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1	Resolving a clinical tuberculosis outbreak using palaeogenomic genome reconstruction					
2	methodologies					
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22 Abstract

This study describes the analysis of DNA from heat-killed (boilate) isolates of 23 24 Mycobacterium tuberculosis from two UK outbreaks where DNA was of sub-optimal quality 25 for the standard methodologies routinely used in microbial genomics. An Illumina library 26 construction method developed for sequencing ancient DNA was successfully used to 27 obtain whole genome sequences, allowing analysis of the outbreak by gene-by-gene MLST, 28 SNP mapping and phylogenetic analysis. All cases were spoligotyped to the same Haarlem 29 H1 sub-lineage. This is the first described application of ancient DNA library construction 30 protocols to allow whole genome sequencing of a clinical tuberculosis outbreak. Using this 31 method it is possible to obtain epidemiologically meaningful data even when DNA is of 32 insufficient quality for standard methods.

33

34 Keywords: *Mycobacterium tuberculosis*, whole genome sequencing, outbreak investigation,

35 ancient DNA library construction, palaeogenomics.

37 Introduction

In 2017, tuberculosis incidence in Wales was 3.4 cases per 100 000 population [1], lower than the 2017 overall UK incidence of 8.4 cases per 100 000 population [2]. However, the structure of the National Health Service Trust responsible for health protection in Wales, Public Health Wales (PHW), links microbiology, public health and epidemiology into one organizational team. This, coupled with the relatively stable population, enables detailed analysis of links between cases and makes Wales an attractive place to study tuberculosis transmission dynamics.

45 During 2003-2005 an outbreak of M. tuberculosis occurred in a small town in south Wales 46 (town G). Seven cases (GO1-GO7) were associated with a public house in the town. Case 47 GO3, a barman in the public house, and case GO4, both had direct contact with all the 48 other *M. tuberculosis* cases within the outbreak, at least six of whom had visited the public 49 house. Case GO4 was considered to have been highly infectious, having been symptomatic 50 for about 16 months before diagnosis. Both GO3 and GO4 were thought be the public 51 health team to represent potential super-spreaders within the outbreak. During this period, 52 the standard typing method in use by Public Health Wales was MIRU-VNTR. All the cases had identical MIRU-VNTR patterns. They were all fully susceptible to standard therapy. 53

54 In 2008, another outbreak was identified in an area of a nearby town, approximately 6km 55 away (town T). There were close links between cases in the two outbreaks, with two of the 56 cases in town T (TH1 and TH2) being direct contacts of GO3. TH2 was a regular at the public 57 house at the centre of the outbreak in town G. However, MIRU-VNTR typing of the two 58 groups of cases differed, with a polymorphism at a single MIRU-VNTR locus (MIRU16), 59 suggesting the presence of two independent outbreaks within the area. Nonetheless the 60 public health team felt it likely that all the cases formed part of one larger outbreak caused 61 by the same strain of *M. tuberculosis* with divergence seen at locus MIRU16 due to a 62 change in an endemic circulating strain. Epidemiologically linked isolates differing at fewer 63 than two MIRU-VNTR loci have been suggested previously to be likely to be part of the 64 same clonal complex within an outbreak [3] and MIRU-VNTR typing has been found to be unable to account for within-outbreak heterogeneity. It also provides limited information 65 66 on the direction of transmission, identification of super-spreaders or outbreak origin [4, 5, 67 6, 7, 8, 9].

The development of affordable and accessible whole genome sequencing (WGS) protocols
based around next generation sequencing (NGS) platforms such as the Illumina series, has

provided an alternative method for the investigation of *M. tuberculosis* outbreaks. The
quality of the DNA sample is critical to the success of WGS. The *M. tuberculosis* samples for
this study were provided by the Wales Centre for Mycobacteriology (WCM) in boilate form.

