

**Fault-tolerant protection of near-term trapped-ion topological qubits under realistic noise sources**A. Bermudez,<sup>1</sup> X. Xu,<sup>2</sup> M. Gutiérrez,<sup>3,4</sup> S. C. Benjamin,<sup>2</sup> and M. Müller<sup>3</sup><sup>1</sup>*Departamento de Física Teórica, Universidad Complutense, 28040 Madrid, Spain*<sup>2</sup>*Department of Materials, University of Oxford, Parks Road, Oxford OX1 3PH, United Kingdom*<sup>3</sup>*Department of Physics, College of Science, Swansea University, Singleton Park, Swansea SA2 8PP, United Kingdom*<sup>4</sup>*Escuela de Química, Universidad de Costa Rica, San José 2060, Costa Rica*

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The quest of demonstrating beneficial quantum error correction in near-term noisy quantum processors can benefit enormously from a low-resource optimization of fault-tolerant schemes, which are specially designed for a particular platform considering both state-of-the-art technological capabilities and main sources of noise. In this work we show that flag-qubit-based fault-tolerant techniques for active error detection and correction, as well as for encoding of logical qubits, can be leveraged in current designs of trapped-ion quantum processors to achieve this break-even point of beneficial quantum error correction. Our improved description of the relevant sources of noise, together with detailed schedules for the implementation of these flag-based protocols, provide one of the most complete microscopic characterizations of a fault-tolerant quantum processor to date. By extensive numerical simulations, we provide a comparative study of flag- and cat-based approaches to quantum error correction, and show that the superior performance of the former can become a landmark in the success of near-term quantum computing with noisy trapped-ion devices.

DOI: [10.1103/PhysRevA.100.062307](https://doi.org/10.1103/PhysRevA.100.062307)**I. TOWARDS FAULT-TOLERANT (FT) QUANTUM ERROR CORRECTION (QEC) WITH TRAPPED IONS****A. Introduction***1. Development and assessment of near-term QEC devices*

The prospect of processing information quantum mechanically and, thereby, solving computational problems beyond the reach of classical devices [1], has stimulated enormous research efforts, which aim at building and scaling up prototype quantum processors [2]. To date, the field of quantum computing has reached a considerable level of maturity, allowing for high-accuracy control over ever-larger qubit registers. These advances are expected to enable the construction and operation of near-term devices estimated to contain about 30 to 100 qubits, which, for the first time, demonstrate quantum advantages [3].

Currently, large efforts are focusing on identifying specific applications and algorithms, such as hybrid quantum-classical approaches [4–8], which can be directly executed as low-depth quantum circuits on available registers of bare physical qubits. In this way, one may demonstrate a quantum advantage using faulty qubits without the resource overhead required for quantum error correction (QEC) [9]. On a longer-term perspective, however, the construction of general-purpose large-scale fault-tolerant (FT) quantum processors will require encoding of information in logical qubits, and repetitive application of active QEC cycles to detect and correct errors occurring during storage and processing [10–13]. Various physical platforms have emerged as promising candidate systems to build such scalable devices, including trapped ions [14], Rydberg atoms in optical lattices or trap arrays [15], as well as solid-state platforms such as superconducting circuits [16], nitrogen-vacancy centers [17], or quantum dots [18].

A first generation of proof-of-principle implementations have demonstrated basic QEC codes in a variety of platforms [19–24], including minimal versions of the topological color code [25,26] and surface code [27–29]. Current efforts focus on the demonstration of fault tolerance in near-term and potentially scalable devices [30–32], and the implementation of full QEC cycles on logical qubits in the parameter regime where they outperform their constituent physical qubits [33]. On the theory side, an essential contribution to push these developments concerns (i) the development and optimization of resource-efficient and fault-tolerant protocols especially designed for a particular platform, and (ii) the faithful modeling of the underlying quantum hardware and experimental noise processes, which is crucial to assess the performance of the first-generation low-distance QEC codes. In this context, a series of studies have shown that it is important to include realistic error sources such as non-Pauli errors [34,35], qubit leakage [36,37] and losses [38,39], as well as spatially and temporally correlated noise [40]. Oversimplified single- or few-parameter noise models that neglect these effects can lead to a drastic over- or underestimation of the QEC prospects.

In this work we present a detailed theoretical study that aims at identifying the requirements and parameter regimes for beneficial QEC in state-of-the-art and near-future trapped-ion quantum processors. In our work we focus on a thorough and realistic modeling of the experimental QEC toolbox in high-optical-access segmented ion traps [41], which allow one to manipulate dual-species ion crystals [42] under cryogenic conditions. This architecture is scalable, as one-dimensional (1D) trapping zones can be coupled via junctions into larger potentially two-dimensional (2D) trap array structures forming a so-called quantum charge-coupled device (QCCD) [43], which is complementary to approaches based on optical

coupling of ions in separated traps [44]. We carefully model physical qubits as multilevel ions, with associated amplitude damping and leakage processes due to spontaneous decay of the electronic states. The experimentally available toolset, most prominently the set of single- and two-qubit entangling operations, are described with non-Pauli or correlated error channels, as derived from a detailed and quantitative quantum optical modeling of the underlying microscopic electronic and vibrational dynamics.

On the QEC side, we choose to work with the distance-3 topological seven-qubit color code [45]. Here we pay particular attention to recently proposed FT stabilizer readout protocols based on so-called flag qubits [46], and compare their performance with other established FT readouts that involve a larger number of ancilla qubits [47,48]. For the flag-based syndrome measurement, we provide optimized and resource-efficient compilations of the required circuits into the trapped-ion gate primitives, and furthermore complement these QEC protocols for the correction of standard computational errors (bit and/or phase flips) by a new leakage suppression technique. Extensive Monte Carlo wave function simulations of the QEC protocols and noise processes allow us to identify the parameter regimes in which reaching the break-even point of useful QEC is expected to become feasible in realistic near-term trapped-ion based quantum information processors.

## 2. Topological QEC and color codes

As advanced above, a promising route for the extensibility of prototype quantum processors towards large-scale FT quantum computers is the use of active QEC. Here the logical information is redundantly encoded in several entangled data qubits defining the so-called code subspace, such that errors drive the system out of this subspace, and can be detected and corrected by measuring collective observables without damaging the encoded information [9]. A particularly promising type of encoding is that offered by *topological planar codes*, such as the surface version [49] of Kitaev's toric code [50] and topological color codes [45]. For both families of codes, the physical qubits can be arranged on a planar lattice, and the collective observables can be defined as local stabilizers [51], i.e., multiqubit Pauli operators that involve only groups of spatially neighboring qubits on the lattice. This locality of the check operators implies that only local quantum processing is required to detect and correct the possible errors. Besides this locality property, topological codes are particularly interesting due to the high FT threshold values [52–54], i.e., reliable computations of arbitrary length will become feasible if the error per operation is below a certain threshold.

We will present below a generic trapped-ion toolbox that can be used to implement any topological stabilizer code. However, one of our main goals is to understand the minimal requirements to prove the beneficial nature of QEC in near-term trapped-ion experiments, lying a set of building blocks to construct future QEC experiments with ever increasing registers. Therefore, we will here optimize the resources for the smallest, yet fully functional seven-qubit topological color code. This code, unitarily equivalent to Steane's code [55], is an instance of the so-called *triangular color codes* (see

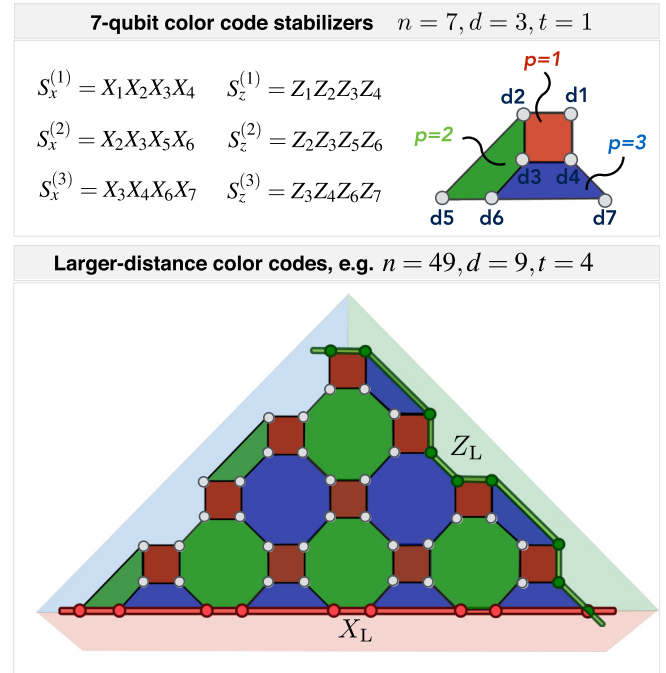


FIG. 1. Color code scheme: (Upper panel) The quantum information is redundantly encoded in  $n = 7$  data qubits forming a planar code with  $n_p = (n - 1)/2 = 3$  plaquettes, leading to  $s = 2n_p = 6$  stabilizers, which yields  $k = n - s = 1$  logical qubits. The code space is defined via  $S_x^{(p)}, S_z^{(p)}$  stabilizer operators, each acting on a plaquette  $p = 1, 2, 3$  that involves four data qubits. The number of qubits along the boundaries determine the distance of the code  $d = 3$ , such that  $t = (d - 1)/2 = 1$  errors can be corrected. We note that the form of the plaquettes is a mere visualization, and they could be deformed such that an equilateral triangle is formed, as used in Refs. [25,33]. (Lower panel) Larger color codes are constructed by growing a so-called 4.8.8. triangular lattice, which is a three-colorable tiling of the plane with  $n = (d^2 + 2d - 1)/2$  data qubits, each of which belongs (in the bulk) to one square and two neighboring octagons, e.g.,  $d = 9, n = 49$ . The logical operators, which can be defined in a bit-wise manner, can also be deformed into  $X$ - and  $Z$ -type colored strings  $X_L$  and  $Z_L$  connecting two boundaries of a different color. Thereby, logical information is encoded globally, such that the local errors occurring at low enough rates on physical qubits have a smaller impact as the lattice size grows.

Fig. 1) [45], and allows one to store and manipulate a single logical qubit redundantly encoded into seven physical qubits. The code, which belongs to the family of CSS codes [11,12], can correct a single error due to either bit or phase flips. The most likely bit- and phase-flip errors can be inferred from the measurements of three  $Z$ - and  $X$ -type plaquette stabilizers, as shown in Fig. 1,

$$\begin{aligned} S_x^{(1)} &= X_1 X_2 X_3 X_4, & S_x^{(2)} &= X_2 X_3 X_5 X_6, & S_x^{(3)} &= X_3 X_4 X_6 X_7, \\ S_z^{(1)} &= Z_1 Z_2 Z_3 Z_4, & S_z^{(2)} &= Z_2 Z_3 Z_5 Z_6, & S_z^{(3)} &= Z_3 Z_4 Z_6 Z_7. \end{aligned} \quad (1)$$

These commuting operators define the so-called code space  $\mathcal{V}_{\text{code}} \subset \mathcal{H}$  spanned by all stabilizer eigenstates  $|\Psi\rangle$  of eigenvalue  $+1$ ,  $S_\alpha^{(p)}|\Psi\rangle = |\Psi\rangle$ . In this case, the code subspace is

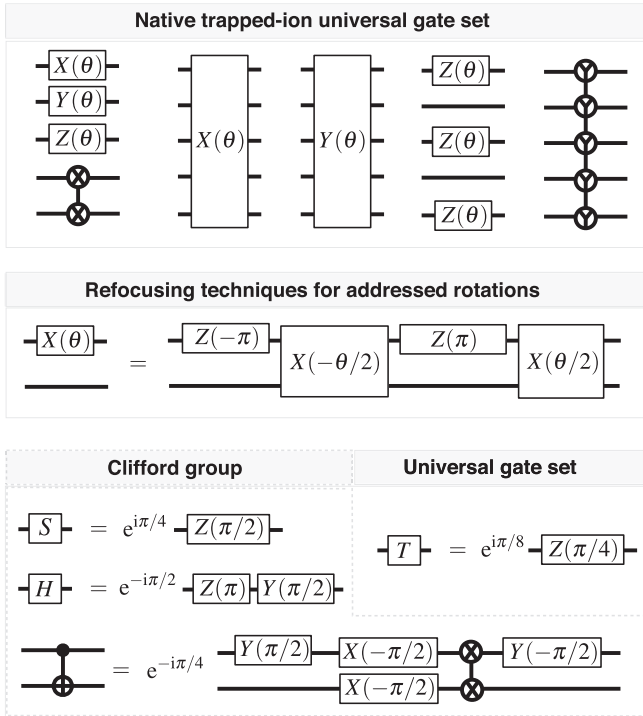


FIG. 2. Trapped-ion universal gate set: (Upper panel) Native trapped-ion gates. For a single-ion register, we can apply single-qubit rotations  $X(\theta)$ ,  $Y(\theta)$ ,  $Z(\theta)$ . For a two-ion register, we can apply entangling MS gates. For an  $N$ -qubit register of co-trapped ions, the rotations  $X(\theta)$ ,  $Y(\theta)$  act globally on all  $N$  qubits, whereas the  $Z(\theta)$  rotations can be addressed individually to the desired qubit subset. Finally, the entangling MS gates also act globally on all  $N$  qubits, creating multipartite entangled states. (Middle panel) The global operations [e.g., an  $X(\theta)$  rotation] can be applied to single qubits of a larger subset by applying spin-echo-type refocusing techniques. (Lower panel) The native trapped-ion gates form a universal gate set. This follows, for instance, from the equivalence of the Pauli and universal gate set (i.e.,  $S$  gate, Hadamard  $H$ , and CNOT, generate the Pauli group, whereas including the  $T$  gate instead of  $S = T^2$  leads to a universal gate set [1]) with certain sequences of trapped-ion gates.

two dimensional, encoding a single logical qubit  $Z_L|0\rangle_L = |0\rangle_L$ ,  $Z_L|1\rangle_L = -|1\rangle_L$ , and  $X_L|0\rangle_L = |1\rangle_L$ ,  $X_L|1\rangle_L = |0\rangle_L$ , where  $Z_L = \otimes_i Z_i$ ,  $X_L = \otimes_i X_i$  are possible representations of the generators of the logical qubit.

Besides being the smallest fully functional topological qubit, the seven-qubit color code [45] permits a transversal bit-wise realization of the entire group of Clifford gate operations (see Fig. 2), which contrasts the case of the smallest-possible nine-qubit surface code [56,57]. In both cases, a universal set of logical gate operations can be achieved by complementing the Clifford operations with a single non-Clifford gate such as the  $T$  gate (see Fig. 2) by magic-state injection [58]. Therefore, from a resource-optimization philosophy, we shall model near-term trapped-ion experiments based on the seven-qubit color code, which can then be extended to larger registers, hosting logical qubits of larger logical distance and increased error robustness, as illustrated in Fig. 1.

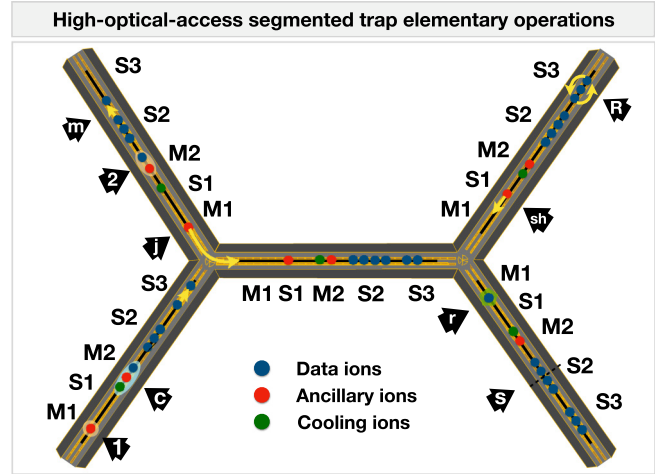


FIG. 3. Scheme of the segmented trap and elementary operations: We consider a planar trap composed of several arms connected via Y junctions. These arms consist of a linear section divided into three storage (S1, S2, S3), and two manipulation (M1, M2) zones, each of which contains small segmented electrodes that allow for ion trapping and control of the motional degrees of freedom. From left to right, and upper to lower arms, the possible operations considered in this work are (m) merge sets of ions into a single crystal, (2) two-qubit entangling gates, (j) shuttling of ion(s) across a junction, (sh) shuttling an ion(s) among neighboring trapping zones, and (R) rotation of an ion crystal. In addition, one can apply (1) single qubit gates, (c) sympathetic laser cool the ion crystal, (r) repump a leaked qubit, and (s) split an ion crystal. The resulting operations are indicated by black arrows with the above letters as labels.

