

1 **Pink- and orange-pigmented Planctomycetes produce**
2 **saproxanthin-type carotenoids including a rare C₄₅**
3 **carotenoid**

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29

30 **Abstract**

31 Planctomycetes, are ubiquitous and environmentally important Gram-negative aquatic bacteria
32 with key roles in global carbon and nitrogen cycles. Many planctomycetal species have a pink or
33 orange colour and have been suggested to produce carotenoids. Potential applications as food
34 colorants or anti-oxidants have been proposed. Hitherto, the planctomycetal metabolism is largely
35 unexplored and the strain pigmentation has not been identified. For a holistic view on the complex
36 planctomycetal physiology we analyzed carotenoid profiles of the pink-pigmented strain
37 *Rhodopirellula rubra* LF2^T and of the orange strain *Rubinisphaera brasiliensis* Gr7. During LC-
38 MS/MS analysis of culture extracts we were able to identify three sproxanthin-type carotenoids
39 including a rare C₄₅ carotenoid. These compounds, sproxanthin, dehydroflexixanthin and 2'-
40 isopentenyldehydrosaproxanthin, derive from the common carotenoid precursor lycopene and are
41 characterized by related end groups, namely a 3-hydroxylated β-carotene-like cyclohexene ring
42 as one end group and simple hydration on the other end of the molecule. Based on the observed
43 molecule structure we present putative pathways for their biosynthesis. Results support
44 Planctomycetes as a promising, yet mostly untapped source of carotenoids.

45 **Introduction**

46 Plants, bacteria, fungi and algae produce an impressive diversity of nearly 1200 carotenoids
47 currently listed in the Carotenoids Database (Yabuzaki, 2017). Due to their anti-oxidative
48 properties they play a key role in the protection of the photosynthesis machinery in plants, algae
49 and photosynthetic bacteria, including cyanobacteria and green sulfur bacteria. Furthermore, they
50 can fine-tune absorption properties of the photosynthesis apparatus, partly as a niche adaptation
51 strategy towards different light conditions (Lichtenthaler, 1987). In this sense, carotenoids are
52 considered as a border line between primary and secondary metabolism. Interestingly, 311 of the
53 1182 carotenoids listed in the Carotenoids Database are produced by heterotrophic bacteria,
54 supporting a more general role in the protection against oxidative stress, beyond photosynthesis
55 (Gammone et al., 2015). Due to their natural anti-oxidative properties, carotenoids are also
56 valuable compounds for commercial applications. Several carotenoids are approved by the
57 European Union as food supplements with major application as colourants or anti-oxidants
58 (Kallscheuer, 2018; Rao and Rao, 2007), while there is also substantial evidence for health-
59 promoting effects of carotenoids as parts of the human diet (Concepcion et al., 2018). Several
60 studies demonstrated that pigments derived from Planctomycetes are incorporated by *Daphnia*
61 *magna*, a higher trophic level organism (da Conceição Marinho et al., 2019) and evidenced the
62 potential application of this bacterium to be used as single-cell-pigment for colour enhancement
63 (Marinho et al., 2018).

64 Carotenoids belong to the large class of isoprenoids (or terpenoids) and their synthesis follows a
65 concerted principle for carbon chain assembly, employing isoprene units as building blocks. 1100
66 of the 1182 natural carotenoids are tetraterpenoids (C₄₀ compounds), formed from eight isoprene
67 monomers. The active form of these monomers, isopentenyl pyrophosphate (IPP), is produced
68 by two known metabolic routes: the mevalonate pathway (starting from acetyl-CoA) and the non-
69 mevalonate pathway (starting from pyruvate and glyceraldehyde 3-phosphate), of which the latter

70 is also known as MEP/DOXP pathway (2-C-methyl-D-erythritol 4-phosphate/1-deoxy-D-xylulose
71 5-phosphate pathway) (Goldstein and Brown, 1990; Lichtenthaler, 2000).

72 While nearly all plants produce carotenoids, the capability for carotenoid production in bacteria
73 appears to be more restricted to free-living species naturally dwelling in environments with
74 frequently changing conditions such as soil or seawater. Many such species fall within the phylum
75 Planctomycetes. Planctomycetes are a group of Gram-negative-like aquatic bacteria that are
76 ubiquitous, often found associated with phototrophs such as macro- and microalgae and that play
77 environmentally important roles in global carbon- and nitrogen cycles (Wiegand et al., 2018).
78 Several planctomycetal species have a red, pink or orange colour; however, the pigmentation of
79 species in this phylum has not yet been investigated in detail. In this study, we thus analyzed the
80 major carotenoids of two planctomycetal strains: the pink species *Rhodopirellula rubra* LF2^T and
81 the orange *Rubinisphaera brasiliensis* Gr7 (Bondoso et al., 2014; Lage and Bondoso, 2011). We
82 further analyzed the genomes of these organisms aiming at genes coding for enzymes putatively
83 involved in the related biosynthetic pathways, which is the basis for further investigating the
84 ecological and physiological relevance of these natural products in Planctomycetes.

85 86 **Results and discussion**

87 To examine the carotenoid profiles of Planctomycetes, we extracted and analyzed carotenoids
88 from the strains *R. rubra* LF2^T (pink) and *R. brasiliensis* Gr7 (orange) (Fig. 1), which were isolated
89 in northern Portugal from macroalgae surfaces at the coasts of Foz Porto and Aveiro, respectively
90 (Bondoso et al., 2014; Graca et al., 2016). Cells were harvested in the exponential growth phase
91 and extracts of the culture supernatant after centrifugation were subjected to LC-MS/MS analysis.

92 During spectrometrical analysis, extracts from both strains yielded a peak at a retention time of
93 17.1 min with a typical carotenoid UV/Vis spectrum (Fig. 2A), for which the MS/MS spectrum led
94 to no clear identification in the European MassBank (NORMAN MassBank). Manual analysis of

95 the full mass spectrum (Fig. 2B), revealed a sodiated molecule $[M+Na]^+$ at m/z 590, and a
96 molecular ion at m/z 568, corresponding to the molecular formula $C_{40}H_{56}O_2$. This is also further
97 supported by the observed signal for $[M+Na-H_2O]^{+}$ of 572. Obtained fragments in an *in silico*
98 fragmentation approach (Tab. S1) yielded saproxanthin as the most probable candidate molecule
99 (Fig. 2C). Saproxanthin is a tetraterpene (C_{40}) characterized by a carotenoid β -cycle additionally
100 hydroxylated at C3 as one end group and simple hydration of the most distant double bond at the
101 other end of the molecule. Saproxanthin was already identified in the 1960s as the major pigment
102 in the marine flexibacterium *Saprospira grandis* (Aasen and Liaaen-Jensen, 1966) and is also
103 present in marine species of the family Flavobacteriaceae (Hameed et al., 2014). For further
104 supporting our putative identification of this carotenoid in Planctomycetes, we searched for
105 additional raw data obtained for saproxanthin in the literature. A UV/Vis spectrum
106 indistinguishable from the one obtained in our study was published for Flavobacteriaceae
107 bacterium 04OKA-13-27 (Shindo et al., 2007). During comparison of the spectra we not only
108 focused on the major peaks in the UV/Vis spectrum, but also took characteristic peak “shoulders”
109 into consideration. The published spectrum was ultimately shown to belong to saproxanthin,
110 thereby also substantially consolidating identification in our study. The identified compound
111 saproxanthin identified in strain 04OKA-13-27 and in this study shows potent antioxidative
112 properties rendering it also interesting for commercial applications (Shindo et al., 2007).