73 Although boilate extraction does release DNA, it is crude, inconsistent and yields DNA of 74 lower integrity, in low quantity and of poorer quality in comparison with other extraction 75 methods [10]. As might be expected, poor sample quality has a negative effect on the 76 standard Nextera XT library preparation [11]. Given the need sometimes to generate WGS 77 data from such samples, lessons might be learnt from the field of palaeogenomics, which 78 attempts routinely to generate genome-scale data from nucleic acids that are highly 79 fragmented, contaminated with non-target DNA, and often contain residual chemical 80 impurities [12, 13, 14]. Recent developments in palaeogenomic methodologies have 81 allowed WGS data to be obtained from a wide range of samples, spanning ancient humans 82 and hominids [15, 16], mammals [17, 18], plants [19] and even pathogens [20] – many of 83 which contain DNA with fragment lengths of <80bp. We therefore hypothesised that the 84 application of palaeogenomic sequencing protocols might overcome the challenge of 85 retrieving genomic data from the low purity and low-quality DNA of crude *M. tuberculosis* boilate samples. 86

88 Materials and Methods

89 Sample collection

90 Boilate samples from the *M. tuberculosis* isolates described above were obtained from the 91 WCM, Public Health Wales, Llandough Hospital, Cardiff. The isolates had been cultured 92 using the BACTEC[™] MGIT[™] 960 System (Becton Dickinson Diagnostic Systems, Sparks, MD) 93 in containment level 3 facilities and then heat-killed by boiling for 35 minutes at 110°C. 94 MIRU-VNTR typing had been performed at the PHW Molecular Unit, University Hospital of 95 Wales, Cardiff, with typing based on 15 loci, namely: ETRA, ETRB, ETRC, ETRD, ETRE, MIRU2, 96 MIRU10, MIRU16, MIRU20, MIRU23, MIRU24, MIRU26, MIRU27, MIRU39 and MIRU40. 97 Epidemiological information for each isolate was obtained through face-to-face interviews with a senior public health nurse from the original PHW outbreak investigation team, and 98 99 from the outbreak documentation. All the cases in these outbreaks and under 100 consideration here were fully susceptible to standard anti-tuberculosis chemotherapy.

101 Sequencing attempt using conventional Illumina protocols

102 Sequencing was first attempted directly from the boilates, following an ethanol 103 precipitation. Indexed genomic DNA libraries were prepared for sequencing using the Illumina Nextera XT (V3) sample preparation protocol following the manufacturer's 104 105 guidelines (2017 Illumina Inc., San Diego, CA, USA), size-selecting for fragments with an 106 average size of 500bp. Bead-normalised sequencing libraries were pooled and sequenced 107 on a MiSeq platform (2017 Illumina Inc., San Diego, CA, USA) using the V3 reagent kits and 108 600 cycles. The resulting paired-end reads were quality filtered with the Trimmomatic tool 109 software [21] using a sliding window approach of 5 bases and a quality score of Q20 prior 110 to contig assembly using the SPAdes genome assembler (Version 3.9.0) with K-mer sizes 33, 111 55, 77, 99 and 127 used [22]. In each case, this method failed to generate any sequence data at all, and unfortunately in this instance, replacement samples were not available. A 112 113 method optimized for sequencing degraded DNA sources was therefore explored.

114 DNA extraction

The remaining *M. tuberculosis* boilates were transferred to tubes containing a 500µL solution of digestion buffer (10mM Tris-HCl pH8, 10mM NaCl , 5nM CaCl, 2.5mM EDTA, 1% SDS, 1% Proteinase K, and DTT) and 500µL of Phenol: Chloroform: Isoamyl alcohol solution (Sigma-Aldrich, St. Louis, MO, United States). Next, 0.6g of Zirconia/Silica beads (Cat. No. 119 11079105z, Biospec Products Inc., Bartlesville, OK, USA) were added to the tubes and each sample was homogenized using a TissueLyser II (Qiagen, Valencia, California), for 4 rounds

121 of 20 second bursts with cooling on ice for 30 seconds between rounds. After homogenization, samples were centrifuged for 10 minutes at 16,000 X g in a bench 122 centrifuge to separate the phases. The aqueous upper phase (around 500µL) was gently 123 124 transferred to a new low-bind 2mL Eppendorf tube and two volumes (1mL) of ice cold 125 absolute ethanol (kept at -20C) were added to each sample. Samples were vortexed briefly 126 and centrifuged again for 10 min at 16,000g. The supernatant was discarded and the tubes 127 washed carefully with 700µL of 70% ethanol without disturbing the pellet. The ethanol 128 wash was discarded and the pellet was left to dry for two minutes. Finally, the pellet was 129 re-suspended and DNA eluted in buffer EB (Qiagen, Valencia, California), Extracted DNA 130 was quantified on a Qubit fluorometer using a dsDNA high sensitivity assay (Life Technologies, Carlsbad, California) and an Agilent 2100 Bioanalyzer (Santa Clara, 131 132 California). Following extraction, the samples were fragmented for 20 cycles in 30 second cycles within a Diagenode bioruptor 300. 133

