

Precipitation variations recorded in tree rings from the upper Salween and Brahmaputra River valleys, China

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ABSTRACT: The spatio-temporal variations of precipitation in the Tibetan Plateau (TP) have vital impacts on fresh water resources, and therefore the sustainable development, over a large part of Asia. Extending instrumental records of precipitation in the regional trans-boundary river basins is critical for resource managers and policy-makers to manage finite water resources in the region under climate change. Here we reconstruct precipitation variations from prior September to current June ($r^2 = 43\%$, 1600-2016 CE) in the upper Salween River valley and from prior July to current April ($r^2 = 44\%$, 1650-2016 CE) in the upper Brahmaputra River valley based on tree ring width variations in conifer tree rings from the Salween and Brahmaputra River basins. Correlation analysis reveals precipitation variations in the two river basins to co-vary over the past 367 years, and a wetting trend is revealed from the 1970s. Spatial correlation analyses with gridded precipitation data extends the reconstruction across the southern Tibetan Plateau. The first eigenvector of our ring

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22 width chronologies, and that of other precipitation-sensitive tree ring records from nearby areas
23 successfully captures instrumental streamflow variability in the two river basins. Over the past 3
24 decades, rapid socio-economic development and population growth in southern and southeastern
25 Asia has pressured the water resource supply of the Tibetan Plateau. Our precipitation
26 reconstructions provide valuable insight for strategies to manage these vital regional water resources

27 **Keywords:** Tree rings; Precipitation reconstruction; Salween River; Brahmaputra River; Water
28 resources management; Tibetan Plateau

29 **1. Introduction**

30 Water resources in the trans-boundary rivers of the southern Tibetan Plateau (TP), are known
31 to be highly sensitive to climate change (Kang et al., 2010; Miller et al., 2012; Cook et al., 2013;
32 Zhang et al., 2013; Li et al., 2013; Lutz et al., 2014; Luo et al., 2014) even based on relatively short
33 (30-60 year) instrumental records. In this important agricultural area runoff is vital for the
34 agricultural water supply, electricity generation and the wider functioning of the river basin (Archer
35 and Fowler, 2004; Bookhagen and Burbank, 2010; Immerzeel et al., 2010; Nepal and Shrestha,
36 2015). Two important trans-boundary rivers, the Salween and Brahmaputra play an important role
37 in supplying fresh water for China and neighboring countries. Changes in river flow can have
38 potentially extensive geopolitical consequences, because hydrological changes have a profound
39 impact on human settlements and ecosystems in the region. (Gain and Wada, 2014; He et al., 2014;
40 Nepal and Shrestha, 2015). It is important to assess past variability in TP river flow in order to
41 improve our understanding of the wider Asian hydroclimate issues relevant to this region. Our
42 understanding of past climate variability within the trans-boundary river basins is profoundly

43 limited by the short instrumental climate and streamflow records and sparse spatial distribution of
44 weather and streamflow gauge stations in the Tibetan Plateau.

45 Climate-sensitive tree-ring time series can provide additional data for paleoenvironmental
46 analysis via climate reconstructions (Fritts, 1976). In recent years, many hydroclimate-sensitive
47 tree-ring chronologies have been developed in the trans-boundary river basins of the TP which
48 record both the regional and large-scale hydroclimatic variability that relates to the long-term
49 climate history of interest and importance (Fang et al. 2010; Buckley et al., 2010; D'Arrigo et al.,
50 2011; Sano et al., 2012; Gou et al. 2013; Cook et al. 2013; Zhang et al., 2015; Xu et al., 2015;
51 Hochreuther et al. 2016; Griebinger et al. 2017; He et al. 2017; Xiao et al., 2017). However, there
52 are still many source areas that lack precipitation data and that can only be explored via climate
53 reconstruction. Due to the high spatio temporal variability in regional precipitation is it important
54 to extend the spatial range of tree ring based climate reconstructions to provide the regionally
55 resolved long term picture of hydroclimate needed. Of the TP source areas, the Salween and
56 Brahmaputra Rivers are two of the most important geographical data gaps. Expanding the
57 geographical range of dendroclimate reconstructions also calls for an investigation of new target
58 species. Most of the hydroclimate reconstructions in the southern TP are based on the tree-ring
59 widths of juniper trees (Liu et al., 2011; Zhang et al., 2015; He et al. 2017; Xiao et al., 2017). The
60 cold-temperate coniferous forests that cover the subalpine vegetation zone of the southern TP from
61 3500 m a.s.l. up to the upper treeline are co-dominated by Juniper (*Juniperus tibetica*), fir (*Abies*
62 *georgei*), spruce (*Picea likiangensis*) and larch species (*Larix griffithiana*). Juniper trees are mainly
63 distributed near the upper tree lines with low canopy density, while larch and spruce are mainly

64 distributed in river valleys and sub-alpine areas with relatively high canopy density. Here we explore
65 the tree ring reconstruction potential in the coniferous forest belt but extend our target species to
66 include both *Juniperus tibetica* and *Larix griffithiana*, within the upper Salween and Brahmaputra
67 River basins. Inclusion of the coniferous forest species will increase the range and spatial extent of
68 tree ring reconstruction potential for the TP region. We examine the links between precipitation and
69 observed streamflow, and explore mechanisms for streamflow variation control against a
70 background of changing climate.