### 3. The trapped-ion QEC toolbox

We now describe briefly the main ingredients of the QCCD *trapped-ion toolbox* for QEC explored in this work (see Appendix A for more details). Building on [33,59], we will focus on the elementary operations that can be implemented with high-optical-access (HOA) segmented ion traps in a cryogenic environment [41] (see Fig. 3). We consider a two-species ion register, such that the elementary quantum information units can be stored in the electronic states of one of the species (e.g.,  $^{40}\text{Ca}^+$  optical qubits are encoded in the ground-state and metastable electronic levels  $|0\rangle = |4S_{1/2}, -1/2\rangle$   $|1\rangle = |3D_{5/2}, -1/2\rangle$ , which are labeled by the principal quantum number and various orbital and spin angular momenta  $|nL_j^{2S+1}, M_j\rangle$ ). On the other hand, the remaining species (e.g.,  $^{88}\text{Sr}^+$ ) shall be exploited for sympathetic cooling to maintain sufficiently low temperatures of the ion register at certain stages of the QEC cycles. These ions are confined above a planar segmented trap divided into manipulation and storage regions, where various elementary operations can be performed:

(i) *Electronic-state manipulation techniques.* The quantum information can be processed by exploiting various forms of the laser-ion interaction in a given manipulation zone, which yields a universal gate set [60] (see Table I). This gate set contains (o1) and (o2) *multi-ion entangling gates* based on the so-called Mølmer-Sørensen (MS) scheme [61,62], which allows us to generate entanglement between co-trapped

TABLE I. Extended trapped-ion QEC toolbox. Description of current and future trapped-ion capabilities for a QCCD approach to FT QEC, following [33]. We include the duration and infidelity of operations acting on the internal degrees of freedom, and the duration and final mean number of phonons in the longitudinal center-of-mass mode for the operations involving the external degrees of freedom of the ions.

Operation	Current duration	Current infidelity	Anticipated duration	Anticipated infidelity
(o1) Two-qubit MS gate	40 $\mu$ s	$1 \times 10^{-2}$	15 $\mu$ s	$2 \times 10^{-4}$
(o2) Five-qubit MS gate	60 $\mu$ s	$5 \times 10^{-2}$	15 $\mu$ s	$1 \times 10^{-3}$
(o3) One-qubit gate	5 $\mu$ s	$5 \times 10^{-5}$	1 $\mu$ s	$1 \times 10^{-5}$
(o4) Measurement	400 $\mu$ s	$1 \times 10^{-3}$	30 $\mu$ s	$1 \times 10^{-4}$
(o5) Qubit reset	50 $\mu$ s	$5 \times 10^{-3}$	10 $\mu$ s	$5 \times 10^{-3}$
(o6) Recooling	400 $\mu$ s	$\bar{n} < 0.1$	100 $\mu$ s	$\bar{n} < 0.1$
(o7) Ion shuttling	5 $\mu$ s	$\bar{n} < 0.1$	5 $\mu$ s	$\bar{n} < 0.1$
(o8) Ion split and merge	80 $\mu$ s	$\bar{n} < 6$	30 $\mu$ s	$\bar{n} < 1$
(o9) Ion rotation	42 $\mu$ s	$\bar{n} < 0.3$	20 $\mu$ s	$\bar{n} < 0.2$
(o10) Junction crossing (per ion)	100 $\mu$ s	$\bar{n} < 3$	200 $\mu$ s	–
(o11) Leakage repumping	60 $\mu$ s	$5 \times 10^{-3}$	20 $\mu$ s	$5 \times 10^{-3}$

ions mediated by the quantum vibrations of the ion crystal (i.e., phonons). We note that trapped-ion architectures offer the most accurate entangling gates reported to date [63], and that current efforts are also being directed towards increasing the gate speed [64]. The corresponding unitary of an  $N$ -ion entangling gate is parametrized as

$$U_{\text{MS},\phi}(\theta) = e^{-i\frac{\theta}{4}S_{\phi}^2}, \quad S_{\phi} = \sum_{i=1}^N \cos(\phi)X_i + \sin(\phi)Y_i, \quad (2)$$

where  $X_i = \sigma_i^x$ ,  $Y_i = \sigma_i^y$ , and  $Z_i = \sigma_i^z$  are expressed in terms of Pauli matrices, and  $\phi, \theta$  are fully tunable laser parameters described in Appendix A, where a realistic description of the MS scheme in the presence of noise and errors is also presented.

In the main part of the text, however, we will advocate for a hardware-agnostic language that tries to make trapped-ion QEC accessible to nonexperts. In particular, the fully entangling MS gates  $U_{\text{MS},0}(\pi/2)$  [ $U_{\text{MS},\pi/2}(\pi/2)$ ] used in this work, which generate GHZ-type entangled states such as

$$|0_1, 0_2, \dots, 0_N\rangle \rightarrow \frac{1}{\sqrt{2}}(|0_1, 0_1, \dots, 0_N\rangle - i|1_1, 1_2, \dots, 1_N\rangle), \quad (3)$$

will be represented by an abstract circuit analogous to the usual CNOT gate [1]. We use a vertical string joining encircled  $X$  ( $Y$ ) operations for all the qubits involved in the gate (see Fig. 2), which are the ions of a single species co-trapped in the same manipulation zone (see Fig. 3).

In addition to the MS gates, the universal gate set contains (o3) *one-qubit gates* (see Table I). These gates can either arise

from global rotations around an axis lying in the equatorial plane of the Bloch sphere, which yields the unitary

$$U_{\text{R},\phi}(\theta) = e^{-i\frac{\theta}{2}S_{\phi}}, \quad (4)$$

acting simultaneously on all qubits of a certain manipulation zone; or from local rotations about the  $z$  axis

$$U_{\text{R},z}(\theta) = e^{-i\frac{\theta}{2}Z_i}, \quad (5)$$

which can be addressed to the desired  $i$ th qubit. Once again, the corresponding microscopic evolution in the presence of the main sources of errors are discussed in Appendix A. These gates will be represented by white rectangular boxes with labels  $X(\theta)$ ,  $Y(\theta)$  for the global rotations, and  $Z(\theta)$  for the local ones (see Fig. 2). As shown in this figure, this trapped-ion native gate set can be used to obtain the more standard universal gate set of the circuit-based approach to quantum computation [1], up to irrelevant global phases. In a hardware-agnostic spirit, one can use these relations to generate any particular unitary operation on an  $N$ -qubit trapped-ion register.

In addition to these operations, to translate any quantum-information protocol into the trapped-ion hardware, we note that the ions have a so-called closed cycling transition [60] that leads to (o4) *projective measurements* in the  $z$  basis by collecting a state-dependent fluorescence due to the emitted photons from the cycling transition, and (o5) *qubit initialization and reset* by optical pumping.

(ii) *Ion-crystal-reconfiguration techniques.* The trapped-ion QEC toolbox includes techniques to control the external and motional degrees of freedom of the ion crystal. In particular, we shall exploit the other species, e.g.,  $^{88}\text{Sr}^+$  ions, for (o6) *recooling of the ion crystal* using sympathetic laser cooling techniques. In this way one can cool the vibrational mode that is used as a quantum data bus to generate entanglement, prior to any entangling gate (see Table I), such that high fidelities can be still be achieved after the ion crystal has gone through a sequence of reconfiguration operations.

These crystal reconfiguration operations, which heat the vibrational modes, can be applied in both manipulation and storage regions, as they require control over the trapping potentials but no lasers are involved. We consider the following elementary operations: (o7) *fast shuttling of ions or small crystals* across different segments of a single arm of the trap; (o8) *fast splitting and merging of ion crystals*; and (o9) *fast swapping of pairs of ions and rotations of small crystals* around a reflexion axis. Although these operations do not appear explicitly in the abstract circuits of Fig. 2, they are a fundamental ingredient in the microscopic schedules that need to be realized for the implementation of a particular QEC protocol.

Following the spirit of this work, a hardware-agnostic language would not require us to know the particular pulse sequences required to perform these microscopic operations (see Fig. 3), nor the schedules of such operations that must be applied to perform a sequence of gates for particular stages of the QEC protocol. Accordingly, some of these details are relegated to Appendix B. For nonexperts in trapped-ion physics, we only need to know that these reconfiguration operations do not act on the quantum information encoded in

the electronic states. Therefore, we only have to incorporate the effect of the environmental noise that affects the qubits during the time that these operations take (see Table I). We will describe the corresponding error models in the following subsection.

So far, (o1)–(o9) form the QEC toolbox used to assess the progress of near-term trapped-ion QEC [33]. As discussed in Ref. [59], the extensibility towards larger registers requires including (o10) *transport of ions across junctions* [65,66] connecting different arms of the trap (see Fig. 2). In this work we include a new and important ingredient in this toolbox. As discussed below, a realistic modeling of the microscopic trapped-ion noise requires considering leakage of the electronic state out of the computational subspace. Due to the choice of  $^{40}\text{Ca}^+$  optical qubits, the metastable qubit state  $|1\rangle = |3D_{5/2}, -1/2\rangle$  can spontaneously decay into the ground-state qubit state  $|0\rangle = |4S_{1/2}, -1/2\rangle$ , resulting in an amplitude damping within the computational subspace. However, there is also a finite branching ratio for the decay into a different ground-state level  $|\ell\rangle = |4S_{1/2}, +1/2\rangle$ , giving rise to leakage out of the qubit subspace. As discussed below, although the trapped-ion QEC protocols [33,59] can cope with amplitude-damping errors, the leakage cannot be counteracted by the error correction, such that the leaked population will accumulate as the protocol proceeds, compromising the usefulness of the QEC.

To counteract this detrimental effect, we include a (o11) *repumping pulse sequence* that can bring the population of the electronic state back to the computational subspace without affecting the coherences. The main idea is that, contrary to the bare-leakage errors, which are uncorrectable, the repumped-leakage errors behave effectively like amplitude damping within the computational space, and can thus be corrected by subsequent QEC cycles (see Fig. 4). In a hardware-agnostic language, knowledge of the laser pulse sequence for the repumping detailed in Appendix A is not required. Instead, we need to describe a microscopic noise model describing how a faulty repumping alters the amplitudes of the quantum state, which will be described in the following section.

## B. Realistic microscopic error models

As emphasized in the Introduction, theoretical predictions about the performance of QEC can differ substantially depending on the assumptions about the experimental capabilities, and the models used to describe the effects of environmental noise and/or experimental imperfections. A clear example of this trend is the existence of a wide range of FT thresholds [52–54] depending on the noise model [67]. Therefore, meaningful assessments of the near-term prospects of various technologies to demonstrate the break-even point of beneficial QEC require a realistic microscopic noise modeling. We believe these types of studies are important to guide near-future technological developments that must be accomplished in the progress towards FT quantum computers.

In Refs. [33,59], a microscopic description of the possible technical imperfections and environmental sources of noise has been presented. In this section we build on these efforts to update the microscopic description providing, to the best of our knowledge, the most complete and realistic error

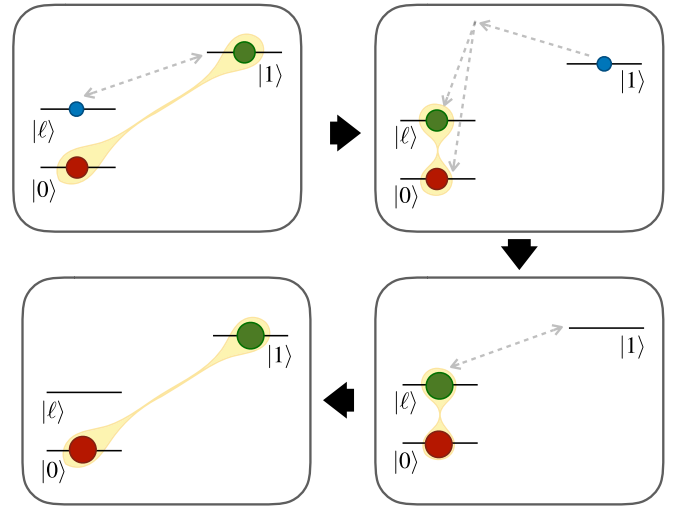


FIG. 4. Repumping scheme for leakage errors: Due to spontaneous decay, the population of the leaked level can grow, but initially vanishing coherences with the qubit levels will not build up. In the first step (upper-left panel), a  $\pi$  pulse brings the population of the leaked state  $|\ell\rangle$  to the metastable level, coherently hiding the qubit state in the ground-state manifold. In a second step (upper-right panel), by driving a dipole-allowed transition between the metastable level and higher-excited levels, the leaked population can be pumped back to the computational subspace via spontaneous decay onto the ground-state manifold. Note that the coherences initially present between the populations stored in the ground-state manifold are not affected in this process. In a third step (lower-right panel), a  $\pi$  pulse brings the hidden and repumped qubit state back to the computational subspace (lower-left panel).

model for near-term QEC that can be found in any candidate platforms explored to date. Following our hardware-agnostic effort, we describe the main properties of the noise model for nonexperts, and relegate the details to Appendix A.

### 1. Coherent errors for single-qubit gates

Let us consider the global (4) and local (5) single-qubit rotations. As advanced in the Introduction, and described in detail in Appendix A, the single-qubit gates (4) and (5) are defined by parameters  $\theta, \phi$  that depend on the laser-beam intensity and phase, which may fluctuate around the target value  $\theta \rightarrow \theta(t) = \theta + \delta\theta(t)$ ,  $\phi \rightarrow \phi(t) = \phi + \delta\phi(t)$ . The corresponding global rotations used in Fig. 2 must be substituted by

$$X(\theta) \rightarrow \hat{X}(\theta) = e^{-i\frac{\theta(t)}{2} S_{\delta\phi(t)}}, \quad Y(\theta) \rightarrow \hat{Y}(\theta) = e^{-i\frac{\theta(t)}{2} S_{\delta\phi(t)+\pi/2}}, \quad (6)$$

where we have assumed that the phase fluctuations occur on a much slower timescale than the intensity fluctuations. Accordingly, the gates suffer from under- or over-rotations due to laser intensity fluctuations leading to nonzero  $\delta\theta(t)$ ; while the phase drifts  $\delta\phi(t)$  yield fluctuations in the rotation axis

$$S_{\delta\phi(t)} = \sum_i \cos[\delta\phi(t)]X_i + \sin[\delta\phi(t)]Y_i, \\ S_{\delta\phi(t)+\pi/2} = \sum_i \cos[\delta\phi(t)]Y_i - \sin[\delta\phi(t)]X_i. \quad (7)$$

Finally, local rotations in Fig. 2 should be substituted by

$$Z(\theta) \rightarrow \hat{Z}(\theta) = e^{-i\frac{\theta(t)}{2}Z}. \quad (8)$$

In this case, since they arise from two-photon AC-Stark shifts, the typically slow phase drifts have no effect on the rotation axis. Conversely, intensity fluctuations can again yield under and over rotations via  $\delta\theta(t)$ .

In contrast to typical Kraus-map modeling of noise in QEC, our faulty gates are not characterized by a single error rate, but instead by two fluctuating functions  $\delta\theta(t)$ ,  $\delta\phi(t)$  described by a random process with parameters fixed by experimental considerations [68,69]. A hardware-agnostic approach only requires prior knowledge of these fluctuating functions, such that the faulty gates  $\hat{X}(\theta)$ ,  $\hat{Y}(\theta)$ ,  $\hat{Z}(\theta)$  can be simulated in highly parallelized full-wave-function simulations, as discussed below, going in this way beyond the typical Pauli errors explored in QEC [70].

## 2. Error model for two-qubit entangling gates

Let us now consider the MS gates (2) for a two-ion crystal, which are essential ingredients in the FT stabilizer readout for trapped-ion QEC. The MS scheme creates a state-dependent force by exploiting the laser-ion interaction in the regime of resolved phonon sidebands [61,62]. This force, which displaces the ions along trajectories that depend on their electronic state, can also yield a collective geometric phase responsible for the entangling gate [71]. We note that the underlying laser-driven qubit-phonon dynamics can lead to various sources of errors, such as motional errors (i.e., residual spin-phonon entanglement of spectator modes and Debye-Waller fluctuations of the Rabi frequencies) [62], dephasing (i.e., decoherence due to fluctuations of global magnetic fields), and fluctuations of the laser intensity and phase. The time evolution of the two qubits subjected to the laser-ion interaction is

$$\rho(t_g) = \text{Tr}_{\text{ph}}(U_g \rho_0 U_g^\dagger), \quad U_g = e^{-it_g H_0} \mathcal{T} \left\{ e^{-i \int_0^{t_g} dt' H_{\text{int}}(t')} \right\}, \quad (9)$$

where  $\text{Tr}_{\text{ph}}$  represents the partial trace over the phonons,  $t_g$  is the gate time,  $H_0$  contains the independent dynamics of the electronic and vibrational degrees of freedom,  $\mathcal{T}$  is the time-ordering operator, and  $H_{\text{int}}(t')$  describes the laser-ion interactions in the resolved-sideband regime [72], including the above potential sources of errors (see Appendix A). In this microscopic description,  $\rho_0$  contains the initial qubit state and a thermal state for the vibrations with typical phonon numbers described in Table I, whereas the final qubit state is obtained by tracing over the vibrational states on the time-evolved state.

We solve numerically the qubit-phonon dynamics (9), and perform process tomography [73] to express the final qubit state as the result of a generic quantum channel

$$\rho(t_g) = \sum_n p_n K_n \rho_0 K_n^\dagger, \quad \sum_n p_n K_n^\dagger K_n = \mathbb{I}. \quad (10)$$

Here  $K_n$  are two-qubit Kraus operators, and  $p_n$  are their corresponding probabilities, already averaged over the stochastic processes that describe dephasing and laser-parameter fluctuations. Note that all the different sources of error introduced

above will result in a set  $\{p_n\}_{n=1}^{16}$ , where  $p_1 \approx 1$  corresponds to a Kraus operator  $K_1$  close to the ideal MS gate (2), whereas the remaining weights  $\{p_2, p_3, \dots\}$  correspond to the most likely errors and we use a decreasing ordering (see Appendix B). It turns out that these weights decay very fast, and that the more relevant errors occur as single-qubit Pauli operators in the same basis as the MS gate.