134 Ancient DNA library preparation protocol

135 Carøe et al. [14] have recently published a new library construction protocol developed 136 specifically for use on low concentration and degraded nucleic acid extracts. Based around 137 a single tube blunt-end adaptor ligation, this so-called 'BEST' protocol has been shown to 138 yield more complex libraries than other methods, due to removal of intermediate 139 purification steps that generally lead to loss of the DNA molecules within the extract [14]. 140 Illumina compatible libraries were constructed in this way at the laboratories of the Natural History Museum of Denmark, using 32 µl of extracted (see DNA extraction above) DNA 141 142 from each boilate per sample as input. Based on qPCR results, libraries were indexed 143 through PCR amplification for 10, 12 or 15 cycles, prior to visualisation and quantification 144 on an Agilent Bioanalyser using the High Sensitivity DNA assay (Agilent technologies, Cheshire, UK). Subsequently, the indexed libraries were pooled at equimolar 145 146 concentrations and then sequenced on an Illumina HiSeq 2500 platform (Illumina 147 sequencing platforms, 2017) in 80bp single read mode by the Danish National High-Throughput DNA Sequencing Centre. The resulting single-end reads were quality filtered 148 149 with the Trimmomatic tool [21] using a sliding window approach of 5 bases and a quality score of Q20 prior to contig assembly using the SPAdes genome assembler [22]. Raw reads 150 151 for all the isolates are publically available (NCBI BioProject PRJNA556450).

152 Transmission chain

153 Cytoscape software [23] was used to generate a transmission tree.

154 Gene-by-gene MLST analysis

Gene-by-gene MLST analysis was carried out using Ridom SeqSphere Software [24]. A
published core genome MLST (cgMLST) scheme [24, 6] was used for the analysis, which was
based on 2891 core genes.

158 Whole Genome Sequence SNP mapping

Single nucleotide polymorphisms (SNPs) were identified using the standardised online CSI Phylogeny programme (Version 1.4; Call SNPs & Infer Phylogeny) of the Centre for Genomic Epidemiology (CGE) online tool [https://cge.cbs.dtu.dk/services/CSIPhylogeny/] [25]. A minimum spanning tree was constructed based on SNPs from 1123 sites from the WGS data using an adapted application of the Ridom SeqSphere software [24].

164 In silico *spoligotype*

Each isolate sequence was submitted to the Python-based SpolTyping [26] in silico software 165 for prediction of spoligotype pattern. Resulting octal and binary patterns were then 166 167 submitted to the SitVit database for determination of international typing assignment and assignment to globally recognised spoligtoype clades [27]. Additionally, spoligotype 168 169 patterns were submitted to the TB-insight online server for identification of the 170 corresponding M. tuberculosis lineage [27]. Isolates were assigned to major lineages based on the Conformal Bayesian Network (CBN) parameters, which employ a hierarchical 171 Bayesian network based on PCR based biomarkers such as spoligotypes to classify isolates 172 173 into given lineages [28].

175 Results

All the isolates were sequenced successfully using the aDNA sequencing protocol (Table 1).
Sequence data for all isolates covered >99% of the core genes used in the cgMLST scheme.
In addition, in all cases, sequence data covered >98% of the specified reference genome
according to the CGE CSI phylogeny software. The minimum spanning tree (Figure 1) shows
the genomic distances, based on allelic differences across 2891 core genes, between each
of the isolates included in this dataset. A >12 allele difference was used as the threshold for
exclusion from the outbreak [6].