113 An ion at 16.4 min with $[M+H]^+$ of 581 in the *R. rubra* LF2^T extract indicated the presence of a
114 second compound in this strain. The observed mass differs from that of saproxanthin by 12 Da.
115 According to our *in silico* fragmentation approach (Fig. 3A, Tab. S2) presence of an additional
116 keto group at position 4 of the cyclohexene β -cycle of saproxanthin and presence of an additional
117 double bond in the ring is likely. Taken together, this information suggests dehydroflexixanthin to
118 be the compound in question ($C_{40}H_{52}O_3$, 580.4 Da, Fig. 3B). It was already shown earlier that
119 dehydroflexixanthin is formed from the naturally produced carotenoid flexixanthin by auto-

120 oxidation, leading to introduction of the double bond between C2 and C3 in the cyclohexene ring
121 (Coman and Weedon, 1975). Flexixanthin ($C_{40}H_{54}O_3$, 582.4 Da) was identified in the 1960s in
122 *Flexibacter* species and represents the major carotenoid in these species (Nakagawa, 2015).
123 Taking the published information on spontaneous oxidation of flexixanthin into account it is likely
124 that flexixanthin is the actual carotenoid also produced in *R. rubra* LF2^T.

125 A third peak from the extract of *R. rubra* LF2^T eluted at 20.9 min and gave a UV/Vis spectrum
126 similar to saproxanthin, but an $[M+H]^+$ signal of 635 (Fig. 4A and 4B). The difference of 66 Da
127 indicates the presence of an additional isoprene unit in this compound. Ligation of isoprene (C_5H_8)
128 to saproxanthin ($C_{40}H_{64}O_2$) would yield a compound with the sum formula $C_{45}H_{64}O_2$ and an $[M+H]^+$
129 signal of 637, which differs in 2 Da from the observed signal at 635. *In silico* fragmentation (Tab.
130 S3) provides evidence that this peak corresponds to 2'-isopentenyldehydrosaproxanthin, a
131 derivative of the auto-oxidation product of saproxanthin (explaining the 2 Da difference in mass)
132 additionally harboring an isopentenyl residue at the C2'-position (Fig. 4C). Presence of 2'-
133 isopentenylsaproxanthin was reported earlier in the marine flavobacterium *Jejuia pallidilutea*,
134 which was isolated in Japan and South Korea (Lee et al., 2009; Takatani et al., 2014). Taking the
135 observed auto-oxidation into account, it is likely that 2'-Isopentenylsaproxanthin is also the actual
136 compound produced by *R. rubra* LF2^T. This compound is a rare C_{45} carotenoid, of which only 12
137 compounds are listed in the Carotenoids Database. In the few known examples of C_{45}
138 saproxanthin-type carotenoids isopentenylation took place at C2', which is in accordance with the
139 observed fragment ions obtained in our study.

140 Next, we aimed at identifying the underlying biosynthetic pathway for the three identified
141 carotenoids from the common carotenoid precursor lycopene. To this end, we analyzed genome
142 data also taking previously described pathways in Flexibacteria and Flavobacteria into account.
143 Our analysis focused on the published genomes of *R. brasiliensis* DSM 5305^T and of *R. rubra*
144 SWK7 (Klindworth et al., 2014; Scheuner et al., 2014). It is reasonable to argue that 1'-

145 hydroxytorulene (myxocoxanthin) could be an intermediate of the planctomycetal pathway as this
146 compound harbors the modified end groups and serves as a common precursor for production of
147 the three identified compounds (Fig. 5). Production of 1'-hydroxytorulene from lycopene requires
148 the activity of a carotenoid 1,2-hydratase, a carotenoid 3,4-desaturase and a carotenoid β -
149 cyclase. These reactions were already proposed for the flexixanthin biosynthetic pathway in the
150 marine bacterium *Algoriphagus* sp. (Tao et al., 2006). Further conversion of 1'-hydroxytorulene
151 to flexixanthin *via* deoxyflexixanthin is also in accordance with the pathway proposed in
152 *Algoriphagus* sp., which involves a carotenoid ketolase and a carotenoid 3-hydroxylase.

153 Conversion of 1'-hydroxytorulene to saproxanthin requires carotenoid 3-hydroxylase activity for
154 introduction of the hydroxy group at C3. Subsequent isopentenylolation of saproxanthin leading to
155 2'-isopentenylsaproxanthin is catalyzed by an elongase (isopentenyltransferase) (Fig. 5). The
156 responsible enzyme might be a homologue of the lycopene elongase LyeJ, which was identified
157 in the bacterioruberin pathway of the archaeon *Haloarcula japonica* (Yang et al., 2015). As the
158 natural substrate of LyeJ is lycopene, the exact order in which elongase, hydratase and cyclase
159 catalyze modification reactions at the end group for ultimately yielding 2'-isopentenylsaproxanthin
160 remains to be elucidated.

161 For getting a first insight in how the carotenoid biosynthetic pathway in Planctomycetes could be
162 encoded, we performed sequence analyses based on local alignments and Hidden Markov
163 Models with various enzymes known to synthesize the identified compounds in other
164 microorganisms. For enzymes in the proposed pathway starting from lycopene, produced from
165 the MEP/DOXP pathways in both species, our analysis yielded no hits in *R. brasiliensis* DSM
166 5305^T and *R. rubra* SWK7 (Tab. 1). In *R. brasiliensis* DSM 5305^T we could even not identify an
167 enzyme candidate for phytoene desaturase, which is responsible for biosynthesis of the common
168 carotenoid precursor lycopene and which is present in *R. rubra* SWK7 (Tab. 1). Taken together,
169 we could not identify candidate enzymes of the carotenoid biosynthesis pathway in the two

170 investigated Planctomycetes so far, although the presence of identified compounds implies that
171 enzymes for such a pathway must be present. Structural organisation and domain architecture of
172 involved enzymes might be different from the canonical ones, thereby providing a possible
173 explanation why these enzymes escaped our analysis. Planctomycetes are amongst the bacterial
174 phyla with the most predicted genes of unknown function (40-50%) and at the current stage,
175 despite using state-of-the-art bioinformatic tools, the carotenoid biosynthesis pathway in
176 Planctomycetes remains undiscovered. We must therefore stress that the shown pathway (Fig.
177 5) was postulated based on information from microorganisms known to produce these
178 compounds. However, as the required reactions are basically given based on the end groups of
179 the final compounds, we assume that they might be similar in the here investigated species (the
180 order may differ).

181 The probable lack of phytoene desaturase activity in *R. brasiliensis* DSM 5305^T is particularly
182 interesting as it might be part of the explanation for differences in colony colours (pink or orange).
183 This, however, will have to be addressed in follow-up studies. Remarkably, our observations
184 remain astonishing when taking into account that genes coding for enzymes of the MEP/DOXP
185 pathway responsible for the formation of the acyclic carotenoid precursor phytoene were easily
186 identified with high significance parameters (Tab. 1).

187 Based on the UV/Vis spectra and information from the literature sproxanthin, 2'-
188 isopentenylsproxanthin and flexixanthin have a yellow to orange colour and it is thus likely that
189 the orange colour of *R. brasiliensis* Gr7 results from the presence of mixtures of these
190 compounds. At this stage, it remains to be elucidated which compounds are responsible for the
191 pink colour. There are in principle three theories for explaining this observation: (I) the pink to red
192 colour is caused by a pathway intermediate of the postulated pathway (e.g. lycopene), (II) pink
193 strains form additional – yet to identify – carotenoids, or (III) the compound causing the pink colour
194 is not a carotenoid or escaped the analysis due to the formation of complexes, e.g. with proteins

195 (Lakshman and Okoh, 1993). In *Flexibacter ruber*, it was observed that the colonies have a red
196 colour, although the yellow to orange flexixanthin was identified as the major carotenoid
197 (Whitman, 2010). A similar situation might also explain the colony colour in the investigated
198 planctomycetal strain LF2^T. Spectroscopic properties might also be influenced by additional
199 parameters, such as pH or components of the used cultivation media. Either way, the observed
200 differences in the carotenoid composition or even their absence among many white colony-
201 forming planctomycetal stains is particularly interesting from both an ecological and physiological
202 perspective.