71 **2. Materials and methods**

72 **2.1 Study region and tree-ring data**

73 The Salween and the Brahmaputra Rivers originate from the Tanggula and Himalayan
74 mountains in the southern TP, respectively. Due to the influences of the Asian monsoon,
75 precipitation in the southern TP plays a very important role in the Asian hydrological cycle (Yang
76 et al., 2014). Monsoonal air masses from the Bay of Bengal bring abundant moisture to the southern
77 TP during the summer (JJA) months, whereas continental air masses (in the Asian winter monsoon)
78 lead to dry and cold conditions in the winter (Bräuning and Mantwill, 2004). According to the
79 instrumental climate data, from the Biru climate station (Fig. 1) in the valley of the upper Salween
80 River, the annual mean temperature of the region is 3.7 °C, with the lowest monthly mean
81 temperature of -6.6 °C in January and highest monthly mean temperature of 12.6 °C in July (Fig.
82 2). The annual total precipitation is 598.7 mm, with precipitation during the warm season (May to
83 September) accounting for 87% of the total annual precipitation. At the Jiali meteorological station,
84 near the valley of the upper Brahmaputra River, the annual mean temperature is -0.3 °C, with the

85 lowest monthly mean temperature of $-11.0\text{ }^{\circ}\text{C}$ in January and the highest monthly mean temperature
86 of $8.9\text{ }^{\circ}\text{C}$ in July. Annual total precipitation is 721.5 mm , with precipitation during the warm season
87 (May to September) accounting for 83% of the total annual precipitation (Fig. 2). The two local
88 climate stations reveal the annual precipitation cycle of the upper Salween and Brahmaputra River
89 valleys to be dominated by the Asian summer monsoon (Bräuning and Mantwill, 2004; Su et al.,
90 2016)

91 *Juniper* (*Juniperus tibetica*) were sampled at three sites (known as Biribai 1-3, hereafter BRB1,
92 BRB2 and BRB3) in the valley of upper Salween River. Larch (*Larix griffithiana*) were sampled at
93 the Jialiluo (hereafter JLL) site in the valley of upper Brahmaputra River (Fig. 1). Both sites are
94 relatively undisturbed by management or other human activity. Trees with the largest diameters, and
95 cylindrical stems, without obvious signs of injury, disease or human disturbance, were selected for
96 sampling. Two increment cores were extracted, coring at breast height from each tree, along
97 different sides of each tree, parallel to slope angle, using standard dendrochronological methods
98 (Stokes and Smiley, 1968). All sampling was carried out in open stands and with trees growing on
99 thin or rocky soils.

100 Core samples were air dried and mounted and the surface of each core sanded to reveal the ring
101 growth. Ring widths were measured via a LINTAB 6 measuring system with a resolution of 0.001
102 mm, and the quality of cross-dating checked using the dendrochronological programme COFECHA
103 (Holmes, 1983). Cross-dated tree ring width series were standardized using the program ARSTAN
104 (Cook, 1985) to remove non-climatic trends. Negative exponential functions were used to fit the
105 growth trend for most ring-width series. This conservative method allowed the average age trend in

106 the time series to be removed, whilst applying a conservative approach to maximise the retention of
107 common climatic information. Series whose growth trends could not be described as negative
108 exponential were standardized via the Friedman super-smoothing method (e.g. Gou et al., 2013).
109 Since a high between-tree correlation ($r > 0.6$) was found at the three sites, based on the statistical
110 results of the COFECHA program, all detrended data from the individual juniper trees were
111 combined to develop a longer and replicated regional chronology (at the BRB sites) using a bi-
112 weight robust mean. 129 cores were ultimately used to construct the BRB chronology, of which
113 only two were detrended with the Friedman super-smoothing method (Fig. 3). In the JLL site, 38
114 larch time series were detrended with negative exponential functions. In order to capture more low
115 frequency signals, we worked with Arstan's STD chronologies for the statistical analyses (see Cook,
116 1985 for a discussion of Arstan chronology types).

117 **2.2 Dendroclimatic analyses**

118 Monthly average temperature and precipitation data were obtained from the Biru (31.48°N,
119 93.78°E, 3941 m a.l.s., 1979-2016) and Jiali (30.67°N, 93.28°E, 4490 m a.l.s., 1965-2016) weather
120 stations (Fig. 1; Table 1). Simple linear correlations between the standard tree ring width
121 chronologies (BRB and JLL) and instrumental climate data were investigated with a response
122 function approach (Biondi and Waikul, 2004), exploring correlations with monthly climate averages
123 from July of the previous growth year through September of the current year. **Furthermore, in order**
124 **to select the most appropriate predictands for climate reconstructions, we also screened the standard**
125 **chronologies via correlation analysis with seasonal climate combinations.**

126 Our simple linear response function analysis revealed strong negative correlations with May-

127 June temperatures from the Biru station and positive correlations for total (previous) September-
128 (current) June precipitation and total (previous) July- (current) April precipitation at the Jiali station
129 (Fig. 4). This is logical as it indicates that warm temperatures in the current year accelerate the pre-
130 monsoon drought, and on the contrary, high rainfall from winter and concurrent early spring satisfies
131 the water demand of the earlywood ring growth. Further, Pearson correlation analysis revealed
132 strongest correlations with total (previous) September- (current) June Biru precipitation and total
133 (previous) July- (current) April Jiali precipitation. Based on the significant correlations between
134 seasonal combinations of precipitation and the ring width chronologies, we established linear
135 regression models for the precipitation reconstructions. Due to the relatively short climate
136 observation data in the study area, the leave-one-out cross-validation method (Michaelsen, 1987)
137 was used to assess the reliability of the reconstruction models.

138 To indicate that our reconstructions reflected regional-scale precipitation variations, we
139 explored correlations between the tree ring time series and gridded climate data (the CRU
140 precipitation dataset) (Harris et al., 2014). In order to explore possible driving forces behind regional
141 precipitation variability we calculated the spatial correlations between ring with chronologies and a
142 sea surface temperature data set (HadISST, Rayner et al., 2003). To assess the impact of regional
143 precipitation on runoff, we used principal component analysis (PCA) to extract the common signals
144 from our chronologies and a wider network of precipitation-sensitive sites in southern TP.