For the hardware-agnostic description, once the set  $\{p_n, K_n, \forall n : p_n > p_{\text{trunc}}\}$  is given, one can readily incorporate it in a Monte Carlo approach full-wave-function simulation. For a pure-state Monte Carlo evolution, we need to generate random numbers  $r \in [0, 1]$  and apply the numerically generated  $K_n$  if  $r$  falls in the respective probability interval  $\sum_k^{n-1} p_k \leq r < \sum_k^n p_k$ , where  $p_0 = 0$ . In this way, one samples over all the relevant Kraus operators, such that the stochastic average yields the noisy MS gate.

## 3. Amplitude damping and qubit leakage

Typically, environmental dephasing is considered to be the main source of noise affecting idle trapped-ion qubits [33,59]. However, near-term technical improvements are expected to reach coherence times close to the limit of  $T_2 = 2T_1 \approx 2.2$  s, such that amplitude damping from the metastable state  $|1\rangle = |3D_{5/2}, -1/2\rangle$  into  $|0\rangle = |4S_{1/2}, -1/2\rangle$  must be also considered. Moreover, the spontaneous decay can also populate a ground-state level that does not belong to the computational subspace  $|\ell\rangle = |4S_{1/2}, +1/2\rangle$ , leading to the aforementioned leakage errors. Given the Markovian nature of the electromagnetic environment responsible for this spontaneous decay, and the typical separations between co-trapped ions forming a crystal, we can directly rule out effects from temporal [74] and spatial [75] correlations in the spontaneous decay.

Let us note that the amplitude-damping channel  $\rho(t) = \sum_n L_n \rho L_n^\dagger$  with  $L_0 = |0\rangle\langle 0| + \sqrt{1 - p_{\text{ad}}(t)}|1\rangle\langle 1|$  and  $L_1 = \sqrt{p_{\text{ad}}(t)}|0\rangle\langle 1|$ , can be incorporated in a circuit-based simulation by means of an auxiliary qubit [1]. This ancilla qubit must be entangled with the data qubit via a controlled rotation of angle  $\theta_d$ , a subsequent CNOT gate, and finally measured in the computational basis (see upper panel of Fig. 5). In this simplified case, the rotation angle is fixed by the spontaneously decayed population  $\theta_d = 2\arcsin([1 - \exp(-t_{\text{id}}/T_1)]^{1/2})$ , such that the amplitude-damping error rate is  $p_{\text{ad}}(t) = \sin^2(\theta_d/2)$ .

To incorporate the possible leakage  $\rho(t) = \sum_n L_n \rho L_n^\dagger$  with  $L_0 = |0\rangle\langle 0| + |\ell\rangle\langle \ell| + \sqrt{1 - p_{\text{ad}}(t) - p_\ell(t)}|1\rangle\langle 1|$ ,  $L_1 = \sqrt{p_{\text{ad}}(t)}|0\rangle\langle 1|$ , and  $L_2 = \sqrt{p_\ell(t)}|\ell\rangle\langle 1|$ , we must generalize the circuit to describe this process. We consider that the initial state has no coherences between the computational states and the leaked level. Since the leaked population can only increase by incoherent spontaneous decay, such coherences cannot be built up, and we can therefore use at all times one auxiliary classical bit per ion to store the information about the leaked level, i.e.,  $\ell = 0$  ( $\ell = 1$ ) if the qubit has (has not) leaked. As depicted in the lower panel of Fig. 5, the circuit-based simulation of simultaneous amplitude damping and leakage requires a couple of ancillary qubits, and a pair of controlled rotations that are also conditioned on the qubit not having leaked into  $|\ell\rangle$ . As described in detail in Appendix A(c), the

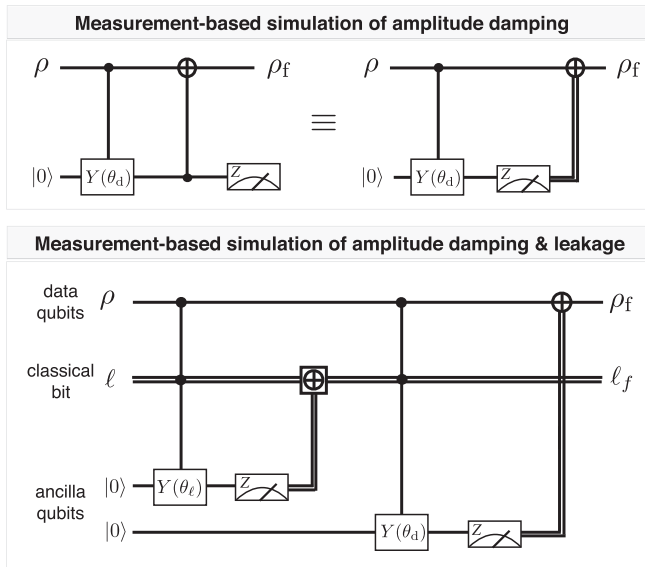


FIG. 5. Circuit-based simulation of amplitude damping and leakage: (Upper panel) The amplitude damping channel can be obtained from a circuit that couples the data qubit to an ancillary qubit using a pair of entangling gates, a conditional  $Y$  rotation, and a CNOT, followed by a measurement of the ancillary qubit. This is fully equivalent to the circuit where the second entangling gate is substituted by a single-qubit rotation that is applied conditional on the result of the ancilla measurement, as depicted by a double line where the classical information determines the subsequent single-qubit rotation. (Lower panel) Circuit to simulate the amplitude damping and leakage, including an auxiliary classical bit to account for leakage events, and a pair of ancillary qubits. These qubits are entangled to the data qubit via controlled  $Y$  rotations that depend on the state of both the classical and quantum bits, such that one can simulate simultaneously the damping and leakage via measurement-dependent operations.

rotation angles must now be set to

$$\begin{aligned}\theta_\ell &= 2 \arcsin \sqrt{\frac{\Gamma'(1 - e^{-(\Gamma+\Gamma')t})}{\Gamma + \Gamma'}}, \\ \theta_d &= 2 \arcsin \sqrt{\frac{\Gamma(1 - e^{-(\Gamma+\Gamma')t})}{\Gamma + \Gamma'e^{-(\Gamma+\Gamma')t}}},\end{aligned}\quad (11)$$

where we have introduced the branching ratio of spontaneous decay into the leaked level  $\Gamma'/\Gamma$ , which is typically small (i.e.,  $\Gamma'/\Gamma \approx 4/9$  for our particular  $^{40}\text{Ca}^+$  optical qubits [76]). We note that in the numerical simulation it is sufficient to use in total merely two ancillary qubits, independently of the number  $N$  of ions, to simulate leakage dynamics on the entire register of trapped-ion qubits.

Following our hardware-agnostic goal, one can model the spontaneous decay by simply implementing the circuit of Fig. 5 with the angles given by Eq. (11). Let us now discuss how the subsequent operations are affected by the leakage. For single qubit gates, if the ion is indeed in the  $|\ell\rangle$  level, the lasers will only cause an off-resonant AC-Stark shift that can be fully neglected as there are no coherences between the leaked and unleaked states. For the two-qubit MS gates, the situation is a

bit more involved. In case both qubits have leaked, the lasers responsible for the gate will be highly off-resonant leading to irrelevant AC-Stark shifts that can be neglected as before. On the other hand, if only one of the qubits has leaked, the lasers will still be near-resonant with the sidebands of the unleaked qubit. This qubit will evolve under a state-dependent force, as discussed in a previous subsection, realizing a trajectory in phase space, during which the spin is entangled with the motion. Note, however, that the timing of the gate still guarantees that the phase-space trajectory will be closed (i.e., it is always an integer multiple of the detuning, regardless of one of the ions not participating in the MS gate). Hence, to leading order in our MS-gate error model, the unleaked qubit simply develops a closed trajectory that is equivalent to the identity operator. For the subsequent elementary operations after the idle periods, if the classical bit signals leakage  $\ell = 0$ , single-qubit gates simply act as the identity, while two-ion MS gates act as the identity on the qubits involved in the gate. Therefore, in a hardware-agnostic language, the elementary operations involving a leaked level correspond to the identity.

In addition to these improved error models, we also use dephasing noise in the Markovian regime, as well as a bit-flip channel to model imperfect qubit initialization and readout [33,59], forming altogether our microscopic trapped-ion error model.

### C. Flag- versus cat-based stabilizer readout

We now describe two possible strategies for one of the crucial operations in active QEC: the readout of the plaquette stabilizers (1). Obtaining  $-1$  measurement values signal the occurrence of errors, which take the state out of the code subspace  $|\Psi\rangle \notin \mathcal{V}_{\text{code}}$ . The role of the active QEC strategies is to devise a strategy to: (a) perform these measurements without affecting the quantum information encoded in the system, (b) avoid the uncontrolled propagation of errors using FT constructions of the corresponding circuits, and (c) devise decoders that allow us to infer the most likely error for a given set of stabilizer measurements. Until very recently [46], there were three main strategies for FT stabilizer readout [47,77,78].

Regarding the trapped-ion experimental capabilities, the required resources can be minimized with a Shor-type readout [33], whereby the nondemolition measurement makes use of ancillary qubits prepared in entangled cat states to avoid the proliferation of errors during the FT readout [47,48]. However, the preparation and certification of highly entangled cat states is still a resource-intensive requirement. As discussed in Ref. [59], the resources can be optimized further by moving into a flag-based readout scheme [46,79], whereby the cat states are substituted by a so-called flag qubit, which is operated in combination with a bare syndrome qubit onto which the stabilizer information gets mapped. One of the goals of the present work is to perform a comparative numerical study of cat- and flag-based approaches using the realistic trapped-ion error model. We thus start by describing these two different FT strategies.

In the flag-based approach, the flag qubit is coupled to the syndrome qubit by a pair of MS entangling gates (see Fig. 6), which serves to detect the cascading of correlated

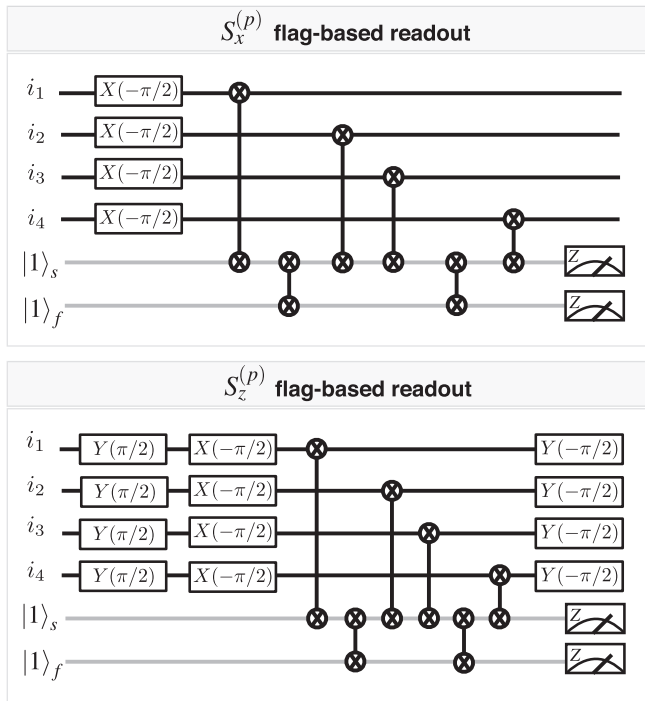


FIG. 6. Flag-based stabilizer readout with the trapped-ion universal gate set: Trapped-ion circuit for the flag-based measurements of  $S_x^{(p)}$ ,  $S_z^{(p)}$ . The upper qubits labeled as  $i_1, i_2, i_3, i_4$  correspond to one of the stabilizers (1) of a particular plaquette (see Fig. 1), and are represented by black lines. The lower qubits  $s, f$ , represented by gray lines, correspond to the syndrome and flag ancillary qubits, respectively, which are initialized in the  $|1\rangle$  state. Note that the  $z$ -type stabilizer readout is obtained from the  $x$ -stabilizer readout by a simple  $y$  rotation, and there is no need to change control and target as occurs for CNOT-based schemes.

errors into the data qubits. The combination of the flag readout with subsequent stabilizer measurements allows us to identify and correct the most likely error. Let us recall that errors propagate through the entangling MS gates acting on the  $i, j$  pair of qubits as follows:  $U_{MS,0}(\pi/2)X_i = X_iU_{MS,0}(\pi/2)$ ,  $U_{MS,0}(\pi/2)X_j = X_jU_{MS,0}(\pi/2)$ ,  $U_{MS,0}(\pi/2)Z_i = Y_iX_jU_{MS,0}(\pi/2)$ , and  $U_{MS,0}(\pi/2)Z_j = X_iZ_jU_{MS,0}(\pi/2)$  [33]. Using the rules for the propagation, together with the straightforward rotations by single-qubit gates, one can ascertain that an error has indeed occurred whenever the flag is triggered (i.e., projective measurement in the  $z$  basis  $M_f = -1$ ). By performing a subsequent measurement of the three conjugate stabilizers, one can determine and correct the most likely error including the potentially dangerous correlated errors (see Table II). Note that these subsequent measurements can be realized using the unflagged versions of the circuits (i.e., using a bare syndrome qubit) while maintaining the fault tolerance at level 1 (i.e., the correcting power of the seven-qubit code, namely  $t = 1$ , is not compromised by the syndrome extraction circuits, which maintain fault tolerance at this level and do not allow errors to cascade). The reason is that since the flag has already been triggered, and the seven-qubit color code can only cope with a single error, the only correctable events are those where subsequent gates do not introduce additional errors, such that fault tolerance can be attained using bare

ancillas. If, on the other hand, the flag is not triggered but the stabilizer signals an error  $-1$ , we know that an error must have occurred on a single qubit at FT level 1, such that we can again measure the remaining stabilizers with unflagged circuits to find which single-qubit error is the most likely one.

Let us now describe the so-called DiVicenzo-Aliferis scheme [48], which is the scheme requiring less resources for a trapped-ion implementation within the class of cat-state based approaches [33]. In particular, four ancillary qubits, prepared in a cat state by a sequence of single- and two-qubit gates, are coupled to the data qubits of a certain plaquette via sequential MS gates (see Fig. 7). The main idea of this scheme is that the measurements of the ancillary qubits  $M_{a_3}, M_{a_4}$  can be used to detect if a correlated error may have propagated into the data block, compromising the FT nature of the readout. In particular, if  $(M_{a_3}, M_{a_4}) = (+1, -1)$  during the measurement of the  $X$  ( $Z$ ) type stabilizer, the most likely error is a two-qubit phase (bit) flip error  $Z_{i_3}Z_{i_4}$  ( $X_{i_3}X_{i_4}$ ), which must be corrected to guarantee fault tolerance. On the other hand, if  $(M_{a_3}, M_{a_4}) = (-1, +1)$ , only a single-qubit error may have occurred, which can be identified by measuring all the remaining stabilizers (see Table III). We note that the stabilizer information is encoded in the parity of the measurement of the two remaining ancilla qubits  $S_{\alpha}^{(p)}|\Psi\rangle = -M_{a_1}M_{a_2}|\Psi\rangle$ . Let us remark that, to avoid a wrong syndrome extraction due to faulty measurements, the stabilizer readout must be performed twice, or three times if the results do not agree, keeping the syndrome inferred from these last measurements.

It is already apparent by comparing Figs. 6 and 7 that the cat-based approach requires more resources than the flag-based scheme, not only in terms of qubits but also in terms of the required operations. Note also that, although the syndrome extraction of Tables II and III seem to be simpler for the cat-based approach, the cat-based approach indeed requires more resources in terms of operations, as the readout needs to be performed up to three times to discard wrong syndromes due to measurement errors. We also note that the QCCD trapped-ion implementation of these circuits will contain a considerable overhead of the other elementary operations of Table I, such as various required crystal reconfigurations. Therefore, the resource-consuming nature of the cat-based approach can only get amplified when one considers a realistic trapped-ion implementation. The goal of this work is to explore how these differences affect the QEC performance at a quantitative level, and determine if the flag-based approach can be an important improvement for the demonstration of beneficial QEC in trapped ions under a realistic microscopic model of noise.

#### D. Optimized FT flag-based encoding

Another important QEC operation is the redundant encoding of a particular logical state, such as  $|0\rangle_L$  or  $|+\rangle_L$ . An advantage of the stabilizer formalism is the existence of clear strategies to accomplish such encoding [1]. For the seven-qubit color code, one may start from  $|\psi_0\rangle = \otimes_i |0\rangle_i$ , and measure fault tolerantly all  $X$ -type stabilizers (1) following, for instance, the two approaches discussed above. Depending on the outcome of these measurements, one can perform the corresponding operations to project into the code subspace,



TABLE II. Decoding table for the trapped-ion flag-based syndrome extraction: (Upper panel) The procedure for the readout of the  $S_x^{(p)}$  plaquette stabilizers in order  $p = 1, 2, 3$  depends on the outcome of the flag. If no flag is triggered  $M_f = +1$ , and no error is detected  $M_s = +1$  in the syndrome qubit, one can move to the next stabilizer  $p + 1$ . If the flag is not triggered  $M_f = +1$ , but a syndrome error is detected  $M_s = -1$ , one proceeds to measure all remaining  $X$ -type stabilizers with unflagged circuits, and identify the single-qubit phase-flip error using the leftmost column. If, on the other hand, the flag is triggered  $M_f = -1$ , one should measure all remaining stabilizers using unflagged circuits to identify the error, possibly correlated, that has indeed occurred (three remaining columns). The decoding depends on the particular plaquette where the flag is triggered, and is specific to the chosen order,  $p = 1, 2, 3$  in this case. (Lower panel) The procedure for the readout of the  $S_z^{(p)}$  plaquette stabilizers is analogous, but the roles of  $Z$  and  $X$  are exchanged everywhere.