203 In this study, we were able to identify three carotenoids present in two pigmented planctomycetal
204 strains, thus contributing to improved characterization of bioactive molecules with potential
205 biotechnological relevance in this phylum of aquatic bacteria (Graca et al., 2016; Jeske et al.,
206 2016).

207 **Acknowledgement**

208
209 This research was partially supported by the Strategic Funding UID/Multi/04423/2019 through
210 national funds provided by FCT - Foundation for Science and Technology and European Regional
211 Development Fund (ERDF), in the framework of the programme PT2020 and by the German
212 Research Foundation (DFG), grant KA 4967/1-1, project number 405562673.

213 **Conflict of Interest**

214 The authors declare no conflicts of interest.

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302

303

304 **Tables**

305 **Table 1.** Genes relevant for production of the three identified carotenoids from precursors of the
 306 primary carbon metabolism. The GenBank accession numbers are given for genes identified in
 307 the genomes of *R. brasiliensis* DSM 5305^T and *R. rubra* SWK7.

Gene	Annotation	Accession number	
		<i>R. brasiliensis</i> DSM 5305 ^T	<i>R. rubra</i> SWK7
Non-mevalonate pathway			
<i>dxs</i>	1-deoxy-D-xylulose-5-phosphate synthase	ADY60203.1	EMI45825.1
<i>dxr</i>	1-deoxy-D-xylulose 5-phosphate reductoisomerase	ADY62041.1	EMI47130.1
<i>ispD</i>	2-C-methyl-D-erythritol 4-phosphate cytidyltransferase	ADY58900.1	EMI41460.1
<i>ispE</i>	4-diphosphocytidyl-2-C-methyl-D-erythritol kinase	ADY59769.1	EMI43255.1
<i>ispF</i>	2-C-methyl-D-erythritol 2,4-cyclodiphosphate synthase	ADY62145.1	EMI41605.1
<i>ispG, gcpE</i>	4-hydroxy-3-methylbut-2-en-1-yl diphosphate synthase	ADY59897.1	EMI45477.1
<i>ispH</i>	4-hydroxy-3-methylbut-2-en-1-yl diphosphate reductase	ADY61028.1	EMI41209.1
Carotenoid biosynthesis			
<i>crtE</i>	geranylgeranyl pyrophosphate synthase	ADY60202.1	EMI45824.1
<i>crtB</i>	phytoene synthase	ADY58198.1	EMI41211.1
<i>crtI</i>	phytoene desaturase	not found	EMI45088.1
<i>crtC</i>	acyclic carotenoid 1,2-hydratase	not found	not found
<i>crtD</i>	carotenoid 3,4-desaturase	not found	not found
<i>crtY</i>	lycopene β -cyclase	not found	not found
<i>crtW</i>	β -carotene ketolase	not found	not found
<i>crtZ</i>	β -carotene hydroxylase	not found	not found
<i>lyeJ</i>	lycopene elongase / lycopene 1,2-hydratase	not found	not found

308

309 **Figure captions**

310 **Figure 1. Colours of the two investigated strains.** The photographs show liquid cultures and
311 cells streaked on agar plates of pink-pigmented *R. rubra* LF2^T and orange-pigmented *R.*
312 *brasiliensis* Gr7.

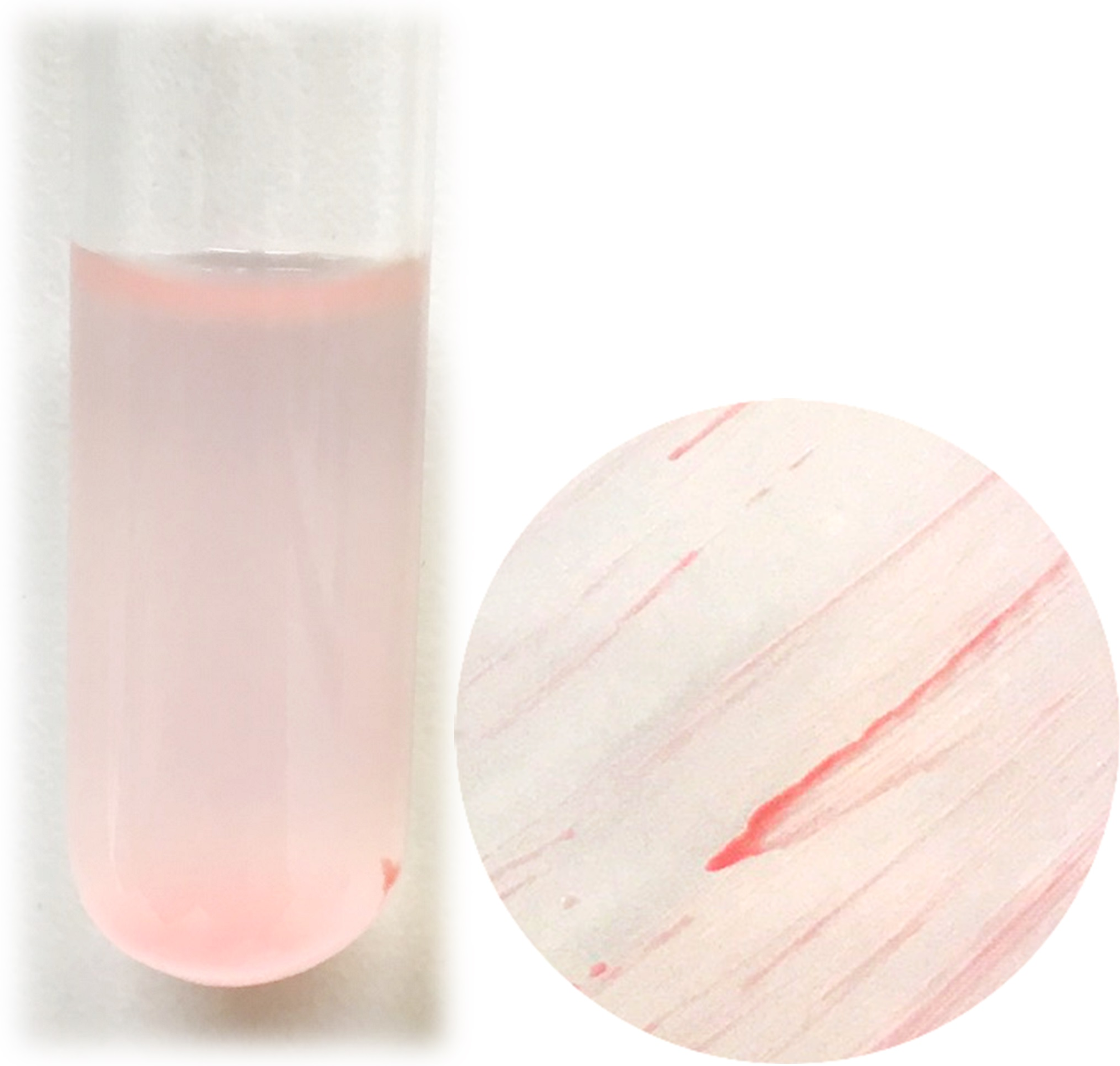
313
314 **Figure 2. Collected data leading to the identification of saproxanthin.** The UV/vis spectrum
315 (A), full mass spectrum (B) and structural formula (C) of saproxanthin are depicted.