145 **3. Results**

146 **3.1 Tree ring width chronologies and their relationship with climate factors**

147 The results of a statistical analysis using simple linear correlation to explore the tree-ring width

148 chronologies of juniper and larch are presented in Table 2. The mean segment length of the
149 chronologies are 175 years (BRB) and 228 years (JLL), respectively. Based on the subsample signal
150 strength ($SSS \geq 0.85$, Buras, 2017) and sample replication (≥ 3 trees), the chronologies are
151 considered to have an acceptably high signal to noise ratios after 1600 CE for BRB and 1650 CE
152 for JLL. The juniper and larch chronologies showed relatively low year-to-year variations (MS,
153 range from 0.19 to 0.23), which is typical for conifers trees growing in the relatively humid
154 environments of river valleys (Fritts, 1976). High correlations with the master series, variance in
155 the first eigenvector and SSS indicate that the juniper and larch chronologies contain strong common
156 signals and are thus suitable for the dendroclimatic analysis (Table 2).

157 The BRB ring-width chronologies are significantly positively correlated with precipitation in
158 the previous September, and concurrent May and June, and negatively correlated with temperature
159 from concurrent year May to June (Fig. 4). These relationships are similar to results from previous
160 studies (Zhang et al., 2015; He, 2018), and indicate that the drought forcing of the pre-monsoon
161 season is the primary climatic factor that controls radial juniper growth, analogous with other studies
162 from the southern TP. After calculating the correlations between the BRB ring-width chronology
163 and different seasonal climate combinations, the highest correlation between tree rings and seasonal
164 precipitation was found in September–June ($r = 0.655$; $p < 0.001$), correlations between tree-ring
165 widths and total July–June precipitation were also high ($r = 0.608$; $p < 0.001$), suggesting that tree
166 ring widths of the upper Salween River juniper provide a reliable indicator of precipitation changes
167 in the valley.

168 For the JLL site, ring-width indices of the larch trees are significantly positively correlated with

169 precipitation in prior August and September, and negatively correlated with temperature from prior
170 November to December (Fig. 4). The climate correlations in the current year are much lower for at
171 the JLL site but correlations with previous year August-September precipitation are high. Following
172 the calculation of single month correlations, correlations between the ring-width indices and
173 different seasonal combinations were explored. The highest correlation ($r = 0.664$; $p < 0.001$) was
174 found between JLL ring widths and July (previous) to April (concurrent year) precipitation. Based
175 on the above climate response analysis, we hypothesise that the limiting factor to the annual ring
176 width growth of larch at the site is cumulative precipitation amount from the summer prior to ring
177 growth, through the summer monsoon season to winter and current early spring.

178 **3.2 Precipitation reconstruction for the valley of the upper Salween River**

179 The highest simple linear correlation ($r = 0.655$) is revealed between the BRB chronology and
180 total (previous) September- (concurrent) June precipitation. Based on the results of the correlation
181 analysis for the valley of the upper Salween River, the total precipitation from prior September to
182 June of the growth year was chosen for reconstruction. The regression model is shown below:

$$183 \quad P_{BRB} = 167.73BRB + 163.61 \quad (1)$$

184 The P_{BRB} regression model is the total (previous) September- (concurrent) June precipitation
185 (61.2% of the total annual precipitation) and BRB is the tree-ring width index for current year (t).
186 During the common period for which we have both tree ring and precipitation data (1979–2016),
187 the reconstruction accounted for 42.9% of the explained variance in the precipitation data (Fig. 5A).
188 As shown in Table 3, product means test statistics and the sign test were found to be statistically
189 significant, and the reduction of error (RE) to be positive.

190 Based on the linear regression model, we developed a total (previous) September- (concurrent)
191 June precipitation reconstruction from 1600 to 2016 CE for the valley of the upper Salween River
192 (Fig. 6). The long-term mean of total September-June precipitation is 327.9 mm. A 31-year fast
193 Fourier transformation was employed to highlight the decadal-frequency variation of the
194 reconstruction. Five periods (> 10 years), CE 1600-1611, 1708-1720, 1755-1794, 1809-1844 and
195 1899-1981, showed precipitation levels lower than the 417-year mean, reflecting relatively humid
196 conditions. While notably wet periods occur in the reconstruction at 1612-1645, 1654-1707, 1721-
197 1754, 1795-1808, 1845-1898 and 1982-2016.

198 **3.4 Precipitation reconstruction for the valley of upper Brahmaputra River**

199 Based on the results of the correlation analysis for the upper basin of Brahmaputra River, the
200 total precipitation from prior July to April of the growth year was chosen for reconstruction. The
201 regression model is shown below:

$$202 \quad P_{JLL} = 207.34JLL + 273.39 \quad (2)$$

203 **The P_{JLL} regression model include** the total precipitation of prior July to concurrent April (a
204 time period in which 69.2% of the total annual precipitation falls) and JLL is the tree-ring width
205 index for current year (t). The trends also show that actual and reconstructed values match well
206 during the common period from 1965 to 2016 (Fig. 5B), and the explained variance of the full model
207 is 44.1%. The leave-one-out cross-validation test also shows that the precipitation reconstruction
208 model for the valley of upper Brahmaputra River is time stable (Table 3). Based on the linear
209 regression model, we reconstructed total July- April precipitation for the valley of upper
210 Brahmaputra River from 1650 to 2016 (Fig. 5). The long-term mean of total July- April precipitation

211 is 476.4 mm. The 31-year fast Fourier transformation show several significant dry/wet periods: CE
212 1650-1622, 1691-1711, 1762-1786, 1807-1830, 1842-1851, 1875-1898, 1908-1937 and 1944-1981
213 were relatively dry and while 1663-1690, 1712-1761, 1787-1806, 1831-1841, 1852-1874 and 1982-
214 2016 were relatively wet. In the recent 30 years, a strong wetting trend is occurred at the valley of
215 the upper Salween and Brahmaputra River.