Chao-Reichardt flag-based readout of $X$ -type stabilizers							
No flag triggered $M_f = +1$		$S_x^{(1)}$ flag triggered $M_f = -1$		$S_x^{(2)}$ flag triggered $M_f = -1$		$S_x^{(3)}$ flag triggered $M_f = -1$	
Syndrome ( $S_x^{(1)}, S_x^{(2)}, S_x^{(3)}$ )	Error correction	Syndrome ( $S_z^{(1)}, S_z^{(2)}, S_z^{(3)}$ )	Error correction	Syndrome ( $S_z^{(1)}, S_z^{(2)}, S_z^{(3)}$ )	Error correction	Syndrome ( $S_z^{(1)}, S_z^{(2)}, S_z^{(3)}$ )	Error correction
(+1, +1, +1)	$\mathbb{I}$	(+1, +1, +1)	$X_f$	(+1, +1, +1)	$X_f$	(+1, +1, +1)	$X_f$
(+1, +1, -1)	$Z_7$	(+1, +1, -1)	$X_7X_f$	(+1, +1, -1)	$X_5X_6$	(+1, +1, -1)	$X_7$
(+1, -1, +1)	$Z_5$	(+1, -1, +1)	$X_3X_4$	(+1, -1, +1)	$X_5X_f$	(+1, -1, +1)	$X_6X_7$
(+1, -1, -1)	$Z_6$	(+1, -1, -1)	$X_6X_f$	(+1, -1, -1)	$X_6$	(+1, -1, -1)	$X_6X_f$
(-1, +1, +1)	$Z_1$	(-1, +1, +1)	$X_1$	(-1, +1, +1)	$X_1X_f$	(-1, +1, +1)	$X_1X_f$
(-1, +1, -1)	$Z_4$	(-1, +1, -1)	$X_4$	(-1, +1, -1)	$X_4X_f$	(-1, +1, -1)	$X_4X_f$
(-1, -1, +1)	$Z_2$	(-1, -1, +1)	$X_2X_f$	(-1, -1, +1)	$X_2$	(-1, -1, +1)	$X_2X_f$
(-1, -1, -1)	$Z_3$	(-1, -1, -1)	$X_3X_f$	(-1, -1, -1)	$X_3X_f$	(-1, -1, -1)	$X_3$

Chao-Reichardt flag-based readout of $Z$ -type stabilizers							
No flag triggered $M_f = +1$		$S_z^{(1)}$ flag triggered $M_f = -1$		$S_z^{(2)}$ flag triggered $M_f = -1$		$S_z^{(3)}$ flag triggered $M_f = -1$	
Syndrome ( $S_z^{(1)}, S_z^{(2)}, S_z^{(3)}$ )	Error correction	Syndrome ( $S_x^{(1)}, S_x^{(2)}, S_x^{(3)}$ )	Error correction	Syndrome ( $S_x^{(1)}, S_x^{(2)}, S_x^{(3)}$ )	Error correction	Syndrome ( $S_x^{(1)}, S_x^{(2)}, S_x^{(3)}$ )	Error correction
(+1, +1, +1)	$\mathbb{I}$	(+1, +1, +1)	$Z_f$	(+1, +1, +1)	$Z_f$	(+1, +1, +1)	$Z_f$
(+1, +1, -1)	$X_7$	(+1, +1, -1)	$Z_7Z_f$	(+1, +1, -1)	$Z_5Z_6$	(+1, +1, -1)	$Z_7$
(+1, -1, +1)	$X_5$	(+1, -1, +1)	$Z_3Z_4$	(+1, -1, +1)	$Z_5Z_f$	(+1, -1, +1)	$Z_6Z_7$
(+1, -1, -1)	$X_6$	(+1, -1, -1)	$Z_6Z_f$	(+1, -1, -1)	$Z_6$	(+1, -1, -1)	$Z_6Z_f$
(-1, +1, +1)	$X_1$	(-1, +1, +1)	$Z_1$	(-1, +1, +1)	$Z_1Z_f$	(-1, +1, +1)	$Z_1Z_f$
(-1, +1, -1)	$X_4$	(-1, +1, -1)	$Z_4$	(-1, +1, -1)	$Z_4Z_f$	(-1, +1, -1)	$Z_4Z_f$
(-1, -1, +1)	$X_2$	(-1, -1, +1)	$Z_2Z_f$	(-1, -1, +1)	$Z_2$	(-1, -1, +1)	$Z_2Z_f$
(-1, -1, -1)	$X_3$	(-1, -1, -1)	$Z_3Z_f$	(-1, -1, -1)	$Z_3Z_f$	(-1, -1, -1)	$Z_3$

TABLE III. Decoding table for the trapped-ion cat-based syndrome extraction: The procedure for the cat-based syndrome extraction of the  $S_\alpha^{(p)}$  plaquette stabilizers depends on the combined readout of the pair of ancillary qubits  $M_{a_3}, M_{a_4}$ . If one finds  $M_{a_3} = -1, M_{a_4} = +1$ , only a single-qubit error may have occurred at FT level 1, which can be identified from the combined measurements of all the stabilizers according to the two leftmost columns. Conversely, the values  $M_{a_3} = +1, M_{a_4} = -1$  signal that a correlated error may have propagated into the data qubits. The most likely dangerous errors are listed in the two rightmost columns, and depend on which stabilizer was being measured.

DiVicenzo-Aliferis cat-based readout of the stabilizers							
Correlated error absent $M_{a_3} = -1, M_{a_4} = +1$				Correlated error present $M_{a_3} = +1, M_{a_4} = -1$			
Syndrome ( $S_x^{(1)}, S_x^{(2)}, S_x^{(3)}$ )	Error correction	Syndrome ( $S_z^{(1)}, S_z^{(2)}, S_z^{(3)}$ )	Error correction	Corresponding stabilizer	Error correction	Corresponding stabilizer	Error correction
(+1, +1, +1)	$\mathbb{I}$	(+1, +1, +1)	$\mathbb{I}$	$S_x^{(1)}$	$Z_3Z_4$	$S_z^{(1)}$	$X_3X_4$
(+1, +1, -1)	$Z_7$	(+1, +1, -1)	$X_7$	$S_x^{(2)}$	$Z_5Z_6$	$S_z^{(2)}$	$X_5X_6$
(+1, -1, +1)	$Z_5$	(+1, -1, +1)	$X_5$	$S_x^{(3)}$	$Z_6Z_7$	$S_z^{(3)}$	$X_6X_7$
(+1, -1, -1)	$Z_6$	(+1, -1, -1)	$X_6$				
(-1, +1, +1)	$Z_1$	(-1, +1, +1)	$X_1$				
(-1, +1, -1)	$Z_4$	(-1, +1, -1)	$X_4$				
(-1, -1, +1)	$Z_2$	(-1, -1, +1)	$X_2$				
(-1, -1, -1)	$Z_3$	(-1, -1, -1)	$X_3$				

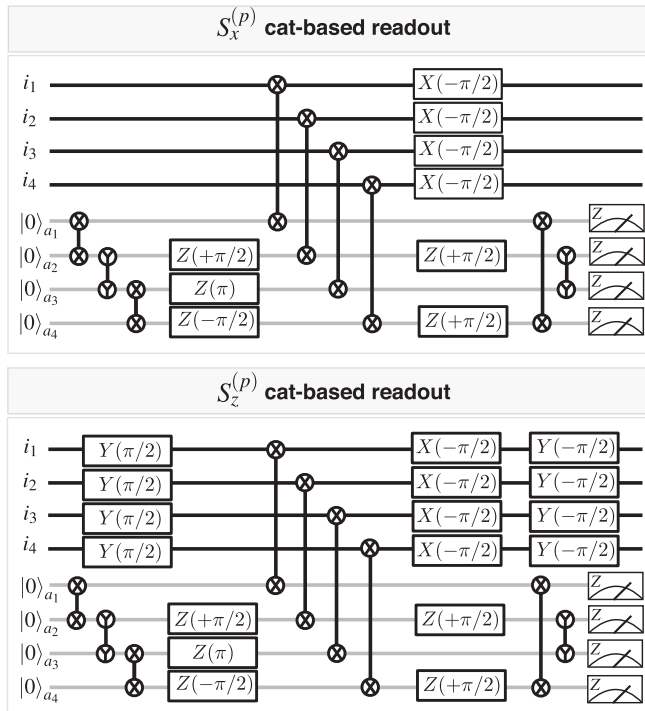


FIG. 7. Trapped-ion cat-based stabilizer readout: Trapped-ion circuit for the cat-based measurements of  $S_x^{(p)}$ ,  $S_z^{(p)}$ . The upper qubits  $i_1, i_2, i_3, i_4$ , represented by black lines, correspond to one of the stabilizers (1) of a particular plaquette (see Fig. 1). The lower qubits  $a_1, a_2, a_3, a_4$ , represented by gray lines, correspond to the syndrome ancillary qubits prepared in a verified cat state from the initial  $|0\rangle$  product state. Here  $a_1, a_2$  contain the stabilizer information, whereas  $a_3, a_4$  are used to verify that no correlated error has occurred during the cat-state preparation, which would cascade into the data qubits. Note again that the  $z$ -type stabilizer readout is obtained from the  $x$ -stabilizer readout by a simple  $y$  rotation.

e.g., into  $|0\rangle_L$ . Likewise, for the  $|+\rangle_L$  encoding, one simply needs to exchange the roles of the  $x$  and  $z$  bases. Note however, that this is a resource-intensive approach, as it requires performing full rounds of active QEC.

Interestingly, there are more efficient encoding strategies based on a verification step to ascertain that the desired level of fault tolerance has been achieved. Starting from Steane's encoding based on Latin rectangles [80], it is possible to optimize the encoding into  $|0\rangle_L$  following the strategy discussed in Ref. [81]. However, if errors take place, the imperfect encoding becomes non-FT, as pairs of data qubits from the same logical block are coupled, and single errors can cascade into multiple errors. We now describe a flag-based approach that can detect and correct such dangerous correlated errors (see Fig. 8).

As realized by Goto [82] for the CNOT version of this circuit, prior to the last pair of entangling gates inside the leftmost shaded rectangle of Fig. 8, all propagated errors are equivalent to single-qubit errors. Since these are correctable within the seven-qubit color code, one can ensure fault tolerance at level 1 by making sure that no correlated error is being created by the last pair of MS gates. The verification

step can be thus greatly simplified, as it only requires detecting two dangerous bit flips, one of which is highlighted in Fig. 8. The verification can be accomplished by two additional MS gates that couple the data qubits to an ancillary flag qubit (see the blue pair of gates in Fig. 8). As depicted in this figure, one can detect when such a correlated error has occurred by measuring the flag qubit in the  $z$  basis  $M_f = -1$ . Additionally, by introducing an MS gate between the first data qubit and the ancilla (see the green gate in Fig. 8), the measurement gives information about the logical operator of the seven-qubit code, which indeed stabilizes the logical  $|0\rangle_L$  state, such that the target encoding into  $|0\rangle_L$  is not altered by the verification step.

Let us now go beyond by showing that one cannot only detect when a correlated error may have occurred and use post-selection to achieve a FT encoding, but also distinguish between the two types of correlated errors, and correct them instead of using post-selection. The philosophy is similar to the flag-based approach, as it relies on additional measurements, which can be used in combination with the flag measurement to correct for the dangerous correlated errors. By measuring the logical operators  $Z_L = Z_1 Z_2 Z_5$  and  $Z'_L = Z_5 Z_6 Z_7$  of Fig. 9, we can ascertain that the two-qubit errors become equivalent to single-qubit errors (up to the code stabilizers) after the corrections listed in the lower table of Fig. 9, such that fault tolerance at level 1 is achieved without any post-selection. Let us finally note that the FT encoding into the logical  $|+\rangle_L$  state can be achieved using the same circuit, and applying a Hadamard gate (see Fig. 2) to all qubits in the data block, right at the end of the circuit. This is a direct consequence of the transversality of the Hadamard gate in color codes.

## II. NUMERICAL ASSESSMENT OF THE FT PERFORMANCE OF TRAPPED-ION QEC

### A. Assessing the benefit of trapped-ion QEC

A possible criterion to determine the success of FT-QEC would be to demonstrate a reduced error rate for a set of representative quantum circuits, including non-Clifford operations, with respect to the best possible unencoded qubits [30]. This criterion, however, is too demanding for near-future experiments in various technologies. For trapped ions, the very large coherence times on the order of seconds, together with the very high fidelities for elementary operations exceeding 99.9%, indicate that the above criterion will be extremely difficult to meet with near-term devices considering the overhead in complexity for QEC (i.e., these bare operations and qualities are already so good that it is unlikely that short-distance QEC codes, with an increased complexity and making use of lower-fidelity entangling gates, will allow us to improve them further). In order to assess the progress of near-term QEC, a milder criterion would be to demonstrate that a complete round of error detection and correction proves to be beneficial rather than detrimental. This criterion should be applied to a setup that, although it might not use the best bare qubits nor the best single- and two-qubit gates, it suffices to accommodate all the requirements for QEC with small codes, and has a clear route for scaling up towards larger distances.

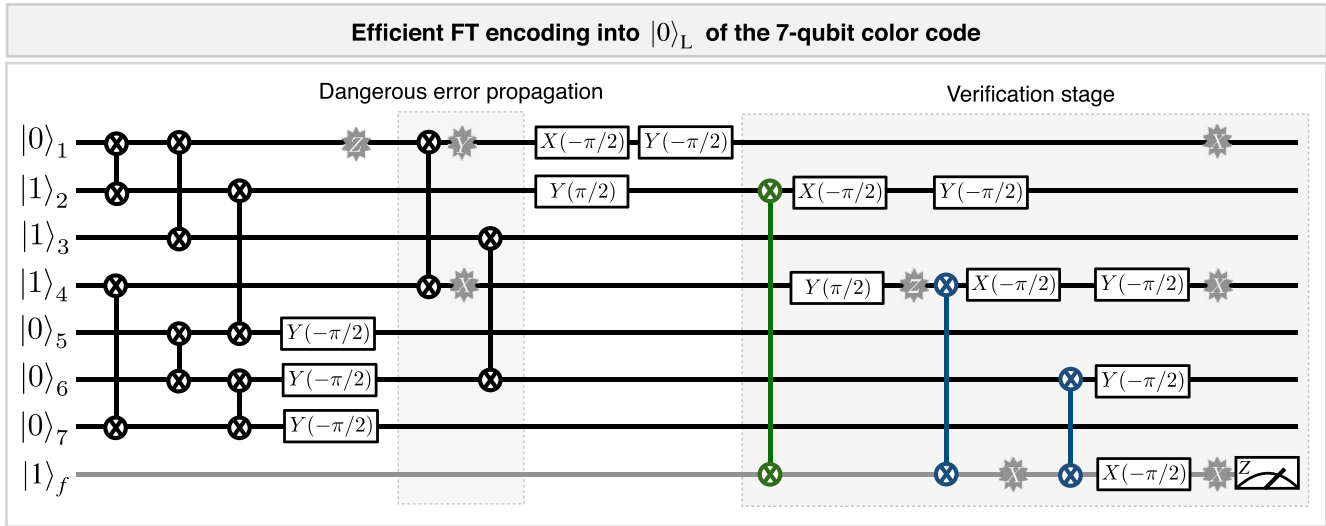
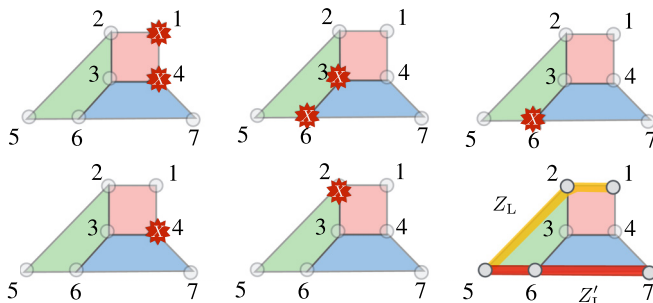


FIG. 8. Fault-tolerant MS-based encoding in the seven-qubit color code: Encoding circuit for the logical  $|0\rangle_L$  based on a particular sequence of eight MS gates, and six single-qubit rotations. The last pair of MS gates, inside a shaded rectangle, can lead to two-qubit errors in the data block, and must be detected by a flag qubit to ensure fault tolerance. This verification step, inside the rightmost shaded rectangle, consists of three MS gates that couple data qubits to the ancillary qubit (in gray), and seven single-qubit rotations. By using the error propagation of MS gates mentioned above, one can check that the single phase-flip error during the preparation turns into a pair of bit-flip errors, detected by the flag measurement  $M_f = -1$ . The corresponding errors are represented with gray stars, and the labels indicate their type as one propagates through the circuit.

