undersampling of sparse vegetation by networks such as FLUXNET. Systematic differences in carbon balance occur for forest canopies in different stages of development after disturbance (de Lucia et al 2007; Amiro et al 2010) but the distribution of sampling for different canopy densities within the same global PFT has rarely been analysed. With respect to climate, Xiao et al (2012) surmised that “FLUXNET is fairly representative of major climate types”. However, this hypothesis has seldom been tested in a quantitative manner at global level. At regional level, Hargrove et al (2003) conclude that the representativeness by Ameriflux sites of mainland USA ecoregions (defined by climate and edaphic properties) is robust for all but marginal areas of the continent. Yang et al (2008) concur using remotely sensed quantities for both climate and vegetation density. Using similar quantities, He et al (2015) find that the representativeness of FLUXNET sites in China is good for croplands and grassland but rather poor for sparsely vegetated areas. Sulkava et al (2011) adopt a similar approach to Hargrove et al (2003) to analyse representativeness within Europe. Globally, there appears to be only a single study of the representativeness of FLUXNET (Kumar et al 2016) where multivariate clustering of climatic and edaphic variables is used to define ecoregions and then determine the proximity of the next-nearest FLUXNET site to these ecoregions. The authors infer that representativeness is high except for the tropics. We emphasise that previous studies use a single (next-nearest) FLUXNET site to determine how

well a region is represented. There is potential, however, to average across all sites of the corresponding vegetation type to produce a more robust measure of representativeness. This is because any empirical upscaling of primary productivity, or use of carbon models calibrated for this purpose, would preferentially make use of the maximum number of available sites.

The recent release of a standardised GPP dataset (FLUXNET2015) provides an opportunity to re-examine the issue of representativeness for eddy covariance sites. A database of a similar size (EMDI), which has received little focus in the past, permits the same exercise for site NPP. The EMDI database was compiled to provide reliable (above and below ground) measurements for calibration of global LSMs but its ability to represent global vegetation has never been assessed. We determine the ability of these two primary carbon-monitoring networks to represent global vegetation by calculating the euclidian distance in climate-canopy space between global 0.5° cells and all sites of the same PFT. We believe that averaging across all sites, rather than calculating proximity to a single (next-nearest) location, procures a more robust measure of representativeness. We then upscale the cells to PFT and global levels, using site values of GPP and NPP and the inverse euclidian distances as weights. We do this in order to ascertain the importance of each vegetation type to global primary productivity (both GPP and NPP) in the context of how well each PFT is currently sampled. Kumar et al (2016) conduct global upscaling of GPP over ecoregions, rather than PFTs, using an inverse weighting similar to our own. However, they focus on temporal (seasonal and interannual) patterns, rather than on spatial annual averages as we do here. Similarly, Chu et al (2017) also investigate the temporal evolution of representativeness across FLUXNET. Representativeness has also been examined, to some extent, with complex statistical upscaling models in order to determine the ability of these models to extrapolate into environments that have not been used for model calibration (Jung et al 2009; Papale et al 2015).

The specific research questions of the current study are as follows:

1. How well are the major PFT contributors to global primary productivity currently represented in carbon-monitoring networks?;
2. Do networks used for upscaling (and carbon-model calibration), such as FLUXNET2015 and EMDI, provide a robust representation of global vegetation? If not, which global climate zones and canopy densities require better representation and how many sites should we sample to ‘usefully’ quantify primary productivity of the land carbon cycle?
3. What is the dispersion in NPP and GPP for sites of the same PFT and what uncertainty does this dispersion engender in undersampled PFTs and upscaled estimates of global primary productivity?
4. How do our upscaled estimates of global GPP and NPP compare with recent (mostly model-based) estimates?

3 Materials and Methods

The Methods are organised into five major sections. Firstly, we define representativeness and discuss the variables required to calculate it (§3.1). Secondly, we describe a straightforward upscaling, based on these variables, to determine the contribution, and therefore importance, of each PFT to global primary productivity (§3.2). Thirdly, we introduce the datasets required for global cells (§3.3). Fourthly, we discuss the carbon-monitoring networks and the datasets they provide for primary productivity (§3.4). Lastly, we summarise the workflow and discuss sources of error and ways to enhance representativeness (§3.5).

126 3.1 Determining Representativeness

127 For each 0.5° vegetated global landpoint cell, we calculate the inverse euclidian distance (w_i) in environmental
 128 variable space (var) between the global cell (var_{cell}) and a carbon-monitoring site (i) of the same PFT (var_i).
 129 Thus:

$$w_i = \frac{1}{\sqrt{\sum_{var} \left(\frac{var_{cell} - var_i}{sd(var)} \right)^2}} \quad (1)$$

130 Here, $sd(var)$ is the standard deviation of the environmental variable, var , from the mean across all global
 131 cells of the corresponding PFT. Dividing by $sd(var)$ normalises the euclidean distance, allowing us to in-
 132 corporate variables of quite different ranges. We define climate zone using the primary climatic variables of
 133 temperature, precipitation and shortwave radiation (Strahler & Strahler 2013; Schimel et al 2015). However,
 134 we also take some account of differences in canopy cover within the same PFT by incorporating Leaf Area
 135 Index (LAI). Therefore, we define environmental variable space using Mean Annual Temperature (MAT;
 136 $^\circ\text{C}$), Mean Annual Precipitation (MAP; mm yr^{-1}), Mean Annual Shortwave Radiation (MASW; W m^{-2})
 137 and the mean average of maximum seasonal LAI (\overline{LAI}_{max} ; m^2m^{-2}). To evaluate Eq. 1 we require MAT,
 138 MAP, MASW and \overline{LAI}_{max} (hereafter ‘climate-canopy space’) for all global cells (§3.3 below) and for all
 139 carbon-monitoring sites (§3.4 below).

140
 141 In Eq. 1, w_i indicates the inverse euclidian distance in climate-canopy space separating the global cell from
 142 an individual carbon-monitoring site of the same PFT. To evaluate how well the global cell is represented
 143 by all corresponding carbon-monitoring sites, we define a modified mean inverse distance for each global cell
 144 (w_{cell}) by averaging over w_i thus:

$$w_{cell} = \frac{n_{var} \sum_{i=1}^n w_i}{2n} \quad (2)$$

145 where n_{var} is the number of environmental variables (i.e. 4) and n is the number of sites for this PFT. We
 146 group and average w_{cell} for global cells of the same PFT to determine how well each global PFT is repre-
 147 sented overall by carbon-monitoring sites (w_{pft}). For random (non-biassed) sampling of the global PFT we
 148 expect $w_{cell} \simeq 1$. We also create global maps of w_{cell} to identify regions which are well/poorly represented.
 149 Note that w_i , w_{cell} and w_{pft} are calculated separately for each carbon-monitoring network.

150
 151 The use of inverse euclidian distance is fairly well established as a means of defining proximity in environ-
 152 mental variable space (e.g. Hargrove et al 2003; Kumar et al 2016). Use of squared ($\propto w_i^2$), rather than linear
 153 ($\propto w_i$), weighting produces an oversensitivity both to the normalisation of the variable ($sd(var)$ in Eq. 1)
 154 and to a small number of (the very closest) sites.

156 3.2 Weighted Upscaling

157 To determine the contribution, and therefore importance, of each PFT to global primary productivity, we
 158 conduct a straightforward upscaling of fluxes from carbon-monitoring sites to global level. The carbon flux
 159 (NPP or GPP) at each global cell (F_{cell}) is approximated by the weighted mean of the annual carbon flux
 160 measured at all relevant carbon-monitoring sites (F_i). Thus:

$$F_{cell} = \frac{\sum_{i=1}^n w_i F_i}{\sum_{i=1}^n w_i} \quad (3)$$

161 where relevant sites ($i=1,n$) are those comprising the network in question (EMDI for NPP and FLUXNET2015
 162 for GPP) and are of the same PFT as the global cell. The weights, w_i (hence nomenclature), follow from
 163 Eq. 1. F_{cell} is subsequently integrated to PFT and global levels.

164
 165 We recognise that this upscaling is simple compared to process-based and statistical models but it has the
 166 advantage of using environmental variables that are readily available both globally and at site level. The
 167 environmental variables (temperature, precipitation, shortwave radiation and leaf cover) have a first order
 168 influence on photosynthesis (e.g. Field et al 1995; Waring & Running 1998; Nemani et al 2003; Gurevitch et
 169 al 2006). Ideally, soil water availability might replace precipitation for the upscaling but this is a derived,
 170 rather than observed, variable requiring a water-balance model and knowledge of soil properties (e.g. Sellers
 171 et al 1997; Zhao & Running 2009). Such soil properties are undocumented for EMDI sites and many
 172 of the FLUXNET sites. Nearly half of the PFTs within FLUXNET2015 have sample sizes of ≤ 5 and
 173 this constraint on the number of degrees of freedom explains our predilection for a small number (4) of
 174 environmental variables.

175 3.3 Global Cells

176 To characterise the climate for the global cells in Eq. 1, we adopt the 3 hr reanalysis meteorology from
 177 Princeton University (Sheffield et al 2006; 2012; hereafter “Princeton meteorology”), which is reconstructed
 178 globally at 0.5° spatial resolution. Annual averages for MAT, MAP and MASW are created over the 7 yr
 179 period 2002-2008 (incl.), which corresponds to the overlap period with global LAI from MODIS.

180
 181 Global LAI maps at 0.5° resolution are created for 2002-2008 (incl.) by extracting and mean averaging 0.5
 182 km pixels in the standard 8-day MCD15A2H (C6) MODIS product. The latest C6 LAI product corrects
 183 for long-term detector degradation present in previous (e.g. C4 and C5) releases (Yan et al 2016; Zhang
 184 et al 2017a). Only pixels of good quality are selected i.e. main algorithm, no significant cloud and $>50\%$
 185 detectors working (Yang et al 2006b). To minimise noise in the phenology timeseries to be created (De
 186 Kauwe et al 2011), the global 0.5° maps are averaged temporally using a median 32-day moving window,
 187 except for the tropics where persistent cloud (Zhao et al 2005) necessitates selection of the maximum LAI
 188 value over a moving 48-day window (Ryu et al 2011). For each global 0.5° cell, we extract the maximum
 189 LAI in each year and mean-average these values to produce \overline{LAI}_{max} .

190
 191 PFT classification for each global cell is determined by the dominant landcover in the HYDE database
 192 (Goldwijk et al 2011) which provides a 0.5° global landcover map at the year 1990 (Fig. 1). Each cell is
 193 assigned to one of the PFTs in Tab. 2. The adopted PFTs are based on the land-surface model JULES-SF,
 194 which has been calibrated against FLUXNET sites in the past (Alton 2016; Alton 2017), and for which
 195 a sister paper is in preparation, assessing the impact of representativeness on model calibration. Various
 196 sources exist for landcover (e.g. Loveland et al 2000; Hansen & Reed 2000) but Goldwijk et al distinguishes
 197 carefully between natural and anthropogenic (pasture and cultivation) landcover. To distinguish cells dom-
 198 inated by C_3 grasses/crops from those dominated by C_4 grasses/crops, we use the global map of Still et al
 199 (2003) which quantifies the fraction of C_4 vegetation in each grid-cell.

200 3.4 Carbon-monitoring Networks

201 We adopt two carbon-monitoring networks: (1) FLUXNET2015 where GPP is inferred from tower-based
 202 eddy-covariance measurements; and (2) EMDI where annual NPP is measured through field sampling. These
 203 measurements are discussed in turn below along with their adopted meteorology and LAI data.

204 3.4.1 FLUXNET2015

205 FLUXNET2015 tier 1 (<http://fluxnet.fluxdata.org>) provides a harmonised database of 151 sites cover-
 206 ing a range of PFTs made freely available to the modelling community. High-quality fluxes, including net
 207 ecosystem exchange, are inferred from eddy covariance. The measurement timestep is either 30 mins or
 208 hourly. Measurements are gap-filled, using a well-tested standardised method (Reichstein et al 2005), when
 209 data are either missing or recorded under low friction velocity (insufficient turbulence; Barr et al 2013).
 210 Two standard products for GPP are available with FLUXNET2015: (1) an empirical temperature fit to
 211 nighttime respiration which is extrapolated to daytime in order to subtract daytime respiration from net
 212 ecosystem exchange to yield GPP (`gpp_nt_ref`; Reichstein et al 2005); and (2) an empirical fit of daytime net
 213 ecosystem exchange against downwelling shortwave radiation to infer daytime respiration at zero irradiance
 214 which can then be subtracted from daytime net ecosystem exchange to yield GPP (`gpp_dt_ref`; Lasslop et
 215 al 2010). A site list, with site coordinates, principal investigators, citations and general description is pro-
 216 vided at <http://fluxnet.fluxdata.org> and is also summarized by Haughton et al (2018). The geographical
 217 distribution, discussed in the Results, is also shown in Fig. 7. Siteyears span 1991-2014 but the mean year
 218 and standard deviation for the dataset are 2007 ± 5 .

