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1	Coffee time: low caffeine dose promotes attention and focus in zebrafish
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26 *Abstract*

27 This study investigated the ability of zebrafish to discriminate visual signs and associate them with a reward in an associative learning protocol including distractors. Moreover, we 28 29 studied the effects of caffeine on animal performance in the task. After being trained to 30 associate a specific image pattern with a reward (food) in the presence of other images such 31 as distractors, the fish were challenged to locate the exact cue associated with the reward. 32 Distractors were same-colored patterns images similar to those of the target. Both the target 33 and distractors were continually moved around the tank. Fish were exposed to 3 caffeine 34 concentrations for 14 days: 0mg/L (control, n=12), 10mg/L caffeine (n=14) and 50mg/L 35 caffeine (n=14). Zebrafish spent most of the time close to the target (where the reward was offered) under the effects of 0 and 10mg/L caffeine, and the shortest latency to reach the 36 target was observed for the 10mg/L caffeine group. Both caffeine treatments (10 and 37 50mg/L) increased average speed and distance traveled when compared to the control 38 group. This study confirms previous results showing that zebrafish demonstrate conditioned 39 40 learning ability; however, low-dose caffeine exposure seems to favor visual cue discrimination and increase zebrafish performance in a multi-cue discrimination task, in 41 42 which primarily focus and attention are required to obtain the reward.

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44 *Keywords*: Adenosine antagonist; Vision, Conditioned learning; Associative learning

Caffeine is one of the most consumed stimulants in the world (Ferré, 2008; 47 Lieberman, 1992). It is present in a wide range of products including coffee, energy drinks, 48 teas and chocolate. The popularity of this substance lies in its beneficial effects, such as 49 50 heightened attention and alertness and decreased fatigue (Brunyé, Mahoney, Lieberman, & Taylor, 2010; Einöther & Giesbrecht, 2013; Smith, 2002). It is believed to affect reaction 51 52 time and accuracy in a variety of tasks (Einöther & Giesbrecht, 2013), increasing consumer productivity (Dagan & Doljansky, 2006; Einöther & Giesbrecht, 2013; Franke et al., 2014; 53 54 Souissi et al., 2014; Johnson et al., 2016).

55 Caffeine is almost completely absorbed by the body in the gastrointestinal system, 56 rapidly reaching the brain, where it promotes its effects. The drug is a nonspecific 57 antagonist of adenosine receptors, especially A1 and A2A, which are dispersed throughout 58 the brain (Einöther & Giesbrecht, 2013). By blocking the inhibitory properties of adenosine, a number of neurotransmitters, such as dopamine, glutamate, acetylcholine and 59 noradrenaline, increase postsynaptic potential in a large number of neural pathways, usually 60 61 increasing brain activity (Brunyé et al., 2010; Einöther & Giesbrecht, 2013). However, caffeine exerts its effect in a dose-dependent manner: moderate amounts increase arousal, 62 63 while large doses have anxiogenic effects (Lieberman, 1992). Furthermore, depending on caffeine dosage, locomotor behavior has exhibited a biphasic response: low to medium 64 doses increase locomotor activity while high doses decrease it (Marin et al., 2011). 65

In the modern world we are constantly bombarded with information in a multitasking work environment, making it important to focus one's attention even in the face of distractors, a valuable asset for enhanced learning. In this respect, studies have investigated

the effects of caffeine on cognition, primarily attention and learning (Angelucci, Cesario, Hiroi, Rosalen, & Cunha, 2002; Santos, Oliveira, Oliveira, Silva, & Luchiari, 2016).

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In order to combine the effects of distractors and caffeine in a discriminating task, 71 72 with translational relevance to humans, we used the zebrafish, an animal model at the 73 vanguard of neuroethological research. Zebrafish (Danio rerio) are becoming more widely 74 used for neuro-behavioral studies because they share psychopharmacologic, anatomic and 75 genetic characteristics with mice and humans (Barbazuk et al., 2000; Caramillo, Khan, 76 Collier, & Echevarria, 2015). Moreover, there are several recent studies using zebrafish for behavioral functions such as learning, memory and anxiety-like responses, in addition to a 77 number of genetic, embryological and behavioral tools. Zebrafish are also considered a 78 model for assessing drug effects because of easy substance dilution in water (Gerlai, Lahav, 79 80 Guo, & Rosenthal, 2000) and similar genetic homology (more than 70%) with humans, 81 resulting in a highly translational model. As such, the present study aimed to test the effect of a low and high dose of caffeine on zebrafish performance in locating a target in the 82 middle of several distractors in order to obtain a reward. 83

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Methods 85

Subjects 86

Zebrafish (four months old, wild type, both sexes) were acquired from a local breeding 87 farm (Natal-RN) and kept in stock tanks (80 x 25 x 40 cm, 50L) in the vivarium of the Fish 88 89 Laboratory (Physiology Department of UFRN). The tanks were kept in a closed system using water recirculation with mechanical, biological and chemical filtering. The water 90 temperature was maintained at 28°C on a 12L/12D light/dark cycle photoperiod. Fish were 91

fed commercial food (38% protein and 4% lipids, Nutricom Pet) and frozen *Artemia salina*twice a day.

