1	Ultra-rare genetic variation in the epilepsies: a whole-exome sequencing study of
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#### 13 Abstract

Sequencing-based studies have identified novel risk genes for rare, severe epilepsies and 14 revealed a role of rare deleterious variation in common epilepsies. To identify the shared and 15 16 distinct ultra-rare genetic risk factors for rare and common epilepsies, we performed a wholeexome sequencing (WES) analysis of 9,170 epilepsy-affected individuals and 8,364 controls of 17 European ancestry. We focused on three phenotypic groups; the rare but severe developmental 18 19 and epileptic encephalopathies (DEE), and the commoner phenotypes of genetic generalized 20 epilepsy (GGE) and non-acquired focal epilepsy (NAFE). We observed that compared to controls. 21 individuals with any type of epilepsy carried an excess of ultra-rare, deleterious variants in 22 constrained genes and in genes previously associated with epilepsy, with the strongest 23 enrichment seen in DEE and the least in NAFE. Moreover, we found that inhibitory GABAA 24 receptor genes were enriched for missense variants across all three classes of epilepsy, while no 25 enrichment was seen in excitatory receptor genes. The larger gene groups for the GABAergic 26 pathway or cation channels also showed a significant mutational burden in DEE and GGE. 27 Although no single gene surpassed exome-wide significance among individuals with GGE or 28 NAFE, highly constrained genes and genes encoding ion channels were among the top 29 associations, including CACNA1G, EEF1A2, and GABRG2 for GGE and LGI1, TRIM3, and GABRG2 for NAFE. Our study confirms a convergence in the genetics of common and rare 30 31 epilepsies associated with ultra-rare coding variation and highlights a ubiquitous role for 32 GABAergic inhibition in epilepsy etiology in the largest epilepsy WES study to date.

#### 33 Introduction

34 Epilepsy is a group of disorders characterized by repeated seizures due to excessive electrical activity in the brain, one of the most common and burdensome neurological conditions worldwide<sup>1;</sup> 35 36 <sup>2</sup>. A core challenge for epilepsy genetics is identifying and disentangling the genetic architecture 37 and biological mechanisms underlying the variety of epilepsy types (e.g., focal vs. generalized) and electroclinical syndromes. While the occurrence of epilepsy for many affected individuals 38 carries an underlying genetic component<sup>3-5</sup>, the highly heterogeneous nature of epileptic seizures, 39 epilepsy types, severity, and comorbidity makes it difficult to determine the specific genetic risks 40 for each patient. For individuals with common, complex types of epilepsy, where inheritance may 41 42 be due to strongly acting mutations, oligogenic or polygenic, the discovery of genetic risk factors 43 is particularly challenging.

44 Considerable progress in our understanding of the genetic risk factors for epilepsy has 45 been made in recent years thanks to the rapid growth and advancement in sequencing technology. 46 Dozens of epilepsy-causing genes have been identified in individuals diagnosed with severe epilepsy syndromes<sup>6-10</sup>, known as the developmental and epileptic encephalopathies (DEE). DEE 47 48 are rare in the population and typically begin early in life. Incidence of the entire group is not well 49 established, but a recent epidemiological study of severe epilepsies limited to onset under 18 months found an incidence of 1 in 2000 births<sup>11</sup>. The incidence of Dravet syndrome, one of the 50 important specific forms of DEE, has been shown in several studies as 1 in 22,000<sup>10</sup>. Individuals 51 with DEE usually have developmental impairment, ranging from profound to mild. With such 52 severity, sequencing-based studies continue to discover de novo pathogenic variants for DEE 53 and have implicated genes encoding neuronal ion channels and receptors and genes involved in 54 cellular signaling<sup>6-10; 12</sup>. The common subgroups of epilepsy, broadly comprising genetic 55 56 generalized epilepsy (GGE) and non-acquired focal epilepsy (NAFE), account for a major proportion of all incident epilepsies<sup>2; 13</sup> and have been shown robust heritability in twin, family, and 57 genome-wide association studies (GWAS)<sup>4; 14-16</sup>. Disappointingly, only a limited number of genes 58

had been discovered to date for the common epilepsies, mostly from rare monogenic families with
 focal epilepsies, and attempts to identify clear risk genes for GGE have been least successful<sup>12;</sup>
 <sup>17; 18</sup>. In most cases, especially for non-familial onset, the specific pathogenic variants are not yet
 known, and gene findings from small-scale studies have often not been reproducible<sup>19-21</sup>.

63 Current evidence of the genetic etiology of epilepsies has revealed both extensive phenotypic and genetic heterogeneity. Many of the identified genes are associated with a 64 65 spectrum of mild to severe epilepsies, showing phenotypic pleiotropy or variable expressivity, and most of the electroclinical syndromes have diverse genetic causes<sup>10; 22</sup>. Two recent studies using 66 whole-exome sequencing (WES) of hundreds of individuals with common familial epilepsies found 67 an enrichment in ultra-rare genetic variation in genes associated with rare epilepsy syndromes<sup>17</sup> 68 69 and in missense variants in a group of genes encoding all GABAA receptors, the most important 70 neurotransmitter receptors for neuronal inhibition in the mammalian brain<sup>18</sup>. Given the complex genetic architecture of the epilepsies, it is therefore critical to pinpoint the distinct and overlapping 71 72 genetic risk factors underlying different groups of epilepsy on a scale much larger than previous 73 sequencing studies and beyond familial cases.

Here, we evaluate a WES case-control study of epilepsy from the Epi25 collaborative an ongoing global effort that collected an unprecedented number of patient cohorts for primarily the three major classes of non-lesional epilepsies: DEE, GGE, and NAFE<sup>22</sup>. We aimed to characterize the genetic risk of ultra-rare coding variants across these common and rare epilepsy subgroups by evaluating the burden at the individual gene level and in candidate gene sets to understand the role of rare genetic variation in epilepsy and identify specific epilepsy risk genes.

#### 80 Subjects and Methods

#### 81 Study design and participants

We collected DNA and detailed phenotyping data on individuals with epilepsy from 37 sites in Europe, North America, Australasia and Asia (**Supplemental Subjects and Methods; Table S1**). Here we analyzed subjects with genetic generalized epilepsy (GGE, also known as idiopathic generalized epilepsy; N=4,453), non-acquired focal epilepsy (NAFE; N=5,331) and developmental and epileptic encephalopathies (DEE; N=1,476); and a small number of other epilepsies were also included in the initiative (**Table S1**).

Control samples were aggregated from local collections at the Broad Institute (Cambridge,
 MA, USA) or obtained from dbGaP, consisting of 17,669 individuals of primarily European
 ancestry who were not ascertained for neurological or neuropsychiatric conditions (Table S2;
 Supplemental Subjects and Methods).

#### 92 **Phenotyping procedures**

93 Epilepsies were diagnosed on clinical grounds based on criteria given in the next paragraph (see 94 below for GGE, NAFE and DEE, respectively) by experienced epileptologists and consistent with 95 International League Against Epilepsy (ILAE) classification at the time of diagnosis and recruitment. De-identified (non-PHI [protected health information]) phenotyping data were entered 96 97 into the Epi25 Data repository hosted at the Luxembourg Centre for Systems Biomedicine via 98 detailed on-line case record forms based on the RedCAP platform. Where subjects were part of coordinated efforts with phenotyping on databases 99 previous (e.q., the Epilepsy Phenome/Genome Project<sup>23</sup> and the EpiPGX project (www.epipgx.eu)), deidentified data were 100 101 accessed and transferred to the new platform. Phenotyping data underwent review for uniformity 102 among sites and quality control by automated data checking, followed by manual review if required. Where doubt remained about eligibility, cases were reviewed by the phenotyping 103

104 committee and sometimes further data was requested from the source site before a decision was105 made.