219
 220 We summed the (half-)hourly values of both `gpp_dt_ref` and `gpp_nt_ref` to produce average annual GPP
 221 over the siteyears available at each site (7 on average). The root mean square difference between the two
 222 estimates was $<1\%$ which is small compared to the other sources of error (discussed below). Therefore, we
 223 adopted `gpp_dt_ref` as the measured site GPP. (Half-)hourly *in situ* meteorology, recorded by the fluxtower
 224 instruments, is averaged over all siteyears available for each site to determine MAT, MAP and MASW. Note
 225 that we sum to *annual* values of GPP for consistency with EMDI which only provides annual values of NPP.

226
 227 To create an LAI 2002-2008 timeseries for each carbon-monitoring site, we follow the procedure above for
 228 global cells but extract a $3.5 \text{ km} \times 3.5 \text{ km}$ subset (49 pixels) centred on the site. Note that subsets smaller
 229 than this are considered less robust in terms of the LAI produced by the MODIS radiative-transfer algorithm
 230 (Yang et al 2006a; Yang et al 2006b; Heinsch et al 2006). For each site, we extract the maximum LAI in
 231 each year and mean-average these to produce \overline{LAI}_{max} .

232 3.4.2 EMDI

233 EMDI is a standardised database for field-based annual NPP divided into class A (well-documented)
 234 and class B (less well-documented) sites (Olson et al 2008). The revised (R2) version of these datasets
 235 was accessed from the Land Processes Distributed Active Archive Center (LP DAAC) at the website
 236 daac.ornl.gov/NPP. Initially, we only used sites where both aboveground and belowground NPP were
 237 measured, yielding a total of 306 sites. Of these sites, $\simeq 10\%$ have repeat measurements and these repeat
 238 values were mean-averaged to yield NPP measurements for 277 sites. This approach led to very small
 239 sample sizes in some PFTs. Therefore, the requirement for belowground NPP to be measured was relaxed
 240 for C3 and C4 crops, savanna, tundra shrubs and non-tundra shrubs. Where belowground NPP is absent,
 241 the EMDI database assumes a value of one for the aboveground-to-belowground NPP ratio for these PFTs
 242 (Olson et al 2008). Some previous studies adopt a larger number of class B sites from the EMDI database
 243 and rely, therefore, more heavily on the assumed ratio of aboveground-to-belowground NPP (e.g. Zaks et al
 244 2007). However, we prefer to use a smaller, more robust, set of measurements which is comparable in size
 245 to the FLUXNET2015 database and makes less reliance on this ratio.

246
 247 In lieu of an *in situ* meteorology for EMDI sites, we adopt the Princeton meteorology, using bi-linear
 248 interpolation across the four 0.5° global cells closest in longitude and latitude to the site in question. Zaks
 249 et al (2007) use reanalysis meteorology at 10 arcmin resolution for EMDI sites but disaggregation to this
 250 spatial resolution entails greater uncertainties in the resultant meteorology. To test the impact of using
 251 a 0.5° (rather than *in situ*) meteorology, we conduct a separate experiment in which we substitute the

252 tower-based meteorology at FLUXNET2015 sites with the interpolated Princeton meteorology and monitor
 253 the change in representativeness for GPP. To determine \overline{LAI}_{max} at EMDI sites, we proceed as above for
 254 FLUXNET2015 (§3.4.1).

255 3.5 Workflow, Error Analysis and Enhancing Representativeness

256 3.5.1 Workflow

257 We substitute values of MAT, MAP, MASW and \overline{LAI}_{max} for both carbon-monitoring sites and 0.5° global
 258 grid cells into eq. 1. The standard deviation of each environmental variable, across global cells of the same
 259 PFT, is also evaluated and substituted. We derive w_{cell} from Eq. 2 as a measure of representativeness for
 260 each global cell. Using Eq. 3, both NPP and GPP are summed for each cell to produce totals at PFT and
 261 global levels.

262 3.5.2 Error Analysis

263 Random errors in annual carbon fluxes at FLUXNET sites are estimated at only 5% (Hollinger & Richard-
 264 son 2005; Baldocchi 2008). For annual GPP, the combined random uncertainties, including gap-filling, are
 265 estimated at <10% by Beer et al (2007). Comparing the root-mean-square difference between duplicate
 266 measurements within the EMDI database (available for 10% of sites; n=29), we infer a random error of 15%
 267 in site NPP. Systematic errors are larger than random errors for both NPP and GPP but it is difficult to
 268 account for them in their overall effect. Poor detection of high frequency gas fluctuations leads to systematic
 269 underestimation by 5-10% (Baldocchi 2008). However, incomplete energy closure suggests that underestima-
 270 tion might be larger (20%; Wilson et al 2002). Incomplete sampling of components (e.g. herbivory, smaller
 271 trees in plots) suggests a systematic underestimation of at least 20% in field-based NPP (Clark et al 2001;
 272 Malhi et al 2011). Whilst systematic errors probably lead to underestimation of both GPP and NPP, the
 273 vast majority of FLUXNET sites are known to be carbon sinks (Baldocchi 2008; Amiro et al 2010) and
 274 these sites, therefore, may be more productive than the global PFT they represent.

275
 276 For historical reasons, mostly concerned with accessibility and funding, both carbon-monitoring networks
 277 undersample numerically certain vegetation types. To account to some extent for limited sampling and
 278 random errors, we adopt a bootstrap method in our upscaling by randomly selecting site measurements
 279 with replacement (10000 iterations for both EMDI and FLUXNET2015) and monitoring the variation in
 280 PFT-level and global estimates (standard deviation from the mean).

282 3.5.3 Enhancing Representativeness

283 To quantify the number of sites needed to sample each global PFT accurately we conduct a separate, ad-
 284 ditional experiment for upscaling. We reduce the number of sites for PFTs which are numerically well
 285 represented so that they approach the sample size of those PFTs which are the least well represented nu-
 286 merically. This is done using random selection without replacement for sample sizes of 48, 24, 12, 6, and
 287 3, where the starting point (48 in this example) is the maximum number of sites available for the well-
 288 represented PFT in question. Estimation of GPP and NPP at PFT level is monitored as the sample size
 289 changes (mean and standard deviation over 1000 iterations for each sample size).

290
 291 The above approach assumes that carbon-monitoring sites are distributed evenly in climate-canopy space.
 292 However, the global PFT may occupy large areas of climate-canopy space which are not sampled at all
 293 at site level. Furthermore, our estimates of PFT primary productivity rely on *interpolating* between sites
 294 across climate-canopy space since they depend on a weighted upscaling (Eq. 3). To estimate the fraction of
 295 primary productivity which lies outside of the sampled climate-canopy space we employ a state-of-the-art

land-surface model (JULES-SF) which has previously been calibrated against diverse carbon flux datasets (Alton 2013). JULES-SF (Joint UK Land Environmental Simulator) is an enhanced version of the new UK Met. Office Surface Exchange Scheme (Cox et al 1999). It is state-of-the-art for ecophysiological process-based global models of the Penman-Monteith type (Monteith 1965) but represents particularly well multilayer light interception and photosynthesis within the canopy (Alton & Bodin 2010; Alton 2016). Note that we are not using this particular model to validate the upscaled values (i. e. as “truth”) since models vary in their inherent assumptions as well as their estimates of PFT and global primary productivity (Ito 2011; Anav et al 2015; Prentice et al 2015). However, we assume that the model provides a useful surrogate for truth (Jung et al 2009) since it fully samples climate-canopy space for each PFT and *may*, therefore, indicate how primary productivity varies systematically between the interpolated and extrapolated climate-canopy space. To this end, we define a ratio for both GPP and NPP which is the model estimate for the interpolated climate-canopy space divided by the estimate for the full global climate-canopy space. We define the interpolated climate-canopy space as mean ± 2 standard deviations across all 4 environmental variables where the mean and standard deviation correspond to FLUXNET2015 and EMDI sites, respectively, for GPP and NPP. The model provides an estimate of GPP and NPP for all global 0.5° landpoints which reside within this mean ± 2 standard deviations climate-canopy space as well as across the full global climate-canopy space. The interpolated space is defined generously (using 2 standard deviations) and, therefore, provides a lower limit to any biases which are detected.

4 Results and Discussion

To ascertain which PFTs are important contributors to global primary productivity, we first analyse the upscaling (§4.1) before examining the representativeness of the carbon-monitoring networks themselves (§4.2). Next, we investigate how many sites are required for the network to be representative of global PFTs (§4.3). The final section (§4.4) treats the limitations and caveats to the study.

4.1 Upscaling

Our weighted upscaling yields 131 ± 8 Gt yr⁻¹ and 66 ± 4 Gt yr⁻¹ for annual global GPP and NPP, respectively. Uncertainties follow from our bootstrap error analysis but, as noted above, this does not account for systematic errors associated with the site fluxes (EMDI and FLUXNET2015) used for upscaling. Global Carbon-Use Efficiency (NPP/GPP) is 0.50 ± 0.04 , which is close to the value prescribed in many carbon models (e.g. Waring et al 1998).

There are no measurements of GPP and NPP at global level that would serve for validation purposes (Anav et al 2015). However, given the simplicity of the method, our upscaled values compare fairly favourably with a compilation of previous estimates based on a diversity of methods (Tab. 3). Thus, our global NPP (66 ± 4 Gt yr⁻¹) lies at the upper end of 251 previous estimates compiled by Ito (2011) and averaged according to method ($46\text{-}61$ Gt yr⁻¹). Note, however, that this previously inferred range conceals a large standard deviation (13 Gt yr⁻¹) between studies using a similar technique. Recent estimates might be converging towards a value of 56 ± 14 Gt yr⁻¹ (Ito 2011) but they also rely increasingly on models which contain numerous parameters, some of which are poorly constrained (Prentice et al 2015). Compared to NPP, far fewer global estimates of GPP are available. Recent estimates tend towards lower values ($110\text{-}120$ Gt yr⁻¹), possibly owing to a greater reliance on Light-Use Efficiency models, including the standard MODIS products of GPP. Both ecophysiological process-based models and top-down studies of atmospheric isotopes still allow for a broad range of global GPP and, indeed, much higher values (≤ 175 Gt yr⁻¹). Our estimate (131 ± 8 Gt yr⁻¹) is fairly central with respect to previous estimates.

341 The three PFTs which contribute most to global GPP are tropical broadleaf forest, non-mediterranean
 342 needleleaf forest and C3 grass (collectively 64%; Tab. 4). This is 9% higher than Beer et al (2010), who
 343 collate several (mostly model-based) estimates but find that productivity is higher in C4 (tropical) grassland
 344 compared to C3 (temperate) grassland (an inference which depends on the adopted global landcover). For
 345 NPP, Tab. 4 reveals a similar situation to GPP, except that the biggest single contributor is C3 crop (26%
 346 global NPP). The accuracy of NPP estimates for this PFT is discussed below (§4.3).

348 4.2 Site Representativeness

349 Focussing initially on site numbers, we show the proportion of each carbon-monitoring network distributed
 350 over each PFT and compare to the corresponding percentage of global area for that vegetation type (Tab. 2).
 351 For historical and accessibility reasons, both networks over-represent non-tropical (temperate) broadleaf for-
 352 est by an order of magnitude. This is in spite of the modest contribution of this PFT to global NPP and
 353 GPP (2-3% in Tab. 4). Tropical broadleaf forest is under-sampled by FLUXNET2015, even though this PFT
 354 contributes 29% to global GPP (Tab. 4) and is poorly constrained as a carbon sink/source (Gurney et al
 355 2002). The observation that FLUXNET undersamples tropical forest and oversamples temperate forests is
 356 noted by previous authors (e.g. Schimel et al 2015) but here we quantify in proportion to global land-surface
 357 (see also Baret et al 2006) and place in the context of the relative contributions of each PFT to global
 358 primary productivity.

359
 360 Fig. 2 compares the distribution of both carbon-monitoring networks with global vegetated 0.5° cells in
 361 terms of climate, specifically MAP and MAT. This graph confirms an undersampling of tropical rain forest
 362 within FLUXNET2015 ($\text{MAP} > 2500 \text{ mm yr}^{-1}$, $\text{MAT} > 25^\circ\text{C}$). Both networks undersample warm and cold
 363 (semi-)arid climates ($\text{MAP} < 400 \text{ mm yr}^{-1}$), even though some of these regions are subject to the greatest cli-
 364 mate change and perturbation to their carbon cycle (e. g. warming/greening of the northern tundra; Myneni
 365 et al 1997; Elmendorf et al 2012).

366
 367 The sampling density within MAP-MAT climate space is quantified in Fig. 3 and compared with global
 368 vegetated cells. Outliers confirm over-representation (by a factor 2-3) of woodland, shrubs and forest within
 369 temperate regions ($\text{MAP} = 750 \text{ mm yr}^{-1}$, $\text{MAT} = 5\text{-}15^\circ\text{C}$) using the idealised climate-biomes of Whittaker
 370 (Fig. 2). In contrast, there is a dearth of sites within the tundra ($\text{MAP} = 250 \text{ mm yr}^{-1}$, $\text{MAT} = -15^\circ\text{C}$). The
 371 pronounced scatter in panel (b) of Fig. 3 ($R^2 = 0.17\text{-}0.20$) reveals that both networks are mediocre in their
 372 representation of global MAT-MAP space, although the strengths and weaknesses of each network vary
 373 according to PFT.