216 **4. Discussion**

217 **4.1 Climate–growth relationships**

218 The climate response analysis indicated that precipitation variation was a major limiting factor
219 for conifer growth in the upper Salween and Brahmaputra River valleys. This relationship resembles
220 other findings in surrounding areas (He et al., 2013; Yang et al., 2014b; Chen et al., 2017) and
221 indicates the importance of previous summer precipitation and winter-early spring snowfall to
222 conifer growth. Conifer growth during the early phase of the growing season is largely supplied by
223 snowmelt from the high mountain, the same water source that recharges soil moisture and
224 streamflow during this period. Dry conditions before the onset of the monsoon season precipitation
225 stress the trees and reduce growth rates and streamflow. Later in the summer, enough monsoonal
226 precipitation is available to satisfy the water demand for tree growth.

227 Significant negative correlations between May-June temperature and juniper growth were found.
228 Due to surface exposure and low canopy density, high temperatures during May-June enhance
229 evapotranspiration and reduce soil moisture and result in lower radial growth of juniper trees (Zhang
230 et al., 2015). Due to the relatively higher ground cover and canopy density of larch forest the high
231 temperature has relatively little effect on the evaporation of soil water and causes larch to have a

232 relatively low response to temperature during the growing season. Low correlations between tree-
233 ring series of larch and temperature imply that temperature has no little significant influence on
234 larch growth at these sites. Increased November-December temperatures may mean less freezing
235 damage to tree trunk and roots, and thus less growth limitation in the larch trees sampled.

236 **4.2 Regional- to large-scale comparison**

237 The valleys of the upper Salween and Brahmaputra River are located in a similar climate zone,
238 and the straight distance between the BRB and JLL sites is about 40 kilometers. Therefore, despite
239 being different species, it can be expected that the main factor limiting tree growth (precipitation)
240 on the thin or rocky soils of the river valleys should be consistent. Correlations between the two
241 precipitation reconstructions, calculated over the 1650–2016 common period are $r=0.33$, and
242 increase to $r=0.57$ after 31-yr smoothing, and the first principal component (PC1) accounts for 66%
243 of the total variance. Spatial correlations with gridded precipitation data showed similar fields for
244 the two precipitation reconstructions and PC1, although correlations were lower for the BRB
245 precipitation reconstruction (Fig. 7). Significant positive correlations with southern TP gridded
246 precipitation data were found, with the highest correlations occurring in the appropriate valleys. The
247 results confirm that our tree-ring records from juniper and larch capture the precipitation variability
248 of the river valleys of the southern TP. Notably high precipitation periods occurred during 1663-
249 1690, 1721-1754, 1795-1806, 1852-1874 and 1982-2016. The periods 1762-1786, 1809-1830,
250 1908-1937 and 1944-1981 were relatively dry. There were 247 years in the common wet/dry periods
251 in the two basins, accounting for 67% of the total period. Further comparisons between the two
252 precipitation reconstructions reveal that precipitation has increased significantly after the 1970s.

253 The mean anomaly percentage of the wet period 1663-1690 is +6.1%, 1721-1754 is +8.5%, 1795-
254 1806 is +4.3%, and 1982-2016 is +9.5%. As a result, the upper Salween and the Brahmaputra River
255 Basin have, since the 1970s, experienced an increase in rainfall of note over the last 367 years. This
256 markedly wet period was also reported for the other areas of the upper Brahmaputra River Basin
257 (Liu et al., 2011; He et al., 2012). Regional climate warming may be being modified by this
258 increasing precipitation trend, which may be a reason for the lack of warmer season temperatures
259 in the southern TP over the recent instrumental period (Bräuning and Mantwill, 2004; Lv et al.,
260 2014). Such regional modification of the global average increase in temperature associated with the
261 enhanced greenhouse effect is seen elsewhere, in interactions between regional circulation patterns
262 and global warming (Young et al., 2019). Some differences existing between the two reconstructions
263 may reflect the influences of spatial difference in local precipitation and tree growth in the complex
264 mountain terrain or differences in the seasonality of tree growth.

265 We review other precipitation-sensitive tree-ring records in the surrounding region. A
266 precipitation reconstruction was established with *Picea likiangensis* in northeastern Tibet by Shang
267 et al. (2018), with *Abies forrestii* in west Sichuan on the TP by Gou et al. (2013) and Li et al. (2017).
268 Based on tree-ring data of *Juniperus tibetica*, total July-June precipitation and NDVI reconstructions
269 were developed for the Lhasa river valley (He et al., 2013; Shang et al., 2016). Correlations between
270 our first mode of variability (PC1) and Shang et al. (2016), Shang et al. (2018), Li et al. (2017),
271 computed over the 1650–2011 common period are 0.42, 0.35 and 0.20 ($P < 0.001$), and suggesting
272 multiple tree species of the river valley of southern TP show sensitivity to modes of climate
273 variability related to pre monsoon conditions. This lays the foundation for exploring large-scale

