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### **Paper:**

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1 REVERSIBLE COLONY FORMATION AND THE ASSOCIATED COSTS IN *SCENEDESMUS*  
2 *OBLIQUUS*

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4  
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11  
12 Keywords: colony formation, inducible defense, *Scenedesmus*, *Daphnia*, reversibility, costs,  
13 quantum yield, Chlorophyll *a*, growth rate.

14  
15 **ABSTRACT:**

16  
17 Grazer-induced colony formation as a defence strategy in microalgae such as *Scenedesmus* species  
18 has been widely reported, but the associated costs and reversibility of the colonies are rarely  
19 studied. We experimentally showed that *S. obliquus* formed chained colonies in the presence of a  
20 predator, including predators separated from the algae by a membrane, but quickly reverted to  
21 single cells after the removal of the predator – a defining characteristic of an inducible defence. We  
22 detected the stress indicator astaxanthin esters in the algal populations in the presence of grazers,  
23 but not when grazers were absent. We found significant costs associated with *S. obliquus* colony  
24 formation in terms of lower population growth rate, lower photosystem II efficiency and lower  
25 cellular Chlorophyll *a* content. These results together show that colony formation as an inducible  
26 defence in *S. obliquus* against grazers comes at a substantial cost such that the defence must be  
27 switched off and the colonies revert to single cells when the predation risk disappears.

28

## 29 INTRODUCTION

30

31 In aquatic environments, morphological anti-predator traits are an important defence for  
32 microalgae to reduce predation risk, e.g., colony formation (Trainor, 1991; Lürling and Beekman,  
33 1999; Jakobsen and Tang, 2002). The green microalga *Scenedesmus* sp., for instance, is usually  
34 present as single cells, but it can form chained colonies in the presence of grazers (Tollrian and  
35 Harvell, 1999). Colonies are the result of cell divisions without separation, the process of which is  
36 limited by cell multiplication and growth (Pickett-Heaps and Staehelin, 1975; Trainor et al., 1976).  
37 As most grazers are size selective, this increased-size defence reaction reduces the predation risk for  
38 the alga (Hessen and van Donk, 1993; Lürling and van Donk, 1996). Physical contact with the  
39 grazers is not required to elicit the response, as the predation risk can be communicated via  
40 chemical cues from the grazers (Hessen and Van Donk, 1993; Lampert et al., 1994; Lürling, 2000).

41 By definition, inducible defensive phenotypes should revert to the original phenotypes once  
42 the predation risk has disappeared (Tollrian and Harvell, 1999; Van Donk et al., 2011). Defensive  
43 colony formation by *Scenedesmus* spp. has been widely studied, but reversibility from the colonial  
44 to the unicellular form has rarely been tested (Verschoor, et al. 2009).

45 According to defence theory, the algae should pay some costs for their predator-induced  
46 defence response (Mole, 1994; Agrawal, 1998); otherwise the defensive (colonial) form would be  
47 the norm (Dodson, 1989). However, while the benefit of defensive traits for prey survival is clear,  
48 the costs are often unknown *a priori* and can be difficult to identify (Lürling and Van Donk, 2000).  
49 Thus far, evidence has suggested higher settling velocities for *Scenedesmus* colonies, thereby  
50 removing the algae from the euphotic zone (Lampert et al., 1994; Lürling and Van Donk, 2000).  
51 Other possible costs can be considered for *Scenedesmus* colony formation as well: 1) reduced  
52 nutrient and light uptake due to the “package effect” (Kirk, 1994), resulting in lower growth rate; 2)  
53 decreased photosystem II (PSII) efficiency (Lürling and Van Donk, 2000); and 3) decreased  
54 Chlorophyll *a* content (Lürling and Van Donk, 2000; Yang et al., 2009).

55 Many green algae are known to accumulate secondary keto-carotenoids such as Astaxanthin  
56 (Ax) and its derivatives Astaxanthin-esters (Ax-E) as part of the xanthophyll cycle when exposed to  
57 stress such as high irradiance or UV (Figure 1). These stresses lead to the formation of reactive  
58 oxygen species (ROS), and Ax and Ax-E as antioxidants can protect the cells from ROS damages  
59 (Lemoine and Schoefs, 2010). As such, Ax and Ax-E accumulations have been used to indicate  
60 photo-oxidative stress in algae (Quin et al., 2008; Aburai et al., 2015), but there is no prior report  
61 that links their accumulation to predation-related stress.

62

63 In this study, we tested for colony formation in *Scenedesmus obliquus* induced by chemical  
64 cues from a grazer with different levels of feeding activity, and the associated costs in terms of  
65 population growth rate, photosystem II efficiency and cellular Chlorophyll *a* content. We  
66 hypothesised that direct or indirect grazing cues would induce colony formation, but non-feeding  
67 grazers would induce fewer colonies than actively feeding grazers. Furthermore, we tested the  
68 alga's ability to revert to unicells and to recover the costs following the disappearance of the  
69 grazers. Lastly, we investigated, for the first time, the production of Astaxanthin (esters) by *S.*  
70 *obliquus* in response to grazing.

71

72

## 73 **METHODS**

74

75 To investigate how grazers affected colony formation and reversal in microalgae, and assess  
76 potential costs to the algae, we carried out a series of laboratory experiments.

### 77 ***Organisms:***

78 The green alga *Scenedesmus obliquus* (Turpin) (recently renamed *Tetradesmus obliquus*  
79 (Turpin) Wynne (2016)) was bought from the Culture Collection of Algae and Protozoa (CCAP,  
80 strain number 276/6A), and grown in BG-11 medium (Sigma-Aldrich 73816 FLUKA) in batch  
81 culture in 250-mL flasks. *S. obliquus* is commonly found as single cells; however, it can form  
82 colonies in the presence of grazers (Lürling and van Donk, 2000; Zhu et al., 2015). The freshwater  
83 zooplankton *Daphnia magna* Straus, 1820 (Cladocera) was obtained from the Leibniz-Institute of  
84 Freshwater Ecology and Inland Fisheries (Germany). Genetically identical individuals originated  
85 from a single female were fed daily *ad libitum* with a mixture of two algae: *S. obliquus* and  
86 *Raphidocelis subcapitata* (Sphaeropleales), and kept in glass beakers with spring water (Evian  
87 mineral water: pH = 7.2; Ca<sup>2+</sup> = 78 mg L<sup>-1</sup>). *R. subcapitata* (CCAP, strain number 278/4) was  
88 cultivated in the same conditions as *S. obliquus*. Algae and zooplankton cultures were grown at a  
89 temperature of 21 ± 2°C and a light intensity of 80-90 μmol photons · m<sup>-2</sup> · s<sup>-1</sup> in an 18 hours light: 6  
90 hours dark cycle.