#### 106 Case Definitions

GGE required a convincing history of generalized seizure types (generalized tonic-clonic seizures, absence, or myoclonus) and generalized epileptiform discharges on EEG. We excluded cases with evidence of focal seizures, or with moderate to severe intellectual disability and those with an epileptogenic lesion on neuroimaging (although neuroimaging was not obligatory). If a diagnostic source EEG was not available, then only cases with an archetypal clinical history as judged by the phenotyping committee (e.g., morning myoclonus and generalized tonic-clonic seizures for a diagnosis of Juvenile Myoclonic Epilepsy) were accepted.

Diagnosis of NAFE required a convincing history of focal seizures, an EEG with focal epileptiform or normal findings (since routine EEGs are often normal in focal epilepsy), and neuroimaging showing no epileptogenic lesion except hippocampal sclerosis (MRI was preferred but CT was accepted). Exclusion criteria were a history of generalized onset seizures or moderate to severe intellectual disability.

The DEE group comprised subjects with severe refractory epilepsy of unknown etiology with developmental plateau or regression, no epileptogenic lesion on MRI, and with epileptiform features on EEG. As this is the group with the largest number of gene discoveries to date, we encouraged inclusion of those with non-explanatory epilepsy gene panel results, but we did not exclude those without prior testing (**Table S7**).

#### 124 Informed Consent

Patients or their legal guardians provided signed informed consent according to local national ethical requirements. Samples had been collected over a 20-year period in some centers, so the consent forms reflected standards at the time of collection. Samples were only accepted if the consent did not exclude data sharing. For samples collected after January 25, 2015, consent

forms required specific language according to the NIH Genomic Data Sharing policy
 (http://gds.nih.gov/03policy2.html).

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#### 132 Whole exome sequencing data generation

133 All samples were sequenced at the Broad Institute of Harvard and MIT on the Illumina HiSeg X platform, with the use of 151 bp paired-end reads. Exome capture was performed with Illumina 134 135 Nextera® Rapid Capture Exomes or TruSeq Rapid Exome enrichment kit (target size 38 Mb), 136 except for three control cohorts (MIGen ATVB, MIGen Ottawa, and Swedish SCZ controls) for which the Agilent SureSelect Human All Exon Kit was used (target size 28.6 Mb - 33 Mb). 137 Sequence data in the form of BAM files were generated using the Picard data-processing pipeline 138 and contained well-calibrated reads aligned to the GRCh37 human genome reference. Samples 139 140 across projects were then jointly called via the Genome Analysis Toolkit (GATK) best practice pipeline<sup>24</sup> for data harmonization and variant discovery. This pipeline detected single nucleotide 141 (SNV) and small insertion/deletion (indel) variants from exome sequence data. 142

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#### 144 **Quality control**

Variants were pre-filtered to keep only those passing the GATK VQSR (Variant Quality Score 145 Recalibration) metric and those lying outside of low complexity regions<sup>25</sup>. Genotypes with GQ < 146 147 20 and heterozygous genotype calls with allele balance > 0.8 or < 0.2 were set to missing. To control for capture platform difference, we retained variants that resided in GENCODE coding 148 149 regions where 80% of Agilent and Illumina-sequenced samples show at least 10x coverage. This resulted in the removal of ~50% of the called sites (23% of the total coding variants and 97% of 150 the total non-coding variants) but effectively reduced the call rate difference between cases and 151 152 controls (Figure S1). To further identify potential false positive sites due to technical variation, we 153 performed single variant association tests (for variants with a minor allele frequency MAF > 0.001) among the controls, treating one platform as the pseudo-case group with adjustment for sex and 154

the first ten principal components (PCs), and removed variants significantly associated with capture labels (*p*-value < 0.05). We also excluded variants with a call rate < 0.98, case-control call rate difference > 0.005, or Hardy-Weinberg Equilibrium (HWE) test *p*-value <  $1 \times 10^{-6}$  based on the combined case and control cohort.

159 Samples were excluded if they had a low average call rate (< 0.98), low mean sequence depth (< 30; Figure S2), low mean genotype quality (< 85), high freemix contamination estimate 160 (> 0.04), or high percent chimeric reads (> 1.4%). We performed a series of principal component 161 analyses (PCAs) to identify ancestral backgrounds and control for population stratification, 162 163 keeping only individuals of European (EUR) ancestry classified by Random Forest with 1000 164 Genomes data (Figure S3). Within the EUR population, we removed controls not well-matched 165 with cases based on the top two PCs, and individuals with an excessive or a low count of synonymous singletons—a number that increases with the North-to-South axis (Figure S4). We 166 167 also removed one sample from each pair of related individuals (proportion identity-by-descent > 168 0.2) and those whose genetically imputed sex was ambiguous or did not match with self-reported sex. Outliers (>4SD from the mean) of transition/transversion ratio, heterozygous/homozygous 169 170 ratio, or insertion/deletion ratio within each cohort were further discarded (Figures S5-7). At the 171 phenotype level, we removed individuals with epilepsy phenotype to-be-determined or marked as "excluded" from further review. 172

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S4.

The number of variant and sample dropouts at each step are detailed in **Tables S3** and

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#### 176 Variant annotation

Annotation of variants was performed with Ensembl's Variant Effect Predictor (VEP)<sup>26</sup> for human genome assemble GRCh37. Based on the most severe consequence, we defined four mutually exclusive functional classes of variants using relevant terms and SnpEff<sup>27</sup> impact (**Table S5**): protein-truncating variant (PTV), damaging missense (predicted by PolyPhen-2 and SIFT),

181 other/benign missense (predicted by PolyPhen-2 and SIFT), and synonymous. To further 182 discriminate likely deleterious missense variants from benign missense variants, we applied an in silico missense deleteriousness predictor ("Missense badness, PolyPhen-2, and regional 183 184 Constraint", or MPC score)<sup>28</sup> that leverages regional constraint information to annotate a subset 185 of missense variants that are highly deleterious (MPC  $\geq$  2). The MPC  $\geq$  2 group accounts for a small proportion of the total damaging and benign missense variants annotated by PolyPhen-2 186 187 and SIFT. Because many of our control samples were obtained from external datasets used in the Exome Aggregation Consortium (ExAC)<sup>29</sup> (**Table S2**), we used the DiscovEHR cohort—an 188 external population allele frequency reference cohort that contains 50,726 whole-exome 189 sequences from a largely European and non-diseased adult population<sup>30</sup>—to annotate if a variant 190 191 is absent in the general population (Figure S8).