374
 375 (Semi-)arid regions are poorly sampled in Fig. 2 (both warm and cold). Furthermore, of the vegetated
 376 global cells with $\text{MAP} < 400 \text{ mm yr}^{-1}$, 91% correspond to sparse vegetation with $\overline{\text{LAI}}_{\text{max}} \leq 2 \text{ m}^2\text{m}^{-2}$. On av-
 377 erage, $\overline{\text{LAI}}_{\text{max}} = 0.97 \text{ m}^2\text{m}^{-2}$ for global vegetated cells with $\text{MAP} < 400 \text{ mm yr}^{-1}$ compared to $\overline{\text{LAI}}_{\text{max}} = 3.0$
 378 m^2m^{-2} for $\text{MAP} \geq 400 \text{ mm yr}^{-1}$. Fig. 4 reveals how carbon-monitoring networks represent global vegetation
 379 in terms of LAI. Notably, 50% global vegetation is characterised by $\overline{\text{LAI}}_{\text{max}} \leq 2 \text{ m}^2\text{m}^{-2}$ but only 30-32%
 380 of both networks sample vegetation with an LAI as low as this. We estimate from our global upscaling
 381 that 0.5° cells with $\overline{\text{LAI}}_{\text{max}} \leq 2 \text{ m}^2\text{m}^{-2}$ contribute 31% global GPP and 35% global NPP. The situation is
 382 more acute at lower canopy densities. Thus, 25% of the vegetated land-surface possesses $\overline{\text{LAI}}_{\text{max}} \leq 1 \text{ m}^2\text{m}^{-2}$
 383 but only 10% network sites sample such sparse vegetation. This dearth is noted when networks such as
 384 AERONET or FLUXNET are used to validate global satellite products (Baret et al 2006).

385
 386 For certain PFTs, the corresponding sites are relatively numerous but those sites fail to sample the average
 387 global climate of the PFT they represent. This is seen in Fig. 5 where the modified mean inverse euclidian
 388 distance (w_{pft}) for global cells containing mediterranean needleleaf is lower than other PFTs (poor rep-

389 representativeness). This is in spite of relatively high site numbers for this PFT in both networks (Tab. 2).
 390 On average, MAP and MAT are higher within the combined site samples of FLUXNET2015 and EMDI
 391 for mediterranean needleleaf (1210 mm yr^{-1} and 13.5°C , respectively) compared to the mean values of
 392 the corresponding global cells (550 mm yr^{-1} and 1.7°C). Similarly, non-tundra shrubs are sampled in the
 393 mid-latitudes (mean absolute latitude 40°), whilst the global distribution is weighted more towards the sub-
 394 tropics (mean absolute latitude 22° ; panel (a) of Fig. 6). This bias results in a low value of w_{pft} in Fig. 5,
 395 particularly with respect to FLUXNET2015.

396
 397 In terms of climate-canopy space, Figs. 7 and 8 reveal which parts of the globe are well represented by
 398 FLUXNET2015 and EMDI, respectively, by mapping the modified mean inverse euclidian distance (w_i in
 399 Eq. 2). Superimposed markers for site locations reveal the pronounced clustering of carbon-monitoring
 400 sites within Europe and North America. The poor representativeness of tropical broadleaf forest, discussed
 401 above, is particularly conspicuous for the Old World formations in Africa and south-east Asia, especially
 402 for FLUXNET2015. This is already noted for south-east Asia by Kumar et al (2016), who assess global
 403 representativeness of FLUXNET with a next-nearest approach. Figs. 7 and 8 also reveal that both net-
 404 works represent rather poorly the northern half of the boreal conifer belt owing to site sampling of non-
 405 mediterranean needleleaf forest at lower latitudes (compare with landcover in Fig. 1 and see panel (b) of
 406 Fig. 6). Note that some regions have high representativeness in Figs. 7 and 8, even though they contain
 407 few carbon-monitoring sites. This is due to their proximity in climate-canopy space to sites of the same
 408 vegetation located elsewhere in the world. Thus, in West Asia, both C3 grassland and C3 crops have high
 409 representativeness for, respectively, NPP and GPP owing to sampling in, respectively, Europe and North
 410 America.

411
 412 The global distribution of NPP sampling has received very little attention in the past and Fig. 8 con-
 413 stitutes, to our knowledge, the first assessment of representativeness by EMDI. This network compiles
 414 NPP measurements for well-studied sites with the stated aim of improving global carbon models (Olson
 415 et al 2008). EMDI combines geographically dispersed measurements from the literature with previously
 416 collated databases, the latter often compiled for a specific purpose (e.g. change in forest NPP along a tran-
 417 sect). As with FLUXNET2015, measurements are biased towards regions with better access and resources.
 418 Note, however, that the S. American tropical broadleaf forest is better represented by EMDI compared to
 419 FLUXNET2015 (Figs. 7 & 8). C3 grasslands are also better represented, owing to inclusion of an intensive
 420 study by Gill et al (2002), but C3 crops are better sampled by FLUXNET2015.

421
 422 Tab. 5 quantifies the climate-canopy distribution of global cells compared to the carbon-monitoring networks
 423 by presenting the mean and standard deviation of each environmental variable according to PFT. The tabu-
 424 lar data confirm that certain PFTs (e.g. non-mediterranean needleleaf forest, mediterranean needleleaf forest
 425 and tundra) are sampled, by either one or both carbon-monitoring networks, in more temperate (wetter
 426 and warmer) climes compared to their global distribution (see panel (b) of Fig. 6 for non-mediterranean
 427 needleleaf forest). Similarly, the table confirms the inference drawn from Fig. 6, namely, that non-tundra
 428 shrub is generally sampled in cooler regions compared to its principally sub-tropical global distribution. A
 429 similar conclusion is drawn for C4 crops from Tab. 5. Some of these PFTs (C4 crops and non-tundra shrub)
 430 only make a small (1-2%) contribution to global primary productivity. However, non-mediterranean needle-
 431 leaf forest contributes, respectively, 13% and 17% to global NPP and global GPP in Tab. 4. The global
 432 centroid of this PFT is $-3.9 \pm 5.4^\circ\text{C}$, $510 \pm 270 \text{ mm yr}^{-1}$. This overlaps greatly with the Whittaker climate-
 433 biome designated as “tundra” in Fig. 2, previously noted as relatively devoid of sampling sites. As stated
 434 in connection with Fig.4, 50% vegetated global cells have $\overline{LAI}_{max} \leq 2 \text{ m}^2\text{m}^{-2}$. Of these sparsely vegetated
 435 global cells, 25% are classified as non-mediterranean needleleaf forest and 17% as tundra shrub. Therefore,
 436 better sampling of the climate-canopy space of these PFTs, especially non-mediterranean needleleaf forest,
 437 is important. The bias towards more temperate needleleaf sites, which are possibly more productive than
 438 the majority of the boreal needleleaf forest (owing to a more clement climate and higher LAI; Tab. 5), may

439 explain why some land-surface models, when calibrated against FLUXNET sites, underestimate continen-
 440 tal runoff at high northern latitudes (Alton 2013) compared to measured river-mouth discharge (Dai et al
 441 2009). The deficit in runoff suggests an overestimation of evapotranspiration, which is frequently dominated
 442 by transpiration for the vegetated land-surface (Jasechko et al 2013). Transpiration itself is tightly linked to
 443 GPP through stomatal conductance and this physiological link is exploited in regional and global estimates
 444 of GPP based on water-use efficiency (Beer et al 2007; 2010).

446 4.3 Enhancing Representativeness: How many sites are required?

447 The number of sites required to sample carbon fluxes fully depends not only on the distribution of global
 448 climate-canopy space, as discussed above, but also on the range in primary productivity measured across
 449 sites of the same PFT. We begin by focussing on this latter issue, which has received little discussion in the
 450 literature, before estimating how many sites are required.

451 Barcharts for site GPP and NPP, expressed in $\text{kg m}^{-2} \text{ yr}^{-1}$ and grouped by PFT, exhibit a large spread
 452 (Fig. 9). Indeed, averaging across all PFTs for both NPP and GPP, the standard deviation in primary
 453 productivity constitutes 56% mean. It is this broad range in Fig. 9 that leads to substantial uncertainties
 454 in PFT primary productivity in Tab. 4 (on average 18%, but up to 40% for some PFTs). This is because
 455 a large number of sites must be sampled to provide precise estimates of the primary productivity of each
 456 PFT. For NPP in Fig. 9, our PFT means are close (root mean square difference of $0.13 \text{ kg m}^{-2} \text{ yr}^{-1}$) to
 457 those derived from previously compiled field measurements (Houghton & Skole 1990) when excluding crops
 458 (discussed below). They are also very close (root mean square difference of $0.09 \text{ kg m}^{-2} \text{ yr}^{-1}$) to the means
 459 estimated by Luyssaert et al (2007) for forest PFTs. These authors collate both NPP and GPP from diverse
 460 methods including eddy covariance, leaf chamber, harvesting, allometry and process-based models. For GPP
 461 in forests, our PFT means are fairly close (root mean square difference of $0.22 \text{ kg m}^{-2} \text{ yr}^{-1}$) to those of
 462 Luyssaert et al (2007) when omitting tropical broadleaf forest. Our mean GPP for tropical broadleaf forest
 463 ($2.4 \text{ kg m}^{-2} \text{ yr}^{-1}$) is lower than that of Luyssaert et al ($3.6 \text{ kg m}^{-2} \text{ yr}^{-1}$) but within 20% of the mean of 13
 464 tropical forests ($2.9 \text{ kg m}^{-2} \text{ yr}^{-1}$) compiled by Fu et al (2018). Site NPP for C3 crops in Fig. 9 exhibits a
 465 very wide range ($0.8\text{-}2.0 \text{ kg m}^{-2} \text{ yr}^{-1}$) compared to other PFTs and is higher, on average, than more recent
 466 estimates ($0.5\text{-}1.0 \text{ kg m}^{-2} \text{ yr}^{-1}$; Ciais et al 2010; Li et al 2014). The CUE (ratio NPP/GPP) also appears
 467 too high in Fig. 9 compared to the theoretical and previously observed upper limit of 0.7 for non-woody
 468 vegetation (Choudhury 2000; van Iersel 2003). Measured crop NPP appears to vary greatly according to
 469 both species and treatment (irrigation and fertiliser) within the EMDI database. If this network generally
 470 overestimates for this PFT, the corresponding contribution to global NPP (26%; Tab. 4) may be too high
 471 (perhaps by a factor 2).

472
 473
 474 We determine the number of sites required to quantify precisely the productivity of each PFT. As ex-
 475 plained in §3.5.2, we do this by reducing the number of sites used in the upscaling. We do this for PFTs
 476 which are numerically well represented (i. e. non-tropical broadleaf forest and C4 grassland for NPP, and
 477 non-mediterranean needleleaf forest and C3 grassland for GPP) so that they approach the sample size
 478 of PFTs which are the least well represented numerically. For those PFTs in Tab. 2 with few (2-6) site
 479 samples (e.g. C4 crop), this bootstrap uncertainty analysis suggests that errors in NPP and GPP are large
 480 (20-40%; see Fig. 10). Furthermore, we require 30 and 50 sites per PFT to estimate PFT productivity to,
 481 respectively, 5% and 2% precision. Undersampling of individual PFTs has less impact globally owing to
 482 cancellation when integrating from PFT to global level (6% for both global NPP and GPP; see uncertainties
 483 in bottom row of Tab. 4). However, to be able to quantify and to monitor carbon-sinks within individual
 484 PFTs as a percentage of anthropogenic CO_2 release (9 Gt yr^{-1} or 10-15% global NPP; Le Quéré et al 2015),
 485 we seek a precision of at least 2% at PFT-level. This requires a carbon-monitoring network consisting of at
 486 least 600 (50×12 PFTs) sites which are carefully selected (see below) to represent adequately the climate-

487 canopy space of global vegetation. Using a complex statistical model (artificial neural network) to upscale
 488 GPP for Europe, Papale et al (2015) recognise a stabilisation in the inferred values when incorporating more
 489 than 30-50 FLUXNET sites into the model calibration. Although this study pools sites from different PFTs,
 490 it appears that the resulting stability (we infer <5% from their Fig. 3) is consistent with the results in Fig. 10.