91 Dialysis bags are semi-permeable membranes used in separation techniques for the removal  
92 or exchange of molecules based on different pore sizes. The dialysis bags used in this study  
93 (Medicell Membranes Ltd, London) and had a pore size of 12-14 kD. The bags allowed the passage  
94 of *Daphnia* infochemicals but prevented physical contact between *D. magna* and *S. obliquus*. The  
95 bags were washed following the manufacturer's instructions before use.

96

### 97 **Colony induction experiment:**

98 The experimental set-up consisted of dialysis bags placed in 500 mL glass beakers (Figure 2),  
99 divided as follows: three replicates of control beakers each of: 1) Single-celled *S. obliquus* inside  
100 (C1B) and outside (C1O) the dialysis bag to determine the background level of colony formation in  
101 the absence of grazers. The treatment beakers consisted of three replicates each of: 2) single-celled  
102 *S. obliquus* + nutrients inside the dialysis bag (T1B) and mineral water + nutrients + *D. magna*  
103 outside the dialysis bag (T1O) to assess colony formation induced by non-feeding *D. magna*; 3)  
104 single-celled *S. obliquus* + nutrients inside the dialysis bag (T2B) and single-celled *S. obliquus* with  
105 *D. magna* + nutrients outside the dialysis bag (T2O) to assess colony formation induced by actively  
106 grazing *D. magna*.

107 Before the start of the experiment, *S. obliquus* population was grown at a temperature of  $21 \pm$   
108  $2^\circ\text{C}$  under a cold light of  $80\text{-}90 \mu\text{mol photons}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$  and a photoperiod of 18 hours light: 6 hours  
109 dark. BG-11 medium was used as source of nutrients. An initial concentration of ca.  $5 \times 10^5$  cells  
110  $\text{mL}^{-1}$  of unicellular *S. obliquus* in exponential phase was added to the dialysis bags and relevant  
111 beakers. The total volume of the dialysis bag was 70 mL; each bag was sealed at both ends with  
112 clips. 10 genetically identical *D. magna* adults ( $4 \pm 2$  days old) were used in each predator treatment  
113 beaker. All the beakers were placed in front of a cold light of  $80\text{-}90 \mu\text{mol photons}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$  at a  
114 temperature of  $21 \pm 2^\circ\text{C}$  and in a photoperiod of 18 hours light: 6 hours dark.

115 The beakers were manually shaken and their positions changed daily to ensure uniform  
116 exposure to light throughout the experiment. Moreover, the dialysis bags were gently shaken and  
117 inverted in the beaker twice a day to avoid sedimentation of algae and to mix the medium. The  
118 experiment lasted for 3 days. On Day 0 and Day 3, aliquots were collected for the following  
119 analyses: pigments, quantum yield (PSII efficiency) and cell (colony) counts. Additional samples  
120 for cell and colony counts were taken on Day 1.

121

### 122 **Colony reversibility experiment:**

123 A second experiment was conducted to test whether *S. obliquus* colonies were able to revert  
124 to single cells once the grazing risk had disappeared. For this experiment we used *S. obliquus*  
125 populations recovered from the *Colony induction experiment*.

126 At the end of the *Colony induction experiment*, aliquots were transferred from the different  
127 beakers into new beakers filled with 70 mL of deionized water and BG-11 nutrient medium, to  
128 create an initial inoculum of ca.  $3 \times 10^5$  cells  $\text{mL}^{-1}$  of algal population dominated by single cells or  
129 colonies (Figure 3). The new beakers were exposed to the same light and photoperiod conditions as  
130 before, and were manually shaken and their positions changed daily to ensure uniform exposure to  
131 light throughout the experiment. The experiment lasted for 3 days. On Day 0 and Day 3, aliquots

132 were collected for the following analyses: pigments, quantum yield (for PSII efficiency) and cell  
133 (colony) counts. Additional samples for cell (colony) counts were taken on Day 1.

134

### 135 *Measurement of pigments*

136 The pigment contents of the algal populations were determined on Day 0 and Day 3, using  
137 the method described by Zapata et al. (2000) with HPLC (High Performance Liquid  
138 Chromatography). A 20-mL aliquot was transferred from each algal population with a sterile  
139 syringe into an Eppendorf tube and pellets were created from these aliquots after centrifugation.  
140 The samples were extracted with 90% HPLC grade acetone (Sigma-Aldrich) and sonicated with a  
141 sonicator probe (Fisher Scientific) for 1 minute at 40 Hz to lyse the cells. Once the pigments were  
142 extracted, each pellet was centrifuged at 6000 g for 5 minutes and 50  $\mu$ L of the supernatants  
143 containing pigments were used for HPLC analysis. The HPLC system had a 150  $\times$  4.6 mm column  
144 (Waters spherisorb ODS2, particle size diameter of 5  $\mu$ m) with a flow rate of 1 mL min<sup>-1</sup> and the  
145 solvent gradient described in Zapata et al. (2000). Astaxanthin, its ester forms and other pigments  
146 (not reported) were identified by comparing our retention times and diode array spectra with those  
147 reported by Jeffrey et al. (1997). Specific pigment contents were expressed as percentages of peak  
148 area relative to the total peak area of all the pigments. Chlorophyll *a* was quantified against  
149 reference standard (Sigma-Aldrich, 96145).

150

### 151 *Quantum yield measurement (QY)*

152 PSII efficiency was measured in samples collected at the same time of day during the light  
153 period (ca. 80-90  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>) as effective quantum yield (QY) using an Aquapen (AP-C  
154 100, Photon Systems Instruments). Fluorescence nomenclature and calculation was done according  
155 to the manufacturer's instructions. At the end of the light period, a 2-mL aliquot was transferred  
156 from each algal population into a cuvette which was then inserted into the Aquapen. The variable  
157 fluorescent ( $F_v$ ) and the maximal fluorescence intensity ( $F_m$ ) were measured with an excitation  
158 wavelength of 455 nm and emission wavelengths of 667-750 nm. The mean of three measurements  
159 was used to calculate the effective efficiency of PSII e<sup>-</sup>-flow as  $F_v/F_m$ . Afterward, the aliquot was  
160 recovered for cell counts (see below).