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#### 193 Gene-set burden analysis

194 To estimate the excess of rare, deleterious protein-coding variants in individuals with epilepsy, we conducted burden tests across the entire exome, for biologically relevant gene sets and at the 195 196 individual gene level. We focused on two definitions of "ultra-rare" genetic variation (URV) for the 197 primary analyses—variants not seen in the DiscovEHR database and observed only once among the combined case and control test cohort (allele count AC=1) or absent in DiscovEHR and 198 199 observed no more than three times in the test cohort (allele count AC<3)—where the strongest burden of deleterious pathogenic variants have been observed previously<sup>17; 31</sup> and in our study 200 compared to less stringent allele frequency thresholds (Figure S9 & S10). We performed these 201 case-control comparisons separately for each of the three primary epilepsy disorders (DEE, GGE, 202 203 NAFE) and again for all epilepsy-affected individuals combined.

Gene-set burden tests were implemented using logistic regression to examine the enrichment of URVs in individuals with epilepsy versus controls. We performed the test by regressing case-control status on certain classes of URVs aggregated across a target gene set

207 in an individual, adjusting for sex, the top ten PCs, and exome-wide variant count. This analysis tested the burden of URVs separately for five functional coding annotations: synonymous, benign 208 209 missense predicted by PolyPhen-2 and SIFT, damaging missense predicted by PolyPhen-2 and 210 SIFT, protein-truncating variants, and missense with MPC≥2 (Table S5). To help determine 211 whether our burden model was well calibrated, we used synonymous substitutions as a negative 212 control, where significant burden effects would more likely indicate insufficient control of 213 population stratification or exome capture differences. The inclusion of overall variant count as a 214 covariate—which tracks with ancestry—made our test conservative but allows for better control of residual population stratification not captured by PCs, and effectively reduces inflation of 215 signals in synonymous variants (Figure S11). We collected and tested eleven different gene sets. 216 217 including constrained genes, brain-enriched genes, and genes reported to be associated with epilepsy or epilepsy-related mechanisms<sup>9; 17; 18; 32; 33</sup> (**Table S6**). Unlike the gene-based burden 218 219 tests, because most of the gene-set tests were not independent, we used a false discovery rate 220 (FDR) correction for multiple testing that accounted for the number of functional categories (5), 221 gene sets (11) and epilepsy phenotypes (4), totaling 220 tests, and defined a significant 222 enrichment at FDR < 0.05.

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#### 224 Gene-based collapsing analysis

225 For gene-based tests, we restricted to deleterious URVs annotated as either PTV, missense with MPC≥2, or in-frame insertion/deletion. For each gene, individuals who had at least 226 227 one copy of these deleterious variants were counted as a carrier, and we used a two-tailed Fisher's Exact test (FET) to assess if the proportion of carriers among epilepsy subgroup cases 228 229 was significantly higher than controls. Instead of assuming a uniform distribution for p-values 230 under the null, we generated empirical p-values by permuting case-control labels 500 times, 231 ordering the FET p-values of all genes for each permutation, and taking the average across all permutations to form a rank-ordered estimate of the expected p-value distribution. This was done 232

by modifying functions in the "QQperm" R package<sup>34</sup>. To avoid potential false discoveries, we defined a stringent exome-wide significance as p-value < 6.8e-07, using Bonferroni correction to account for 18,509 consensus coding sequence genes tested and the four individual case-control comparisons.

Considering that recessive pathogenic variants were implicated in a number of epilepsyassociated genes, mostly identified from individuals with a DEE phenotype<sup>7</sup>, we conducted a secondary gene-based Fisher's exact test using a recessive model, comparing the proportion of carriers that are homozygous for the minor allele between cases and controls. The recessive model was assessed for PTVs, missense (MPC≥2) variants, and in-frame indels separately. For this analysis, we did not restrict to non-DiscovEHR variants and relaxed the allele frequency up to MAF < 0.01 to account for the sparse occurrences.

Additionally, to evaluate the contribution of low frequency deleterious variants to epilepsy risk, we explored the gene burden of all protein-truncating and damaging missense variants for those with a MAF < 0.01 using SKAT<sup>35</sup>, including sex and the top ten PCs as covariates in the analysis. We performed the tests with the default weighting scheme (dbeta(1,25)).

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#### 249 Single variant association

Associations of common and low-frequency variants (MAF > 0.001) with epilepsy were estimated using logistic regression by Firth's method, correcting for sex and the first ten PCs.

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253 Quality control, annotation, and analysis were largely performed using Hail<sup>36</sup>, an open-source 254 software for scalable genomic data analysis, in conjunction with R (version 3.4.2).

#### 255 Results

#### 256 Whole exome sequencing, quality control, and sample overview

257 We performed WES on an initial dataset of over 30,000 epilepsy affected and control individuals. 258 After stringent quality control (QC), we identified a total of 9,170 individuals with epilepsy and 259 8,436 controls without reported neurological or neuropsychiatric-related conditions, all of whom were unrelated individuals of European descent. Among the individuals with epilepsy, 1,021 were 260 261 diagnosed with DEE, 3,108 with GGE, 3,597 with NAFE, and 1,444 with other epilepsy syndromes (lesional focal epilepsy, febrile seizures, and others). Cases and controls were carefully matched 262 on genetic ancestry to eliminate the possibility of false positive findings induced by population 263 264 stratification. Due to the lack of cosmopolitan controls from non-European populations, cases 265 identified from PCA with a non-European ancestry were removed. Furthermore, to ensure the 266 distribution of rare variants was balanced between cases and controls<sup>37</sup>, we removed a subset of case and control-only cohorts (from Sweden, Finland, Cyprus, and Turkey) where the mean 267 268 synonymous singleton count that significantly deviated from the overall average being the consequence of incomplete ancestry matching (Figure S4). We called a total of 1,844,644 sites 269 270 in 18,509 genes in the final dataset, comprising 1,811,325 SNVs and 33,319 indels, 48.5% of which were absent in the DiscovEHR database<sup>30</sup>. Among the non-DiscovEHR sites, 85% were 271 272 singletons (defined as only one instance of that variant), and 99% had a minor allele count (AC) 273 not more than three (equivalent to MAF ≤0.01%; Figure S8); the missense with MPC≥2 annotation accounted for 2.0% of the total missense variants (5.5% of the damaging and 1.0% of 274 the benign missense variants predicted by PolyPhen-2 and SIFT). In our primary burden analyses, 275 276 we focused on the "ultra-rare" non-DiscovEHR variants (URVs) that are unique to the 17,606 277 individuals under study and are seen either only once (AC=1) or no more than three times  $(AC\leq3)$ 278 in our dataset. These URVs were shown to confer the largest risk of epilepsy compared to singletons observed in DiscovEHR, doubletons, or beyond (Figure S9 & S10). As previously 279 described, epilepsy enrichment signals diminished with an increase in allele frequencv<sup>17</sup>. 280

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#### 282 Enrichment of ultra-rare deleterious variants in constrained genes in DEE and GGE

We first tested the burden of singleton URVs for each epilepsy subgroup, as well as for all 283 284 epilepsy-affected individuals combined, versus controls among gene sets collected based on 285 current understanding and hypothesis of epilepsy causation (Table S6). To evaluate the burden in constrained genes, we defined "loss-of-function (LoF) intolerant" genes with either a pLI score<sup>29</sup> 286 287 > 0.9 (3,488 genes) or separately a pLI score > 0.995 (1,583 genes) and those as "missenseconstrained" for genes with a missense Z-score >  $3.09 (1.730 \text{ genes})^{33}$ . We used a version of the 288 scores derived from the non-neuropsychiatric subset of the Exome Aggregation Consortium 289 (ExAC) samples. Because some of our control cohorts are also in ExAC (Table S2), we restricted 290 291 our constrained gene burden tests to controls outside of the ExAC cohort (N=4,042).