This criterion can be translated into a quantitative, and experimentally relevant, assessment to guide future quantum hardware developments by introducing the concept of



Flag ancilla detects an error $M_f = -1$				
$(Z_L, Z'_L)$	$(+1, +1)$	$(+1, -1)$	$(-1, +1)$	$(-1, -1)$
Errors	$X_4, X_f$	$X_3X_6, X_6$	$X_1X_4, X_2$	Higher weight
Correction	$I$	$X_6$	$X_2$	$I$

FIG. 9. Flag-based correction of encoding correlated errors: (Upper panel) Possible errors, represented by red stars, which may have taken place when the ancilla measurement of Fig. 8 yields  $M_f = -1$ . By measuring the two equivalent logical operators  $Z_L$  and  $Z'_L$ , it is possible to find single-qubit corrections that will turn the possible errors into single-qubit errors (lower panel) that can be corrected by the QEC code. Note that when the logical outcomes are  $Z_L = Z'_L = -1$ , this should be caused by an operator that involves more qubits and has not arisen via propagation, but instead by multiple errors occurring on the bare physical qubits. These errors cannot be corrected by the code anyway, so the flag-based routine is FT at level 1.

integrity of a quantum memory for a particular quantum-information task: state discrimination [33]. As formalized in Ref. [83], we start by considering that a qubit with density matrix  $\rho$  is to be stored in a code-based memory channel  $\Phi$  for a specified period of time through the following process. (i) *Setup*: At  $t = 0$  Alice encodes the single qubit  $\rho$  into an  $n$ -qubit logical code  $\rho_n = E(\rho)$  where  $E$  is the encoding map. (ii) *Noise channel*: Evolution and degradation of the logical qubit occurs while it is stored, and which may include the effects of active QEC, informally denoted as action by Igor. We have  $\rho'_n = N(\rho_n)$  where  $N$  is the noise map. (iii) *Conclusion*: At  $t = \tau$ , Bob performs a QEC cycle, and then reverses Alice’s encoding process to obtain a single physical qubit  $\tilde{\rho} = D(\rho'_n)$  where  $D$  is the decoding map.

We want to evaluate quantitatively the integrity of the entire channel  $\Phi = D \circ N \circ E$ , thus incorporating Alice’s encoding  $E$ , the noise and Igor’s QEC  $N$ , and Bob’s decoding  $D$ . Intuitively, integrity is “the probability that Bob, receiving a logical qubit from the memory, can infer its state.” More precisely, it is the probability that Bob can still distinguish two states  $\psi, \psi_\perp$  that were, when originally prepared by Alice, fully distinguishable [83]. Thus, the integrity  $\mathcal{R}$  of the quantum memory channel can be defined as

$$\mathcal{R}(\Phi) = \min_\psi \mathcal{D}(\Phi(\psi), \Phi(\psi_\perp)), \tag{12}$$

where  $\mathcal{D}(A, B) = \frac{1}{2} \text{Tr}\{[(A - B)^\dagger(A - B)]^{1/2}\}$  is the trace distance derived from the trace norm [1]. We recall that the maximum probability that an ideal experimentalist would succeed in identifying which of two states,  $\rho_1$  and  $\rho_2$ , he or she has been presented with, given a 50:50 prior, is  $p_g = \frac{1}{2} + \frac{1}{2}\mathcal{R}(\rho_1, \rho_2)$ . Therefore, the minimum in definition (12) ensures that we consider all possible states that Alice might

encode, reporting the worst possible degradation. To measure the integrity of a memory channel, we consider that Bob is given the information that Alice's initial qubit was  $\psi$  or  $\psi_{\perp}$ . Bob then makes a guess by a measurement of his choice to determine if  $\Phi(\rho)$  is  $\Phi(\psi)$  or  $\Phi(\psi_{\perp})$ , with worst case probability  $p_g$ , such that  $\mathcal{R}(\Phi) = 2p_g - 1$ . A key enabling observation [83] is that, for a wide variety of error models, the minimum of Eq. (12) can be found by having Alice only preparing logical states in the Pauli  $x$ ,  $y$ , or  $z$  basis. Moreover, Bob will have optimal performance when he measures in the same basis which Alice has used, which makes the protocol experimentally practical.

The integrity can be used to quantify the beneficial nature of QEC (i.e., the level of success of Igor) by using  $\Phi^m$  to label the memory channel when Igor performs  $m$  rounds of error correction [83], and defining a series of milestones:

(M1) *Beneficial error correction*: A round of QEC is beneficial if Bob's probability of subsequently discriminating the state correctly is higher when Igor indeed performs that round

$$\mathcal{R}(\Phi^1) > \mathcal{R}(\Phi^0) \text{ for some memory time } \tau. \quad (13)$$

(M2) *Beneficial multiround error correction*: For a sufficiently high performing Igor, and a long  $\tau$ , it will be beneficial to have multiple rounds of correction. This will be a signature of progress toward a practical quantum memory

$$\mathcal{R}(\Phi^m) > \mathcal{R}(\Phi^{m-1}) \text{ for some memory time } \tau. \quad (14)$$

These two milestones compare the memory integrity of an error-corrected encoded qubit with an encoded qubit without additional QEC. However, we would also like to address the question of whether it is worth using encoded memories at all, which requires comparing to error-corrected encoded qubit with a simple unencoded memory. We use the symbol  $\Theta$  for that memory channel, and define the following milestones:

(M3) *Beneficial encoded memory*: The actively corrected encoded memory beats the simple single-qubit memory

$$\mathcal{R}(\Phi^m) > \mathcal{R}(\Theta) \text{ for some memory time } \tau. \quad (15)$$

Here we require only that this is true for some specific value of  $m > 0$ . We note that this milestone and the concept of a "pseudothreshold" [84,85] can be related [83].

(M4) *Strictly superior encoded memory*: The most challenging goal of our memory characterization is

$$\max_m \mathcal{R}(\Phi^m) > \mathcal{R}(\Theta) \text{ for any memory time } \tau. \quad (16)$$

Here the maximum is over a family of memory channels having the same duration, but with differing numbers of error correction cycles  $m$ . If this condition is satisfied, it means that for any desired duration  $\tau$ , we can sustain our encoded quantum memory at a higher integrity than a single physical qubit memory by applying a suitable number of QEC cycles.

Building on these milestones, we describe in the following sections numerical results obtained from full wave-function simulations, which aim at assessing the performance of the trapped-ion color code under the improved microscopic error models. These numerical results replace the previous study with a simpler noise model [33], which furthermore assumed ideal nonfaulty encoding  $E$  and decoding  $D$  maps. In this way,

the present results represent a nontrivial increase in sophistication of the description of the experimental architecture and, we believe, one of the most sophisticated numerical simulations of QEC in a realistic quantum processor to date.

## B. Leakage noise: Beneficial QEC by repumping

Let us start by exploring numerically the effects of leakage noise since, as argued above, it has the potential of causing a large detrimental effect on the performance of trapped-ion QEC. We recall that the seven-qubit color code can correct for bit- and phase-flip errors, but cannot overcome the effects of population leaked from the computational subspace. As discussed in the previous section, the Alice-Igor-Bob scenario of state discrimination offers a clear, intuitive, and quantitative method to assess the prospects of trapped-ion hardware to demonstrate beneficial QEC [33,83]. In a previous study [33], in order to single out Igor's QEC capabilities clearly, Alice and Bob were modeled as ideal agents that can encode and decode any quantum state perfectly. However, Alice's encoding and Bob's decoding will present imperfections in any realistic experiment, which could interfere and complicate our assessment of the beneficial role of Igor. In this section we quantify this potential interference by numerically studying the memory integrity using faulty encoding and decoding strategies.

To understand the impact of leakage, we use the aforementioned Alice-Igor-Bob framework, where Alice encodes imperfectly a logical  $|+\rangle_L$  (or  $|-\rangle_L$ ), which then experiences a period of environmental noise before Igor does a round of imperfect flag-based QEC. Finally, this logical state is subjected to a second period of environmental exposure, before an imperfect Bob finally attempts to determine whether Alice created  $|+\rangle_L$  or  $|-\rangle_L$ . For the environmental noise model, we consider the improved microscopic error model of Sec. IB, and artificially switch on and off the leakage and the repumping sequence, which will be applied prior to gates of Igor's attempt at QEC. In Fig. 10 we present these numerical studies, which will allow us to discuss neatly the dangerous effects of leakage, and how to combat them with repumping.

The green dashed-dotted line represents the integrity  $\mathcal{R}(\Theta)$  of the unencoded memory using a bare physical qubit, and only serves the purpose of providing a guide-to-the-eye for the expected degradation of the memory due to the trapped-ion environmental noise, which includes damping and leakage. Let us note that the linear decay of the integrity display, in this case, how single-qubit errors acting on the physical qubit affect the bare physical memory. The blue dashed line stands for the integrity of the encoded memory, after a single round of flag-based QEC by Igor  $\mathcal{R}(\Phi^1)$ , where the spontaneous emission from the metastable state only contributes with amplitude damping (i.e., we artificially set  $\Gamma' = 0$ ,  $\Gamma = 1/T_1$ , thus switching off the leakage). In this way, this line serves as a guide-to-the-eye for the optimal beneficial effects of Igor's QEC, as the single-qubit environmental noise and gate errors can now be corrected by the seven-qubit color code. We note that the characteristic nonlinear decay of the integrity gives direct evidence of the fault tolerance of the scheme (i.e., only two or more errors can affect the encoded memory, such that the short-time scaling of the integrity is quadratic rather than

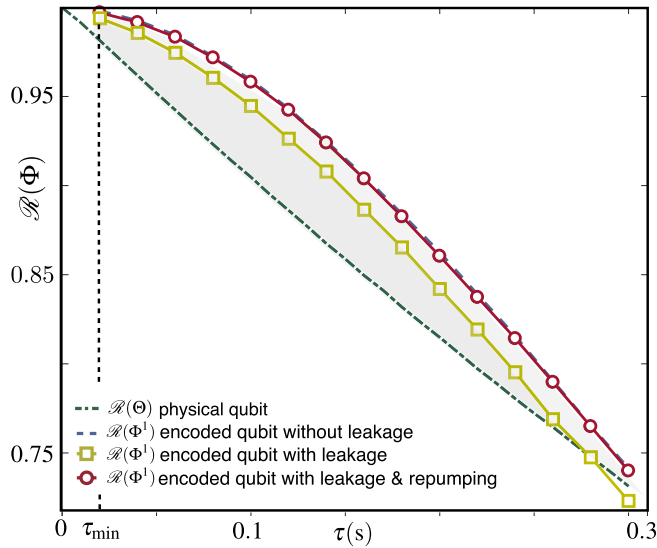


FIG. 10. Beneficial QEC with repumping sequence: Integrity of bare and encoded quantum memories as a function of the memory time  $\tau$ . The green dashed-dotted line represents the integrity degradation for a bare physical qubit due to environmental noise, including both dephasing and spontaneous emission including a 4:9 damping to leakage ratio. The yellow squares represent the integrity for an encoded memory when Igor performs a single round of QEC at  $\tau/2$ , which aims to correct errors from the environmental noise and Alice's imperfect encoding. When the repumping sequence is applied prior to gates of Igor's QEC cycle, the memory integrity increases considerably (red circles), almost reaching the maximum set by a noise model where spontaneous emission only occurs in the damping channel without any leakage (blue dashed line).

linear). The same interpretation of the characteristic scaling applies to other figures in the paper. Notice how the memory integrity is only defined after a finite  $\tau_{\min}$ , which corresponds to the time required by Igor's flag-based QEC cycle. For  $\tau > \tau_{\min}$ , the encoded quantum memory is subjected to additional environmental noise in the time lapses before and after Igor's attempt at QEC. Let us also note that, in contrast to the bare memory, the encoded memory integrity would not start from the maximal value  $\mathcal{R}(\Phi^1) = 1$  even for a perfect encoding by Alice. Instead, it does start from a lower integrity, as the imperfect QEC contributes to the initial degradation. The clear advantage of QEC is that the initial slope of the integrity signals a slower degradation with respect to the bare single-qubit memory.

With these limiting cases, we can now understand the effects of leakage noise. The yellow squares represent the same QEC integrity  $\mathcal{R}(\Phi^1)$ , but this time activating a maximal leakage (i.e.,  $\Gamma = \Gamma' = 1/2T_1$ ). As the figure clearly shows, the integrity gets degraded considerably faster with respect to the noise model without leakage (blue dashed line), leading to a much smaller region where QEC is beneficial in comparison to the bare single-qubit memory (i.e., compare the shaded gray regions). This confirms our previous expectation that the effect of leakage can have important detrimental effects and compromise considerably the prospects of demonstrating beneficial QEC in near-term architectures. Let us note that, although this limiting case  $\Gamma = \Gamma'$  does not represent the

optical qubit, it gives a qualitative description of the maximal effect of leakage in other qubit choices where the leakage can be higher (i.e., hyperfine qubits driven by two-photon Raman transitions [86]). Let us now discuss how the repumping scheme can overcome the additional leakage degradation even in this worst case scenario. As shown by the red circles, which represent the integrity  $\mathcal{R}(\Phi^1)$  when repumping is applied prior to gates of the QEC cycle, the degradation of the integrity almost reaches the optimal case where all the spontaneous decay occurs in the amplitude damping channel (i.e., blue dashed line). These results also confirm our previous statement that the repumping turns leakage into a sort of amplitude damping, which is correctable by the QEC code.

### C. Break-even point: Assessing the performance of flag- and cat-based trapped-ion QEC

Once the method to combat leakage noise has been benchmarked with our microscopic model of flag-based trapped-ion QEC, let us move on to a comparative numerical study of the performance of cat- and flag-based approaches for QEC with the trapped-ion seven-qubit color code. We now use the complete and realistic microscopic noise model discussed at length in Sec. 1B, and set the leakage and amplitude-damping rates to the experimental value of  $\Gamma' = 4\Gamma/9$ . We remind the reader that, in order to simulate numerically the different QEC approaches, we have to translate the corresponding circuits (see Figs. 6, 7, and 9) into the corresponding microscopic schedules with the sequence of elementary operations in the QCCD trapped-ion processor (see Appendix B). These microscopic schedules are then translated into the corresponding sequence of faulty operations and idle periods where the environmental noise affects the idle qubits, which is then numerically simulated using our full wave-function Monte Carlo approach.

Let us start by considering the milestones M1 and M3, which will allow us to explore the beneficial nature of a single round of QEC, comparing the potential of cat- and flag-based approaches. The numerical results for the Alice-Igor-Bob scheme to quantify the memory integrity of both QEC schemes are presented in Fig. 11. In the left panel, we use the green dashed-dotted (blue dashed) line as a guide-to-the-eye representing the integrity degradation of a bare physical (encoded but not corrected) memory. In addition, we represent the encoded memory integrity when Igor performs a single round of QEC using the cat-based (yellow squares) and flag-based (red circles) approaches. This figure clearly shows that, for memory times beyond the corresponding  $\tau_{\min}$ , both schemes are always better than the encoded but not QEC memory (blue dashed line), thus meeting the criterion (13) for milestone M1 of beneficial error correction. Let us note that this is in general not always guaranteed, as the attempt of QEC introduces a large overhead of additional faulty operations that may introduce more noise than the one that the code can correct for. One can see from the inset of the right panel that the effect of the faulty QEC is to start off from a lower integrity (see the dashed black lines), which could potentially lie below the uncorrected encoded integrity (blue dashed line) if Igor's QEC capabilities were not sufficiently good. In the present case, however, our numerical results show that the

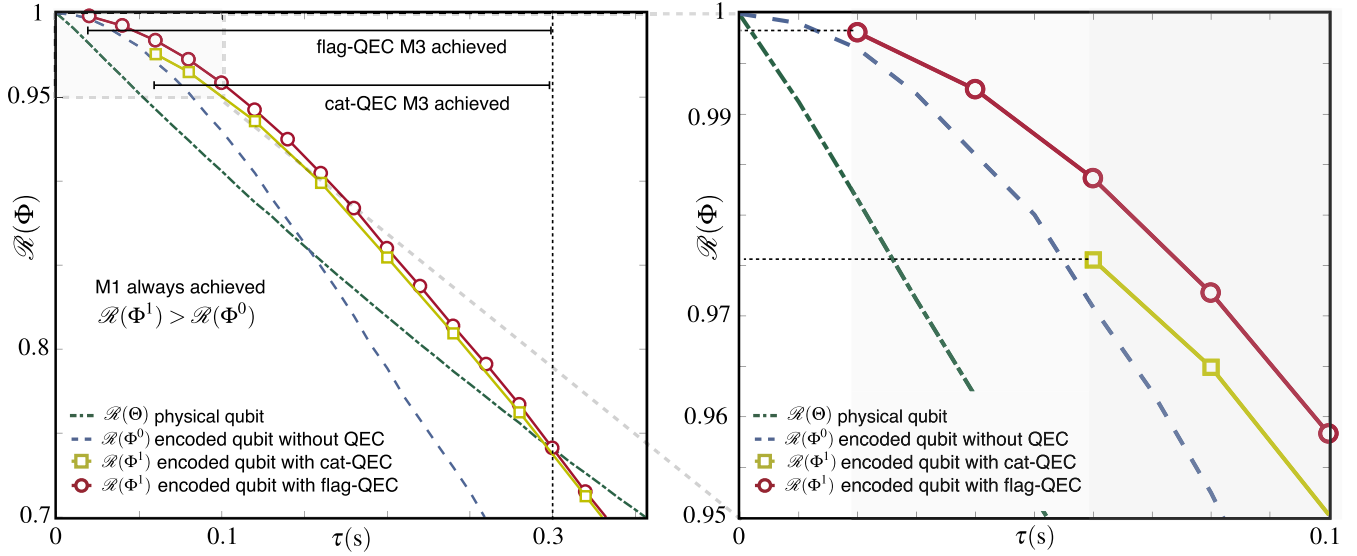


FIG. 11. Comparative assessment of cat- and flag-based trapped-ion QEC: Integrity of bare and encoded quantum memories as a function of the memory time  $\tau$ . The green dashed-dotted line represents the integrity degradation for a bare physical qubit, the blue dashed line stands for the integrity of an encoded but un-corrected memory, and the yellow squares and red circles represent the memory integrity for a seven-qubit color code corrected via a single round of cat- and flag-based QEC, respectively. The right panel shows a short-time inset of the integrity degradation of the various memories, and allows for a clearer comparison between the cat- and flag-based approaches.

expected near-term improvements of trapped-ion hardware (see Table I) can suffice to demonstrate beneficial QEC M1 using either of the cat- or flag-based approaches.