161

### 162 *Cell (colony) counts and measurement of algal growth rate*

163 Aliquots recovered from QY measurements were fixed with Lugol's solution (Sigma-  
164 Aldrich 62650-1L-F) and stored in a dark refrigerator (~5 °C). Samples were counted within 15

165 days using a haemocytometer under a Leica inverted microscope (400× magnification). Numbers of  
166 single cells, number of colonies and number of cells per colony were recorded. The specific growth  
167 rates ( $\mu$ ) were calculated for the periods of Day 0–Day 1 and Day 1–Day 3 using the equation:  $\mu =$   
168  $[\ln (X_2 / X_1)] / (t_2 - t_1)$ , where  $X_1$  and  $X_2$  are the counts of total cells at time  $t_1$  and  $t_2$ , respectively.

169

### 170 ***Statistical analysis***

171 Statistical analyses were performed using R studio software (v. 1.1.383). One-way ANOVA  
172 was used to test for differences between treatments in terms of (i) the change in astaxanthin %, QY  
173 values and pigment percentages; (ii) the number of cells in colonies; recorded over the course of the  
174 experiments. Differences between QY were calculated using the mean QY value of three replicates  
175 of each treatment on Day 0 and Day 3. These responses were considered during both colony  
176 formation and colony reversal. Model residuals were tested with a Shapiro-Wilks test, and no  
177 evidence was found suggesting deviation of residuals from a Normal distribution ( $p > 0.05$  in all  
178 cases). Levene's test showed no deviation from the assumption of homogeneity of variance across  
179 groups ( $p > 0.05$  in all cases). Post-hoc Tukey tests tested for differences between colonies induced  
180 by non-feeding and actively feeding *Daphnia*. The level of statistical significance was set at  $\alpha =$   
181 0.05.

182

183

## 184 **RESULTS**

### 185 **Colony induction experiment:**

186 Astaxanthin esters were absent in all the treatments and controls on Day 0, but they appeared  
187 on Day 3 in the algal populations treated with *D. magna*, while they remained absent in the controls  
188 (Figure 4A). Differences in QY values across treatments were detected ( $F_{4,10} = 284.5$ ,  $p < 0.001$ ). *S.*  
189 *obliquus* populations exposed to *D. magna* showed a drop in QY; on the contrary, *Daphnia*-free  
190 populations of *S. obliquus* showed an increase in QY over time (Figure 4B).

191 All the replicates (controls and treatments) had the same initial concentration of Chlorophyll *a*  
192 per cell (mean  $0.139 \text{ ng cell}^{-1} \pm 0.005 \text{ s.e.}$ ). On Day 3, cellular Chlorophyll *a* content decreased  
193 drastically in all algal populations under the direct or indirect influence of *D. magna* (mean change:  
194  $-0.13 \text{ ng cell}^{-1} \pm 0.007 \text{ s.e.}$ ), whereas in the Controls the cellular Chlorophyll *a* content increased  
195 significantly (mean change:  $0.102 \text{ ng cell}^{-1} \pm 0.001 \text{ s.e.}$ ; Figure 4C).

196

197 There was no evidence of any difference between direct contact with *D. magna* (T2O) and  
198 exposure to *D. magna*'s chemical cues (T1B, T2B) in the formation of *S. obliquus* colonies ( $F_{2,6} =$

199 0.273,  $p = 0.77$ ; Figure 5). Controls without *D. magna* had a low and stable amount of multi-celled  
200 clusters throughout the experiment. The number of cells per colony increased over time in the  
201 treatments exposed to *D. magna* stimuli, with an increase in 8-celled colonies by Day 3 in all  
202 replicates (Fig. 5,  $F_{4,10} = 286.6$ ,  $p < 0.001$ ).

203 As expected, the algal populations in direct contact with *D. magna* decreased because they  
204 were being grazed. However, even the populations inside the dialysis bags showed lower growth  
205 rates ( $\mu$ ) in the presence of *D. magna* chemical cues: in the first period (Day 0–Day 1) algal  
206 population growth rates in the presence of *D. magna* chemical cues ( $\mu = 0.02$  and  $0.01 \text{ d}^{-1} \pm 0.004$   
207 s.e. for T1B and T2B, respectively) were much lower than that of the control populations  
208 ( $\mu = 0.69 \text{ d}^{-1} \pm 0.000$  s.e. for both C1B and C1O). In the second period (Day 1–Day 3),  $\mu$  was still  
209 lower in algal populations exposed to *D. magna* chemical cues ( $\mu = 0.03$  and  $0.04 \text{ d}^{-1} \pm 0.004$  s.e.  
210 for T1B and T2B, respectively) than in the control populations ( $\mu = 1.13$  and  $1.07 \text{ d}^{-1} \pm 0.02$  s.e. for  
211 C1B and C1O, respectively).

212

213

#### 214 **Colony reversibility experiment:**

215 Astaxanthin esters were present in all of the starting populations that were previously exposed  
216 to *D. magna*, and these pigments were no longer present on Day 3 following removal of the grazers  
217 (mean decrease:  $-5\% \pm 0.73$  s.e., Fig. 6a). Astaxanthin esters were always absent in the control  
218 populations that were never exposed to *D. magna* (C1B in Fig. 3).

219 QY values increased between Day 0 and Day 3 in all populations with or without pre-  
220 exposure to *D. magna* ( $F_{3,8} = 10.97$ ,  $p < 0.005$ , Figure 6B). The Chlorophyll *a* level was low and  
221 similar for both control and pre-exposed populations on Day 0. At the end of the experiment, the  
222 amount of Chlorophyll *a* per cell increased in the control (from 0.3 to 0.8 ng cell<sup>-1</sup>) but less so in the  
223 pre-exposed populations (ca. +0.05 ng cell<sup>-1</sup>; Fig. 6C).

224

225 There was a significant decline in the number of cells per colony from Day 0 to Day 3 in the  
226 pre-exposed populations during the reversibility experiment, with the 8-celled colonies disappearing  
227 by Day 3 (Fig. 7,  $F_{3,8} = 8.655$ ,  $p = 0.006$ ). The control population maintained a low and stable  
228 number of small colonies.