491
 492 Our estimates of the number of required sites per PFT must be considered as a minimum. The bootstrap
 493 assumes a random set of measurements across the global distribution. However, as discussed above, certain
 494 PFTs are sampled within the “wrong” latitude or climate and, as such, might be too clumped compared
 495 to the corresponding global range. This is demonstrated in Fig. 11 which indicates how primary produc-
 496 tivity simulated by a land-surface model (JULES-SF) differs between the climate-canopy space sampled
 497 by FLUXNET2015 or EMDI i.e. the interpolated space (defined as mean \pm 2 standard deviations for the
 498 corresponding carbon-monitoring sites) and the global climate-canopy space of the PFT in question (§3.5.3).
 499 On the basis of Fig. 11, the primary productivity of non-mediterranean needleleaf forest (as a global PFT)
 500 may be overestimated by 20-30% owing to site sampling in relatively temperate climes compared to the
 501 global distribution (§4.2). Of the other main PFTs contributing to global primary productivity, values for
 502 C3 grass might be underestimated, owing to sampling at sites which are somewhat cooler than the global
 503 distribution (Tab. 5). However, sites for C3 crops and tropical broadleaf forest appear representative of
 504 productivity across the corresponding global PFT. This is in spite of low site numbers for tropical broadleaf
 505 forest within FLUXNET2015 – an inference also made by Jung et al (2009) when comparing a complex
 506 statistical upscaling, based on model trees, against output from the LPJmL biosphere model. The mean
 507 absolute difference between the interpolated-to-full ratios in Fig. 11 and unity is 15% and 11% for NPP
 508 and GPP, respectively. This is more than the 2% precision identified in Fig. 10 for 50 sites. This implies
 509 that locating new sites in previously unsampled climate-canopy space may be more important than a simple
 510 increase in the number of sites (Baret et al 2006).

512 4.4 Caveats and Limitations

513 Our bootstrapping and sampling experiments conducted above cannot account for bias in site measurements,
 514 such as the systematic underestimation of site NPP in field measurements (Clark et al 2001; Malhi et al 2011)
 515 or a preponderance of carbon sinks at disturbed sites within FLUXNET2015 (Baldocchi 2008; Amiro et al
 516 2010). As noted earlier (§3.5.2), systematic errors and bias can be substantial (\sim 20%). Site measurements
 517 for NPP within the EMDI database are mostly from the 1970s or later. On the basis of FACE experiments
 518 (Ainsworth & Long 2005) and observed increasing atmospheric CO₂ concentration (Keeling et al 1996), we
 519 might expect an enhancement in site NPP of up to 8% by 2002-2008 (period used for global NPP upscaling).

520
 521 In lieu of an *in situ* meteorology for EMDI sites, we substituted the Princeton meteorology in our weighted
 522 upscaling, interpolating across the 0.5° grid cells that coincide with the site locations (§3.4.2). However, our
 523 results appears to be fairly insensitive to the dataset used for site meteorology. Thus, when replacing the
 524 tower meteorology at FLUXNET2015 locations with the corresponding Princeton meteorology, the mean
 525 representativeness at PFT level (w_{pft}) drops by 0.05 on average. This is equivalent to 6% and does not
 526 significantly affect the ranking of PFTs in terms of representativeness. Systematic differences between tower
 527 and Princeton meteorology are 0.8°C, 4% and 2% for MAT, MAP and MASW, respectively (n=154). These
 528 offsets are small compared to the standard deviation of the same variables across global PFTs which are used
 529 to normalise the euclidian distance in Eq. 1 (e.g. 53% for MAP when averaging across all PFTs in Tab. 5).
 530 Similarly, although MAT, MAP, MASW and LAI_{max} are only calculated from a 7yr period (2002-2008)
 531 for global cells, the environmental variable with the greatest interannual variation (MAP) is estimated to
 532 a standard error of 14%. Once again, this is much less than the standard deviation across the global PFT
 533 (53%).

534

535 Some of our results are sensitive to landcover. Global landcover maps often manifest differences in classi-
 536 fication, particularly over heterogeneous landscapes (Quaife et al 2008). Site descriptions of landcover can
 537 also vary and are partly subjective (De Kauwe et al 2011). These inconsistencies engender uncertainty since:
 538 (i) representativeness depends on the landcover adopted for each global cell and is calculated with respect
 539 to sites of the same (or very similar) vegetation type; (ii) precise attribution of global primary productivity
 540 to different vegetation types depends on the adopted global landcover. The potential mixing/confusion of
 541 landcover at sites may also spuriously increase the productivity range determined for each PFT (Fig. 9).

542
 543 The method adopted for upscaling is very simple. However, its primary purpose is to determine broadly
 544 which PFTs contribute most to global primary productivity. The number of environmental variables used
 545 for representativeness and upscaling could be extended, once larger samples (more sites) are available for all
 546 PFTs. Additional variables, assuming they become available for all sites, could include soil properties, espe-
 547 cially those influencing plant water availability, and seasonality of climate. We also note that the extended
 548 network of FLUXNET sites, LaThuile, for which harmonised datasets of GPP are not yet available, still
 549 contains many of the geographical and climate biases discussed above for FLUXNET2015. Thus, interpol-
 550 ating across the 0.5° Princeton meteorology grid cells that coincide with LaThuile locations, an update of
 551 the MAP-MAT sampling density within Fig. 2, still reveals 2σ oversampling in temperate regions and little
 552 improvement in site-to-global correlation ($r^2=0.18$ for LaThuile *versus* $r^2=0.17$ using FLUXNET2015).

553 5 Summary and Conclusions

554 We determine the ability of two important carbon-monitoring networks (FLUXNET2015 and EMDI) to
 555 represent global vegetation by calculating the euclidian distance in climate-canopy space between global 0.5°
 556 cells and carbon-monitoring sites of the same PFT. One of the carbon-monitoring networks, FLUXNET2015,
 557 constitutes a fairly new data release. Primary productivity for global cells is calculated as a weighted average
 558 of annual carbon flux from sites of the same PFT and the inverse euclidian distance as weights. Subsequent
 559 integration of global cells leads to estimates of GPP and NPP at PFT and global levels. The purpose of this
 560 upscaling is to determine broadly the main PFT contributing to annual global primary productivity with a
 561 view to improving their representativeness in carbon-monitoring networks. The main conclusions from this
 562 study are as follows:

- 563 1. Upscaled global NPP and global GPP are 66 ± 4 Gt yr^{-1} and 131 ± 8 Gt yr^{-1} , respectively. Given the
 564 simplicity of the upscaling method and the range in existing estimates, this is fairly close to (10-20%
 565 higher than) the majority of recent (mostly model-based) estimates.
- 566 2. Of the main contributors to global NPP and GPP: (i) tropical broadleaf forest is numerically under-
 567 represented, particularly in the Old World by FLUXNET2015 (though the currently sampled climate-
 568 canopy space for this PFT may permit more robust upscaling compared to other vegetation types);
 569 (ii) C3 crops are sampled “correctly” in climate-canopy space but the global contribution of this PFT
 570 is uncertain owing to sensitivity of productivity to species and treatment; and (iii) site measurements
 571 of non-mediterranean needleleaf forest are relatively numerous but sampling occurs at the “wrong”
 572 latitude and climate with respect to the global stronghold of this PFT within the boreal forest. Poor
 573 sampling of global climate space also occurs for several other PFTs (mediterranean needleleaf forest,
 574 non-tundra shrub and C4 crops) but their contribution to global primary productivity is an order of
 575 magnitude less than that of non-mediterranean needleleaf forest. Considering its modest contribu-
 576 tion globally (2-3% primary productivity), non-tropical (temperate) broadleaf forest is oversampled
 577 compared to other PFTs.
- 578 3. (Semi-)arid regions ($\text{MAP} < 400$ mm yr^{-1}) are undersampled by both carbon-monitoring networks,
 579 particularly the tundra and northern half of the boreal needleleaf forest. Of global vegetated cells
 580 with $\text{MAP} < 400$ mm yr^{-1} , 91% are characterised by sparse vegetation cover ($\overline{LAI}_{max} \leq 2$ m²m⁻²).

581 In general, sparse vegetation is poorly sampled by both networks even though it covers 50% of the
582 vegetated land-surface and contributes one third of global NPP and global GPP.

- 583 4. Site-measured NPP and GPP exhibit a broad range within the same vegetation type (standard devi-
584 ation is 56% mean). As a consequence of this broad range, our bootstrap error analysis indicates that
585 at least 50 sites per PFT are required to quantify the primary productivity of each global vegetation
586 type sufficiently well (2% precision) in order to identify its potential role as a sink of anthropogenic
587 carbon (assuming ecosystem respiration is measured to the same precision). A land-surface model sim-
588 ulation of primary productivity within the network-sampled climate-canopy space, compared against
589 productivity simulated for *global* climate-canopy space, underlines the importance of adding sites which
590 considerably extend the environmental range over which a given PFT is being sampled.

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Table 1: An alphabetical list of acronyms, abbreviations and quantities used frequently in the main text. Units are given where appropriate.

	Definition
CUE	Carbon-Use Efficiency
EMDI	Ecosystem Model-Data Intercomparison
FLUXNET2015	Flux Network (2015 release)
GPP	Gross Primary Productivity (Gt yr^{-1} or $\text{kg m}^{-2} \text{yr}^{-1}$)
LAI	Leaf Area Index ($\text{m}^2 \text{m}^{-2}$)
$\overline{\text{LAI}}_{max}$	mean maximum seasonal LAI ($\text{m}^2 \text{m}^{-2}$)
LUE	Light-Use Efficiency
MAP	Mean Annual Precipitation (mm yr^{-1})
MAT	Mean Annual Temperature ($^{\circ}\text{C}$)
MASW	Mean Annual ShortWave radiation (W m^{-2})
MODIS	Moderate Resolution Imaging Spectroradiometer
NPP	Net Primary Productivity (Gt yr^{-1} or $\text{kg m}^{-2} \text{yr}^{-1}$)
PFT	Plant Functional Type

Table 2: The numerical representativeness of each plant functional type (PFT) within the carbon-monitoring networks (FLUXNET2015 and EMDI) compared to corresponding global 0.5° land-points. The percentage of sites (or vegetated global area) within each vegetation class is indicated and the number of sites, where applicable, is shown in parentheses. LaThuile is an extended FLUXNET network (Stoy et al 2009) for which a harmonised dataset of site GPP might be expected to become available in the future. For the LaThuile network, we aggregate grasses and crops since data are not always available to reliably distinguish between C3 and C4. The bottom row shows the global number of sites for each network. The second left-most column indicates the PFT abbreviation adopted in subsequent tables and figures.

PFT	PFT abbreviation	EMDI % (n)	FLUXNET2015 % (n)	LaThuile % (n)	Global %
Non-tropical Broadleaf Forest	BL	18.5(51)	16.9(26)	24.0(106)	1.9
Non-mediterranean Needleleaf Forest	NL	21.0(58)	21.4(33)	17.9(79)	26.8
C3 crop	Cr3	4.0(11)	10.4(16)		10.6
C4 crop	Cr4	1.1(3)	3.2(5)	12.2(54)	1.0
Tundra Shrub	Tu	4.3(12)	1.3(2)	0.7(3)	8.6
Mixed Forest	MX	3.6(10)	5.2(8)	4.1(18)	4.5
Tropical Broadleaf Forest	TBL	8.0(22)	3.2(5)	5.9(26)	9.1
C3 grass	C3	5.4(15)	16.9(26)		22.9
C4 grass	C4	14.1(39)	2.6(4)	19.3(85)	6.9
Non-tundra Shrub	SH	4.7(13)	7.1(11)	6.3(28)	1.7
Savanna	SAV	1.8(5)	8.4(13)	5.9(26)	5.4
Mediterranean Needleleaf Forest	MNL	13.4(37)	3.2(5)	3.6(16)	0.7
Global		(276)	(154)	(441)	

Table 3: Previous estimates of global Net Primary Productivity (NPP) and Gross Primary Productivity (GPP) compared to upscaled estimates from the current study (in bold). Summary estimates for NPP are taken from the comprehensive review and compilation of Ito (2011), aggregating the mean averages of various methodological subgroups. BGC and DGVM are biogeochemical and dynamic global vegetations models, respectively. WUE is water-use efficiency. LUE and PEM are light-use efficiency and productivity-efficiency models. Indicative references for global GPP, which has far fewer estimates than NPP, are given in the far right column (see also the partial review and technique intercomparison of Anav et al (2015) and Zhang et al (2019)).

Technique	Global NPP		Global GPP		References
	Range [Gt yr ⁻¹]	Subgroup	Range [Gt yr ⁻¹]	Subgroup	
Empirical Scaling	46-54	inventory, empirical	120-130	inventory, statistical	Beer et al (2010); Zhang et al (2017b); Bodesheim et al (2018)
Process-based Modelling	56-61	BGC, DGVM	120-170	BGC, DGVM	Kattge et al (2009); Chen et al (2017); Ryu et al (2011); Alton (2013); Anav et al (2015)
LUE Models	49	LUE, PEMs	105-140	LUE, PEMs	Yuan et al (2010); Zhang et al (2009); Yebra et al (2015); Zhang et al (2017b); Joiner et al (2018)
WUE Approach	–	–	125-130	–	Beer et al (2010); Jasechko et al (2013)
Oxygen isotopes	–	–	120-175	–	Welp et al (2011); Liang et al (2017)
Atmospheric CO ₂ Modelling	–	–	125-165	–	Koffi et al (2012)
Weighted Upscaling	66±4	–	131±8	–	this study

Table 4: The Net Primary Productivity (NPP) and Gross Primary Productivity (GPP) per Plant Functional Type (PFT) and as a global sum (last row). PFTs are ranked, with greatest contribution to global GPP at the top, and are abbreviated according to Tab. 2. Estimates for NPP and GPP are given both in Gt yr^{-1} and as a percentage of the global total. Uncertainties in Gt yr^{-1} are derived from the bootstrap error analysis. The global area occupied by each PFT is given in millions of km^2 .