316
317 **Figure 3. Collected data leading to the identification of dehydroflexixanthin.** The MS/MS
318 spectrum of *m/z* 581 (A) and structural formula (B) of dehydroflexixanthin are depicted.

319
320 **Figure 4. Collected data leading to the identification of 2'-isopentenyldehydro-**
321 **saproxanthin.** The UV/Vis spectrum (A), MS/MS spectrum of *m/z* 635 (B) and structural formula
322 (C) of 2'-isopentenyldehydrosaproxanthin are depicted.

323
324 **Figure 5. Postulated pathway for production of the carotenoids identified in *R. rubra* LF2^T**
325 **and *R. brasiliensis* Gr7.** The postulated metabolic route leading to saproxanthin, 2'-isopentenyl-
326 (dehydro)saproxanthin and (dehydro)flexixanthin from the common carotenoid precursor
327 lycopene is shown. The precursor geranylgeranyl pyrophosphate (geranylgeranyl-PP) is
328 produced from isopentenyl-PP obtained from the MEP/DOXP pathway in planctomycetal strains.
329 Three arrows indicate multiple reaction steps, which are not depicted in detail.

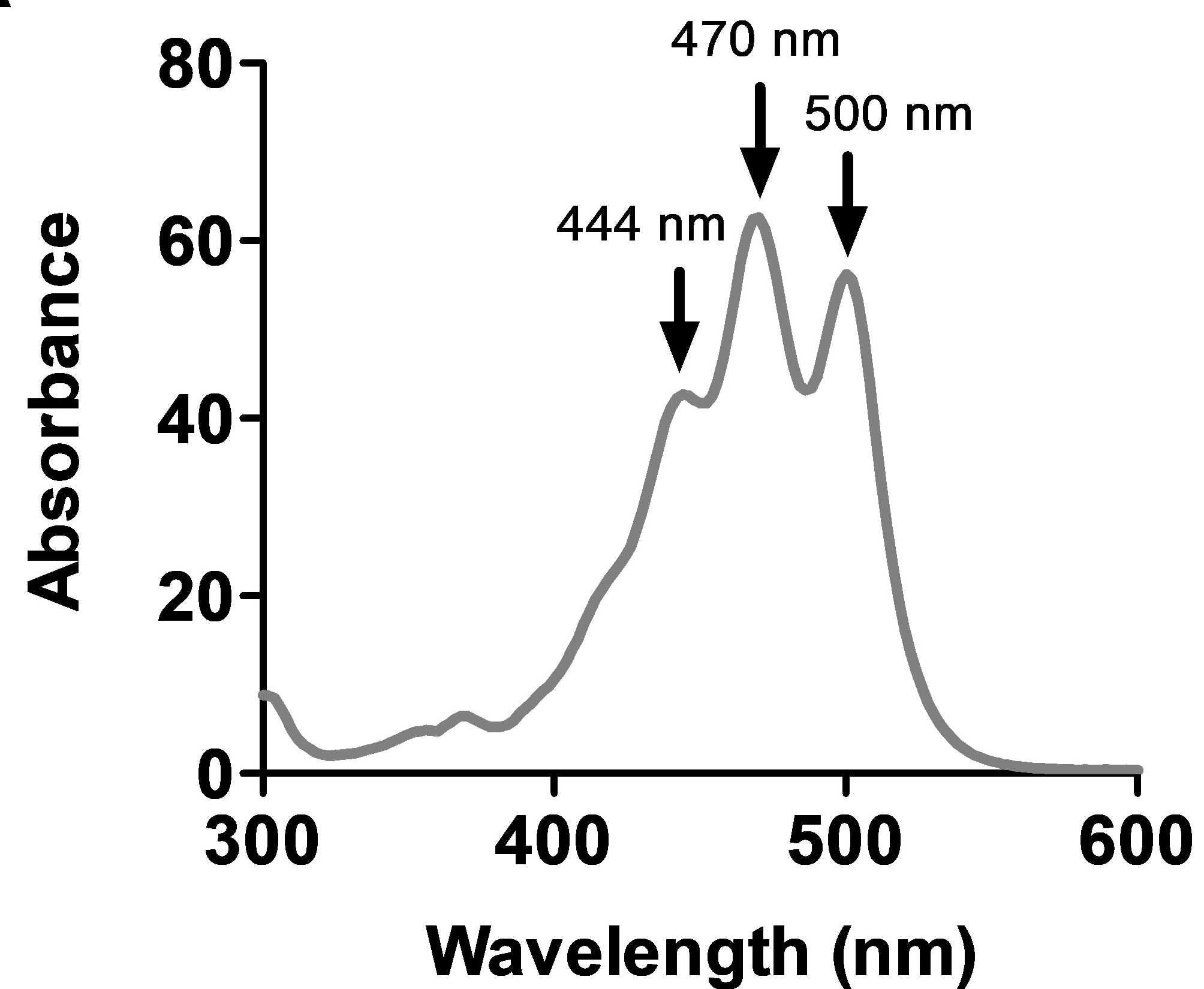
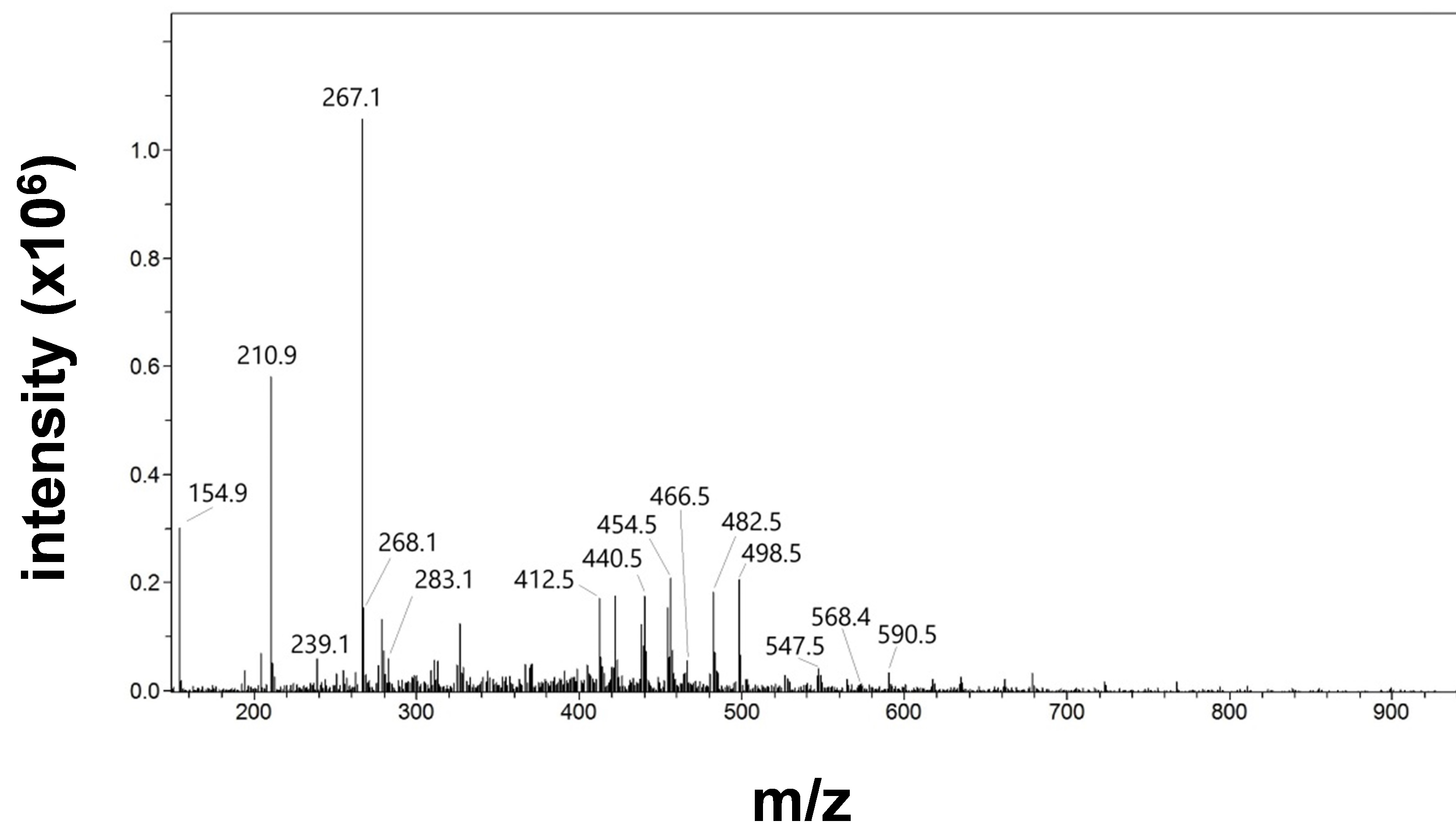
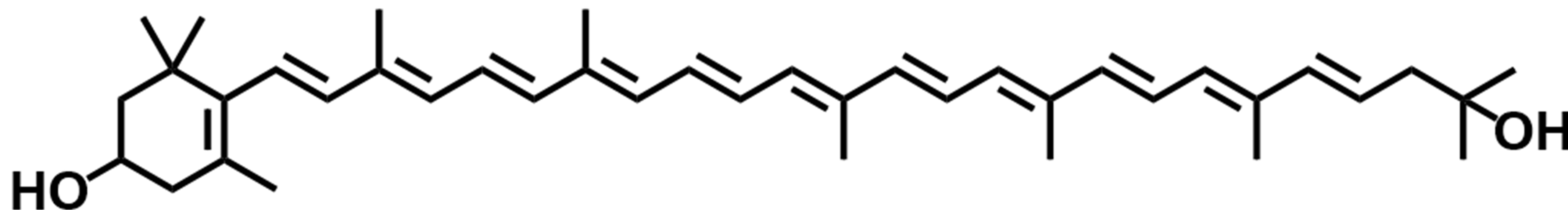
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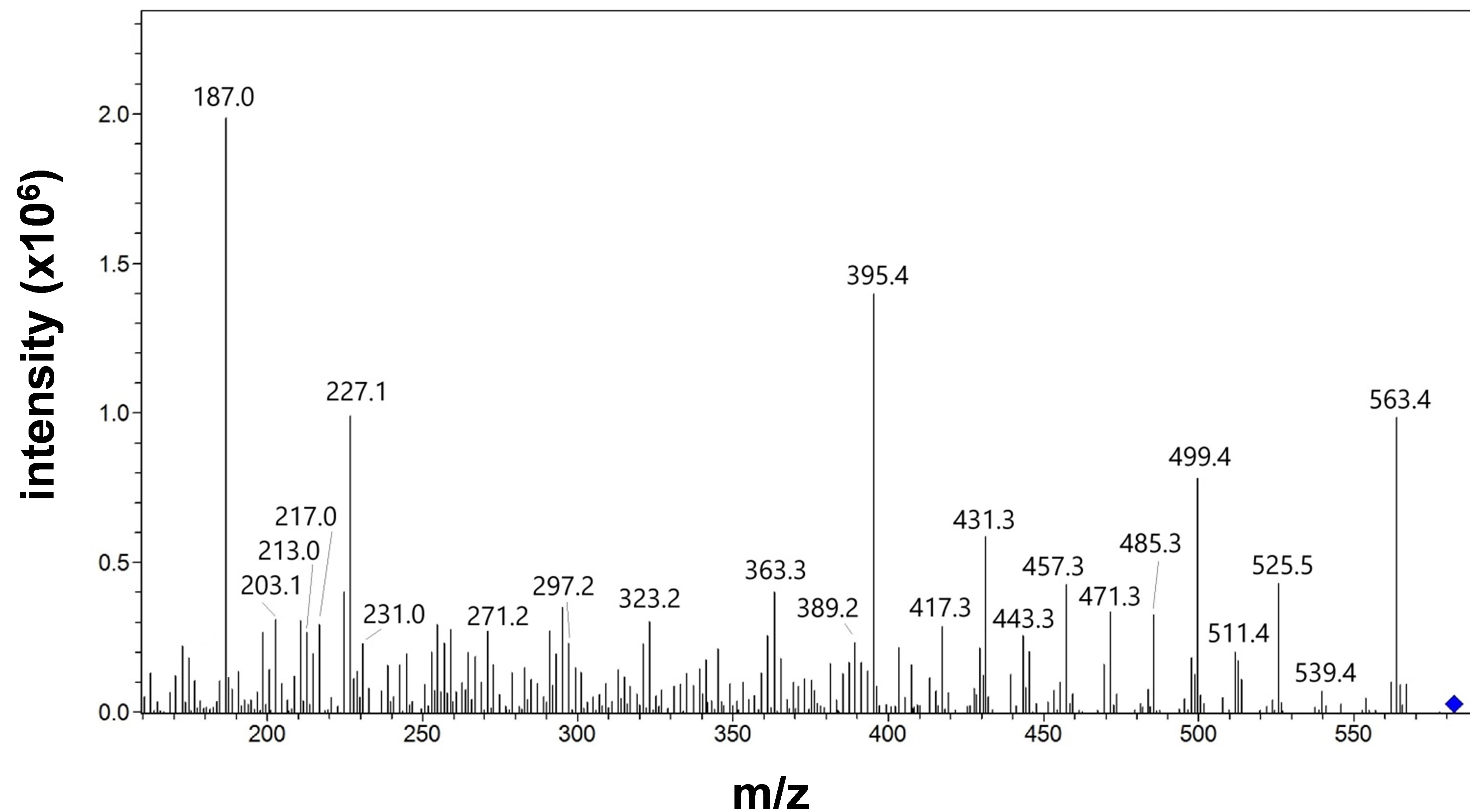
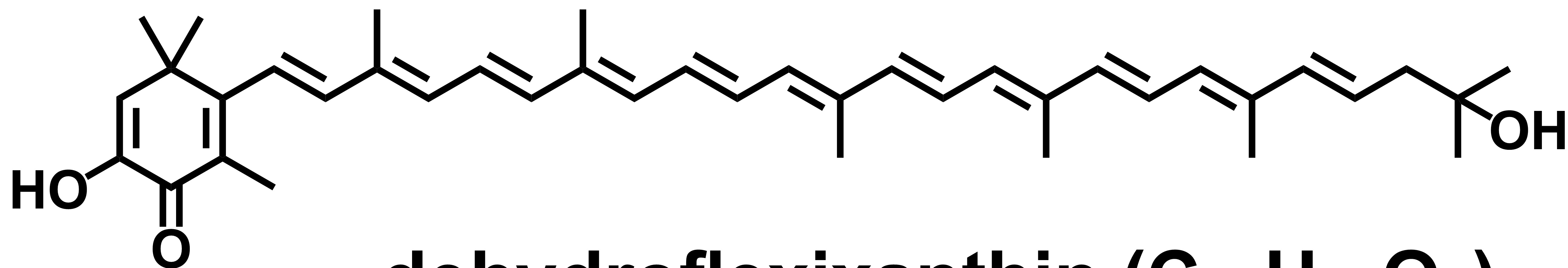
Rhodospirillum rubrum
(strain LF2)