229 In the first day after removal from the grazer, the algal populations had a low growth rate  
230 ( $\mu = 0.03$ ,  $0.03$  and  $0.07 \text{ d}^{-1}$ , for T2O, T1B and T2B respectively). As the experiment progressed



231 (Day 1–Day 3), the growth rates of the pre-exposed populations increased and became comparable  
232 to that of the control population:  $\mu = 1.40 \text{ d}^{-1}$  for C1B and T1B,  $1.37 \text{ d}^{-1}$  for T2B and  $1.39 \text{ d}^{-1}$  for  
233 T2O.

234

## 235 **DISCUSSION**

236

237 The ability to defend against predators is a major evolutionary driving force in organisms' life  
238 histories; this is particularly the case for microalgae that lack mobility or physical refuge (Van  
239 Donk et al., 2011). *Scenedesmus* is a cosmopolitan freshwater algal genus with more than 1300  
240 known species distributed globally (Coesel and Krienitz, 2008). Due to its ability to form easily  
241 recognisable chained colonies in response to grazing, it is widely used as a model organism in the  
242 study of morphological defence (e.g. Lürling and Van Donk, 1997; Lürling, 1999). While many  
243 reports describe *Scenedesmus* colony formation as an inducible defence response, test of  
244 reversibility of the colonies is rare in the earlier studies. Likewise, there is limited evidence of the  
245 costs associated with colony formation.

246 In our experiments, unicellular *S. obliquus* formed chained colonies in the presence of *D.*  
247 *magna* even without physical contact with the grazer, suggesting that the predation risk could be  
248 communicated via chemical cues. Moreover, the number of cells per colony increased over time,  
249 from 2-celled colonies on Day 0 to 8-celled colonies on Day 3 (Figure 5). These observations are  
250 consistent with other studies of defensive colony formation in microalgae against grazers (Lampert  
251 et al., 1994; Wiltshire and Lampert, 1999; Tang 2003).

252 Unlike terrestrial ecosystems where grazing is typically non-lethal to the plants, grazing in  
253 plankton often means death to the algal cells. *Daphnia* species are among the most dominant  
254 grazers in freshwater systems (Sterner, 1989), able to exert strong top-down control on algae  
255 leading to a 'clear water phase' in many lakes (Deneke, 1999). From the perspective of *S. obliquus*,  
256 it may be advantageous (or even necessary) for the algae to react to the mere presence of the grazer  
257 before grazing occurs. In contrast to previous work (e.g. Lampert et al., 1994) and our expectation,  
258 the extent of colony formation (in terms of the increase in number of colonial cells  $\text{mL}^{-1}$  over time)  
259 was almost identical between the algal populations exposed to non-feeding *D. magna* and those  
260 exposed to actively grazing *D. magna*. In the earlier study (Lampert et al., 1994), the grazer was  
261 starved for 48 hours prior to the experiments, whereas in our study *D. magna* was starved only  
262 during the experiments. Our observations therefore suggest that the release of chemical cues does  
263 not depend on continuous, active feeding; rather, chemical cues resulting from recently fed grazers  
264 were sufficient to trigger colony formation.

265

266 The benefit of inducible defences is, by default, enhanced survival of the organism, but the  
267 associated cost(s) can be difficult to identify, and as a consequence there is very limited information  
268 on costs in the literature. Formation of colonies requires the production of special cellular structures  
269 and materials (Pickett-Heaps and Staehelin, 1975; Trainor, 1998), which may divert resources from  
270 other vital cellular functions. The enlarged volume-to-surface ratio of the colonies may also  
271 decrease the alga's resource acquisition ability (Kirk, 1994). We showed that both PSII efficiency  
272 and cellular Chlorophyll *a* content decreased significantly during *S. obliquus* colony formation,  
273 either of which could lead to a reduced growth rate, as we also confirmed in our experiments. The  
274 observation that Astaxanthin esters increased in *S. obliquus* when the cells were exposed to grazer is  
275 also interesting. Astaxanthin esters are known to protect algal cells from photo-oxidative stress  
276 (Lemoine and Schoefs, 2010), but it is unclear what protective benefits they served against grazing.  
277 We may speculate that the chemical cues released by the grazer may have contained oxidative  
278 substances; as such, the pigments may have been a response to this grazer-associated oxidative  
279 stress rather than to grazing stress per se. Regardless, our observations suggest that Astaxanthin  
280 esters may be used to indicate a wider range of stress than previously known.

281 Interestingly, our results differ from Lürling and Van Donk (2000), who did not observe any  
282 change in QY in grazer-influenced cells. It is useful to point out that Lürling and Van Donk (2000)  
283 dark-adapted their samples and their measurements represented the maximum QY. In our study, we  
284 chose to measure effective QY without dark-adaptation, which was more indicative of the real-time  
285 photosynthetic activity of the cells (Murchie and Lawson, 2013). The omission of dark adaptation  
286 also minimised the possibility of the cells 'recovering' while away from the grazer's influence (cf.  
287 Lürling and Van Donk, 2000). Moreover, effective QY is considered a good physiological indicator  
288 of how photosynthetic organisms respond to environmental stress (Rascher et al., 2000). Deviations  
289 of effective QY from the control usually indicate a reversible down-regulation of PSII  
290 photochemistry rather than irreversible damage to the photosynthetic apparatus (e.g. Demmig-  
291 Adams et al., 1996). This is in agreement with our second experiment where we saw rapid recovery  
292 of the effective QY values during colony reversal (Figure 6B). In our experiments we observed a  
293 lower growth rate than in the previous report; this difference may be partly attributed to the fact that  
294 we conducted our experiments under 18:6 light-dark cycle, rather than continuous light (cf. Lürling  
295 & van Donk, 2000).

296 Faced with the high costs associated with colony formation, *S. obliquus* reverted to unicells  
297 upon removal from the grazer, a prerequisite trait for colony formation to be described as an  
298 'inducible' defence (Tollrian and Harvell, 1999). As expected, Astaxanthin esters also disappeared  
299 completely once the grazer was removed. Interestingly, but perhaps not surprisingly, colony

300 formation and reversal occurred at different rates: in the *Colony induction experiment*, unicells  
301 changed to colonial form at a rate of  $71 \times 10^3$  cells mL<sup>-1</sup> d<sup>-1</sup>. This was considerably higher than the  
302 rate at which cells in colonial form changed back to unicells (*Reversibility experiment*:  $28 \times 10^3$   
303 cells mL<sup>-1</sup> d<sup>-1</sup>). Colony formation protected the cells from certain death (grazing) whereas the  
304 associated costs, albeit substantial, were not necessarily fatal to the cells. It is therefore reasonable  
305 to argue that colony formation by *S. obliquus* under predation threat carried a much higher urgency  
306 than the reverse process. At the end of the reversibility experiment (i.e., after 3 days without  
307 predator cues), there were still about 5% cells in colonial form in the pre-exposed populations,  
308 compared to only 0.3% in the control populations. Consistent with this observation, the costs were  
309 also not fully recovered for the pre-exposed algal populations: While their growth rate and the PSII  
310 efficiency recovered to being comparable to the control populations, their cellular Chlorophyll *a*  
311 content still lagged behind that of the control populations. It therefore appears that the process of  
312 Chlorophyll *a* synthesis may require a longer time to return to normal.