292 We found that, consistent with a recent study that evaluated *de novo* burden in autism<sup>38</sup>, 293 burden signals of PTVs were mostly contained in genes with a pLI > 0.995 compared to pLI > 0.9 294 (Figure S12 & S13). Focusing on pLI > 0.995 in the all-epilepsy case-control analysis, both protein-truncating and damaging missense (MPC<sup>28</sup>≥2) URVs in LoF-intolerant genes showed a 295 296 mutational burden with an odds ratio of 1.3 ( $adjP = 1.6 \times 10^{-4}$ ) and 1.1 (adjP = 0.039), respectively. 297 Breaking this down by epilepsy types, there was a significant excess of these deleterious URVs among individuals with DEE ( $OR_{PTV} = 1.4$ ,  $adjP_{PTV} = 0.013$ ;  $OR_{MPC} = 1.2$ ,  $adjP_{MPC} = 0.019$ ), as 298 299 expected. This enrichment was also seen in individuals with GGE with a magnitude comparable 300 to that in DEE ( $OR_{PTV} = 1.4$ ,  $adjP_{PTV} = 9.1 \times 10^{-5}$ ;  $OR_{MPC} = 1.2$ ,  $adjP_{MPC} = 5.5 \times 10^{-3}$ ), but was not 301 significant in individuals with NAFE ( $OR_{PTV} = 1.2$ ,  $adjP_{PTV} = 0.062$ ;  $OR_{MPC} = 1.0$ ,  $adjP_{MPC} = 0.37$ ; Figure 1). There was no evidence of excess burden in synonymous URVs, suggesting that 302 303 enrichment of deleterious pathogenic variants was unlikely to be the result of un-modeled population stratification or technical artifact. Among in-silico missense predictors, MPC≥2 304 305 annotations consistently showed a higher burden than those predicted by PolyPhen-2 and SIFT. 306 The burden among missense-constrained genes exhibited a similar pattern, with PTVs showing

a higher burden in DEE than in the common epilepsy types (Figure S14). In addition, both large
 gene sets were more enriched for PTVs than for damaging missense variants.

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#### 310 Burden in candidate genetic etiologies associated with epilepsy

311 Among URVs in previously reported epilepsy genes, we found an expected and pronounced 312 difference in the number of singleton protein-truncating URVs in individuals with DEE relative to 313 controls. PTVs were associated with an increased DEE risk in 43 known dominant epilepsy genes<sup>17</sup> (OR = 6.3,  $adjP = 2.1 \times 10^{-08}$ ), 50 known dominant DEE genes<sup>9</sup> (OR = 9.1,  $adjP = 7.8 \times 10^{-10}$ 314 <sup>11</sup>), and 33 genes with *de novo* burden in neurodevelopmental disorders with epilepsy<sup>9</sup> (OR =315 14.8,  $adjP = 1.7 \times 10^{-12}$ ). Evidence for an excess of ultra-rare PTVs was also observed in individuals 316 with GGE, with an odds ratio ranging from 2 to 4. No enrichment of PTVs was observed among 317 318 people with NAFE (Figure 2A; Table S8). In contrast, the burden of singleton missense (MPC≥2) 319 URVs was more pervasive across epilepsy types. Compared to controls, there was a 3.6-fold 320 higher rate of these missense URVs in established epilepsy genes in individuals with DEE (adjP =  $1.6 \times 10^{-10}$ ), a 2.3-fold elevation in individuals with GGE (*adiP* =  $6.4 \times 10^{-07}$ ), and a 1.9-fold 321 elevation in individuals with NAFE ( $adjP = 2.8 \times 10^{-4}$ ). 322

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#### 324 Burden in genes encoding for cation channels and neurotransmitter receptors

325 Among brain-enriched genes-those defined as genes with at least a 2-fold increase in expression in brain tissues relative to their average expression across tissues based on GTEx 326 data<sup>32</sup>—both protein-truncating and damaging missense (MPC $\geq$ 2) URVs were significantly 327 enriched in epilepsy cases versus controls, and the missense burden was much higher than the 328 329 PTV burden (Figure S15). We then investigated the burden in four smaller gene sets previously implicated as mechanisms driving the etiology of epilepsy; these included 19 genes encoding 330 331 GABA<sub>A</sub> receptor subunits, 113 genes involved in GABAergic pathways, 34 genes encoding excitatory receptors (ionotropic glutamate receptor subunits and nicotinic acetylcholine receptor 332

333 subunits), and 86 voltage-gated cation channel genes (e.g., sodium, potassium, calcium-full list 334 in **Table S6**)<sup>18</sup>. We discovered that, relative to damaging missense variants, the distribution of PTVs in most of these gene sets did not differ significantly between epilepsy cases and controls 335 336 (Figure 2A; Table 1). The PTV signals that remained significant after FDR correction included, 337 for individuals with DEE, an increased burden in GABAergic pathway genes and voltage-gated 338 cation channels, and noticeably, for individuals with GGE, an increased burden in the inhibitory 339 GABA<sub>A</sub> receptors (OR = 4.8, adjP = 0.021). No PTV burden was detected for individuals with 340 NAFE. In contrast, the enrichment of missense (MPC≥2) URVs was more extensive in these gene 341 sets across all epilepsy-control comparisons (Figure 2A; Table 1). The burden of these damaging missense pathogenic variants was seen in GABA<sub>A</sub> receptor genes ( $OR_{DEE} = 3.7, adjP_{DEE} = 0.028$ ; 342  $OR_{GGE} = 3.8$ ,  $adiP_{GGE} = 1.4 \times 10^{-3}$ ;  $OR_{NAFE} = 2.7$ ,  $adiP_{NAFE} = 0.039$ ), GABAergic pathway genes 343  $(OR_{DEE} = 2.6, adjP_{DEE} = 4.7 \times 10^{-5}; OR_{GGE} = 1.9, adjP_{GGE} = 9.9 \times 10^{-04}; OR_{NAFE} = 1.4, adjP_{NAFE} = 1.4,$ 344 0.11), and voltage-gated cation channel genes ( $OR_{DEE} = 2.1$ ,  $adjP_{DEE} = 1.7 \times 10^{-03}$ ;  $OR_{GGE} = 1.5$ , 345 346  $adjP_{GGE} = 0.023$ ;  $OR_{NAFE} = 1.4$ ,  $adjP_{NAFE} = 0.081$ ). However, no enrichment was detected in genes 347 encoding excitatory receptors. For individuals with NAFE, the burden signals were consistently the weakest across gene sets compared to the other epilepsy phenotypes. None of the gene sets 348 was enriched for putatively neutral variation, except for a slightly elevated synonymous burden in 349 350 GABA<sub>A</sub> receptor genes (**Table S8**). These results support a recent finding where rare missense variation in GABA<sub>A</sub> receptor genes conferred a significant risk to GGE<sup>18</sup>, and together implicate 351 352 the relative importance and involvement of damaging missense variants in abnormal inhibitory 353 neurotransmission in both rare and common epilepsy types.