274 climate modes of interest from these reconstructions

275 Based on the tree-ring data of juniper trees, Zhang et al. (2015) reconstruct May-June PDSI
276 of the TP during the past 500 years, revealing the influences on drought variations within the TP of
277 interactions between the Asian summer monsoon and the westerlies. We use the most representative
278 annual precipitation reconstruction sequence in the northern TP (Yang et al., 2014b) to compare
279 with our PC1 to further probe these relationships. The correlation between the original
280 reconstructions is 0.19 ($p < 0.001$). After smoothing with a 31-year moving average, significantly
281 differences in the low-frequency signals were found between precipitation in the southern and
282 northern parts of the TP (Fig. 8). The out-of-phase relationships between the series also supports a
283 connection between the Asian summer monsoon and the westerlies. Meanwhile, the two sequences
284 also indicated that there was a significant increase in precipitation throughout the TP in the past 30
285 years, in agreement with the post 1970 wetter phase in our reconstructions. The mechanism by
286 which the interaction between regional circulation patterns and large-scale atmospheric circulation
287 systems (Asian summer monsoon and the westerlies) control regional precipitation variations in the
288 context of warming awaits further investigation.

289 **4.3 Linkages with climate changes**

290 A significant negative correlation is seen between proxy PC1 and SSTs (HadISST) in the
291 equatorial Indian and eastern Pacific Ocean during the period 1960-2011 suggesting a possible
292 teleconnection with El Niño/Southern Oscillation (ENSO) (Fig. 9). Our PC1 also has significant
293 correlations with SSTs in the northern Indian Ocean and western Pacific Ocean suggestive of the
294 impacts of the Asian summer monsoon on precipitation in our study region. Previous studies have

295 indicated that negative (positive) ENSO and Indian Ocean Dipole (IOD) episodes, occurring in
296 years of extreme wetness (dryness) on the Tibetan plateau, tend to be concomitant with strong (weak)
297 summer monsoon phases (Jia and Zhou, 2003; Bothe et al., 2010; Chen et al., 2019). During cold
298 ENSO years, strong southwesterly flow occurs over western-central Tibet and Pakistan. This strong
299 southwesterly monsoon advects water vapor from the Bay of Bengal and Arabian Sea leading to
300 increased precipitation, and vice versa (Chen et al., 2019). The correlations between our proxy PC1
301 and SST in the equatorial western Indian and eastern Pacific Ocean support such a connection.
302 Meanwhile, a weakening Asian monsoon has been observed since late 1970s, and has some
303 significant influences with precipitation changes in monsoon Asia (Wang, 2001; Li and Zeng, 2002).
304 However, the precipitation rate of our study area increased by 0.48 mm/a in May, 0.14 mm/a in June,
305 -0.28 mm/a in July, 0.04 mm/a in August and 0.06 mm/a in September from 1980 to 2016, and
306 suggests the precipitation in the river valleys increased significantly in spring. During the pre-
307 monsoon season, precipitation is relatively low. Warm and dry conditions before the onset of the
308 monsoon season cause water stress for the earlywood growth and lead to a strong response of tree
309 growth to precipitation variability (Zhang et al., 2015). As shown by instrumental climate and tree-
310 ring data (Fan et al., 2008; Zhang et al., 2015; Li et al., 2017; Yadav et al., 2017), spring precipitation
311 increased in southern TP and surrounding areas, and thus, tree-growth, benefit from the spring
312 precipitation. Increased precipitation in spring may be linked to the accelerated water cycle and
313 early start of the rainy season in the context of global warming (Ueda and Yasunari, 1998; Tamura
314 et al., 2010). These findings suggesting that precipitation variation in southern TP has strong
315 linkages with large-scale ocean–atmosphere–land circulations.

316 **4.4 Linkages with streamflow of the Salween and Brahmaputra River**

317 Precipitation is the main water source for the Salween and Brahmaputra river basins (rather
318 than snow melt) (Immerzeel, 2008; Wang and Chen, 2017; Chen et al., 2018). Thus, understanding
319 past precipitation changes is important for estimating water resource conditions in the basins of the
320 wider region. Although the distance between the Daojieba hydrographic station (24.98°N, 98.80°E,
321 685m a.s.l.) (Salween river) and Yangcun hydrographic station (Brahmaputra river) (91.82°E,
322 29.26°N, 3550 m a.s.l) is over 750 km (Fig. 1; Table 1) the instrumental streamflow records are
323 significantly correlated ($r = 0.73$, $p < 0.001$) over the common period, suggesting that the
324 hydroclimate of the Southern TP influences streamflow across a wide region of this part of southern
325 Asia. Based on these positive instrumental period correlations, we explored the combined analysis
326 of precipitation-sensitive tree-ring records from this study and Shang et al., (2016), (2018) and Li
327 et al., (2017). The records were merged via PCA to form the first principal component containing
328 42% of the total series variance. This new predictor contains 36% of the total variance of the sum
329 of flow data from two stations, giving us confidence that the streamflow of the Salween and
330 Brahmaputra basins can be preliminarily compared to the variability in the tree-ring records. Based
331 on the tree-ring records, the average annual runoff was 81.5 billion cubic meters from the two
332 stations during the past three centuries, and the runoff ($> 4.6\%$) has shown an upward trend during
333 the last 30 years, in line with the changes in regional precipitation discussed above. Although the
334 lower reaches of the two rivers belong to the monsoon climate belt, with relatively abundant
335 precipitation, drought events are related to periodic monsoon failures in the region (Cook et al.,
336 2010). Compared with the results of a large scale tree-ring based Asian monsoon drought

337 reconstruction (The Monsoon Asian Drought Atlas – MADA - Cook et al., 2010), we found that
338 some dry periods in the downstream countries are synchronized with the streamflow reduction from
339 southern TP, such as the mid–18th-century MADA Strange Parallels drought, late Victorian great
340 drought and Bengal Famine 1943-1945, which have led to the deaths of thousands of people
341 (Padmanabhan, 1973; Cook et al., 2010) (Fig. 9). Due to the sparse population in the TP, the impact
342 of drought and low streamflow on society are relatively small. The lower river basin areas are
343 significantly more populous, and such synchronization of extreme drought events would have a
344 significant impact on the socio-economic development of the downstream countries. Therefore, for
345 the sustainable development of all countries in the two river basins, it is necessary to develop more
346 effective and reasonable water resource allocation mechanism.