PFT (-)	Area (mi.km ²)	NPP		GPP	
		(Gt yr ⁻¹)	(%)	(Gt yr ⁻¹)	(%)
TBL	13.8	14.1±1.0	22	37.8±6.0	29
C3	27.8	10.1±1.8	16	23.5±2.9	18
NL	19.9	8.5±0.7	13	22.6±1.9	17
Cr3	12.6	17.1±2.3	26	15.4±1.4	12
SAV	8.0	5.0±2.0	8	8.9±1.1	7
MX	5.1	2.8±0.3	5	8.5±1.0	6
C4	9.8	4.2±0.6	7	5.6±2.0	4
BL	2.1	1.3±0.1	2	3.5±0.1	3
Tu	5.6	0.5±0.1	1	1.6±0.4	1
SH	2.4	0.3±0.1	1	1.2±0.4	1
Cr4	1.4	1.2±0.2	2	1.1±0.1	1
MNL	0.9	0.4±0.1	1	1.1±0.3	1
global	109	66±4	100	131±8	100

Table 5: The climate distribution for global 0.5° cells, organised according to plant functional type (PFT), compared to sites classified with the same vegetation in the EMDI and FLUXNET2015 networks. MAP, MAT, MASW and \overline{LAI}_{max} denote, respectively, Mean Annual Precipitation, Mean Annual Temperature, Mean Annual Shortwave radiation and mean maximum seasonal LAI. In each case we show the mean plus or minus the standard deviation. Values highlighted in bold indicate site means which lie more than two (global) standard deviations from the corresponding global mean. In the left-most column, the PFT is abbreviated according to Tab. 2. Sample sizes for EMDI and FLUXNET2015 are also given in Tab. 2.

PFT	Global				EMDI				FLUXNET2015			
	MAP [mm yr ⁻¹]	MAT [°C]	MASW [W m ⁻²]	\overline{LAI}_{max} [m ² m ⁻²]	MAP [mm yr ⁻¹]	MAT [°C]	MASW [W m ⁻²]	\overline{LAI}_{max} [m ² m ⁻²]	MAP [mm yr ⁻¹]	MAT [°C]	MASW [W m ⁻²]	\overline{LAI}_{max} [m ² m ⁻²]
BL	1130±500	12.6±4.1	160±30	3.3±1.1	990±460	12.6±6.9	150±40	3.7±1.2	830±320	11.5±4.0	160±20	3.9±1.5
NL	510±270	-3.9±5.4	110±20	2.3±1.0	890±500	5.4±4.5	140±30	3.5±1.1	710±390	4.3±4.9	140±30	3.2±1.1
Cr3	830±520	14.8±7.7	170±40	2.4±1.2	840±820	14.0±7.7	180±30	2.3±1.2	750±390	11.4±3.4	160±40	2.5±0.7
Cr4	1040±520	24.1±5.3	210±20	2.5±1.3	1430±950	20.0±9.4	190±30	2.2±1.1	720±180	10.8±2.4	180±30	2.5±0.5
Tu	290±210	-10.7±5.0	100±40	0.7±0.5	810±580	-0.7±5.7	120±30	1.9±1.4	170±10	-6.6±2.6	90±20	0.6±0.1
MX	1060±460	11.2±6.0	160±40	3.5±1.1	990±390	11.6±3.2	180±20	3.9±1.0	820±360	9.4±5.1	150±40	4.1±1.2
TBL	2260±750	25.9±1.7	200±10	5.6±1.2	2200±780	25.8±1.9	210±20	5.7±1.2	1750±850	23.2±2.1	190±30	5.5±1.7
C3	530±530	13.5±8.6	190±40	1.5±1.4	540±270	7.8±4.6	160±30	1.7±0.9	690±340	8.7±6.7	170±40	2.4±1.4
C4	610±410	24.2±4.3	230±20	1.5±1.1	820±390	21.6±5.4	210±20	2.2±1.2	690±420	21.9±3.9	250±10	1.6±1.1
SH	520±190	21.6±5.3	230±20	1.3±0.9	380±160	13.8±6.0	200±20	1.1±0.8	460±260	7.8±10.8	180±50	2.0±1.3
SAV	1250±470	25.4±2.3	220±10	3.4±1.2	600±310	27.4±1.2	220±10	1.7±1.0	780±510	23.3±4.2	230±20	1.7±0.8
MNL	550±210	1.7±2.7	210±20	1.0±0.8	1240±630	13.3±4.5	170±20	3.4±1.3	1010±400	15.1±7.5	200±40	2.9±1.5

966 Figure Captions:

967

968 Fig.1: Global Plant Functional Types (PFTs) based on Goldwijk et al (2011) with modification according
 969 to the distribution of C_4 vegetation (Still et al 2003). Grid-squares are at 0.5° resolution. PFTs are abbrevi-
 970 ated according to Tab. 2. Land without vegetation is black.

971

972 Fig.2: Climate zones covered by the carbon-monitoring sites compared to global vegetated 0.5° landpoints
 973 (represented by dots). Climate is expressed as Mean Annual Temperature versus Mean Annual Precipi-
 974 tation. The delineated climate-biomes follow Whittaker (1975), namely: tundra (Tu), boreal forest (BF),
 975 temperate grassland (TeG), woody shrubland (WoSh), temperate deciduous forest (TeDF), temperate rain
 976 forest (TeRF), tropical deciduous forest (TrDF), tropical rain forest (TrRF), savanna (Sa) and desert (De).

977

978 Fig.3: Density of carbon-monitoring sites within temperature-precipitation space *versus* global 0.5° vege-
 979 tated cells. Panel (a) combines all sites whereas panel (b) presents for individual carbon-monitoring net-
 980 works. Density is recorded as number of locations (or global grid cells) per 500 mm yr^{-1} in mean annual
 981 precipitation and per 10°C in mean annual temperature. In panel (b) the vertical right axis has been scaled
 982 to allow a comparison between EMDI and FLUXNET2015. In panel (a), we annotate 2σ outliers from the
 983 linear fit.

984

985 Fig.4: The mean amplitude of seasonal Leaf Area Index (LAI) *versus* the mean maximum seasonal LAI
 986 (\overline{LAI}_{max}) for the carbon-monitoring networks, compared to global vegetated 0.5° cells (represented by
 987 dots). Amplitude is defined as the difference between the maximum and minimum LAI over the course of
 988 the year at the MODIS 8 day timestep. For both sites and global cells, the plotted amplitudes and maxima
 989 are averages over the period 2002-2008 (incl.). Displacement from the line $y=x$ towards the bottom-right of
 990 the plot indicates a more evergreen habit.

991

992 Fig.5: The mean of w_{cell} averaged across all global 0.5° cells within the same PFT (w_{pft}) for FLUXNET2015
 993 (vertical axis) and EMDI (horizontal axis). The quantity w_{cell} is defined in Eq. 2 using the inverse euclid-
 994 ian distance in climate-canopy space between global cells and relevant (same-PFT) carbon-monitoring sites.
 995 High values of w_{pft} ($\gtrsim 1$) suggest that the network (FLUXNET2015 for GPP and EMDI for NPP) represents
 996 well the vegetation type (“good rep.”). Poorly represented PFTs are towards the bottom-left (“poor rep.”).
 997 PFTs are labelled according to Tab. 2.

998

999 Fig.6: Mean annual temperature *versus* latitude for 0.5° global cells (small dots) compared to sites of the
 1000 same vegetation type within FLUXNET2015 and EMDI (large coloured markers). Panels (a) and (b) show,
 1001 respectively, non-tundra shrub and non-mediterranean needleleaf forest. Note that both axes change their
 1002 range between panels (a) and (b). For non-mediterranean needleleaf forest, there is a very small proportion
 1003 of global cells ($<1\%$) at latitudes $\simeq -50^\circ$ which is not shown.

1004

1005 Fig.7: The modified mean inverse euclidian distance (w_{cell}) calculated for 0.5° global cells, with respect
 1006 to FLUXNET2015, using Eq. 2. High values indicate good representativeness of the climate-canopy space
 1007 by FLUXNET2015 sites of the same PFT as the cell. Non-vegetated areas are black. Crosses denote
 1008 FLUXNET2015 locations.

1009

1010 Fig.8: The modified mean inverse euclidian distance (w_{cell}) calculated for 0.5° global cells, with respect to
 1011 EMDI, using Eq. 2. High values indicate good representativeness of the climate-canopy space by EMDI sites
 1012 of the same PFT as the cell. Non-vegetated areas are black. Crosses denote EMDI locations.

1013

1014 Fig.9: The ranges of Net Primary Productivity (NPP) and Gross Primary Productivity (GPP) for EMDI and
 1015 FLUXNET2015 sites, respectively, expressed in $\text{kg m}^{-2} \text{ yr}^{-1}$. Range is defined as mean-SD to mean+SD,

1016 where SD is the standard deviation. Sites are grouped by PFT which is abbreviated according to Tab. 2. The
 1017 filled circle is the mean GPP of each PFT multiplied by a reference Carbon-Use Efficiency ($CUE=NPP/GPP$)
 1018 of 0.45.

1019
 1020 Fig.10: Estimates of Net Primary Productivity (NPP; upper panel) and Gross Primary Productivity (GPP;
 1021 lower panel) for PFTs which are well represented in terms of the original sample size (abbreviated according
 1022 to Tab. 2). To compare different vegetation types, primary productivity is expressed per unit area (kg
 1023 $m^{-2} yr^{-1}$) by averaging over all global grid cells of the corresponding PFT. The sample size used in the
 1024 weighted global calculation of GPP (FLUXNET2015 sites) or NPP (EMDI sites) is decreased systematically
 1025 from approximately the maximum number of available sites to a minimum of 3. Sample selection is based
 1026 on a bootstrap method without replacement. Markers represent the mean across the bootstrap samples.
 1027 Errorbars represent the standard deviation from the mean and reveal the uncertainty in GPP and NPP
 1028 owing to limited sampling. For clarity, markers have been slightly offset from one another horizontally.

1029
 1030 Fig.11: The GPP ratio versus NPP ratio for global vegetated 0.5° landpoints, shown separately for each PFT
 1031 and labelled according to Tab. 2. The ratio equals the primary productivity simulated within the interpolated
 1032 climate-canopy space, by the land-surface model JULES-SF, divided by the primary productivity simulated
 1033 for the global climate-canopy space. Interpolated space depends on the distribution of carbon-monitoring
 1034 sites for FLUXNET2015 and EMDI (§4.2). Similarity between the interpolated and global space should
 1035 yield a ratio close to unity (dot-dash line). The dashed line ($y=x$) represents similar biases for NPP and
 1036 GPP.

Figure 1: Global Plant Functional Types (PFTs) based on Goldwijk et al (2011) with modification according to the distribution of C_4 vegetation (Still et al 2003). Grid-squares are at 0.5° resolution. PFTs are abbreviated according to Tab. 2. Land without vegetation is black.