For gene sets other than the three lists of previously associated genes (**Table S6**; 74 nonoverlapping genes in total), we evaluated the residual burden of URVs after correcting for events in the 74 known genes. For the gene sets of cation channel and neurotransmitter receptor genes, the adjusted burden signals of singleton deleterious URVs was largely reduced, with some weak associations remaining in GABA<sub>A</sub> receptor-encoding or GABAergic genes among individuals with

359 DEE or GGE. For the larger gene groups of constrained genes and brain-enriched genes, burden 360 signals were attenuated but many remained significant, especially the strong enrichment of 361 missense MPC≥2 variants in brain-enriched genes across all three classes of epilepsy (**Figure** 362 **S16**). These findings suggest that although most gene burden is driven by previously identified 363 genes, more associations could be uncovered with larger sample sizes.

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#### 365 Gene-based collapsing analysis recapture known genes for DEE

366 For gene discovery, because both protein-truncating and damaging missense (MPC≥2) URVs 367 showed an elevated burden in epilepsy cases, we aggregated both together as deleterious 368 pathogenic variants along with in-frame insertions and deletions in our gene collapsing analysis. 369 This amassed to a total of 46,917 singleton URVs and 52,416 URVs with AC≤3. Surprisingly,

370 for individuals diagnosed with DEE, we re-identified several of the established candidate DEE 371 genes as top associations (Figure 3A). Although screening was not performed systematically, 372 many DEE patients were screened-negative for these genes using clinical gene panels prior to 373 enrollment. Based on the results of singleton URVs, SCN1A was the only gene that reached 374 exome-wide significance (OR = 18.4,  $P = 5.8 \times 10^{-8}$ ); other top-ranking known genes included 375 NEXMIF (previously known as KIAA2022; OR > 99,  $P = 1.6 \times 10^{-6}$ ), KCNB1 (OR = 20.8, P = $2.5 \times 10^{-4}$ ), SCN8A (OR = 13.8, P = 6.1 × 10^{-4}), and SLC6A1 (OR = 11.1, P = 3.6 × 10^{-3}) (Table S10). 376 Some carriers of deleterious URVs in lead genes were affected individuals with a normal result 377 for gene panel testing; for example, 2 out of the 3 carriers of qualified URVs for PURA and 2 out 378 379 of 5 for KCNB1 had undergone previous genetic screening. (Table S7). This could be because 380 different sample-contributing sites adopted different gene panels and not all of them included the 381 lead genes found to carry variants gualifying from this study, or that during screening patients were found to carry a variant of uncertain significance that did not satisfy the ACMG guidelines<sup>39</sup>. 382 The gene burden results held up when considering URVs with AC≤3, often showing even stronger 383 associations; two other well-studied genes, STXBP1 (OR = 13.3,  $P = 1.4 \times 10^{-5}$ ) and WDR45 (OR384

385 > 49,  $P = 1.2 \times 10^{-3}$ ), emerged on top, both of which have been implicated in DEE and 386 developmental disorders (**Table S11**).

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#### 388 Channel and transporter genes implicated in common epilepsies

389 When evaluating gene burden in the GGE and NAFE epilepsy subgroups, we did not identify any exome-wide significant genes. However, several candidate epilepsy genes made up the lead 390 associations, including ion channel and transporter genes known to cause rare forms of epilepsy. 391 For the GGE case-control analysis in singleton deleterious URVs, the lead associations included 392 four previously-associated genes (EEF1A2, OR = 32,  $P = 3.8 \times 10^{-4}$ ; GABRG2, OR = 19.0, P =393  $6.2 \times 10^{-4}$ ; SLC6A1, OR = 7.3, P =  $2.0 \times 10^{-3}$ ; and GABRA1, OR = 9.5, P =  $2.2 \times 10^{-3}$ ), and two genes 394 (CACNA1G, OR = 9.1,  $P = 2.5 \times 10^{-4}$ ; UNC79, OR = 19.0,  $P = 6.2 \times 10^{-4}$ ) that were not previously 395 linked to epilepsy but are both highly expressed in the brain and under evolutionary constraint 396 (Figures 3B; Table S12). Although evidence has been mixed, CACNA1G was previously 397 implicated as a potential susceptibility gene for GGE in mutational analysis<sup>40</sup> and reported to 398 modify mutated sodium channel (SCN2A) activity in epilepsy<sup>41</sup>. UNC79 is an essential part of the 399 UNC79-UNC80-NALCN channel complex that influences neuronal excitability by interacting with 400 extracellular calcium ions<sup>42</sup>, and this channel complex has been previously associated with 401 402 infantile encephalopathy<sup>43</sup>. Notably, all these lead genes were more enriched for damaging 403 missense (MPC≥2) than for protein-truncating URVs despite the lower rate of MPC≥2 variants relative to PTVs (Table S12). 404

For individuals with NAFE, the analysis of singleton deleterious URVs identified *LGI1* and *TRIM3* as the top two genes carrying a disproportionate number of deleterious URVs, however neither reached exome-wide significance (OR > 32,  $P = 2.1 \times 10^{-4}$ ). *GABRG2*, a lead association in individuals with GGE, was among the top ten most enriched genes, along with two brainenriched, constrained genes (*PPFIA3*, OR = 8.2,  $P = 4.2 \times 10^{-3}$ ; and *KCNJ3*, OR = 16.4, P =

 $1.2 \times 10^{-3}$ ). GABRG2 has previously been reported to show an enrichment of variants compared 410 411 to controls in a cohort of individuals with Rolandic epilepsy (childhood epilepsy with centrotemporal spikes) or related phenotypes, the most common group of focal epilepsies of 412 childhood<sup>44</sup>. Two other genes previously associated with epilepsy, *DEPDC5* and *SCN8A* (both 413 414 OR = 5.5, P = 0.01), were among the top twenty associations (Figures 3C; Table S14). LG/1 and DEPDC5 are established genes for focal epilepsy, and DEPDC5 was the only exome-wide 415 significant hit in the Epi4K WES study for familial NAFE cases<sup>17</sup>. *TRIM3* has not been previously 416 implicated in epilepsy, but evidence from a mouse model study implicates it in regulation of 417 GABA<sub>A</sub> receptor signaling and thus modulation of seizure susceptibility<sup>45</sup>. Single gene burden for 418 419 both GGE and NAFE remained similar when considering URVs with an allele count up to AC≤3 420 (Tables S13 & S15). Gene burden tests collapsing all epilepsy phenotypes recapitulated the lead genes in each of the subgroup-specific analyses, but none of the genes achieved exome-wide 421 422 significance (Tables S16 & S17). It is worth noting that some of the genes were enriched for 423 deleterious URVs among the "controls", which is clearly driven by non-neuropsychiatric disease ascertainment for many of the available controls (e.g., LDLR in Table S16; most control carriers 424 425 were individuals with cardiovascular diseases from the MIGen cohorts in **Table S2**). Thus, these 426 should not be interpreted as potential protective signals for epilepsy.

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#### 428 **Recessive model, SKAT gene test, and single variant association**

The secondary gene-based test of a recessive model did not identify genes that differed significantly in the carrier rate of homozygous deleterious variants between epilepsy-affected individuals and controls (**Table S18**). Even if we considered variants up to MAF < 0.01, for most of the lead genes, only one case carrier was identified. For the DEE cohort, these genes included recessive genes previously implicated, such as *ARV1*, *BRAT1*, *CHRDL*<sup>46</sup> with a homozygous PTV and *OPHN1*<sup>46</sup> with a recessive missense (MPC≥2) variant (**Table S18A**). For the two common forms of epilepsy, a few studied recessive epilepsy genes were also observed in the lead gene

associations, such as *SLC6A8*<sup>46</sup> (a homozygous PTV) for GGE (**Table S18B**), and *SLC6A8* (a
homozygous missense-MPC) and *SYN1*<sup>46</sup> (a homozygous PTV) for NAFE (**Table S18C**). One
GGE-affected individual was found homozygous for an in-frame deletion on *CHD2*, a dominant
DEE gene<sup>46</sup> (**Table S18B**). These findings suggest an even larger cohort will be needed to identify
with clarity risk genes that act in a recessive manner for different groups of epilepsy.