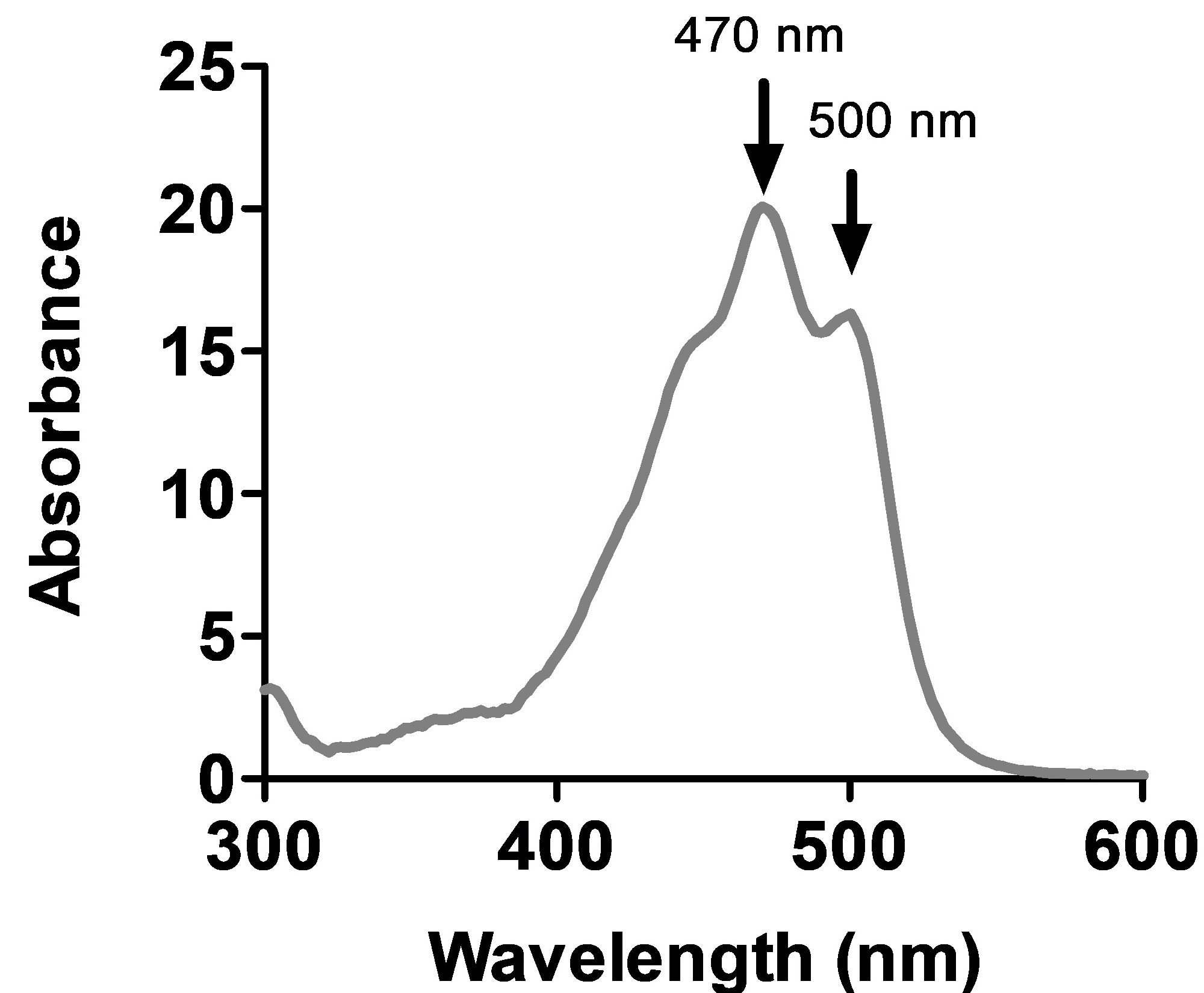
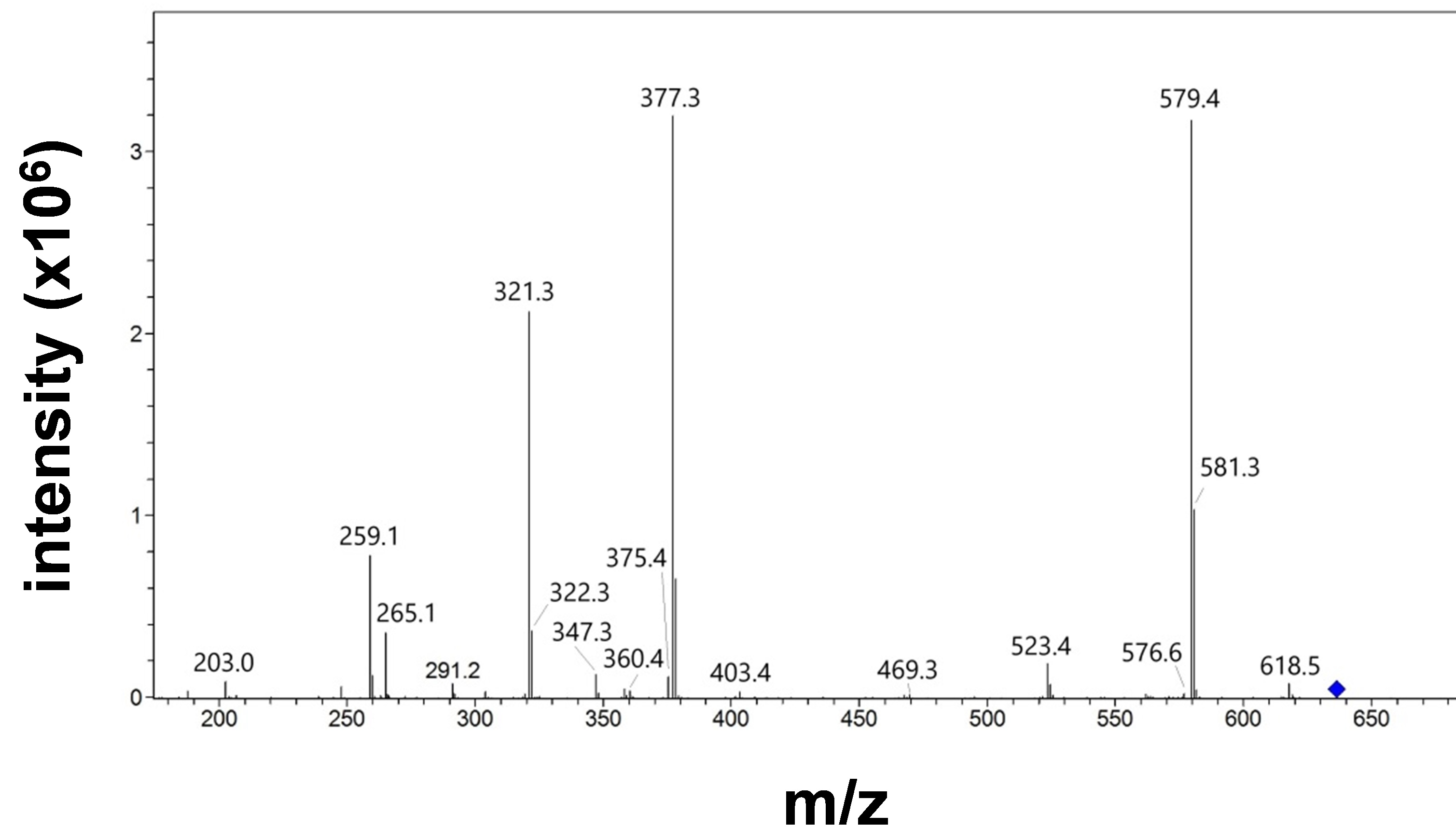
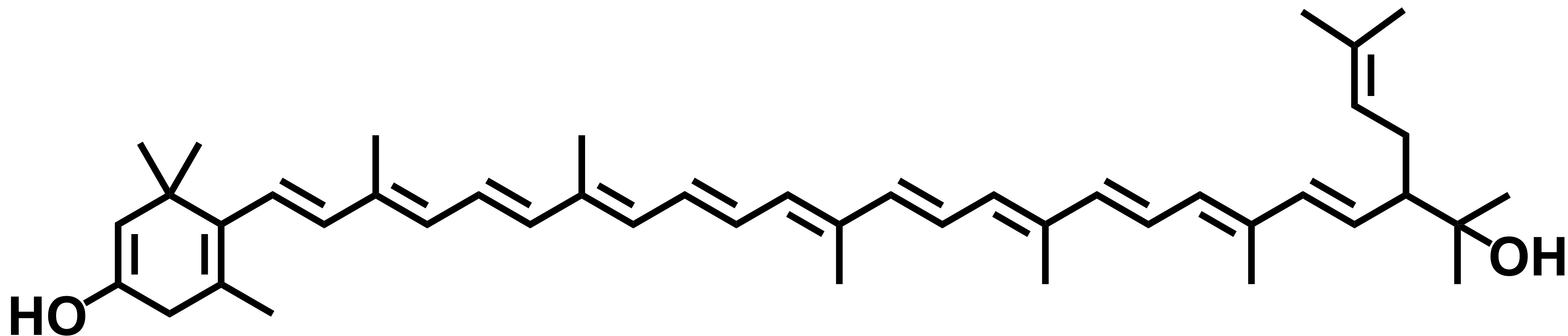
Rubinisphaera brasiliensis
(strain Gr7)