347 **5. Conclusions**

348 Based on our *Juniperus tibetica* and *Larix griffithian* derived tree ring chronologies from the
349 valleys of upper Salween and Brahmaputra River, we reconstructed total September-June
350 precipitation in the valley of upper Salween River from 1600 CE and total July-April precipitation
351 in the valley of upper Brahmaputra River from 1650 CE, respectively. The two precipitation
352 reconstructions are consistent, and indicate that a wetting trend has occurred in the valleys of the
353 upper Salween and Brahmaputra Rivers since the 1970s. Comparison with other precipitation-
354 sensitive tree-ring records shows high coherency across the southern TP. As a result, we further used
355 tree-ring data to assess the water supply of these two rivers over the past three centuries and have
356 found that widespread droughts and the resulting reduction in runoff can have some important
357 impacts.

358 Our results are of particular interest as the TP is one of the main fresh water sources for the
359 downstream areas in large parts of southern Asia. Over the past 30 years, rapid socio-economic
360 development and human population growth in southern and southeastern Asia raises doubts over
361 the stability of the water resource supply of TP to meet the growing needs of the downstream areas.
362 The relationships between the tree rings and precipitation/streamflow presented for southern TP are
363 an encouraging indication of the potential for dendrohydrology research in TP. All scenarios
364 presented in the precipitation reconstruction provide valuable insight for strategies to understand
365 changing climate and water resources in the region. The information provided herein on past
366 hydrological variation should prove of much interest to government regulators and researchers
367 concerned with hydrological forecasts, water resource plans, and other applications.

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372

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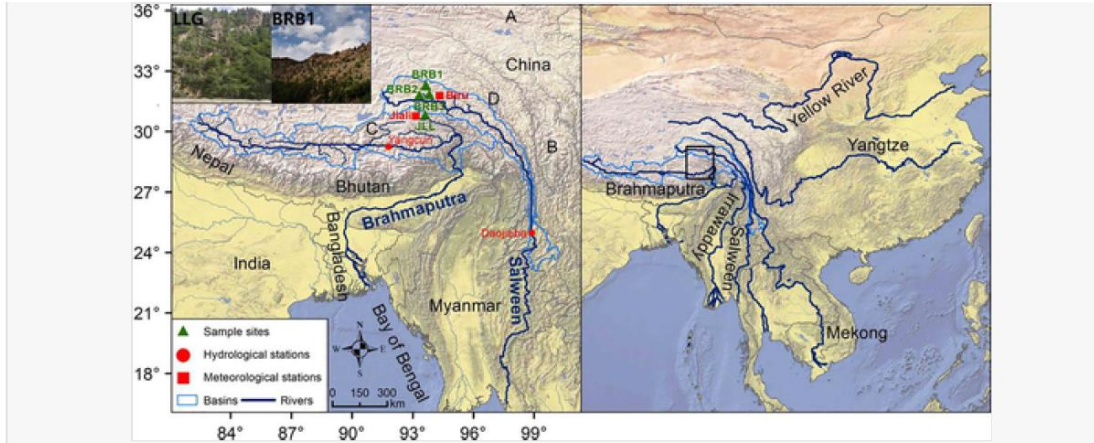
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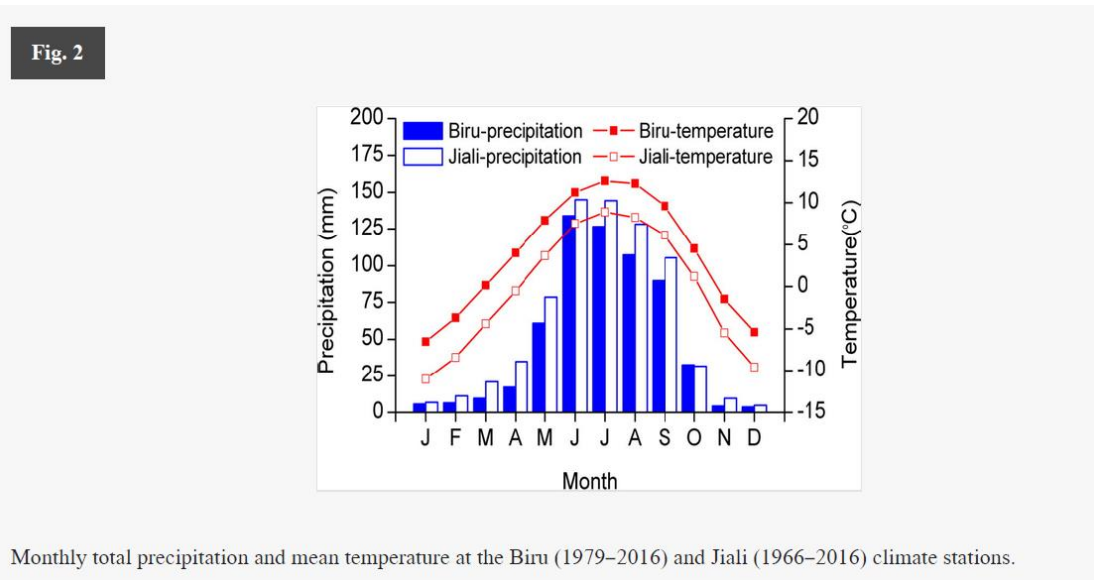
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Location of the sampling sites, weather stations (Biru and Jiali) and hydrologic stations (Yangcun and Daojieba) in the upper Salween and Brahmaputra River. The A, B, C, D and E denote the tree ring sites of Yang et al. (2014b), Li et al. (2017), Shang et al. (2016) and Shang et al. (2018), respectively.