Beyond URVs, we studied the contribution of low frequency deleterious variants to 441 442 epilepsy risk using SKAT (MAF < 0.01). Top associations for individuals with DEE included known denes, such as missense-enriched STXBP1 ( $P = 9.3 \times 10^{-9}$ ). KCNA2 ( $P = 1.0 \times 10^{-5}$ ; Figure S17). 443 and PTV-enriched NEXMIF ( $P = 7.1 \times 10^{-8}$ ), and SCN1A ( $P = 3.9 \times 10^{-4}$ ; Figure S18). However, no 444 445 significant gene enrichment was observed for the two common types of epilepsy or when 446 combining all epilepsy cases. The tests for PTVs and missense variants with MPC>2 were mostly underpowered due to sparse observations (Figure S17 & S18). No individual low-frequency 447 variant (MAF > 0.001) was significantly associated with overall epilepsy or with any of the studied 448 epilepsy phenotypes (Figure S19). The primary gene-based test results and single variant 449 450 associations are available on our Epi25 WES browser (Web Resources).

#### 451 Discussion

452 In the largest exome study of epilepsies to date, we show that ultra-rare deleterious coding variation—variation absent in a large population-based exome database—is enriched in both rare 453 454 and common epilepsy syndromes when compared to ancestrally matched controls. When all 455 genes were considered in the tested gene sets, PTVs showed a more significant signal than 456 missense variants with an MPC > 2, and enrichment in deleterious URVs was more pronounced in 457 individuals diagnosed with DEE and GGE relative to NAFE. While no single gene surpassed 458 exome-wide significance in the non-hypothesis-driven analysis for GGE or NAFE, specific gene groups previously associated with epilepsy or encoding biologically interesting entities showed a 459 clear enrichment of deleterious URVs. Specifically, we observed a significant excess of 460 deleterious URVs in constrained genes, established epilepsy genes, GABAA receptor subunit 461 462 genes, a larger group of genes delineating the GABAergic pathway, and all cation channel-463 encoding genes. Our results thus support the concept that defects in GABAergic inhibition 464 underlie various forms of epilepsy. These findings, based on a more than 5-fold increase in sample size over previous exome-sequencing studies<sup>17-19;47</sup>, clearly reveal observations that have 465 466 been hypothesized for common epilepsies from studies of rare, large monogenic families, and 467 confirm that the same genes are relevant in both settings. Thus, a further increase in sample size will continue to unravel the complex genetic architecture of common epilepsies. Interestingly, no 468 469 enrichment was seen in genes encoding the excitatory glutamate and acetylcholine receptors. For GGE, this difference between variants in inhibitory versus excitatory receptor genes may be 470 471 real, as excitatory receptor variants have not been shown so far in single subjects or families. In 472 NAFE, however, we suspect that it is probably due to a lack of power and/or genetic heterogeneity, since genetic variants in specific subunits of nicotinic acetylcholine and NMDA receptors have 473 474 been described extensively in different types of non-acquired familial focal epilepsies<sup>48</sup>.

475 Notably, our overall finding of a mild to moderate burden of deleterious coding URVs in
476 NAFE (Figure 1 & 2) contrasts with results reported in the Epi4K WES study, where the familial

477 NAFE cohort showed a strong enrichment signal of ultra-rare functional variation in known epilepsy genes and ion channel genes<sup>17</sup>. In addition, our findings for GGE showed a genetic risk 478 comparable or even stronger than the Epi4K familial GGE cohort. The strong signal in our GGE 479 480 cohort likely reflects the larger sample size, whereas the weaker signal in our NAFE cohort is 481 most likely due to differences in patient ascertainment. In Epi4K the cohort was deliberately 482 enriched with familial cases, most of whom had an affected first-degree relative and were 483 ascertained in sibling or parent-child pairs or multiplex families, and familial NAFE is relatively 484 uncommon. In the Epi25 collaboration, a positive family history of epilepsy was not a requirement 485 and only 9% of DEE, 12% of GGE, and 5% of NAFE patients had a known affected first-degree relative. Indeed, our results were consistent with the Epi4K sporadic NAFE cohort, where no 486 signals of enrichment were observed<sup>17; 49</sup>. This difference may reflect the substantial etiological 487 488 and genetic heterogeneity of epilepsy even within subgroups especially in NAFE. In particular, 489 the dramatically weaker genetic signals, per sample, observed in individuals with NAFE studied 490 here compared with those in the previous Epi4K study illustrate a pronounced difference in the genetic signals associated with familial and non-familial NAFE. The reasons for this striking 491 492 difference remain to be elucidated. Comparing the two common classes of epilepsy, our findings showed a larger genetic burden from URVs for GGE relative to NAFE, which could be due to 493 heterogeneity in electroclinical syndromes within each class and should not be viewed as 494 495 conclusive. On the other hand, in the latest GWAS of common epilepsies of 15,212 cases and 29,677 controls from the ILAE Consortium<sup>15</sup>, fewer GWAS hits were discovered and less 496 497 heritability was explained by common genetic variation for the focal epilepsy cohort (9.2%) compared to the GGE cohort (32.1%), suggesting that current evidence from both common and 498 rare variant studies are converging on a larger genetic component underlying the etiology of non-499 500 familial cases of GGE relative to NAFE, as originally postulated.

501 We found that ultra-rare missense variants with an MPC score<sup>28</sup>  $\ge$  2 (2.0% of missense 502 variants) were enriched in individuals with epilepsy at an effect size approaching PTVs in the

503 investigated gene groups. For common epilepsy types, the burden of these missense variants (MPC≥2) was even more prominent than PTVs in known epilepsy genes and GABAergic genes 504 (Figure 2). At the gene level, some of the top channel genes (e.g. GABRG2, CACNA1G) carried 505 506 a higher number of missense variants (MPC $\geq$ 2) than PTVs in people with epilepsy. For instance, 507 in the gene-based collapsing analysis considering all epilepsies, 15 GABRG2 pathogenic variants were found in epilepsy-affected individuals (including 7 GGE and 7 NAFE; Tables S12, 14 & 16) 508 509 versus only 1 pathogenic variant in controls; among the case-specific pathogenic variants, one was a splice site mutation, while the other 14 were all missense variants (MPC≥2) (Figure S20). 510 linking to an impaired channel function. This is in line with findings from a recent exome-wide 511 study of 6,753 individuals with neurodevelopmental disorder with and without epilepsy<sup>9</sup> that 512 513 detected an association of missense *de novo* variants with the presence of epilepsy, particularly 514 when considering only ion channel genes. A disease-association of missense variants rather than 515 PTVs points to a pathophysiological mechanism of protein-alteration (e.g., gain-of-function or 516 dominant-negative effects) rather than haploinsufficiency, but ultimately only functional tests can 517 elucidate these mechanisms. A recent study on the molecular basis of 6 de novo missense 518 variants in GABRG2 identified in DEE reported an overall reduced inhibitory function of GABRG2 519 due to decreased cell surface expression or GABA-evoked current amplitudes, suggesting GABAergic disinhibition as the underlying mechanism<sup>50</sup>. Surprisingly, 2 of those recurrent *de novo* 520 521 missense variants were seen in two GGE-affected individuals in our study (A106T and R323Q), and another recently reported variant in GABRB2 (V316I) also occurred both de novo in DEE<sup>51</sup> 522 and as an inherited variant in a GGE family showing a loss of receptor function<sup>18</sup>. This suggests 523 524 that changes in protein function from the same missense pathogenic variant may cause not only severe epilepsy syndromes, but also contribute to common epilepsies with milder presentations, 525 similar to what is known about variable expressivity in large families carrying GABRG2 variants<sup>48;</sup> 526 <sup>52-54</sup>. Reduced receptor function due to GABRG2 variants has been also shown for childhood 527 epilepsy with centrotemporal spikes previously<sup>44; 54</sup>, which belong to the NAFE group in this study. 528