313

314

## 315 **CONCLUSIONS**

316 The algal genus *Scenedesmus* is a very useful model organism to study the ecology and  
317 evolution of morphological defences against predators, but thus far the literature lacks detailed  
318 information on the associated costs and colony reversibility. Here we not only showed that colony  
319 formation by *S. obliquus* was reversible upon removal of the grazing threat; we also quantified the  
320 costs associated with colony formation. This information will be useful for further cost-benefit  
321 analysis of this defensive trait, especially when in combination of other environmental constraints.  
322 The discovery of the production of Astaxanthin-esters, a commercially valuable antioxidant, under  
323 predation-related stress is also interesting and deserves further investigation.

324

325

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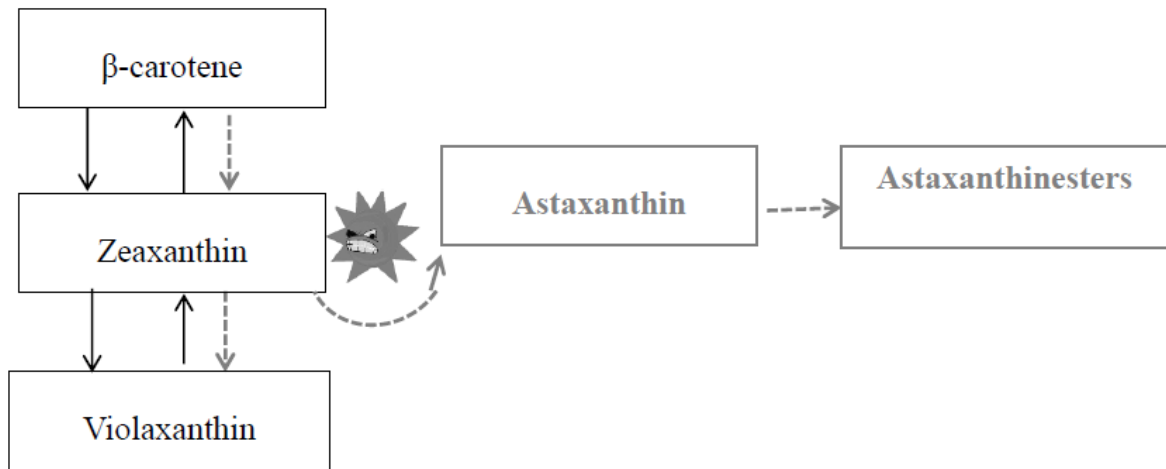
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415 **Figures:**

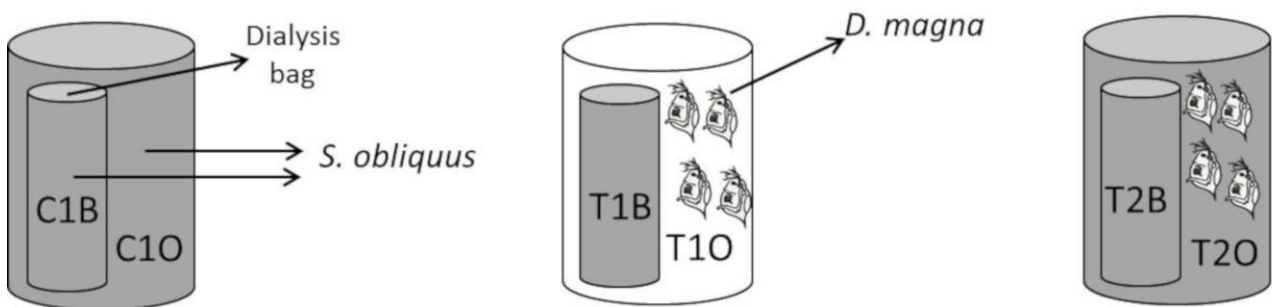


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417 Figure 1. Simplified schematic of a synthesis pathway of the pigments Astaxanthin and  
418 Astaxanthin-esters in *Scenedesmus* sp. (Lemoine and Schoefs, 2010). In the absence of stress,  
419 Zeaxanthin is disaggregated from energy dissipation and converted back to Violaxanthin (black  
420 line). When the cell is exposed to stress, Violaxanthin is transformed to Zeaxanthin, which is then  
421 converted to Astaxanthin and Astaxanthin-esters (grey dotted line).