A**B****C**

saproxanthin (C₄₀H₅₆O₂)

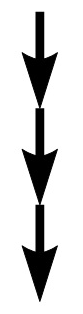
A**B**

dehydroflexixanthin (C₄₀H₅₂O₃)

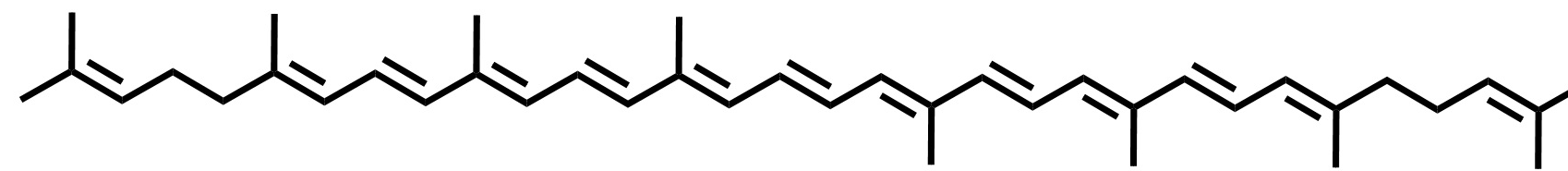
A**B****C**

2'-isopentenyldehydro-saproxanthin (C₄₅H₆₂O₂)

2x geranylgeranyl-PP

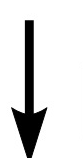
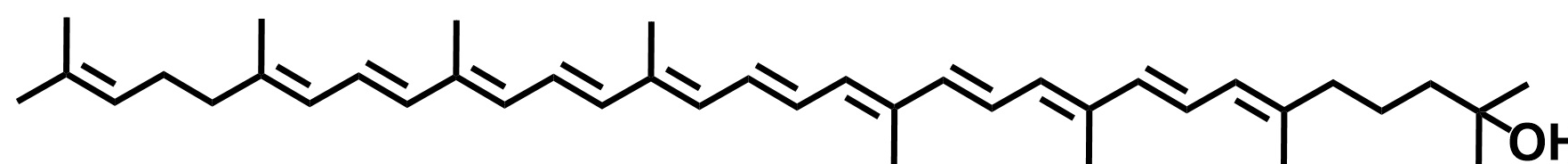


lycopene
(C₄₀H₅₆)



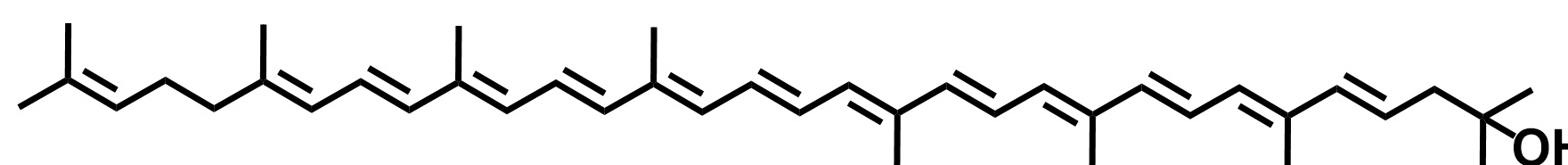
1,2-hydratase

rhodopin
(C₄₀H₅₈O)



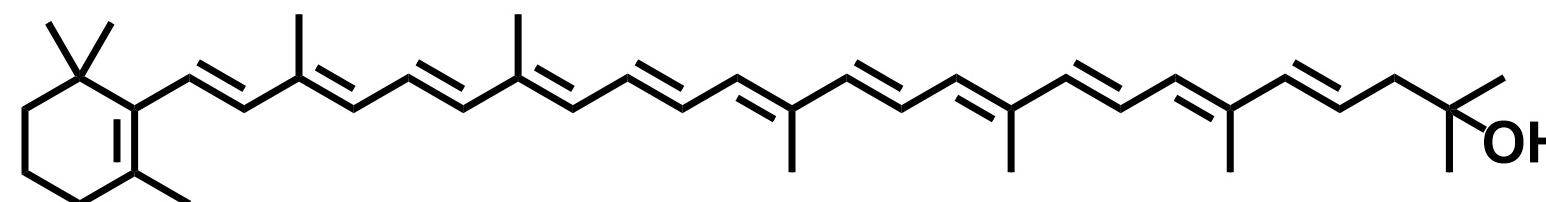
3,4-desaturase

3,4-didehydrorhodopin
(C₄₀H₅₆O)



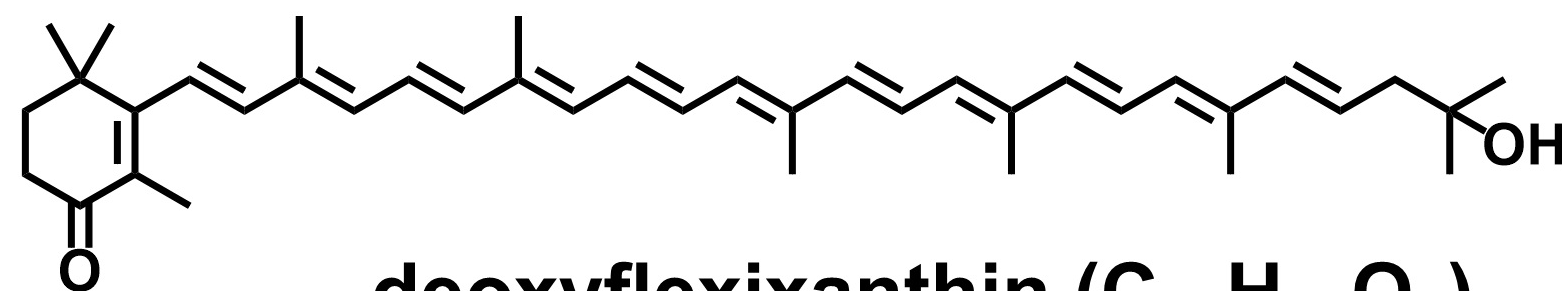
β-cyclase

1'-hydroxytorulene
(C₄₀H₅₆O)