529 Moving forward, discovering how variant-specific perturbations of the neurotransmission and 530 signaling system in a gene can link to a spectrum of epilepsy syndromes will require in-depth 531 functional investigation.

532 Although we have increased the sample size from the Epi4K and EuroEPINOMICS WES 533 studies for both GGE and NAFE subgroups by more than 5-fold, the phenotypic and genetic 534 heterogeneity of common epilepsies-on par with other complex neurological and 535 neuropsychiatric conditions-will require many more samples to achieve statistical power for identifying exome-wide significant genes. Furthermore, while we implemented stringent QC to 536 537 effectively control for the exome capture differences between cases and controls, this concomitantly resulted in a loss of a substantial amount of the called sites and reduced our 538 539 detection power to identify associated variants. As sample sizes grow, the technical variation 540 across projects and sample collections will remain a challenge in large-scale sequencing studies 541 relying on a global collaborative effort.

542 With this largest epilepsy WES study to date, we demonstrated a strong replicability of existing gene findings in an independent cohort. GABAA receptor genes affected by predicted-543 544 pathogenic missense variants were enriched across the three subgroups of epilepsy. An ongoing 545 debate in epilepsy genetics is the degree to which generalized and focal epilepsies segregate separately, and whether their genetic determinants are largely distinct or sometimes shared<sup>4; 55</sup>. 546 547 Whilst clinical evidence for general separation of pathophysiological mechanisms in these two forms is strong, and most monogenic epilepsy families segregate either generalized or focal 548 549 syndromes, the distinction is not absolute. Here, the finding of rare variants in GABA<sub>A</sub> receptor genes in both forms adds weight to the case for shared genetic determinants. 550

551 Our results suggest that clinical presentations of common epilepsy types with complex 552 inheritance patterns have a combination of both common and rare genetic risk variants. The latest 553 ILAE epilepsy GWAS of over 15,000 patients and 25,000 controls identified 16 genome-wide 554 significant loci for common epilepsies<sup>15</sup>, mapped these loci to ion channel genes, transcriptional

factors, and pyridoxine metabolism, and implicated a role in epigenetic regulation of gene 555 556 expression in the brain. A combination of rare and common genetic association studies with large 557 sample sizes, along with the growing evidence from studies of copy number variation and tandem repeat expansions in epilepsy<sup>12; 56; 57</sup>, will further decipher the genetic landscape of common 558 559 epilepsy subgroups. The ongoing effort of the Epi25 collaborative is expected to double the patient cohorts in upcoming years with the goal of elucidating shared and distinct gene discoveries for 560 561 common and rare forms of epilepsy, ultimately facilitating precision medicine strategies in the 562 treatment of epilepsy.

#### 563 Supplemental Data

564 Supplemental data includes affiliations of the contributing authors, descriptions of patient 565 recruitment and phenotyping from individual participating cohorts, supplemental acknowledgment, 566 20 figures and 18 tables.

567

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#### 651 Web Resources

- The URLs for the consortium, data, and results presented herein are as follows:
- 653 Epi25 Collaborative, <u>http://epi-25.org/</u>
- 654 Exome Aggregation Consortium (ExAC), <u>http://exac.broadinstitute.org</u>
- 655 The DiscovEHR cohort, <u>http://www.discovehrshare.com</u>
- Epi25 Year1 whole-exome sequence data on dbGaP, <u>http://www.ncbi.nlm.nih.gov/gap</u> through
- accession number phs001489 (the current study includes Year1-2 samples, and the Year2 data
- 658 will later be made available)
- 659 Epi25 WES results browser, http://epi25.broadinstitute.org/

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#### 829 Figure titles and legends

#### 830 Figure 1. Burden of ultra-rare singletons in LoF-intolerant genes (pLl > 0.995)

This analysis was restricted to 4,042 non-ExAC controls for comparison with epilepsy cases. We 831 832 focused on "ultra-rare" variants not observed in the DiscovEHR database. Significance of 833 association was displayed in FDR-adjusted p-values; odds ratios and 95% CIs were not 834 multiplicity adjusted. The five functional coding annotations were defined as described in Table 835 **S5.** PTV denotes protein-truncating variants; the "damaging missense" and "benign missense" categories were predicted by PolyPhen-2 and SIFT, while "damaging missense-MPC" was a 836 group of missense variants with a missense badness score (MPC)  $\geq$  2. From top to bottom are 837 the results based on all-epilepsy, DEE, GGE, and NAFE. Epilepsy cases, except for individuals 838 839 with NAFE, carried a significant excess of ultra-rare PTV and damaging missense (MPC≥2) 840 variants compared to controls (FDR < 0.05). PTV burden was higher than missense (MPC≥2) 841 burden across epilepsy types.

842

# Figure 2. Burden of ultra-rare singletons annotated as (A) protein-truncating variants or (B) damaging missense (MPC≥2) variants

"Ultra-rare" variants (URVs) were defined as not observed in the DiscovEHR database. Gene sets 845 were defined in **Table S6**, with the number of genes specified in the parenthesis. DEE stands for 846 847 individuals with developmental and epileptic encephalopathies, GGE for genetic generalized epilepsy, NAFE for non-acquired focal epilepsy, and EPI for all epilepsy; NDD-EPI genes are 848 849 genes with *de novo* burden in neurodevelopmental disorders with epilepsy. Star signs indicate significance after FDR control ("\*": FDR-adjusted p-value < 0.05; "\*\*": adjusted p-value <  $1 \times 10^{-3}$ ; 850 "\*\*\*": adjusted p-value <  $1 \times 10^{-5}$ ). PTVs were enriched in candidate epilepsy genes for individuals 851 852 with DEE relative to other epilepsy subgroups, but did not show a strong signal in inhibitory, 853 excitatory receptors or voltage-gated cation channel genes. The burden of damaging missense  $(MPC\geq 2)$  variants, on the other hand, was stronger across these gene sets compared to PTVs, 854

- especially for GABA<sub>A</sub> receptor genes and genes involved in GABAergic pathways. Relative to other epilepsy types, individuals with NAFE consistently showed the least burden of deleterious URVs. No enrichment was observed from excitatory receptors.
- 858