Let us note, however, that the more resource-intensive cat-based approach performs considerably worse than the flag-based approach (see the inset of the right panel for small memory times). In this figure, one observes that the minimal time  $\tau_{\min}$  of the cat-based approach is much larger than that of the flag-based QEC, which is a consequence of the larger depth of the circuits, and higher number of crystal reconfiguration operations leading to longer idle periods, which are required to prepare the cat states ensuring FT at level 1. As a consequence of this larger depth, the cat-based encoded memory is exposed to more errors and environmental noise, such that the corresponding integrity (first yellow square) starts off at a considerably lower value with respect to the flag-based approach (first red circle). This flag-based improvement will be important when we consider multiple rounds of QEC, as the high short-time integrity can then be maintained for larger and larger memory times with a very small degradation.

Let us now address the milestone M3 (15), which aims at quantifying a beneficial encoded memory when the encoded and error-corrected memory performs better than the bare physical qubit. As depicted in the left panel of Fig. 11, this milestone can be achieved again for both cat- and flag-based approaches with the expected trapped-ion resources. This milestone can be achieved for a wide range of memory times  $\tau \in [\tau_{\min}, \tau_{\max}]$ , which cannot be either too short nor too large. At very short times, the unencoded qubit will always beat the QEC memory as Igor does not have sufficient time to perform the full round of QEC. On the other hand, at very large times, the environmental noise keeps affecting the multiqubit memory introducing errors that can no longer be corrected, and degrades the integrity beyond that of a single unencoded qubit. Let us note that, once again, the region  $\tau \in [\tau_{\min}, \tau_{\max}]$

where M3 is achieved is considerably larger for the flag-based approach than for the cat-based one.

Having concluded that the flag-based approach to trapped-ion QEC yields a clear advantage, let us now address the milestones M2 and M4 for an encoded quantum memory with multiple rounds of flag-based QEC (see Fig. 12). In this figure we represent the integrity degradation for the seven-qubit color code quantum memory after a time  $\tau$ , where a number of nonperfect flag-based QEC cycles are interspersed between periods of pure environmental noise [i.e., yellow squares  $m = 1$  round of QEC  $\mathcal{R}(\Phi^1)$ , orange triangles  $m = 2$  rounds of QEC  $\mathcal{R}(\Phi^2)$ , red diamonds  $m = 3$  rounds of QEC  $\mathcal{R}(\Phi^3)$ , and dark-red circles  $m = 4$  rounds of QEC  $\mathcal{R}(\Phi^4)$ ]. We note that, prior to each of Igor's attempts at QEC, we apply a repumping sequence to project the leaked population back to the computational subspace. In this figure the green dashed line represents, again, the integrity degradation of a bare unencoded memory, which sets the standard that the QEC memory must beat to achieve the milestones.

Regarding milestone M2 for beneficial multiround error correction, we note that the corresponding criterion (14) is clearly met for all of the displayed memory times, as the integrity of the memory is readily improved as more rounds of QEC are applied. This figure also shows that milestone M3 for a beneficial encoded memory beating the bare physical qubit (15) is achieved for larger and larger memory times as one increases the number of rounds of Igor's QEC. Finally, regarding the final and more stringent milestone M4 for a strictly superior encoded memory, we recall that the corresponding criterion (16) requires that it is always possible to beat the bare memory for any target memory duration by applying sufficiently many rounds of QEC. In Fig. 12 one can identify a trend of the memory integrity as one increases the number of QEC cycles, whereby the slow-time QEC protection can be extended to longer and longer memory

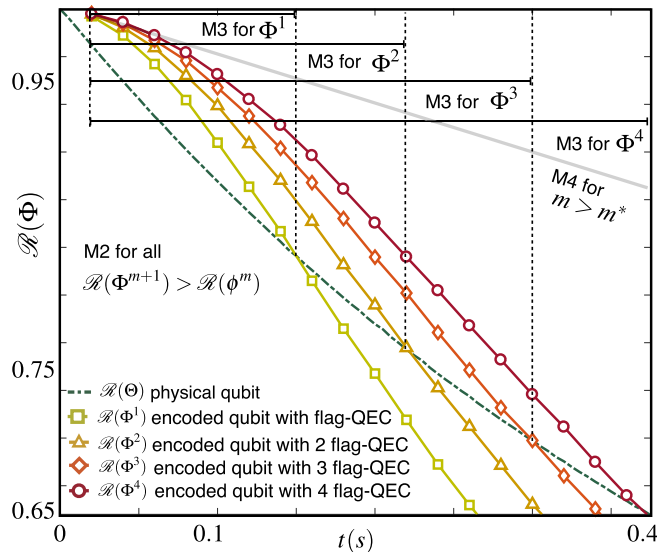


FIG. 12. Beneficial QEC with multiple rounds of QEC: Integrity of bare and encoded quantum memories as a function of the memory time  $\tau$ . The green dashed-dotted line represents the integrity degradation for a bare physical qubit, while the symbols stand for the integrity of error-corrected seven-qubit color-code memories which are subjected to  $m$  rounds of flag-based QEC (i.e.,  $m = 1$  yellow squares,  $m = 2$  orange triangles,  $m = 3$  red diamonds, and  $m = 4$  dark-red circles). We also display the regions where various milestones can be achieved, as well as the asymptotic slope for frequent QEC rounds.

times as more rounds of QEC are applied. In the limit of many cycles, we depict a qualitative straight envelope with a slope that improves the robustness of the bare physical memory. Accordingly, our numerical simulations suggest that it should be possible to beat the bare memory for any target  $\tau$ . For instance, if the target memory time is  $\tau \leq 0.4$  s, our numerical results show that it suffices to apply  $m = 4$  rounds of flag-based QEC to beat the bare memory.

### III. CONCLUSIONS AND OUTLOOK

We have presented a detailed account of QEC in near-term trapped-ion devices, considering important aspects that complement and improve the recent study of [33]. First, we have improved the previous microscopic error model in several directions: in the present work we consider coherent and correlated noise, non-Pauli errors such as amplitude damping and qubit leakage, and a more refined microscopic error model for the entangling MS gates. With these improvements, we believe that our current description of trapped-ion QEC in segmented dual-species ion traps contains one of the most realistic error models in QEC studies to date. Second, we have discussed the trapped-ion implementation of a new set of FT QEC tools based on the use of flag qubits, both for active detection and correction of errors but also for a FT optimized encoding. These flag-based trapped-ion QEC tools rely on a realistic modeling of the hardware capabilities and microscopic schedules that underlie the more abstract circuit-based approach, and have the potential to change the prospects of experimental trapped-ion QEC with near-term devices. Finally,

we have presented detailed Monte Carlo full wave function numerical simulations to assess the QEC capabilities of this flag-based approach, and to compare it to other more resource-intensive FT schemes. Our simulations which, to date, may constitute the most sophisticated numerical account of QEC under a complex non-Pauli noise model, clearly show that the flag-based approach is superior for the expected trapped-ion technologies. This statement is substantiated quantitatively by comparing the memory integrity of the QEC codes, and its potential to achieve various milestones in an experimentally relevant scenario. We believe that this study will be useful to guide near-term efforts for QEC with trapped-ion quantum processors.

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### APPENDIX A: TRAPPED-ION QUANTUM INFORMATION PROCESSING (QIP): MICROSCOPIC QEC TOOLBOX AND ERROR MODELS

In this Appendix we present a microscopic description of the QEC toolbox and the error models with details omitted in the main part of the text, focusing on  $^{40}\text{Ca}^+$  optical qubits to store and manipulate the quantum information.

(a) *Coherent errors for single-qubit rotations.* We start by describing in detail the errors for single-qubit gates (4) and (5), which are driven by lasers tuned to the so-called carrier transition, or highly off-resonant lasers leading to an AC-Stark shift.

For the carrier transition, quantum gates (4) are implemented by coupling the lowest-lying  $S$  state to a metastable  $D$  state forming the optical qubit  $|0\rangle = |4S_{1/2}, -1/2\rangle$   $|1\rangle = |3D_{5/2}, -1/2\rangle$ , which are labeled by the principal quantum number and various orbital and spin angular momenta  $|nL_J^{2S+1}, M_J\rangle$  (see Fig. 13) via resonant light at 729 nm.

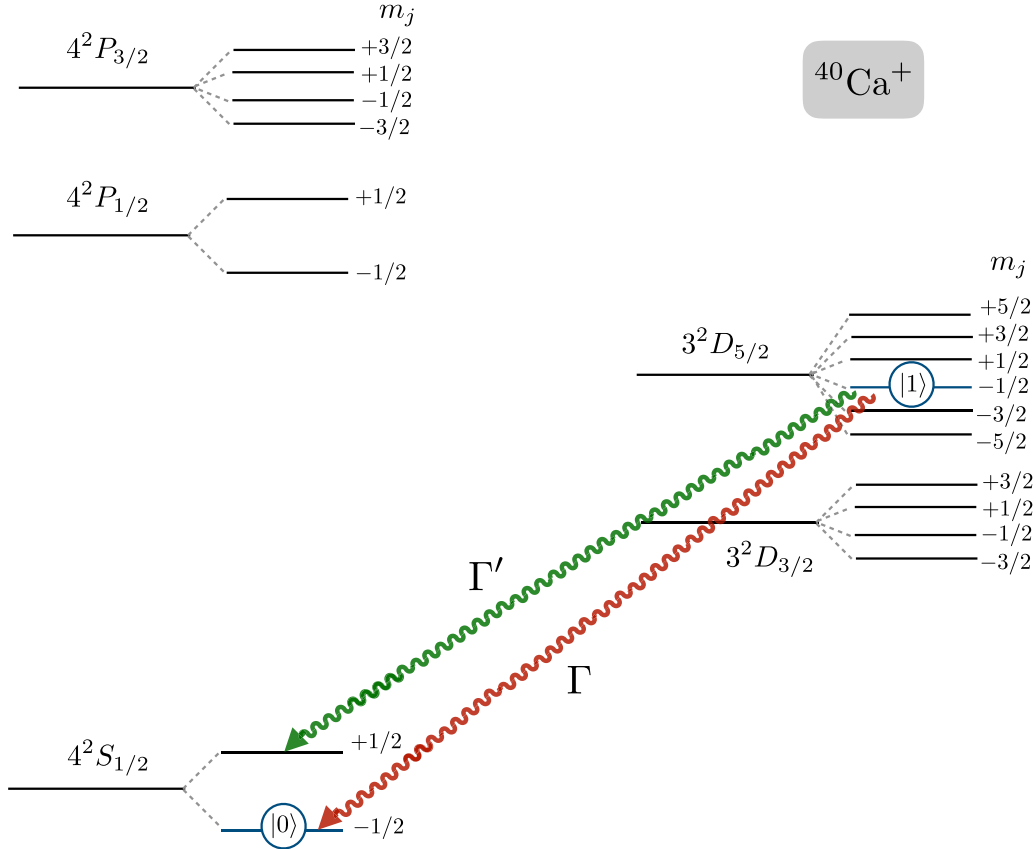


FIG. 13. Amplitude damping and leakage for the  $^{40}\text{Ca}^+$  optical qubit: Level structure for the  $^{40}\text{Ca}^+$  optical qubit with  $|0\rangle = |S_{1/2}, m_j = -1/2\rangle$  and  $|1\rangle = |D_{5/2}, m_j = -1/2\rangle$ . The finite lifetime of the metastable state is  $T_1 = 1/\Gamma \approx 1.1$  s, and there is a finite branching ratio  $\Gamma'/\Gamma = 4/9$ , leading to a finite probability that the spontaneous decay results in population of the  $S_{1/2}, m_j = +1/2$  level. According to our computational model,  $\Gamma$  represents the damping rate, while  $\Gamma'$  represents the smaller leakage rate.

The coupling strength of the laser-ion interaction is given by the Rabi frequency  $\Omega$ , whereas the product between Rabi frequency  $\Omega$  and interaction time  $\tau_\theta$  yields the pulse area  $\theta = \Omega\tau_\theta$ . Note the proportionality between squared Rabi frequency and the laser intensity  $\Omega^2 \propto I_0$ . On a Bloch sphere picture, the pulse area corresponds to a rotation angle  $\theta$  around a specific axis determined by the azimuthal angle  $\phi$ . The rotation axis is restricted to the equatorial plane and defined by adjusting the relative phase between laser and ion. Therefore, the carrier quantum gates (4) applied to a single qubit can be described as follows:

$$U_{R,\phi}(\theta) = \cos \frac{\theta}{2} \mathbb{I} + i \sin \frac{\theta}{2} (\cos \phi \hat{X} + \sin \phi \hat{Y}). \quad (\text{A1})$$

One can clearly see that laser intensity fluctuations will have an impact on the Rabi frequency  $\Omega(t)$ , and therefore induce an incorrect pulse area  $\theta(t)$ . Additionally, fluctuations in the laser phase  $\phi(t)$  will lead to an error in the orientation of the rotation axis. The noisy gate should thus be obtained from the time-ordered exponential  $U_{R,\phi(t)}[\Omega(t)] = \mathbb{T}\{e^{-i \int dt \Omega(t) X_{\phi(t)}}\}$ , which will depend on the particular dynamical pattern of fluctuations. Assuming that the phase fluctuations occur on a much slower timescale than the gates, as is typically the case in experiments, we can obtain an error model where phase and pulse-area fluctuations have clearly

separated effects

$$U_{\text{eff},\phi(t)}[\theta(t)] = \cos \frac{\theta_{\text{eff}}(t)}{2} \mathbb{I} + i \sin \frac{\theta_{\text{eff}}(t)}{2} [\cos \phi \hat{X}_{\text{eff}}(t) + \sin \phi \hat{Y}_{\text{eff}}(t)]. \quad (\text{A2})$$

Here intensity fluctuations introduce a noisy rotation angle

$$\theta_{\text{eff}}(t) = \theta \sqrt{\frac{I(t)}{\langle I(t) \rangle}}, \quad (\text{A3})$$

while a fluctuating phase  $\phi(t) = \phi + \delta\phi(t)$  between laser light and ion changes the orientation of the rotation axis from the ideal transformation due to a slower phase drift between consecutive gates,

$$\begin{aligned} \hat{X}_{\text{eff}}(t) &= \cos \delta\phi(t) \hat{X} + \sin \delta\phi(t) \hat{Y}, \\ \hat{Y}_{\text{eff}}(t) &= \cos \delta\phi(t) \hat{Y} - \sin \delta\phi(t) \hat{X}. \end{aligned} \quad (\text{A4})$$

These equations are readily generalized to the multi-ion case where the carrier gates act globally on all ions residing in the same trap region (6).

Regarding the local rotations (5) via AC-Stark shifts, we note that these two-photon processes will be largely insensitive to slow phase drifts. Therefore, they will only suffer from intensity fluctuations, which modify the pulse area and the rotation angle according to Eq. (8).



The next ingredient in the noise model is to use a particular stochastic process for the intensity and phase fluctuations. In this work, we use the so-called Ornstein-Uhlenbeck random process  $F(t)$  [68,69], which evolves under the following Langevin equation

$$\frac{dF(t)}{dt} = -\frac{F(t)}{\tau_c} + \sqrt{c}\Gamma(t). \quad (\text{A5})$$

Here  $c$  is the diffusion constant of the random process,  $\tau_c$  is the correlation time, and  $\Gamma(t)$  is a Gaussian white noise with averages  $\overline{\Gamma(t)} = 0$ ,  $\overline{\Gamma(t)\Gamma(0)} = \delta(t)$ , where  $\delta(t)$  is the Dirac delta modeling the uncorrelated noise. This stochastic differential equation can be integrated exactly yielding a Gaussian random process with autocorrelation function  $\overline{F(t)F(0)} = \frac{c\tau_c}{2}e^{-t/\tau_c}$ . The idea is that, by adjusting the model constants, we can numerically simulate both the intensity and laser phase noise with their different characteristics using different numerically generated random processes  $\{F_1(t), F_2(t)\} \rightarrow \{\phi(t), \theta(t)\}$ .