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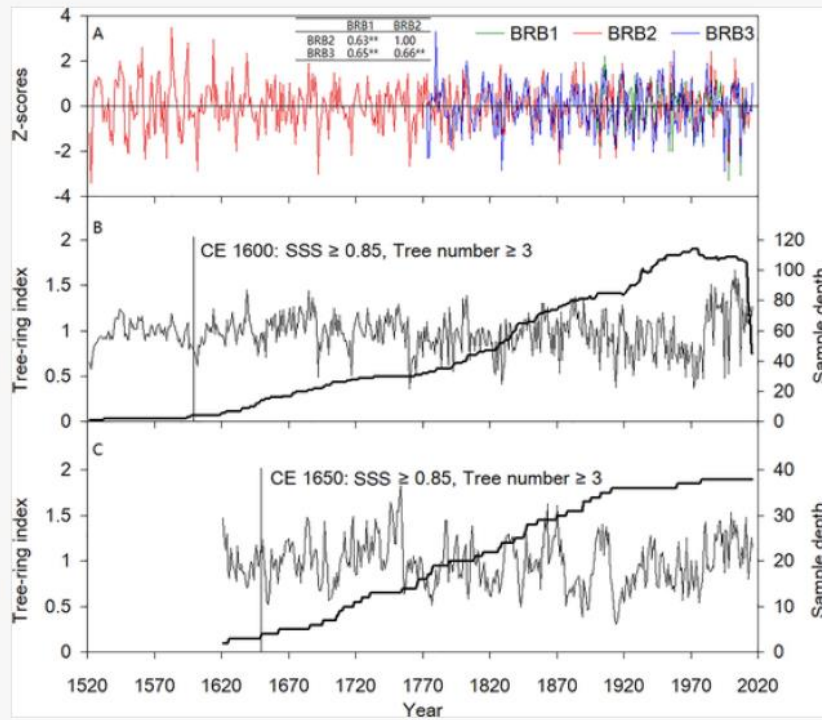


Monthly total precipitation and mean temperature at the Biru (1979–2016) and Jiali (1966–2016) climate stations.

543

544

Fig. 3



(A) The master cross-dating series of BRB1, BRB2 and BRB3 from the software Cofecha. (B) Plot of the standard juniper chronology from the valley of upper Brahmaputra River, and its reliable period and the sample depth. (C) Plot of the standard juniper chronology from the valley of upper Salween River, and its reliable period and the sample depth.

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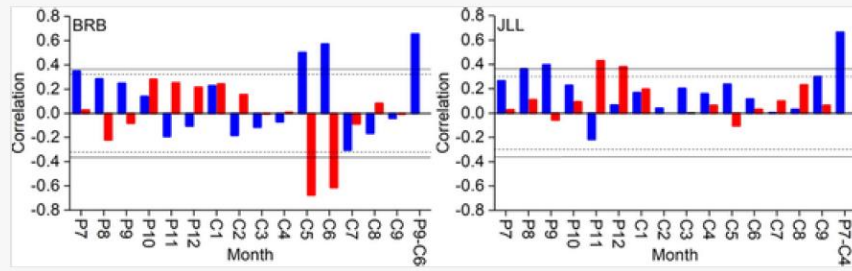
547 Table 1

Site information for the sampling sites, weather stations and hydrological stations (see Fig. 1).

Site	Lat. (N)	Long. (E)	Elevation (m)	Aspect	Slope	canopy density	Tree number	Species
BRB1	31.76°	93.61°	4159	SE	40°–45°	0.1	17	<i>Juniperus tibetica</i>
BRB2	31.57°	93.60°	4400	SE	20–40°	0.1	22	<i>Juniperus tibetica</i>
BRB3	31.54°	93.39°	4136	SE	20–40°	0.1	30	<i>Juniperus tibetica</i>
JLL	30.60°	93.66°	4090	S	20–30°	0.1–0.3	19	<i>Larix griffithiana</i>
Biru	31.48°	93.78°	3941					
Jiali	30.67°	93.28°	4490					
Yangcun	29.47°	94.65°	3080					
Daojieba	24.98°	98.80°	685					

548

Fig. 4



The correlation between ring-width indices and monthly total precipitation (blue bars) and monthly mean temperature (red bars). Meteorological data come from Biru (BRB) and Jiali (JLL). Horizontal dashed lines are the 95% confidence level. Horizontal outer lines are the 99% confidence level.

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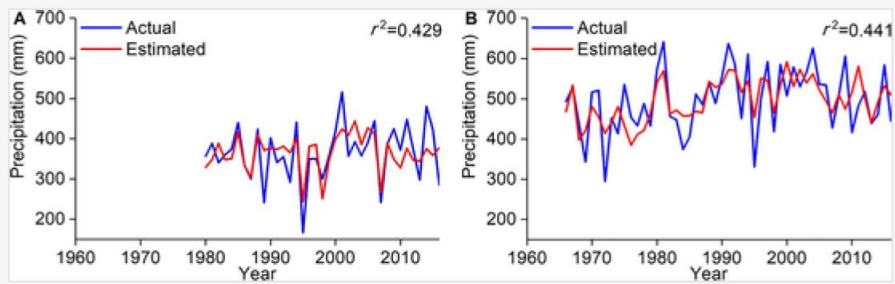
551 **Table 2**

Parameter	BRB	JLL
Mean sensitivity	0.182	0.168
Standard deviation	0.208	0.262
Signal to noise ratio	59.859	20.715
Mean correlation with master series	0.619	0.629
Variance in first eigenvector	49.2%	41.4%
Expressed Population Signal	0.984	0.954

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Fig. 5



Comparison between observed and estimated precipitation for the valleys of the Salween (A) and the Brahmaputra River (B).