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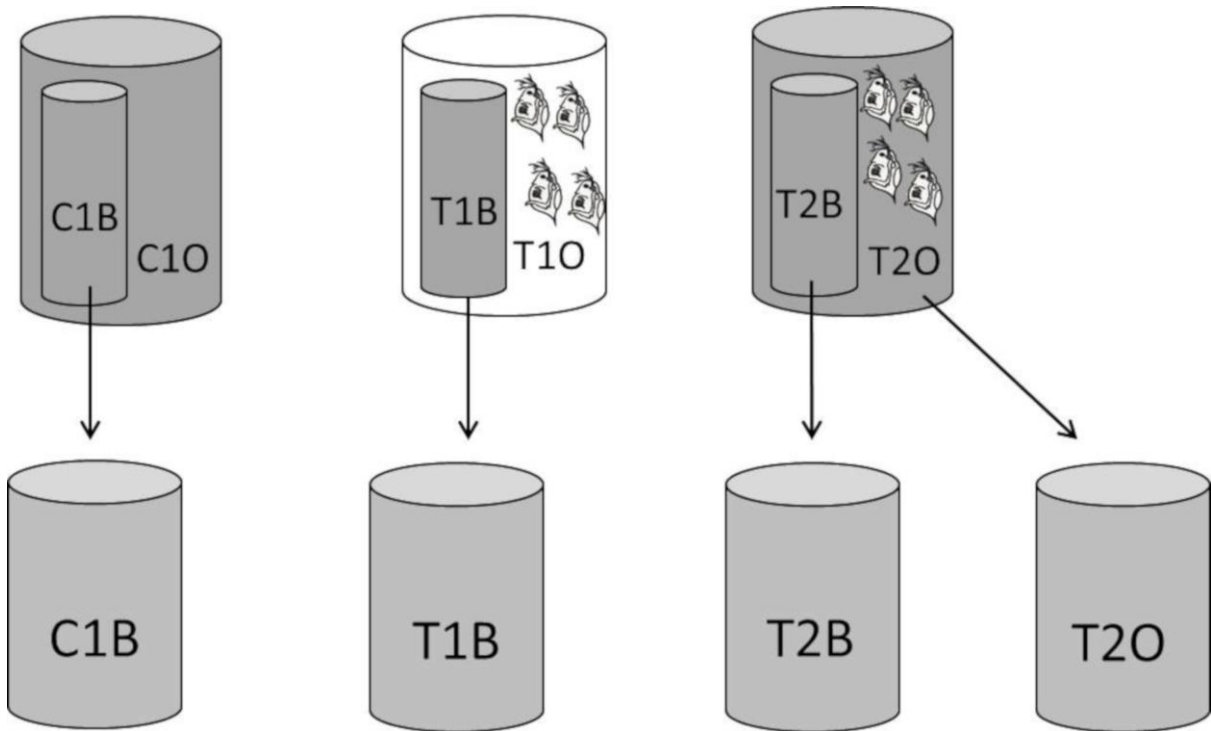
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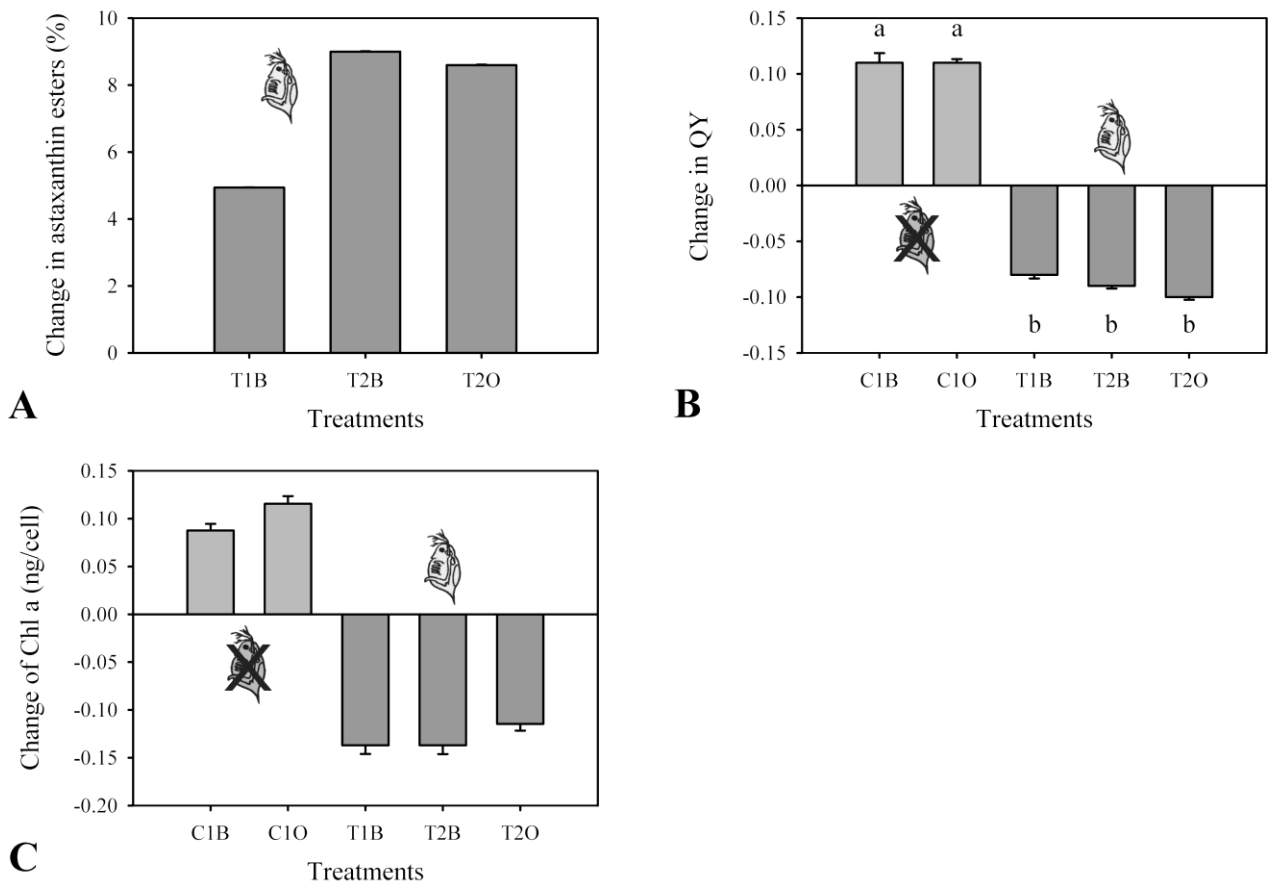
425 Figure 2. Schematic of the experimental design for colony induction. Each beaker contained a  
426 dialysis bag with *Scenedesmus obliquus* single cells (grey shading). Treatment beakers also  
427 contained *Daphnia magna* (*Daphnia* figure) outside the dialysis bag, with (grey) or without (white)