This type of noise modeling is well suited for its implementation in a pure-state Monte Carlo formalism where parallelism is exploited to calculate averages over the stochastic time-dependent noise. Essentially, for each idling time  $t_1$ , one must average over  $|\psi(t+t_1)\rangle = U_{\text{eff},\phi(t+t_1)}[\theta(t+t_1)]|\psi(t)\rangle$  for the different noise realizations. Numerically, one discretizes the time interval in steps of  $\delta t = t_1/N$ , and computes

$$|\psi(t+t_1)\rangle \approx \prod_{m=1}^N U_{\text{eff},\phi(t_m)}[\theta(t_m)]|\psi(t)\rangle. \quad (\text{A6})$$

Here the values of the stochastic process are calculated by the update formula of the Ornstein-Uhlenbeck process, which is valid for any discretization  $t_2 = t_1 + \delta t$ ,

$$F(t_2) = F(t_1)e^{-\frac{\delta t}{\tau_c}} + \left[ \frac{c\tau_c}{2} \left(1 - e^{-\frac{2\delta t}{\tau_c}}\right) \right]^{1/2} n, \quad (\text{A7})$$

where  $n$  is normal random variable of mean 0 and variance 1. Different unitary time evolutions (A6) are calculated in parallel for different values of  $n$ , which generate different samplings of the process  $F(t) \in \{\theta(t), \delta\phi(t)\}$ , and are incorporated in our full wave-function simulations.

For the numerical simulation of the phase noise, we have considered  $\delta t = 1 \mu\text{s}$ , and  $\tau_c = 0.1 \text{ s}$  such that we are close to the aforementioned Wiener model with  $c = 0.01$ . For the intensity fluctuations, we take a much shorter correlation time  $\tau_c = T_2/1000$ . These parameters are chosen such that the fidelity of the various gates coincides with the values listed in Table I.

(b) *Microscopic errors for the entangling gates.* Let us now discuss the microscopic error model for the entangling gates (2). We consider the MS gates mediated by the longitudinal center-of-mass (CoM) mode of a crystal of two  $^{40}\text{Ca}^+$  ions. As a starting point, we will consider an MS gate that suffers from *motional errors* from both the CoM and stretch modes (i.e., residual spin-phonon entanglement and Debye-Waller fluctuations of the Rabi frequency), and *collective dephasing* (i.e., decoherence due to fluctuations of global magnetic fields). These Debye-Waller factors are caused by the thermal population of the vibrational modes, both bus and spectator modes [62]. This model will be the starting point

that can be improved by incorporating the effects of the off-resonant carrier, and fluctuating laser intensities and phases.

At this level, the time evolution of the two qubits can be formally written as follows:

$$\rho(t_g) = \text{Tr}_{\text{ph}}(U_g \rho_0 U_g^\dagger), \quad U_g = e^{-it_g H_0} \mathcal{T} \left\{ e^{-i \int_0^{t_g} dt' H_c(t')} \right\}, \quad (\text{A8})$$

where  $t_g$  is the gate time, and  $H_0 = \sum_i \frac{\omega_0}{2} \sigma_i^z + \sum_n \omega_n a_n^\dagger a_n$  is the Hamiltonian for the uncoupled qubits and longitudinal phonons of frequency  $\omega_0$  and  $\{\omega_n\}_{n=1}^N$ , respectively. The qubit-phonon coupling in the MS scheme with the above sources of noise can be written as

$$H_c(t) = \sum_i \frac{F(t)}{2} \sigma_i^z + \sum_{i,n} \tilde{\mathfrak{F}}_{in} \sigma_i^\phi a_n e^{-i\omega_n t} \cos(\delta t) + \text{H.c.}, \quad (\text{A9})$$

where we have used an Ornstein-Uhlenbeck random process  $F(t)$ , similar to the ones used to model laser fluctuations in single-qubit gates, to model the dephasing during the MS gate. Additionally, the second term represents a state-dependent dipole force proportional to  $\sigma_i^\phi = \cos \phi \sigma_i^x - \sin \phi \sigma_i^y$ , where  $\phi$  is the common phase of a pair of laser beams tuned to the red and blue motional sidebands of the ions with opposite detunings (i.e.,  $\omega_L = \omega_0 \pm \delta \approx \omega_0 \pm \omega_z$ , where  $\omega_z$  is the axial trap frequency that coincides with the lowest-frequency center-of-mass-mode  $\omega_1 = \omega_z$ ). We note that the strength of the force  $\tilde{\mathfrak{F}}_{jn} = \mathfrak{F}_{jn} (1 - \frac{1}{2} \eta_n a_n^\dagger a_n)$  is proportional to the laser intensity  $\mathfrak{F}_{jn} \propto I_L$ , which can also be fluctuating, but also depends on the phonons (i.e., Debye-Waller effect) where  $\eta_n$  is the Lamb-Dicke parameter.

This equation is precisely the starting point to calculate the quantum state fidelity of a maximally entangled state as generated by a fully entangling MS gate, and extract the noise parameters of the effective gate error model of Ref. [33]. In this part of the Appendix we describe a different approach that relies on the full numerical simulation of the unitary for time evolution, from which one can obtain a quantum channel for the reduced density matrix describing the trapped-ion qubits that is not based on a single number like the aforementioned state fidelity [33]. Evaluating the above time evolution (9) using a pure-state formalism can only be achieved for a perfectly ground-state-cooled crystal. In more realistic situations, the vibrations are in a low-excitation thermal state after laser cooling, and one has to treat the full density-matrix evolution. Since we ultimately want to describe the noisy MS gate within the Monte Carlo pure-state formalism, we need to find a quantum channel that describes the reduced dynamics of the two qubits by the MS gate

$$\rho(t_g) = \sum_n p_n K_n \rho_0 K_n^\dagger, \quad \sum_n p_n K_n^\dagger K_n = \mathbb{I}. \quad (\text{A10})$$

Here  $K_n$  are the two-qubit Kraus operators to be found, and  $p_n$  are their corresponding probabilities. In former studies, the noisy MS gate  $\rho(t_g) = \varepsilon_{\text{MS}}(U_{\text{MS}} \rho_0 U_{\text{MS}}^\dagger)$  was described as the ideal gate  $U_{\text{MS}} = (\mathbb{I} - i\sigma_{i_1}^\phi \sigma_{i_2}^\phi)/\sqrt{2}$  followed by an idealized depolarising channel [1],  $\varepsilon_{\text{MS}}(\bullet)$ , which depends on the number of qubits involved in the gate [33]. In this work we improve upon this model, and describe the noisy MS gate directly in terms of the exact set of Kraus operators with

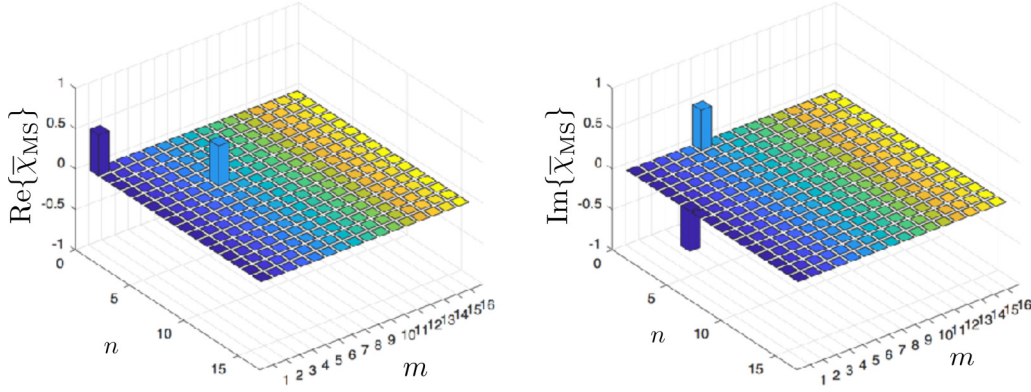


FIG. 14. Average  $\chi$  matrix for a noisy MS gate: Longitudinal MS gate for two  $^{40}\text{Ca}^+$  ions, where the axial trap frequency is  $\omega_z/2\pi = 0.975$  MHz. We consider that the longitudinal modes are laser cooled to  $\bar{n}_{\text{CoM}} = 0.1$ , and  $\bar{n}_{\text{stretch}} = 0.016$ , and the CoM mode is exploited to mediate a one-loop MS gate with a laser Rabi frequency of  $\Omega_L/\omega_z = 0.1$ . We model the collective dephasing with a Markovian Ornstein-Uhlenbeck process associated to  $T_2 = 0.2$  s.

certain probabilities that can be numerically computed (A10). We note that both descriptions can be readily incorporated in a pure-state Monte Carlo evolution.

We obtain the desired quantum channel (A10) numerically by truncating the vibrational Hilbert space, calculating the full time-evolution operator  $U_g$  (9), and performing quantum process tomography to determine the corresponding  $\{p_n, K_n\}$  [73]. For two qubits, a generic quantum channel can be expressed in the so-called  $\chi$ -matrix representation  $\rho(t_g) = \sum_{n,m} \chi_{n,m} E_n \rho_0 E_m^\dagger$ , where the set of 16 operators  $\{E_n\}$  is obtained from all the possible tensor products of  $\{\mathbb{I}, \sigma^x, i\sigma^y, \sigma^z\}$ . The  $\chi$  matrix can be extracted from the action of the microscopic time evolution  $\text{Tr}_{\text{ph}}(U_g \rho_j U_g^\dagger)$  and that of the quantum-channel operators  $E_n \rho_j E_m^\dagger$  on a set of initial states  $\{\rho_j\}$  that forms a basis of the space of operators. For the two-qubit case, we follow a compact recipe [73] to extract the  $\chi$  matrix for the noisy MS gate, which can be diagonalized

$$\chi = \sum_n p_n |v_n\rangle\langle v_n|, \quad K_n = \sum_m |e_m\rangle\langle v_n\rangle E_m, \quad (\text{A11})$$

where  $\{p_n, |v_n\rangle\}$  are the eigenvalues and eigenvectors of the  $\chi$  matrix, and  $\{|e_m\rangle\}$  are the Cartesian unit vectors of a 16-dimensional vector space.

According to this discussion, we can reconstruct the quantum channel for the MS gate (A10) by simply diagonalizing the  $\chi_{\text{MS}}$  matrix associated to the microscopic evolution (9) for each realisation of the random process that models the collective dephasing noise. After averaging over  $N_s$  random samplings of the noise, we can build  $\bar{\chi}_{\text{MS}}$  and extract the average Kraus operators for  $\bar{K}_n$  with probabilities  $\bar{p}_n$ . In Fig. 14 we represent the real and imaginary parts of the average  $\chi$ -matrix representation of a noisy MS gate that generates a maximally entangled state with average error  $\bar{\epsilon}_{\text{MS}} = 8.44 \times 10^{-4}$  in  $t_g = 72 \mu\text{s}$ . For these values, we obtain the following probabilities  $\bar{p}_n \in \{0.999, 0.0005, 0.0002, 0.0001, \dots\}$ , where the dots represent probabilities below  $10^{-8}$ . Essentially,  $\bar{K}_1$  is very similar to the ideal gate  $U_{\text{MS}} = (\mathbb{I} - i\sigma_{i_1}^\phi \sigma_{i_2}^\phi)/\sqrt{2}$ , whereas the other Kraus operators  $\bar{K}_2, \bar{K}_3, \bar{K}_4$  represent single and two-qubit errors in the basis of the MS gate. As anticipated above, this more realistic, microscopically derived error chan-

nel differs substantially from the depolarizing channel used in Ref. [33].

We note that this approach can be easily incorporated in the Monte Carlo numerical simulations of previous sections. First, we want to use the set  $\{\bar{p}_n, \bar{K}_n, \forall n : \bar{p}_n > p_{\text{trunc}}\}$  to approximate the microscopic channel (A10). For a pure-state Monte Carlo evolution, we need to generate random numbers  $r \in [0, 1]$  and apply the numerically generated  $\bar{K}_n$  if  $r$  falls in the respective probability interval,  $\sum_{k=1}^{n-1} \bar{p}_k \leq r < \sum_{k=1}^n \bar{p}_k$ , where  $p_0 = 0$ . In this way, one randomly samples over all the relevant Kraus operators, such that the stochastic average yields the desired evolution of the noisy MS gate.

From the initial experience gained with this microscopic numerical modeling, we can now account for another important source of errors that is not considered in Eq. (A9). Although the dephasing and thermal noise can be the leading source of MS gate error in situations where the  $T_2$  time is much shorter, or where the vibrations are not cooled to sufficiently small phonon occupation numbers (e.g., see some of the QEC performance of [33], where sympathetic recouling prior to the MS gates was not exploited), for the current regime of parameters, an off-resonant carrier term that acts in an orthogonal basis with respect to the state-dependent force can actually be the leading source of error.

Rather than treating this term in perturbation theory, which underlies the analysis of [33], and the approach of publications [61,62], we use the formalism developed by Roos [72] to take this term into account. By moving into a rotating frame with respect to the off-resonant carrier, the state-dependent dipole force in Eq. (A9) must be changed into

$$H_c(t) \approx \sum_{i,n} \tilde{\mathcal{F}}_n(J_0 + J_2) (\sigma_i^x \cos \Psi + \sigma_i^z \sin \Psi) a_n e^{i(\zeta - \omega_n t)} \cos(\delta t) + \text{H.c.}, \quad (\text{A12})$$

where we have introduced the phase difference  $\zeta$  between the laser beams driving the blue and red sidebands, and  $\Psi = 2\Omega_L \sin \zeta / \omega_L$  leads to an intensity-dependent rotation angle over the basis of the MS gate, as can be seen by comparing Eq. (A12) to Eq. (A9). In addition, we have introduced the first-class Bessel functions  $J_0 = J_0(2\Omega_L/\omega_L)$ , and

$J_2 = J_2(2\Omega_L/\omega_L)$ . We note that for the numerical simulation, the additional magnetic-field noise of Eq. (A9) is also included, as well as small additional contributions to Eq. (A12) that stem from the Debye-Waller factors mentioned above, and effective spin-spin interactions that appear as one moves onto the aforementioned rotating frame (see Ref. [72] for details on such small qubit-qubit couplings, which also depend on  $J_1 = J_1(2\Omega_L/\omega_L)$ ). In addition to the dephasing and motional errors also accounted for in Eq. (A9), this new formulation (A12) allows us to account for the effects of the off-resonant carrier. Even if the effect of a finite  $\zeta$  and  $\psi$  can be partially overcome by an adiabatic switching of the forces, together with a refocusing pulse shaping that inverts the sign of the state-dependent force at the middle of the gate, slow fluctuations in the intensity (and thus on  $\zeta$ ) yield a residual error that can be a leading source of infidelity of the MS gate. In order to capture these effects in the effective noise model, we repeat the above procedure of process tomography, but this time using Eq. (A12), together with the additional terms, in the numerical simulation. In these numerics, we set the parameters for a perfect entangling gates at  $\zeta = 0$ , and then modify its value to account for possible drifts and errors that are in accordance to Table I. We again perform process tomography, and extract a set  $\{\bar{p}_n, \bar{K}_n, \forall n: \bar{p}_n > p_{\text{trunc}}\}$ , which is directly fed into the full wave-function numerical simulations.

*(c) Amplitude damping and qubit leakage.* Let us now discuss the details of environmental spontaneous decay which, in addition to amplitude damping, can also populate the ground-state Zeeman sublevel  $S_{1/2}(m_j = +1/2)$  lying outside of the computational subspace (i.e., *leakage*), as depicted in Fig. 13. In this part of the Appendix, we give some of the details of the circuit model for the simulation of this process that were omitted in the main text.

Once again, let us start by considering the circuit model of amplitude damping (see the left panel of Fig. 5(a), and Chapter 8 of [1]). In this figure the controlled rotation of angle  $\theta_d$  can be expressed as  $U_{\text{CR}} = \frac{1}{2}(1 - Z_d)e^{-i\frac{\pi}{4}Y_a} + \frac{1}{2}(1 + Z_a)\mathbb{I}_a$ , while the CNOT is  $U_{\text{CNOT}} = \frac{1}{2}(1 - Z_a)e^{-i\frac{\pi}{2}X_d} + \frac{1}{2}(1 + Z_a)\mathbb{I}_d$ , where we label the data qubit with  $d$  and the ancillary one with  $a$ . After the measurement, the state of the data qubit will be

$$\rho_f = \text{Tr}_a\{P_{a,0}\rho'P_{a,0} + P_{a,1}\rho'P_{a,1}\}, \quad (\text{A13})$$

where  $\rho' = U_{\text{CNOT}}U_{\text{CR}}(\rho \otimes |0\rangle_a\langle 0|_a)U_{\text{CR}}^\dagger U_{\text{CNOT}}^\dagger$  for an arbitrary state of the data qubit  $\rho$ , and where we have introduced the ancilla projectors onto the computational basis states  $P_{a,0/1}$ . Accordingly, we only need to look at the diagonal elements of the transformed density matrix

$$\begin{aligned} \rho'_{11} &= \sin^2(\theta_d/2)\rho_{11}|1\rangle_d\langle 1|_d, \\ \rho'_{00} &= \rho_{00}|0\rangle_d\langle 0|_d + \cos(\theta_d/2)(\rho_{10}|1\rangle_d\langle 0|_d + \rho_{01}|0\rangle_d\langle 1|_d) \\ &\quad + \cos^2(\theta_d/2)\rho_{11}|1\rangle_d\langle 1|_d. \end{aligned} \quad (\text{A14})$$

One can easily check that this evolution is equivalent to that of the amplitude-damping channel  $\rho_f = \varepsilon_d(\rho) := L_0\rho L_0^\dagger + L_1\rho L_1^\dagger$ , with the following Kraus operators:

$$L_0 = |0\rangle_d\langle 0|_d + \sqrt{1-p_d}|1\rangle_d\langle 1|_d, \quad L_1 = \sqrt{p_d}|0\rangle_d\langle 1|_d, \quad (\text{A15})$$

where one finds that the angle of the controlled rotation must be fixed by the decay parameter  $p_d = \sin^2(\theta_d/2)$ , where  $p_d = 1 - \exp(-\Gamma t)$ . We note that, at the level of the reduced density matrix of the data qubit, the circuit of the left of the upper panel of Fig. 5 is equivalent to that in the right, where the classical information of the measurement is used to apply a conditional  $X$  gate on the data qubit with probability  $p_d = \sin^2(\theta_d/2)$  (i.e., only when the measurement result indicates that the ancillary qubit was in  $|1\rangle_a$ ).