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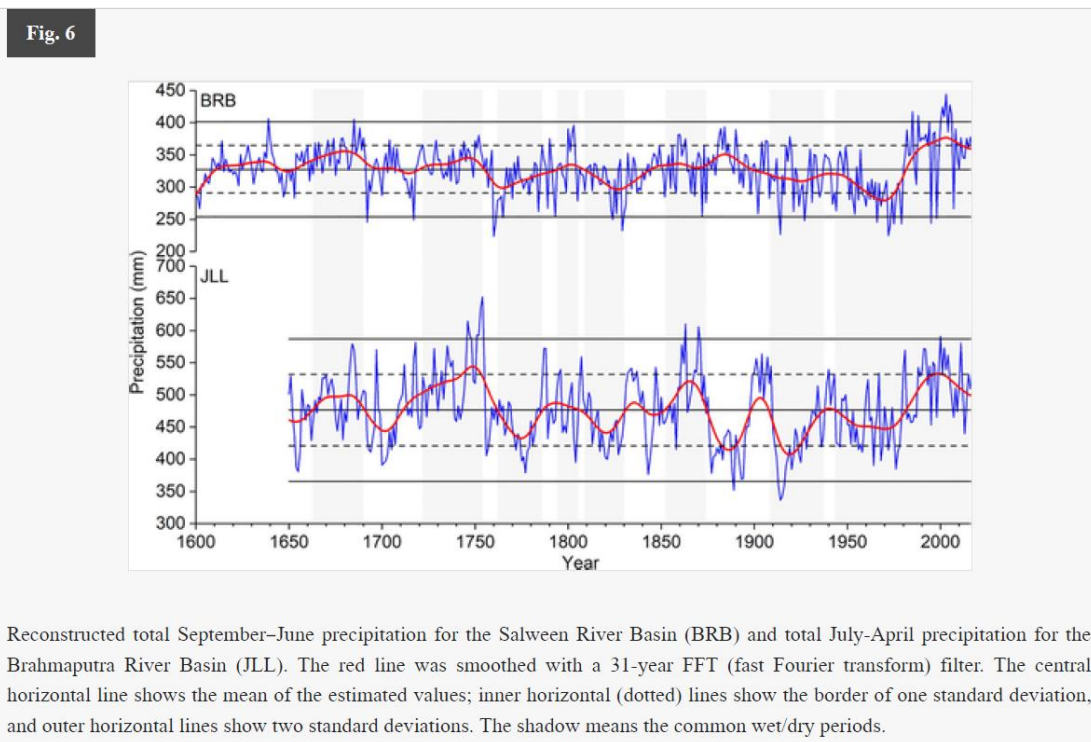
558 **Table 3**

Leave-one-out cross-validation statistics for the two precipitation reconstructions for the river valleys of the southern TP based on tree-ring data.

Verification	BRB	JLL
r	0.598	0.624
r^2	0.358	0.389
RE	0.481	0.496
PMT	2.966	4.243
Sign test	27 ⁺ /12 ⁻	40 ⁺ /11 ⁻

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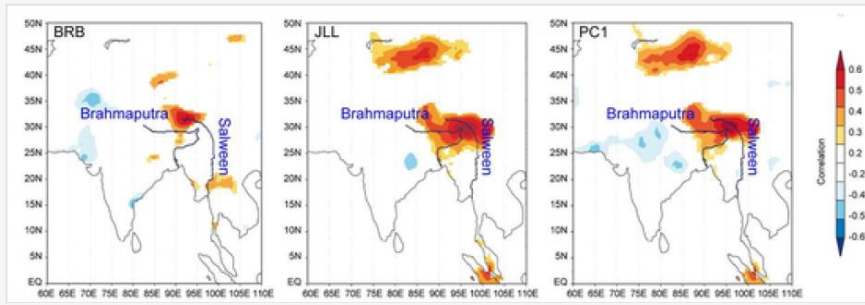
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Fig. 7

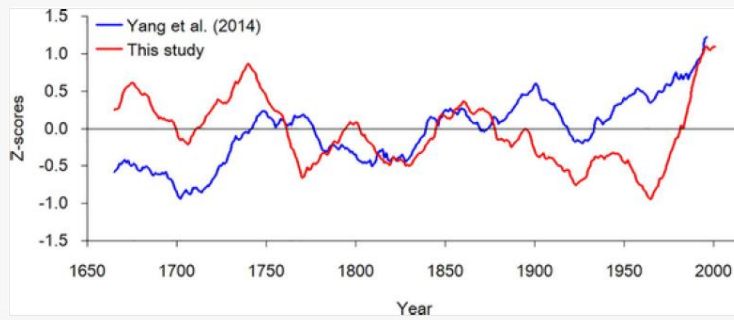


Spatial correlation fields of BRB, JLL and PC1 with regional gridded precipitation of CRU dataset (Harris et al., 2014) for the common periods.

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565 **Figure 8**



Comparison between PC1 and northern TP precipitation reconstruction by Yang et al. (2014b). The series were adjusted for their long-term averages over period 1650–2010, and smoothed with a 31-year moving average to emphasize long-term fluctuations.

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