428 *S. obliquus* as food. All beakers contained Evian water with nutrients (BG-11). T = treatment, C =  
429 control, B=bag, O= outside, 1 and 2 are to distinguish the first and second treatments respectively.  
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431  
432 Figure 3. Schematic of the experimental design for colony reversibility. The starting algal  
433 populations were taken from the Colony induction experiment (see Fig. 2) and transferred into fresh  
434 medium.  
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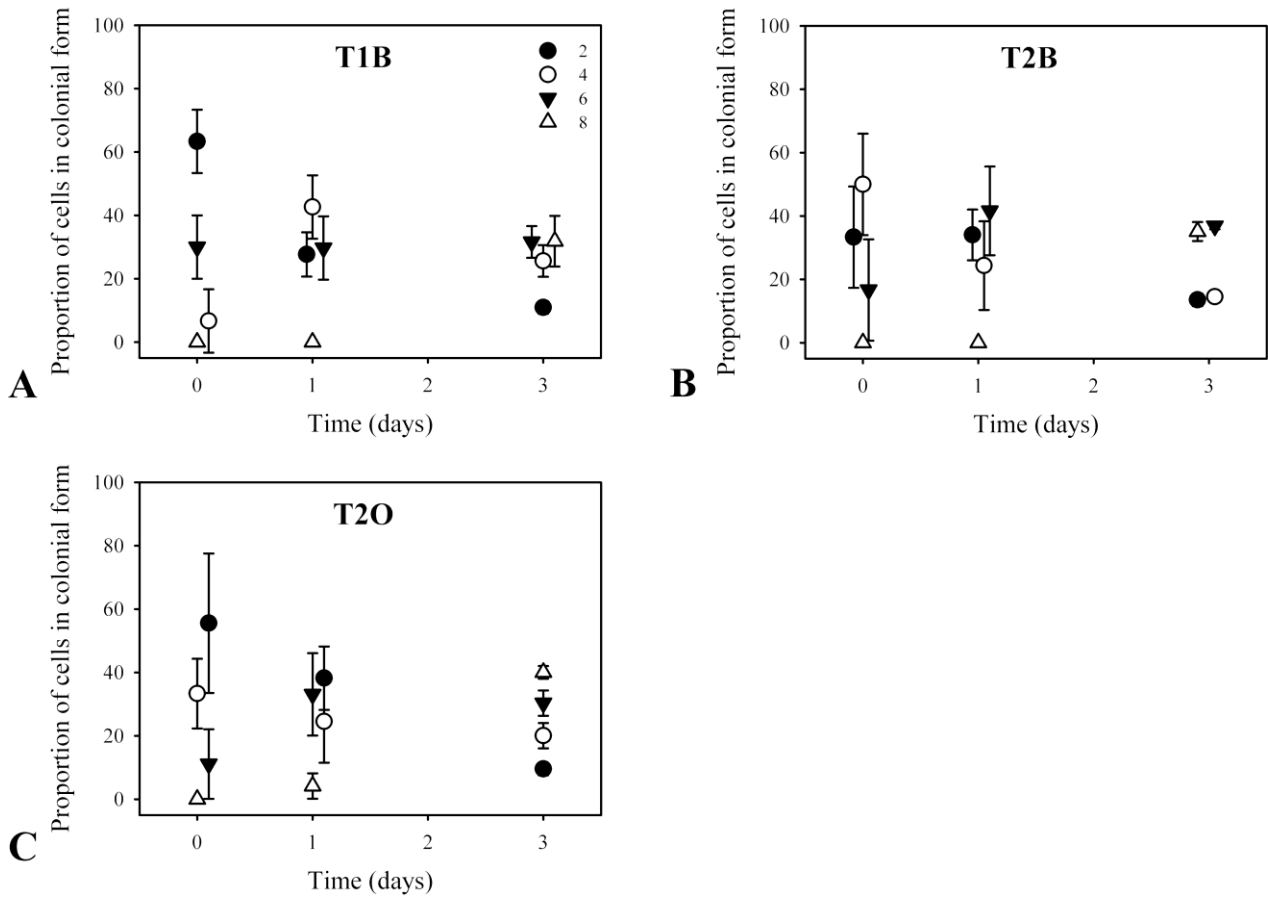




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438 Figure 4. Colony induction experiment. A) Changes in % Astaxanthin esters in *Scenedesmus*  
 439 *obliquus* in the presence of *Daphnia magna*, between Day 0 and Day 3. Error bars represent the  
 440 standard errors. B) Changes in the quantum yield (QY, indicating PSII efficiency) of *S. obliquus*  
 441 between Day 0 and Day 3, in the presence or absence of *D. magna*. Error bars indicate standard  
 442 errors; replicates sharing the same letters are not statistically different. C) Changes in Chlorophyll *a*  
 443 (ng cell<sup>-1</sup>) of *S. obliquus* between Day 0 and Day 3, in the presence or absence of *D. magna*. The  
 444 error bars represent standard errors. T = treatment, C = control, B=bag, O= outside, 1 and 2  
 445 represent the first and second treatments, respectively.

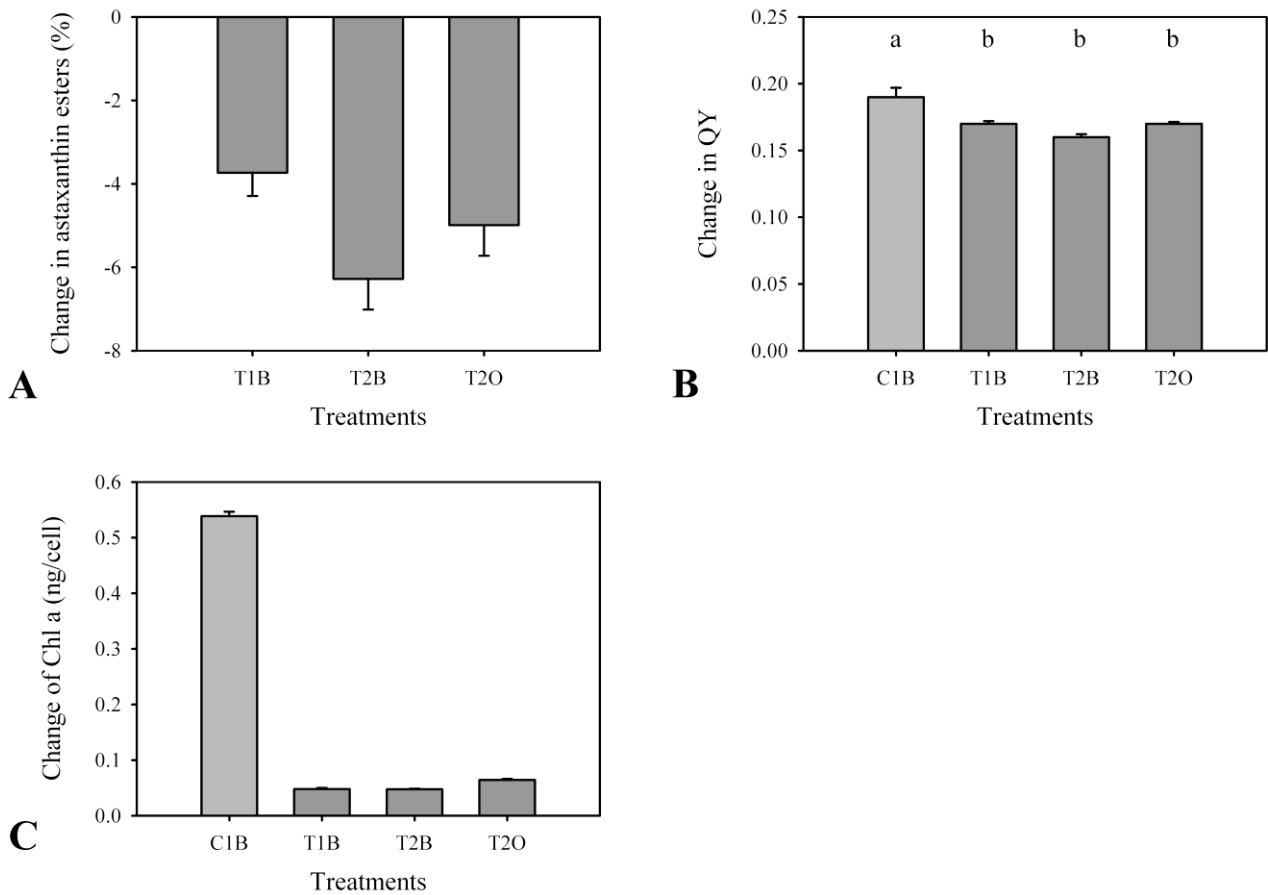
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449 Figure 5. Colony induction experiment. Proportion of cells in colonial form of *Scenedesmus*  
 450 *obliquus* in the presence of *Daphnia magna* over time, for the different treatments. The error bars  
 451 represent the standard errors. See Fig. 2 for the treatment group notations.