183 cgMLST

184 Gene-by-gene cgMLST analysis appeared to indicate the presence of two outbreaks within 185 the dataset, labelled outbreak 1 and outbreak 2, separated by 124 allelic differences. Outbreak 1 included the outbreak G public house cases GO2, GO3, GO4, GO5, GO6 and 186 187 GO7 which all had fewer than 12 allelic differences between them. Within outbreak 1, two 188 isolates could not be distinguished from one another, with no allelic differences detected 189 (GO2 and GO6). A star-like topology is seen for outbreak 1, with GO2 and GO6 in the 190 central position. Outbreak 2 is represented by three isolates, two from outbreak TH (TH1 191 and TH2) and from outbreak G, GO1 (Figure 1a). TH1 and GO1 could not be distinguished. 192 Isolate TH2 diverged from GO1 and TH1 by only 4 allelic differences, well within the 12-193 allele limit and thus representing a direct transmission event [6]. Isolates GO8 and GO9, 194 also from town G and so included in the figure for comparison, substantially exceeded the 195 12-allelic difference threshold for direct transmission with any other isolate within this 196 dataset, consistent with the results of MIRU-VNTR typing, which previously found that GO8 197 and GO9 were not outbreak-related strains.

198 SNP mapping

SNP mapping also highlighted the presence of the same two outbreaks within this dataset (Figure 1b). For outbreak 1, a star-like topology was again present including isolates GO2, GO3, GO4, GO5, GO6 and GO7, as seen in the cgMLST analysis in Figure 1a. However, SNP mapping was able to distinguish GO2 and GO6 and found GO3 to be the central isolate. Outbreak 2 contained only two isolates, GO1 and TH1, with TH2 being excluded due to exceeding the threshold of 12 SNPs for outbreak inclusion. SNP mapping supported cgMLST and MIRU-VNTR in excluding GO8 and GO9 from either outbreak.

206 In silico *spoligotyping*

207 All the isolates were successfully predicted a spoligotype in silico (Table 2). The isolates 208 could all be assigned to the same lineage and correlating spoligotype clades, the Euro-209 American lineage and Haarlem H1 spoligotype clades respectively. Three different 210 spoligotypes and correlating international types were found: 47, 46 and 742. Isolates GO2 211 and GO4 had a different international type (742) from isolates GO3, GO5, GO6 and GO7 212 (international type 47) despite being directly linked within the same outbreak by both 213 cgMLST and SNP mapping. In line with WGS, GO1 showed a closer association with the TH 214 outbreak cases compared to the other town G cases, having the same spoligotype pattern 215 (international type 46).

216 Discussion

This study describes the first application of an aDNA library construction protocol for the investigation of a clinical outbreak of *M. tuberculosis*. The 'BEST' ancient DNA library preparation protocol and subsequent sequencing were able to provide extensive sequence data from sub-optimal quality DNA from *M. tuberculosis* outbreak samples, where the standard protocol had failed. The aDNA library preparation protocol was able to circumvent the issue of short DNA fragment sizes and yield WGS data from *M. tuberculosis* outbreak samples that would otherwise have been lost.

224 WGS provided extensive information on the outbreak. The application of gene-by-gene cgMLST provided similar, but not identical, results to those achieved by the SNP mapping 225 226 procedure. Both agreed in assigning two separate outbreaks and both supported the 227 epidemiological suspicion that a super-spreader was present, although the cgMLST and SNP 228 mapping analyses conflicted in their assignment of the likely super-spreader. cgMLST 229 indicated that the super-spreader was either GO2 or GO6, while traditional SNP mapping 230 supported the assumption of the public health team that GO3 was the super-spreader 231 responsible for multiple secondary cases within this outbreak. A possible reason for the 232 discrepancy between the two methods could be the presence of gene families of a 233 repetitive nature being included in the analyses, such as those for PE_PPE which show 234 disproportionately high amount of divergence [29]. cgMLST removed these regions and 235 this could at least partly explain the discrepancies. It is important to note that most SNPcalling procedures in *M. tuberculosis* epidemiology filter out repetitive regions, and that 236 237 therefore the procedure of mapping and SNP-calling without filtering, described here, is 238 not directly comparable with that described in other studies.

239 In silico application of SpoTyping software was achieved without further laboratory work 240 and at no extra cost to initial sequencing data [26]. Each isolate in this outbreak had a 241 spoligotype pattern corresponding to the Haarlem H1 clade. It has been demonstrated 242 previously that the value of inferring a recent common ancestor of isolates within 243 potentially related outbreaks, with identification of a causative circulating strain being a 244 common feature [8, 30]. Previous studies of M. tuberculosis in the Inuit and Greenland populations of North America, which have stable populations, have also documented the 245 246 cause of multiple outbreaks within the region as the ongoing spread of an evolving founder 247 strain that has continually spread across the area over decades [8, 30].