In order to simulate the simultaneous amplitude damping and leakage of Fig. 13, we can build on this philosophy and, as argued in the main text, use an additional classical bit to store the information about the leaked level (i.e.,  $\ell = 1$  if the qubit has not leaked into the  $S_{1/2}, m_j = +1/2$  level, and  $\ell = 0$  if the qubit has indeed leaked). The leakage with rate  $\Gamma'$ , which can be simulated by means of an ancilla qubit  $a_1$  that is subject to a controlled rotation  $U_{\text{CR}} = [\frac{1}{2}(1 - Z_d)e^{-i\frac{\pi}{4}Y_{a_1}} + \frac{1}{2}(1 + Z_d)\mathbb{I}_{a_1}]\delta_{\ell,1} + \delta_{\ell,0}\mathbb{I}$  that is only applied when the qubit is still not leaked (i.e., conditional on the classical bit being  $\ell = 1$ , as depicted in the lower panel of Fig. 5). After measuring the ancillary qubit, one applies a conditional classical NOT operation on the classical bit, which will turn it into the leaked state  $\ell = 0$  with probability  $\sin^2(\theta_\ell/2) = p_\ell(t) = \Gamma'(1 - e^{-(\Gamma+\Gamma')t})/(\Gamma + \Gamma')$ , which is obtained by solving the corresponding master equation for the population  $p_\ell(t)$  explicitly.

After that, a different controlled rotation  $U'_{\text{CR}} = [\frac{1}{2}(1 - Z_d)e^{-i\frac{\pi}{4}Y_{a_2}} + \frac{1}{2}(1 + Z_d)\mathbb{I}_{a_2}]\delta_{\ell,1} + \delta_{\ell,0}\mathbb{I}$  is applied to a fresh ancillary qubit  $a_2$ , which is conditioned on the classical bit being  $\ell = 1$  with probability  $[1 - p_\ell(t)]$ . This indicates that the qubit is still not leaked, and can thus decay into the  $|0\rangle = |S_{1/2}, m_j = -1/2\rangle$  state. In that case, the ancilla qubit  $a_2$  is rotated by an angle  $\theta_d$  when the data qubit lies in the computational  $|1\rangle = |D_{5/2}, m_j = -1/2\rangle$  state, such that it can indeed decay by spontaneous emission. The corresponding amplitude damping is simulated by the final  $X$  gate conditioned on the measurement result. Accordingly, the probability of the damping channel is  $p_d = (1 - p_\ell)\sin^2(\theta_d)$ , which must be equal to the physical value  $p_d(t) = \Gamma(1 - e^{-(\Gamma+\Gamma')t})/(\Gamma + \Gamma'e^{-(\Gamma+\Gamma')t})$ , and gives the condition to set the rotation angle to the correct value  $\sin^2(\theta_d/2) = p_d(t)$ . As a summary, the rotation angles obtained are those of Eq. (11) of the main text.

This circuit is equivalent to the amplitude damping and leakage channel  $\rho_f = \varepsilon_{d,\ell}(\rho) := L_0\rho L_0^\dagger + L_1\rho L_1^\dagger + L_2\rho L_2^\dagger$ , with the following Kraus operators:

$$\begin{aligned} L_0 &= |0\rangle_d\langle 0|_d + |\ell\rangle_d\langle \ell|_d + \sqrt{1-p_d-p_\ell}|1\rangle_d\langle 1|_d, \\ L_1 &= \sqrt{p_d}|0\rangle_d\langle 1|_d, \\ L_2 &= \sqrt{p_\ell}|\ell\rangle_d\langle 1|_d. \end{aligned} \quad (\text{A16})$$

Let us now describe the microscopic details of the repumping scheme, which consists of steps (1)–(2)–(3)–(1) (see Fig. 15). Here (1) corresponds to a  $\pi$  pulse between  $|D_{5/2}, m_j = -1/2\rangle$  and the leaked state  $|S_{1/2}, m_j = 1/2\rangle$ . If the state of the qubit has not leaked, the quantum information is protected as the remaining operations (2) and (3) do not take place, and the final (1)  $\pi$  pulse brings the population back to  $|D_{5/2}, m_j = -1/2\rangle$ , yielding the initial unleaked qubit state. On the other hand, if the qubit had indeed leaked into

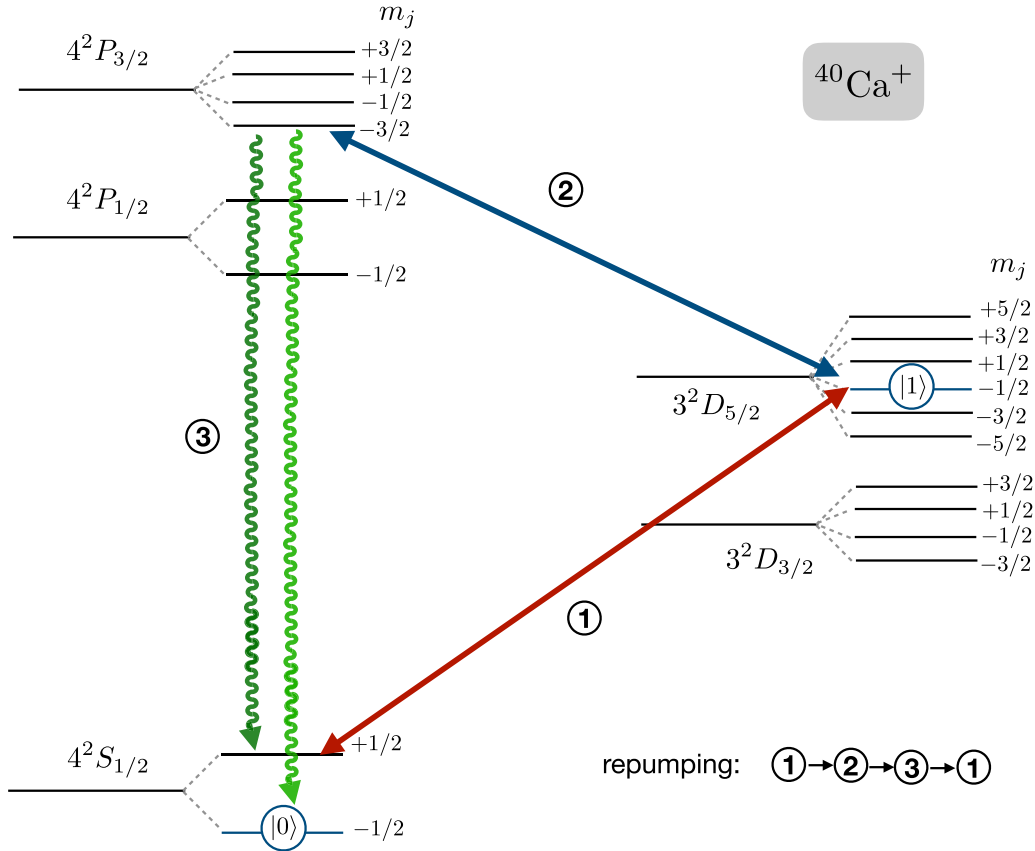


FIG. 15. Repumping scheme for the  $^{40}\text{Ca}^+$  optical qubit: The repumping cycle consists of (1) applying a  $\pi$  pulse that brings the population of the leaked level to the metastable state, or otherwise hides the qubit in the  $|1\rangle$  state into the leaked level. Then (2) one applies a laser driving the dipole-allowed transition to the excited  $P_{3/2}$  level, such that the population of the leaked state will (3) spontaneously decay into the ground-state manifold. By finally applying (1) another  $\pi$  pulse, the population is repumped back into the computational subspace, albeit losing coherences and affecting the information stored in the qubit.

the  $|S_{1/2}, m_j = 1/2\rangle$  level, (2) the lasers tuned to the dipole-allowed transition will bring this population up to an excited  $P$  level, which (3) will decay very fast into the  $S_{1/2}$  ground-state manifold. At this point, the leakage has become a sort of amplitude damping, while the original coherences of the qubit state hidden in the ground-state manifold are still present. Then, a final (1)  $\pi$  pulse between brings the qubit back to the computational space  $|S_{1/2}, m_j = -1/2\rangle, |D_{5/2}, m_j = -1/2\rangle$ , such that subsequent rounds of QEC can project it back onto the stabilizer subspace.

Clearly this repumping scheme will not be perfect, since the  $\pi$  pulses will be faulty, and there might be branching to other levels as well. As a first error model, we consider that  $\varepsilon$  is related to the infidelity of the  $\pi$  pulses in the repumping, and other possible imperfections. At the level of our circuit model with the classical bit, we fail to repump with probability  $\varepsilon^2$ , such that  $\ell = 0$  remains in the leaked bit. On the other hand, with probability  $1 - \varepsilon^2$ , we repump into a mixed state in the computational basis.

**APPENDIX B: MICROSCOPIC QEC SCHEDULES**

As advanced in the main text, the different circuits for QEC correction, which are expressed in terms of the trapped-ion native set of gates Figs. 6, 7, and 9, must be translated into

detailed microscopic schedules for their implementation in the QCCD segmented trap. This schedules consist of specific sequences of elementary operations, combining quantum gates and crystal reconfiguration operations (see Table I), which allow us to implement the desired approaches to QEC, and the Alice-Igor-Bob protocol to assess the integrity of the encoded memory.

Various microscopic schedules, regarding the cat-based approach to QEC, have been described in Ref. [33]. Likewise, the trapped-ion set of microscopic instruction to perform flag-based QEC are contained in Ref. [59]. In this Appendix we present the missing microscopic schedule to implement a realistic Alice-Igor-Bob protocol, i.e., with imperfect encoding and decoding. Let us focus on the FT encoding of the logical  $|0\rangle_L$  state using our toolbox (O1)–(O11) for the mixed-species ion QCCD. We start by considering the efficient encoding using the layout of ions in the DiVicenzo-Aliferis FT readout [33], which required seven data qubits and four ancillary qubits for the syndrome readout, both of which belong to the same atomic species. Additionally, this scheme exploited two cooling ions of a different species and isotope for sympathetic recooling of the ion crystal. The arrangement of these ions within the central region of the segmented trap is depicted in the inset of Fig. 16. Let us emphasize, however, that the schedule to be presented below only requires minor modifications

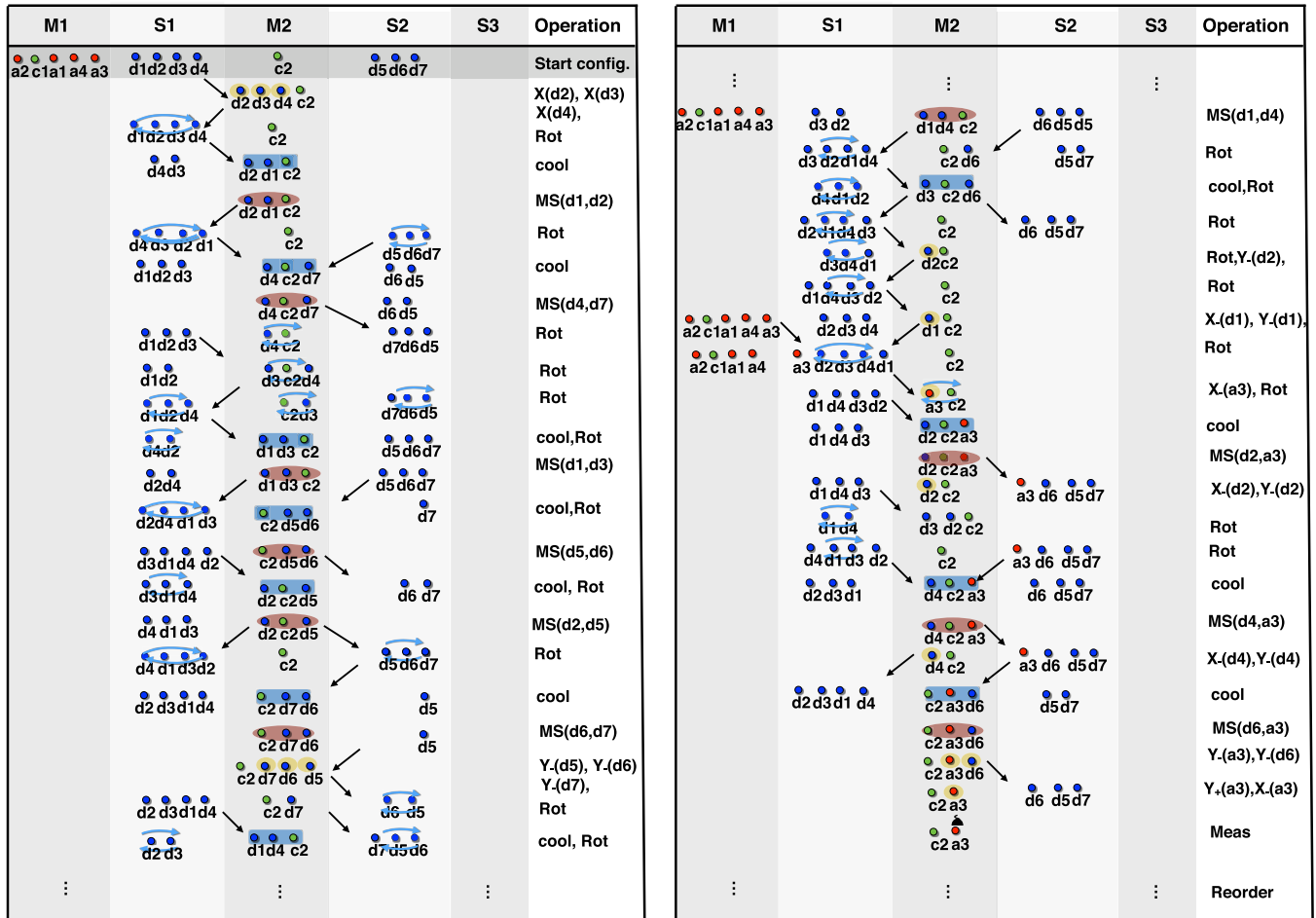


FIG. 16. Schedule for the efficient encoding in the seven-qubit color code: Sequence of trapped-ion operations that must be applied to realize the encoding circuit for the logical  $|0\rangle_L$  in Fig. 8. The data  $\{d1, \dots, d7\}$ , ancillary  $\{a1, \dots, a4\}$ , and cooling  $\{c1, c2\}$  ions are represented with different colors following the convention of Fig. 3. These ions are arranged in different zones of a single arm of the trap, following the labeling of Fig. 3. We distribute these ions among the different columns of the table, reserving the rightmost column to list the operations that bring one configuration to the following one, where time flows downwards in the table. The split and merge and shuttle operations are represented by arrows, and can be deduced from consecutive ion configurations. The remaining operations have labels in the rightmost column. In particular,  $X_{\pm}(i) = X_i(\pm\pi/2)$ ,  $Y_{\pm}(i) = Y_i(\pm\pi/2)$  are local rotations obtained from Eqs. (4) and (5) by spin-echo pulses [33]. These rotations act on the qubit  $i$ , and are depicted by yellow ellipses. The entangling gates are labeled as  $MS(i, j)$  corresponding to Eq. (2) for  $\phi = 0$  and  $\theta = \pi$ . This MS gate acts on the ion pair  $i, j$ , previously isolated by crystal reconfigurations, and is depicted by a pink ellipse over the ions involved in the gate. Finally, *Rot* stands for rotation of the crystal signaled by blue arrows, *Cool* stands for sympathetic cooling of the crystal depicted with a blue ellipse, *Meas* stands for the fluorescence measurement of the ancillary ion depicted with a semicircular detector above the ion, and *Reorder* stands for crystal reconfigurations that bring the ions back to the original positions. Note that idle ions that do not take place in the set of operations are only drawn once in their corresponding column, until they are used at later stages, when they are explicitly drawn again.

to be adapted to other QEC schemes, such as the flag-based QEC, which requires a different configuration with only seven data qubits, two ancillary qubits, and one cooling ion [59].

In Fig. 16 we represent the initial distribution of the 13 ions among the different zones (see the first line) of a single arm of the segmented trap (see Fig. 3). The idea is that all the two-qubit MS gates, with the required sympathetic cooling, are to be applied in the M1 region by bringing the corresponding pairs of ions sequentially according to the order of the circuits in Fig. 8. The microscopic schedule of Fig. 16 focuses on the encoding of the  $|0\rangle_L$  state, although that of  $|+\rangle_L$  has also been worked out and is being used in the numerical simulations.

The subsequent lines of this figure represent a different step of the microscopic schedule, and the columns describe the particular ion occupation of each trap zone during such step. We use similar conventions as in Refs. [33,59], the operations to be performed are listed in the rightmost column, and we also use straight black arrows to depict crystal splitting, shuttling, and merging; and curved arrows to denote crystal rotations (see the caption for further details). This microscopic schedule is translated into a quantum channel representation, which is then simulated numerically in combination with the QEC protocol to test for the beneficial role of Igor with imperfect Alice and Bob performance.

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