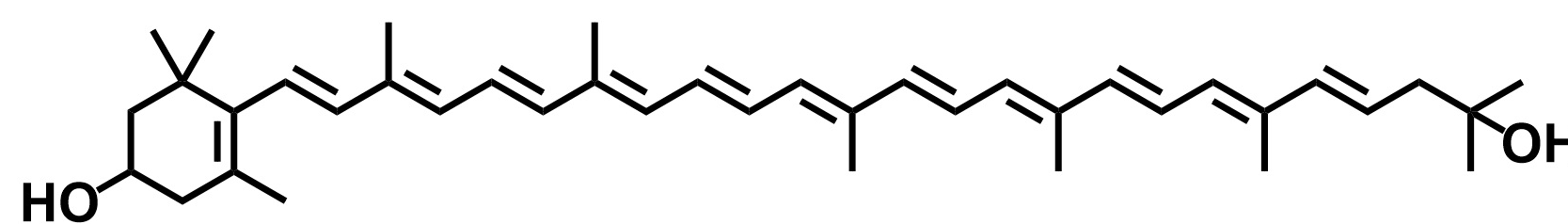


β-carotene
ketolase

β-carotene
3-hydroxylase



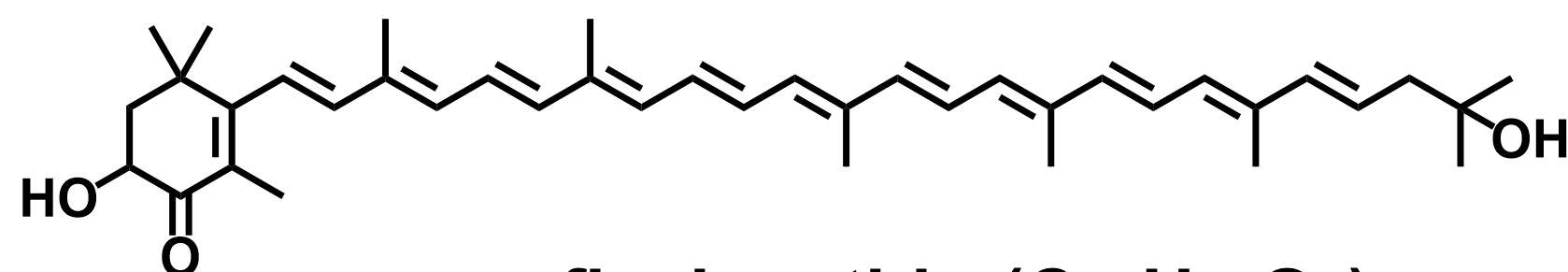
deoxyflexixanthin (C₄₀H₅₄O₂)



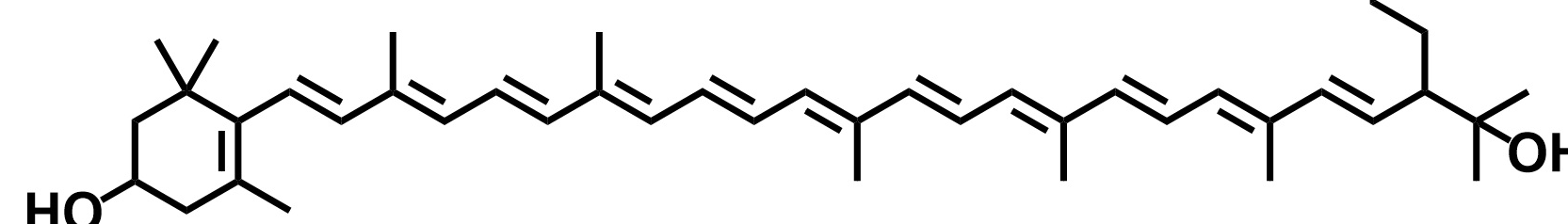
sapiroxanthin (C₄₀H₅₆O₂)

β-carotene
3-hydroxylase

elongase



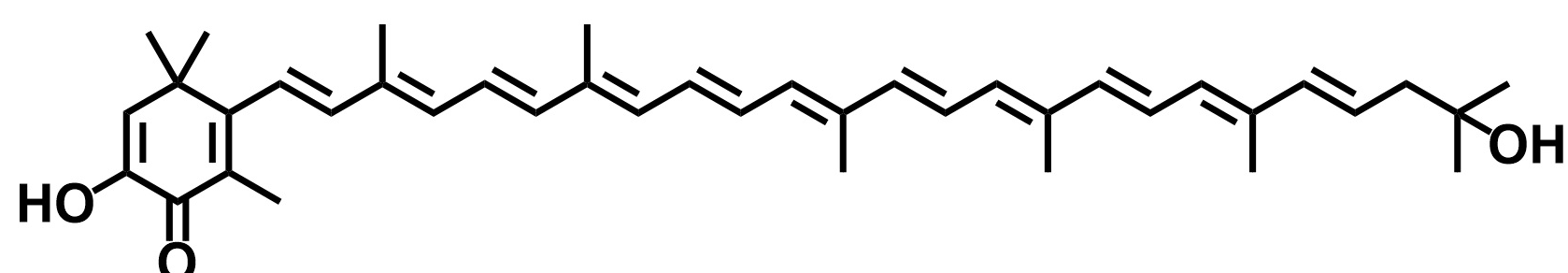
flexixanthin (C₄₀H₅₄O₃)



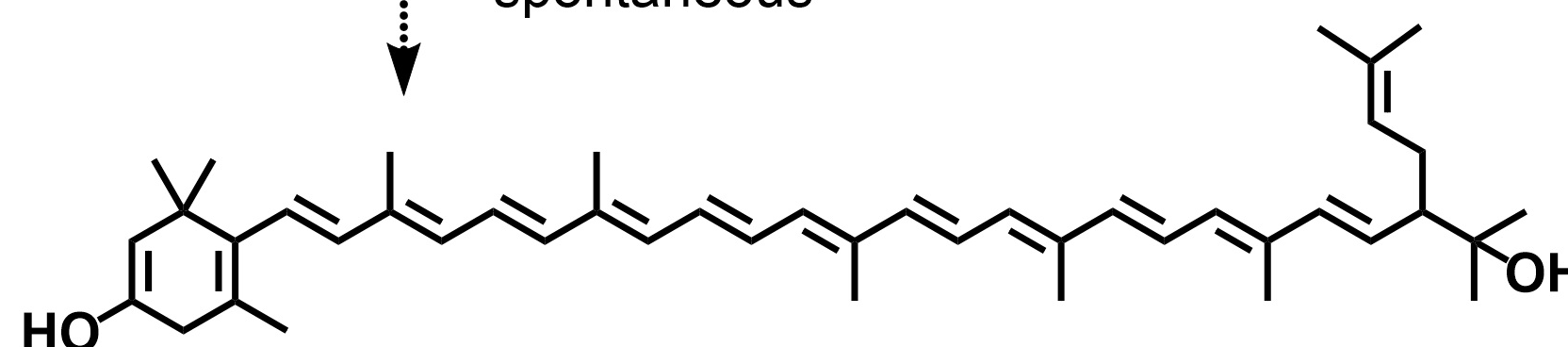
2'-isopentenylsapiroxanthin (C₄₅H₆₄O₂)

spontaneous

spontaneous



dehydroflexixanthin (C₄₀H₅₂O₃)



2'-isopentenyldehydro-sapiroxanthin (C₄₅H₆₂O₂)