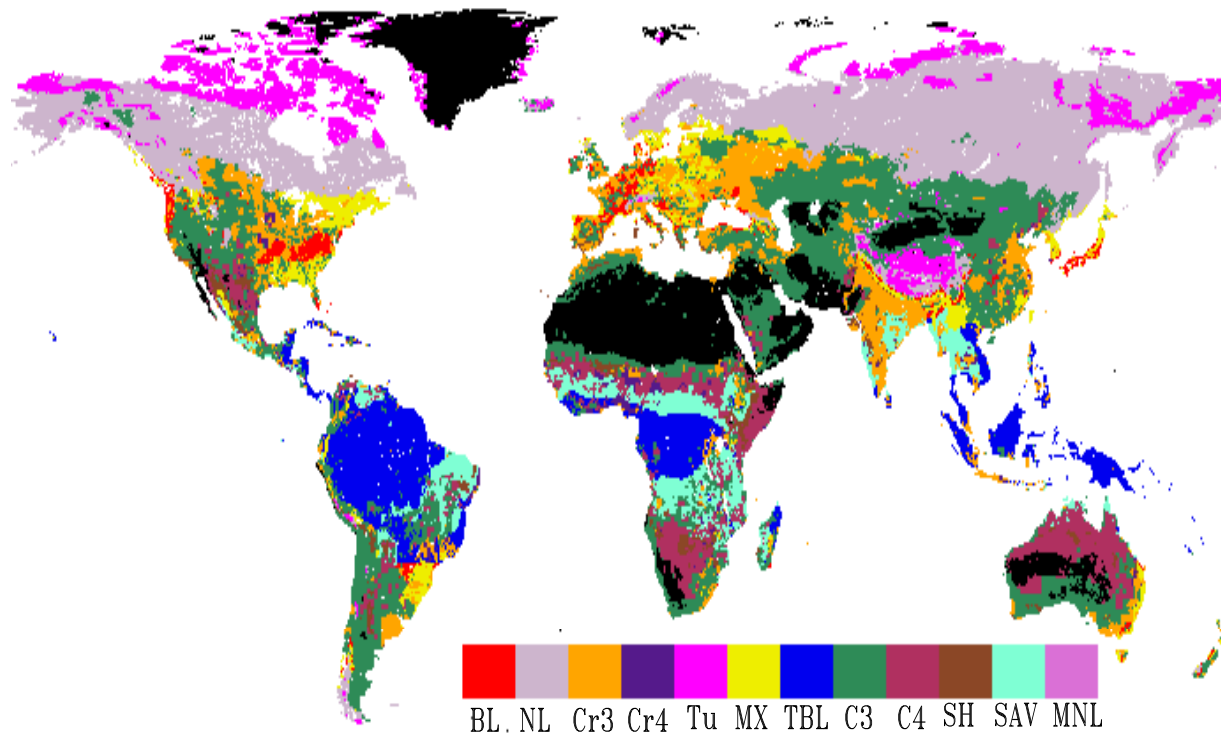


Figure 2: Climate zones covered by the carbon-monitoring sites compared to global vegetated 0.5° land-points (represented by dots). Climate is expressed as Mean Annual Temperature versus Mean Annual Precipitation. The delineated climate-biomes follow Whittaker (1975), namely: tundra (Tu), boreal forest (BF), temperate grassland (TeG), woody shrubland (WoSh), temperate deciduous forest (TeDF), temperate rain forest (TeRF), tropical deciduous forest (TrDF), tropical rain forest (TrRF), savanna (Sa) and desert (De).

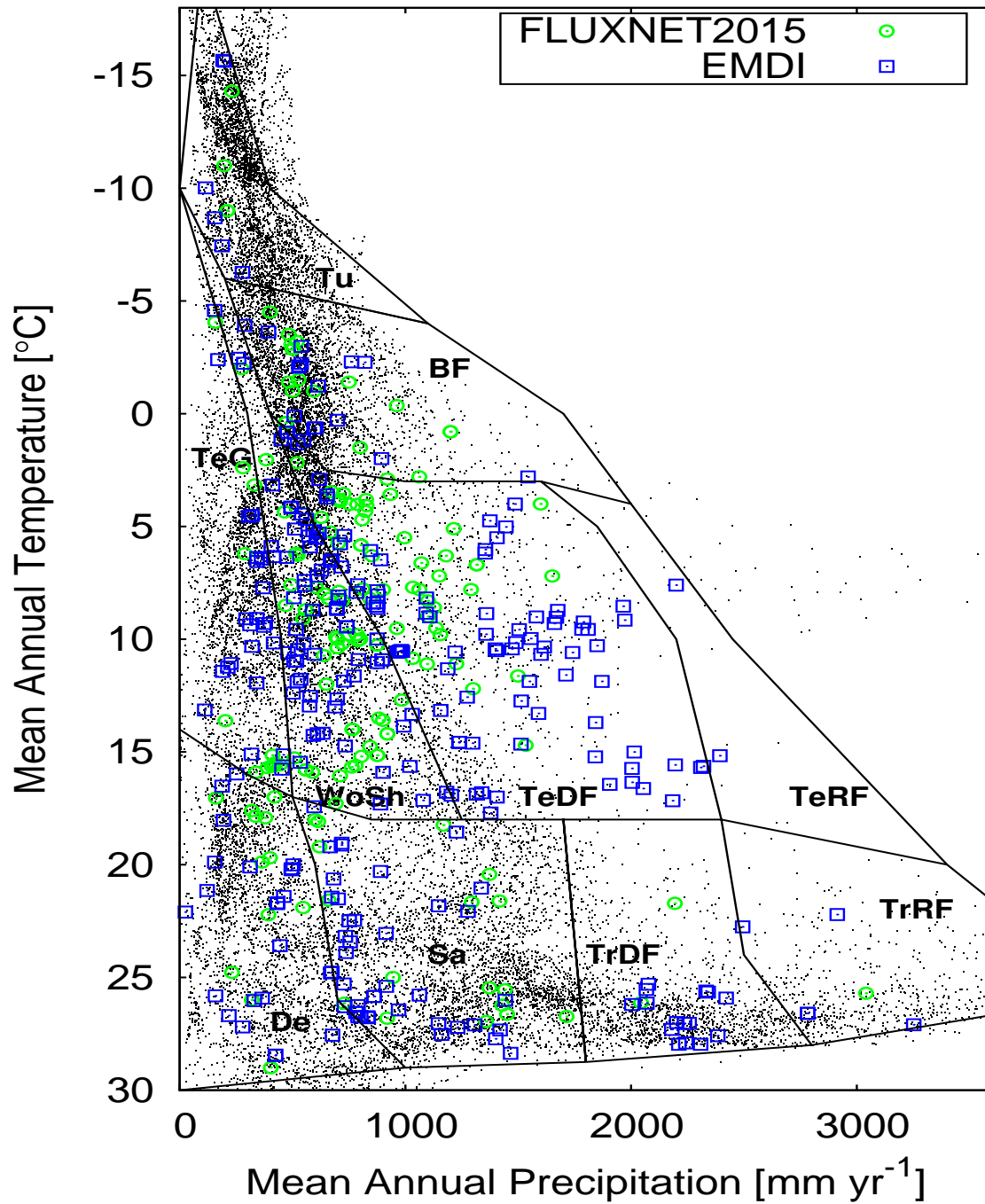


Figure 3: Density of carbon-monitoring sites within temperature-precipitation space *versus* global 0.5° vegetated cells. Panel (a) combines all sites whereas panel (b) presents for individual carbon-monitoring networks. Density is recorded as number of locations (or global grid cells) per 500 mm yr^{-1} in mean annual precipitation and per 10°C in mean annual temperature. In panel (b) the vertical right axis has been scaled to allow a comparison between EMDI and FLUXNET2015. In panel (a), we annotate 2σ outliers from the linear fit.

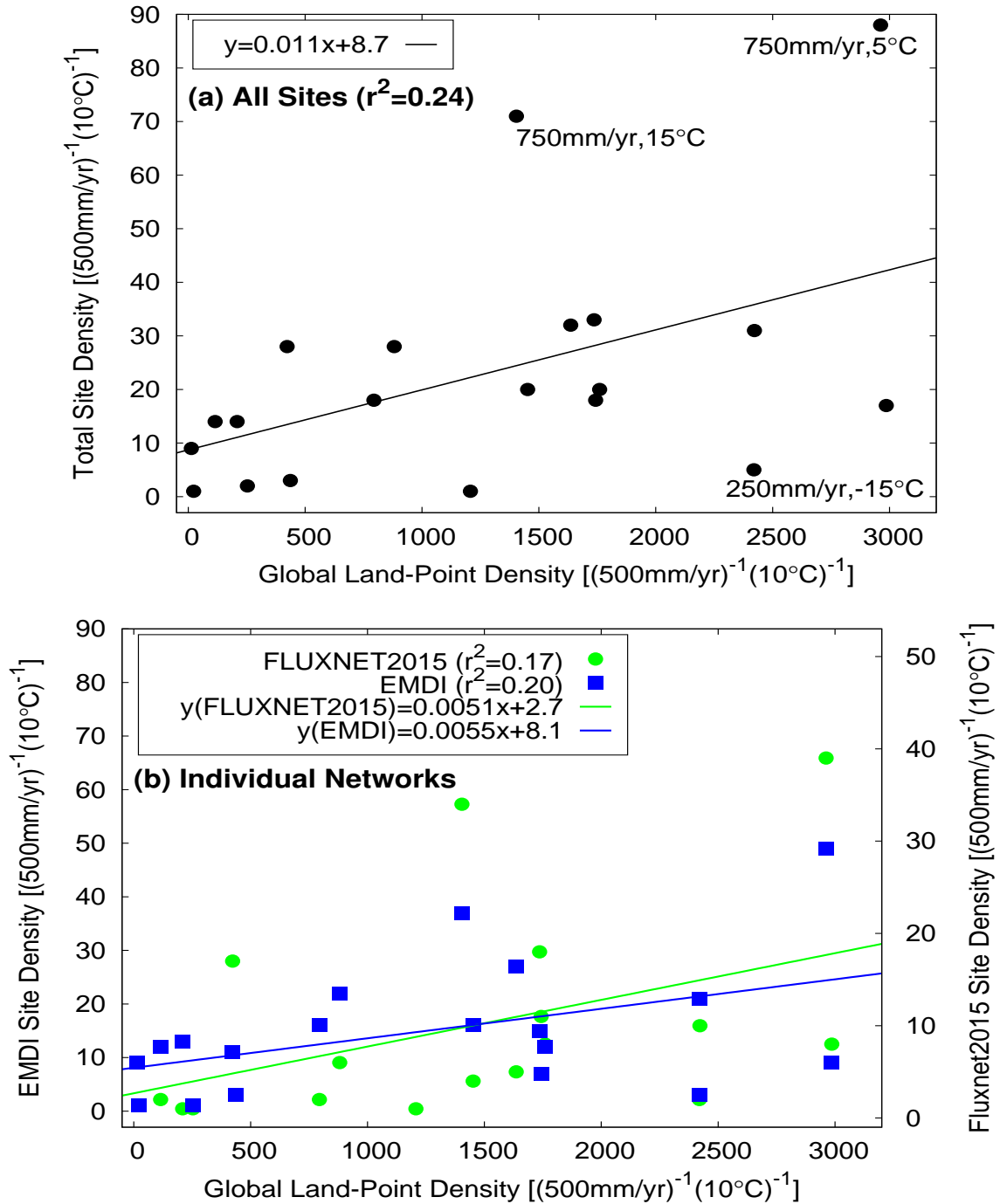


Figure 4: The mean amplitude of seasonal Leaf Area Index (LAI) *versus* the mean maximum seasonal LAI (\overline{LAI}_{max}) for the carbon-monitoring networks, compared to global vegetated 0.5° cells (represented by dots). Amplitude is defined as the difference between the maximum and minimum LAI over the course of the year at the MODIS 8 day timestep. For both sites and global cells, the plotted amplitudes and maxima are averages over the period 2002-2008 (incl.). Displacement from the line $y=x$ towards the bottom-right of the plot indicates a more evergreen habit.