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- 859 Figure 3. Gene burden for individuals diagnosed with (A) developmental and epileptic 860 encephalopathies, (B) genetic generalized epilepsy, or (C) non-acquired focal epilepsy
- 861 This analysis focused on ultra-rare (non-DiscovEHR) singleton variants annotated as PTV,

damaging missense (MPC≥2), or in-frame insertion/deletion and used Fisher's exact test to

- 863 identify genes with a differential carrier rate of these ultra-rare deleterious variants in individuals
- 864 with epilepsy compared to controls. Exome-wide significance was defined as p-value < 6.8e-07
- 865 after Bonferroni correction (Methods). Only *SCN1A* achieved exome-wide significance for 866 individuals with DEE.

bioRxiv preprint first posted online Jan. 21, 2019; doi: http://dx.doi.org/10.1101/525683. The copyright holder for this preprint (which was not peer-reviewed) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. **Table 1.** Enrichment of ultransma autoacaination control and autoacaination control autoac

Gene set	Mutation	Epilepsy	y Carriers (N)		00	05% 01	<b>.</b> .	FDR
(# genes)	(# variants)	type	cases	controls	UK	95%CI	r-value	adj. P
		EPI	67	27	2.37	(1.50-3.74)	2.0e-04	1.2e-03
	PTV	DEE	24	27	6.28	(3.48-11.3)	1.0e-09	2.1e-08
Known	(95)	GGE	22	27	2.33	(1.32-4.11)	3.6e-03	1.4e-02
epilepsy	. ,	NAFE	15	27	1.38	(0.72-2.66)	3.4e-01	4.7e-01
genes		EPI	235	98	2.21	(1.74-2.81)	1.1e-10	2.8e-09
(43)	MPC≥2 (335)	DEE	47	98	3.60	(2.50-5.19)	5.0e-12	1.6e-10
		GGE	85	98	2.31	(1.71-3.12)	4.4e-08	6.4e-07
		NAFE	80	98	1.91	(1.41-2.60)	3.3e-05	2.8e-04
		EPI	68	21	3.00	(1.82-4.95)	1.8e-05	1.6e-04
	PTV	DEE	27	21	9.13	(4.93-16.9)	2.1e-12	7.8e-11
	(89)	GGE	25	21	3.57	(1.95-6.54)	3.7e-05	3.0e-04
Known DEE		NAFE	10	21	1.05	(0.48-2.29)	9.1e-01	9.3e-01
genes		EPI	224	101	2.05	(1.61-2.60)	6.5e-09	1.2e-07
(50)	MPC≥2	DEE	54	101	4.20	(2.97-5.95)	6.0e-16	1.3e-13
	(327)	GGE	85	101	2.22	(1.64-3.00)	2.0e-07	2.6e-06
		NAFE	63	101	1.42	(1.02-1.97)	3.7e-02	8.8e-02
		FPI	49	14	3.22	(1 75-5 90)	1 6e-04	9.9e-04
	PTV	DEE	29	14	14 77	(7.4-29.49)	2 3e-14	1 7e-12
Neuro-	(63)	GGE	14	14	2.86	(1.32-6.17)	7 7e-03	2 7e-02
developmental	()	NAFE	4	14	0.75	(0.24-2.34)	6 2e-01	7 2e-01
disorders with		FPI	149	65	2 11	(1.57-2.84)	9 4e-07	1 1e-05
epilepsy	MPC>2	DEE	36	65	4.30	(2 81-6 57)	1 8e-11	5 1e-10
(33)	(215)	GGE	54	65	2 18	(1.50-3.17)	4 2e-05	3 2e-04
	(210)	NAFE	41	65	1 43	(0.96-2.15)	8 0e-02	1.6e-01
	PTV (17)	FPI	12	5	1 99	(0.69-5.74)	2.0e-01	3 20-01
		DEE	1	5	2 25	(0.05 - 0.7 +) (0.25 - 20.2)	4 7e-01	6.0e-01
		GGE	9	5	4.81	(1 57-14 7)	5 9e-03	2 1e-02
GABA-A		NAFE	1	5	0.37	(0.04-3.27)	3 7e-01	5.0e-01
receptors	MPC≥2 (62)	FPI	49	13	3 25	(1.74-6.07)	2 1e-04	1 2e-03
(19)		DEE	7	13	3.65	(1.39-9.54)	8.3e-03	2 8e-02
		GGE	21	13	3.81	(1.86-7.81)	2 5e-04	1 4e-03
	(02)	NAFE	15	13	2 67	(1.007.01) (1.23-5.77)	1.3e-02	3.9e-02
		FPI	81	44	1 58	(1 10-2 28)	1 40-02	4 4 - 02
	PT\/	DEE	16	44	2.46	(1.10-2.20) (1.37-4.30)	2/0-03	1.00-02
	(127)	GGE	28	44	1 60	(1.07 - 4.00) (0.99 - 2.57)	5 30-02	1.00-02
GABAergic		NAFE	20	44	1.00	(0.33-2.37)	4 9e-01	6 1e-01
pathway		FPI	185	101	1.10	(1 35-2 22)	1.60-05	1 60-04
(113)	MPC>2	DEE	34	101	2.62	(1.00 2.22)	4 5e-06	4 70-05
	(287)	GGE	68	101	1.86	(1.74-0.00)	1.6e-04	9 90-04
		NAFE	58	101	1.00	(1.00-2.00) (1.00-1.95)	4 7e-02	1 1e-01
		EDI	22	32	0.66	(0.37, 1.15)	1.10.01	2 50 01
	DT\/		22	32	0.00	(0.37 - 1.13) (0.21.2.35)	5 7o 01	2.5e-01
	(54)	GGE	11	32	1 10	(0.21 - 2.33)	3.7e-01 8.0o.01	8.40.01
Excitatory	(34)	NAEE	5	32	0.44	(0.34 - 2.23) $(0.17 \ 1.15)$	9.50.02	1 80 01
receptors			47	32	1 20	(0.17 - 1.13)	9.0e-02 2.0e-01	1.00-01
(34)	MPC≥2 (80)		47	33	1.20	(0.01 - 2.02)	2.96-01	2 60 01
		GGE	12	33	0.01	(0.01-3.01)	7.80.01	8 30 01
		NAEE	20	33	1 50	(0.40 - 1.79) (0.84, 2.65)	1 70 01	2.80.01
			100		1.50	(1.05.2.00)	2 50 00	7.00-01
			100	03	1.40	(1.00-2.01)	2.56-02	1.00-02
	PIV (162)	DEE	٦ð	63	2.11	(1.21-3.00)	0.∠0-U3	2.00-UZ
voltage-gated	(103)		31	03	1.30	(0.00-2.10)	1.00-U1	2.76-01
cation			30	63	1.15	(0.73 - 1.81)	5.50-UT	0.76-01
(96)	MPC≥2 (329)		200	121	1.51	(1.20-1.90)	4.76-04	2.40-03
(00)		DEE	34 70	121	2.00 1.50	(1.40-3.10)	3.10-04	1.78-03
			13	121	1.52	(1.12-2.07) (1.02 1.00)	0.00-03	2.30-UZ
		NAFE	/4	121	1.39	(1.03-1.88)	5.TE-02	0.10-02

### Figure 1.



#### Case vs. control odds ratio

# (A) Burden of ultra-rare singleton PTVs



## (B) Burden of ultra-rare singleton missense (MPC≥2) variants



Log odds ratio