248 The results of *in silico* spoligotyping, together with the strong epidemiological links 249 between the two outbreaks, lends support to the public health team's case that both G and 250 T outbreak cases were part of the same on-going outbreak. The apparent existence of two 251 outbreaks may be due to the absence of intermediate isolates not included in this dataset 252 coupled with recent minor genomic changes [8], a conclusion consistent with the presence 253 of a polymorphism at only one MIRU-VNTR locus between the two genotypes. Such 254 problems with MIRU-VNTR typing have been described before [4, 8, 30]. Approximately 255 one-third of reported tuberculosis cases are culture-negative, so that there is no isolate for 256 analysis.

The work described here demonstrates that when necessary, clinically useful data can be obtained from sub-optimal quality samples by applying an ancient DNA library construction protocol to overcome the need for DNA of high purity and quality. The success of the 'BEST' aDNA library construction protocol here highlights a clinical application for a method previously associated only with palaeogenomic studies, and shows how the transfer of such techniques in defined circumstances could provide clinical benefit.

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- **Table 1:** Ancient DNA protocol sequencing of nine outbreak isolates. The percentage of the
- 396 core genome MLST genes present is shown as well as the percentage of the reference *M*.
- 397 *tuberculosis* H37Rv genome covered according to the CSI phylogeny algorithm provided by
- the Centre for Genomic Epidemiology [7].

Sample	No.	Largest	Total	N50	Average depth	% cgMLST	% reference	GenBank	% Error
ID	contigs	contig	length		of coverage		genome	Accession	rate
							covered	Accession	
TH1	151	174895	4372963	64226	177.0	99.52	98.27	VOGE00000000	0.45
TH2	181	171547	4347932	61791	86.9	99.34	98.18	VOGF00000000	0.46
G01	150	174836	4360310	69175	159.0	99.52	98.45	VOGD0000000	0.44
GO2	163	174750	4353499	63534	90.1	99.52	98.07	VOGG00000000	0.44
GO3	162	211047	4401955	75381	221.2	99.52	98.26	VOGH00000000	0.45
GO4	143	257745	4357032	69538	129.0	99.41	98.15	VOGI0000000	0.42
GO5	134	228152	4363387	76515	158.8	99.45	98.50	VOG100000000	0.43
GO6	148	210603	4362517	72538	125.0	99.48	98.36	VOGK00000000	0.40
G07	161	174721	4349894	64061	76.3	99.52	98.50	VOGL0000000	0.41

- 401 **Table 2:** *in silico* spoligotyping results for each of the outbreak isolates. Results include the
- 402 predicted spoligotype (produced by SpolDB4), international spoligotype (SITVIT database),
- 403 lineage assignment and clade assignment (both outputted from the TB-insight online
- 404 server).

Isolate	Predicted Spoligotype	International type	Lineage	Clade
GO3	777777774020771	47	Euro-American	H1
TH1	777777770000000	46	Euro-American	H1
TH2	77777770000000	46	Euro-American	H1
G01	77777770000000	46	Euro-American	H1
GO2	777777770020771	742	Euro-American	H1
GO4	777777770020771	742	Euro-American	H1
GO5	777777774020771	47	Euro-American	H1
GO6	777777774020771	47	Euro-American	H1
GO7	777777774020771	47	Euro-American	H1

406 Figure Legend

- 407 Figure 1: Results from analysing the nine isolates, with the addition of 2 isolates (GO8 &
- 408 GO9) from the same geographical area isolated during the same time-period, previously
- 409 sequenced by a standard method. **a**: cgMLST minimum spanning tree of the 11 isolates
- 410 with numbers representing the number of allelic differences between isolates; and **b**: CSI
- 411 phylogeny based minimum spanning tree of the 11 isolates on a total of 1123 SNPs, with
- 412 numbers representing the number of SNPs between isolates. Branches between isolates
- 413 are not to scale. Shaded areas represent those associated with the labelled outbreak.
- 414 Isolates with prefix TH were from Town T; prefix GO indicates isolates from Town G.

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