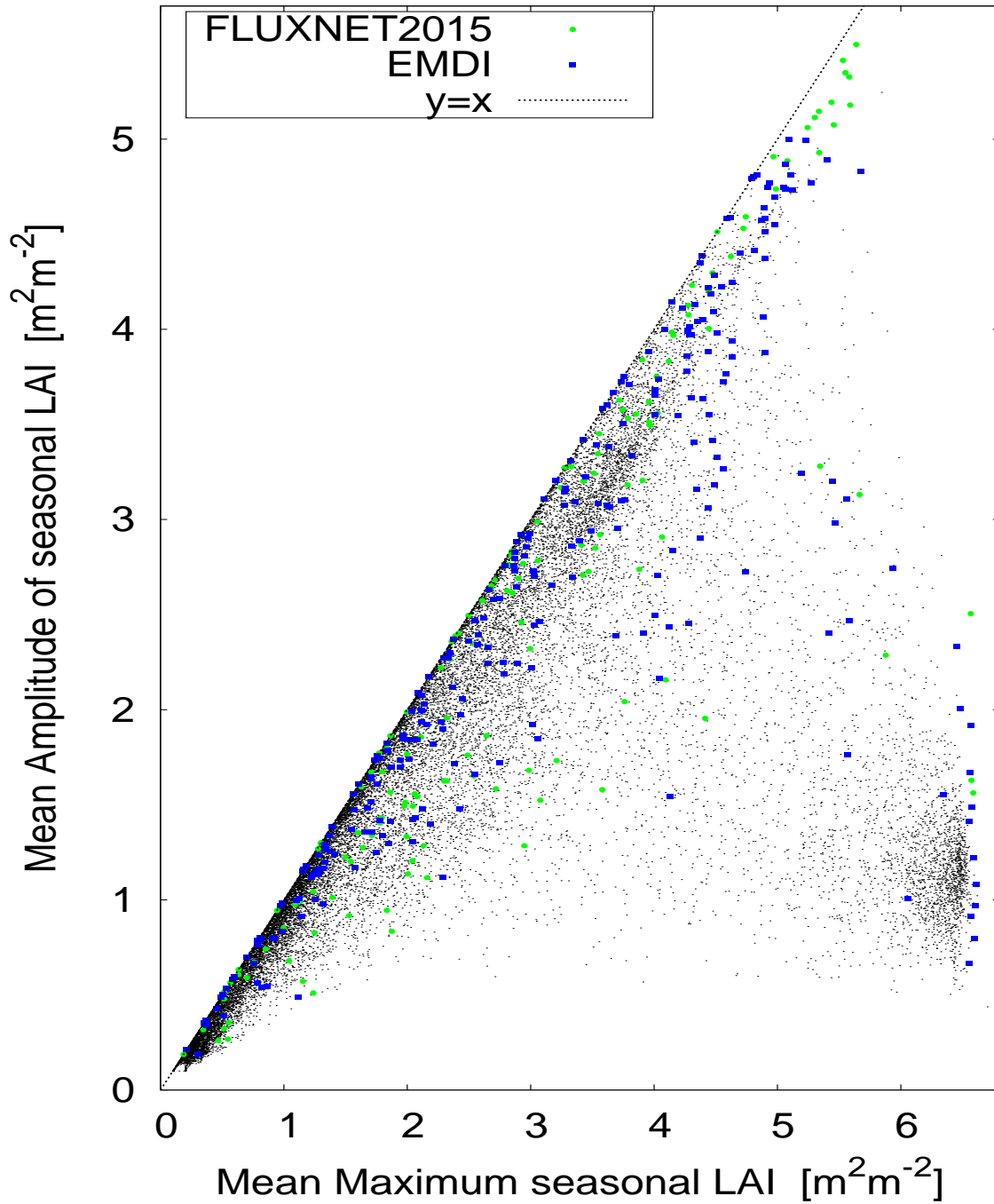


Figure 5: The mean of w_{cell} averaged across all global 0.5° cells within the same PFT (w_{pft}) for FLUXNET2015 (vertical axis) and EMDI (horizontal axis). The quantity w_{cell} is defined in Eq. 2 using the inverse euclidian distance in climate-canopy space between global cells and relevant (same-PFT) carbon-monitoring sites. High values of w_{pft} ($\gtrsim 1$) suggest that the network (FLUXNET2015 for GPP and EMDI for NPP) represents well the vegetation type (“good rep.”). Poorly represented PFTs are towards the bottom-left (“poor rep.”). PFTs are labelled according to Tab. 2.

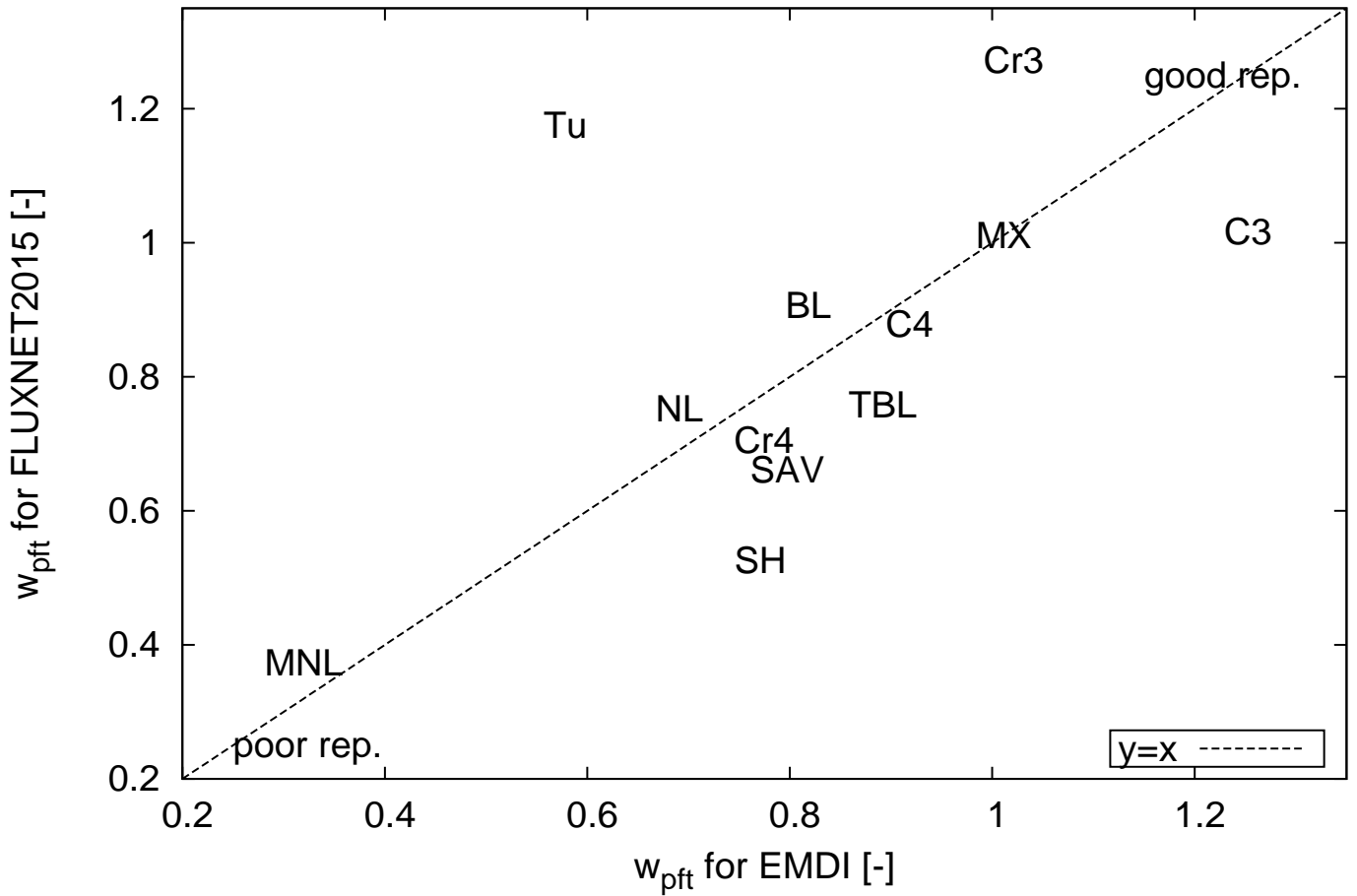


Figure 6: Mean annual temperature *versus* latitude for 0.5° global cells (small dots) compared to sites of the same vegetation type within FLUXNET2015 and EMDI (large coloured markers). Panels (a) and (b) show, respectively, non-tundra shrub and non-mediterranean needleleaf forest. Note that both axes change their range between panels (a) and (b). For non-mediterranean needleleaf forest, there is a very small proportion of global cells ($<1\%$) at latitudes $\simeq -50^\circ$ which is not shown.

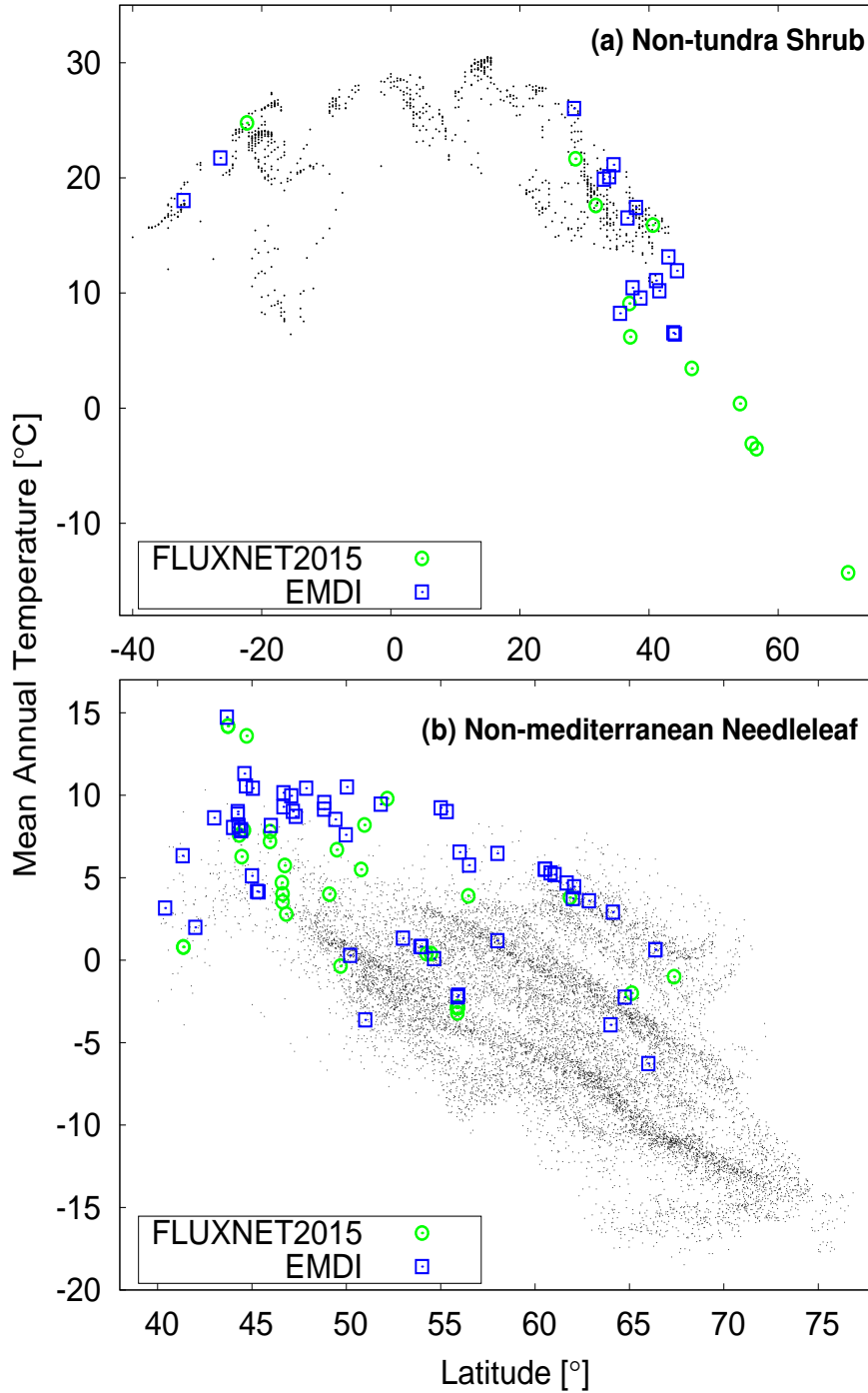


Figure 7: The modified mean inverse euclidian distance (w_{cell}) calculated for 0.5° global cells, with respect to FLUXNET2015, using Eq. 2. High values indicate good representativeness of the climate-canopy space by FLUXNET2015 sites of the same PFT as the cell. Non-vegetated areas are black. Crosses denote FLUXNET2015 locations.

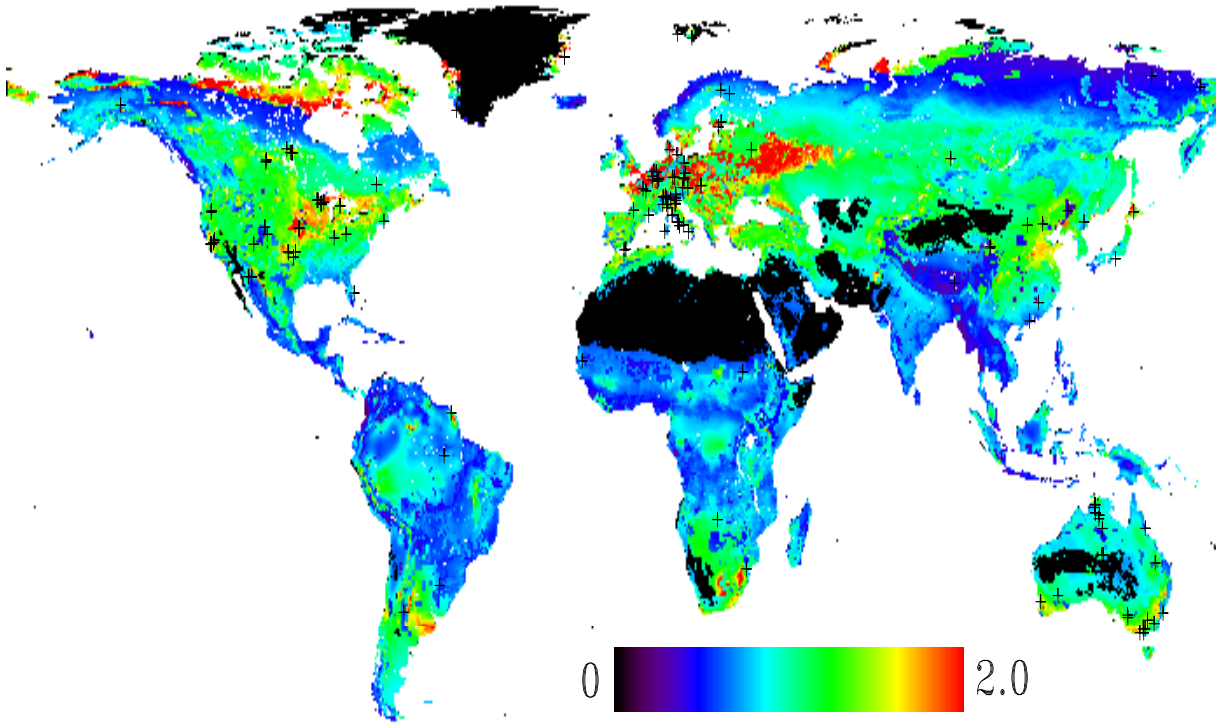


Figure 8: The modified mean inverse euclidian distance (w_{cell}) calculated for 0.5° global cells, with respect to EMDI, using Eq. 2. High values indicate good representativeness of the climate-canopy space by EMDI sites of the same PFT as the cell. Non-vegetated areas are black. Crosses denote EMDI locations.