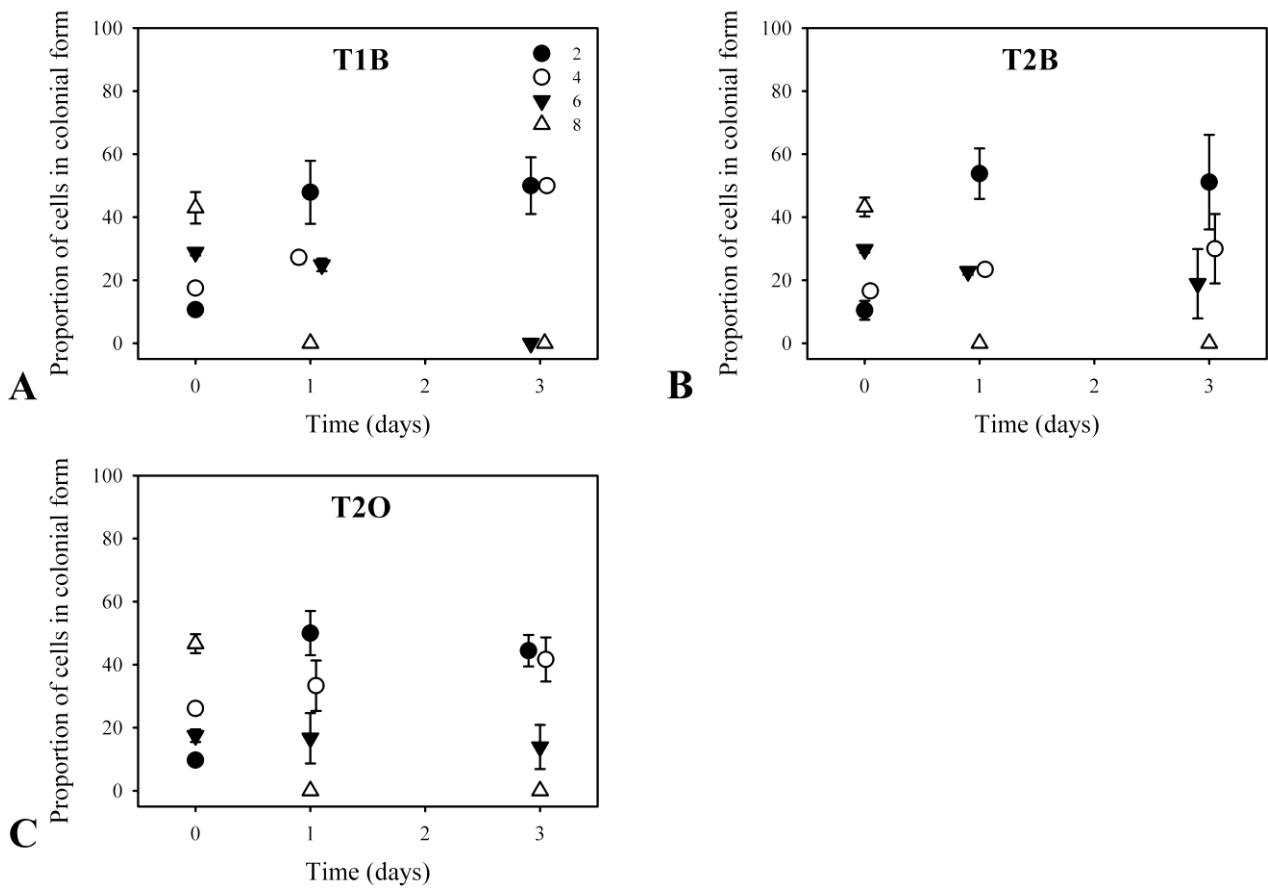
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453

454 Figure 6. Colony reversibility experiment. A) Changes in % Astaxanthin esters in *Scenedesmus*  
 455 *obliquus* in the presence of *Daphnia magna*, between Day 0 and Day 3. B) Changes in the quantum  
 456 yield (QY, indicating PSII efficiency) of *S. obliquus* between Day 0 and Day 3, in the presence or  
 457 absence of *D. magna*. The error bars represent the standard errors; replicates sharing the same  
 458 letters are not statistically different. C) Changes in Chlorophyll *a* (ng cell<sup>-1</sup>) of *S. obliquus* between  
 459 Day 0 and Day 3, in the presence or absence of *D. magna*. The error bars represent standard errors.  
 460 See Fig. 3 for the treatment group notations.

461



462

463 Figure 7. Proportion of cells in colonial form of *Scenedesmus obliquus* in the presence of *Daphnia*  
 464 *magna* over time, for the different treatments. The error bars represent the standard errors. See Fig.  
 465 2 for the treatment group notations.