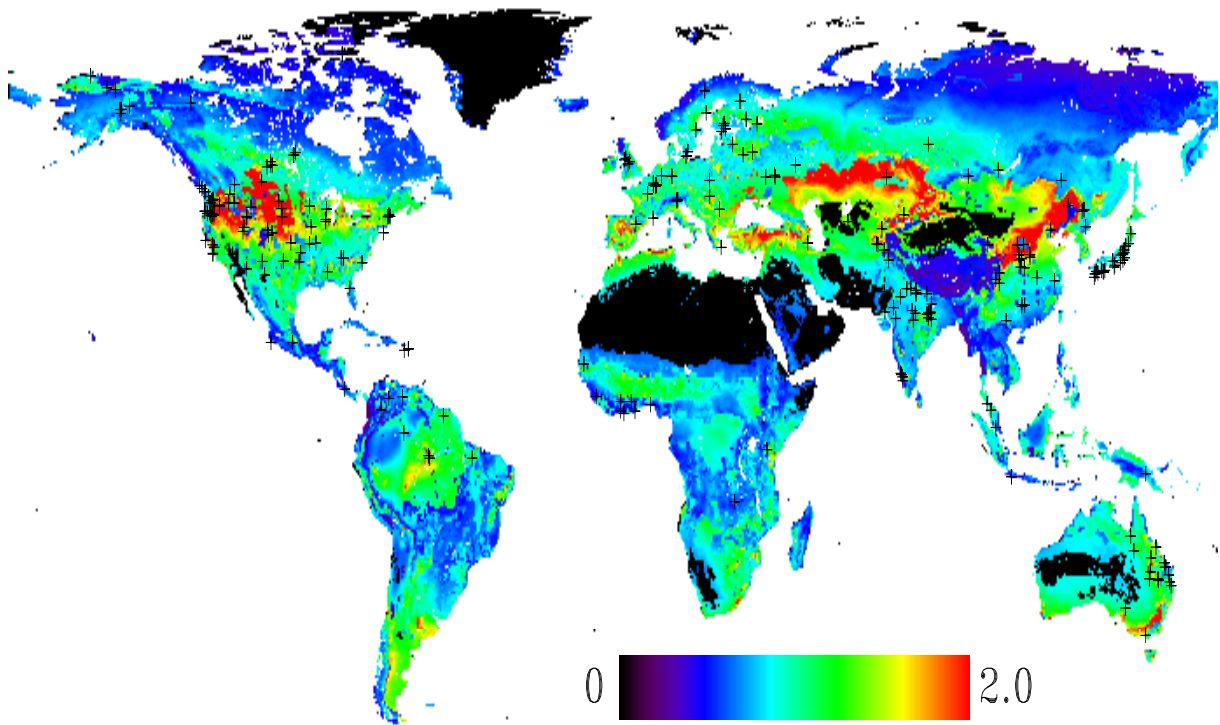


Figure 9: The ranges of Net Primary Productivity (NPP) and Gross Primary Productivity (GPP) for EMDI and FLUXNET2015 sites, respectively, expressed in $\text{kg m}^{-2} \text{yr}^{-1}$. Range is defined as mean-SD to mean+SD, where SD is the standard deviation. Sites are grouped by PFT which is abbreviated according to Tab. 2. The filled circle is the mean GPP of each PFT multiplied by a reference Carbon-Use Efficiency (CUE=NPP/GPP) of 0.45.

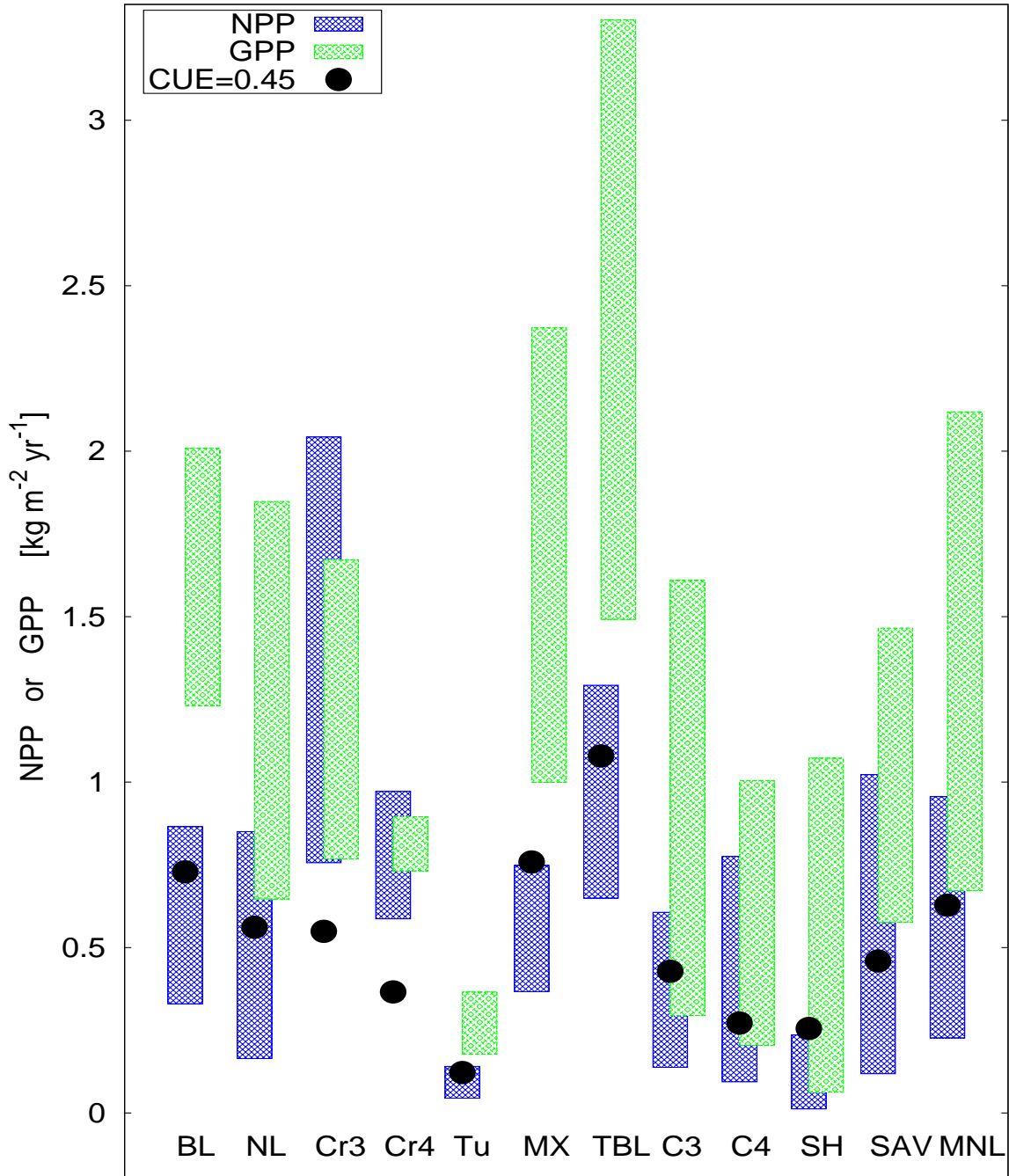


Figure 10: Estimates of Net Primary Productivity (NPP; upper panel) and Gross Primary Productivity (GPP; lower panel) for PFTs which are well represented in terms of the original sample size (abbreviated according to Tab. 2). To compare different vegetation types, primary productivity is expressed per unit area ($\text{kg m}^{-2} \text{yr}^{-1}$) by averaging over all global grid cells of the corresponding PFT. The sample size used in the weighted global calculation of GPP (FLUXNET2015 sites) or NPP (EMDI sites) is decreased systematically from approximately the maximum number of available sites to a minimum of 3. Sample selection is based on a bootstrap method without replacement. Markers represent the mean across the bootstrap samples. Errorbars represent the standard deviation from the mean and reveal the uncertainty in GPP and NPP owing to limited sampling. For clarity, markers have been slightly offset from one another horizontally.

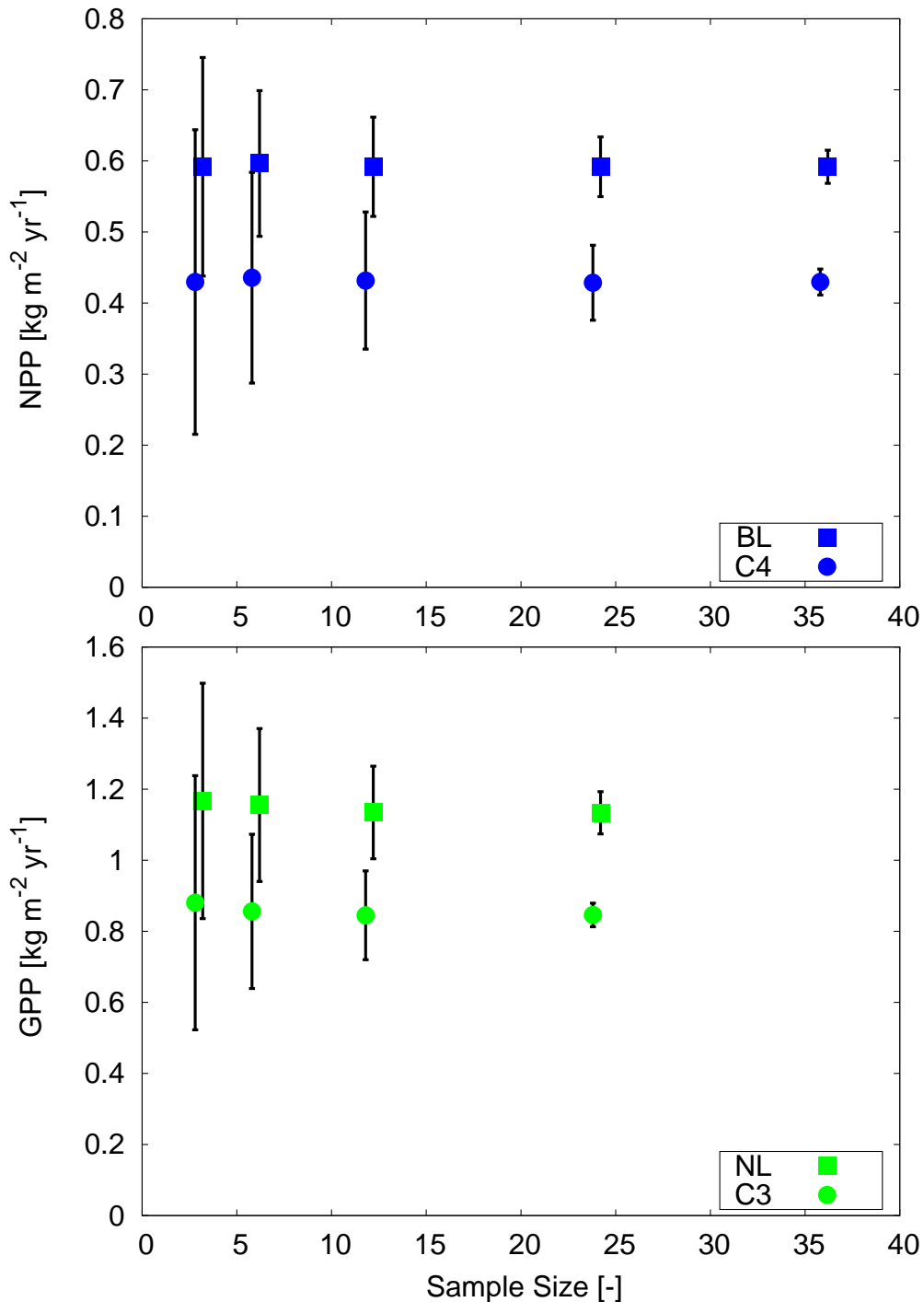


Figure 11: The GPP ratio versus NPP ratio for global vegetated 0.5° landpoints, shown separately for each PFT and labelled according to Tab. 2. The ratio equals the primary productivity simulated within the interpolated climate-canopy space, by the land-surface model JULES-SF, divided by the primary productivity simulated for the global climate-canopy space. Interpolated space depends on the distribution of carbon-monitoring sites for FLUXNET2015 and EMDI (§4.2). Similarity between the interpolated and global space should yield a ratio close to unity (dot-dash line). The dashed line ($y=x$) represents similar biases for NPP and GPP.