All the experimental procedures were evaluated and approved by the Animal Ethics
Committee of Universidade Federal do Rio Grande do Norte (CEUA: 045/2017).

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97 *Caffeine exposure*

98 Five days before the beginning of substance exposure, the animals were transferred from 99 the stock tanks into three experimental tanks (40 x 25 x 30cm) with constant aeration and daily water changes to maintain quality. The following groups were tested: control (0mg/L 100 caffeine; n=12), chronic 10mg/L (n=14), and chronic 50 mg/L (n=14). The caffeine 101 102 concentrations used were based on the behavioral characterization of caffeine effects by Santos et al. (2016). To obtain these concentrations, the specific amount of caffeine powder 103 104 (Sigma – Aldrich #cat C0507) was diluted in system water. The doses were gradually increased to prevent animal deaths (Tran & Gerlai, 2014), starting with 5mg/L and 105 increasing by 50% every two days until the desired dosage was reached (10mg/L or 106 107 50mg/L). Caffeine exposure occurred for 60 minutes before and during the training/test sessions. Fish were individually transferred to a 2L tank containing the substance and then 108 109 to the training/test tank, where caffeine concentration was kept constant.

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111 *Discrimination task*

112 The learning task took place in three phases: tank acclimation (1), training (2), and test (3).

113 The three groups (control, caffeine 10mg/L and caffeine 50mg/L) were submitted to all the

114 phases for a total of 20 days. The experimental phases occurred in a 70 x 70 x 15cm tank

115 (40L), which walls were covered with white paper to avoid external interference (Fig. 1).

The acclimation phase (1) lasted 5 days. Fish were placed in the tank in groups to prevent isolation stress, and were allowed to explore the tank for 15 min per day. On the following days, the size of the group was gradually reduced until a single fish explored the tank for 15 min on the last day (5th day). This procedure allowed fish to become familiar with the experimental arena and avoid any novelty effect. After the 15-min period, each fish was returned to its home tank.

The training phase (2) started on the 6^{th} day, following the acclimation phase, and 122 123 lasted 14 days, with two training trials per day (total of 28 training trials). Fish were always alone in the experimental arena. During the training trials, a different figure was placed on 124 each side of the tank (set of figures in Fig. 1), one of which was the target. The target was 125 126 the figure that indicated the reward, and although it was moved every training trial, it was always paired with the reward (Artemia salina), while the others were distractors. All 127 128 figures were randomized at each training trial. The reward was only available when the fish entered the target area. A silicon tube connected to a syringe was used to deliver 2 units of 129 130 artemia to the fish as soon as it entered the target area. All the 4 areas had the silicon tube 131 so that no other cue than the figures could be used to learn the task. Fish behavior was recorded from above using a handycam (Sony DCR-SX45 Digital Video Camera 132 Recorder). Fish were allowed to explore the arena for 15 min, after which they were 133 returned to their home tank. 134

The test phase (3) was applied after on the 20th day (after 14-days training). All procedures were the same as in the training phase, except that individuals received no reward, even when they entered the target area. Fish explored the arena for 15 min. The test was filmed and later analyzed using the Zebtrack tracking program (Pinheiro-da-Silva, Silva, Nogueira, & Luchiari, 2016). To determine whether the animal chose either the

140 target or the distractors, we marked an area around each figure and the tracking software 141 calculated the latency to enter each area and time fish spent in each area. The tank (4900 cm^2) was divided into four equal areas located around each visual cue (500 cm^2 each) plus 142 the central and corner areas (2900 cm^2). We also measured average and maximum 143 144 swimming speed, and freezing behavior. 145 146 Statistical analysis All data were analyzed using the R program (Team, 2015). Statistical significance of 147 148 p<0.05 was considered for all tests. 149 First, we evaluated data normality and homoscedasticity using Kolmogorov-150 Smirnov and Levene tests, respectively. We used One-way ANOVA to compare parameters 151 such as intergroup freezing behavior, average swimming speed and maximum speed. For 152 post hoc, Tukey's honest significance test was used to explore all possible pair-wise comparisons of means. 153 154 Data of latency to enter the target and distractor areas and residence time in the target and distractor areas needed to be transformed for normality, so that a LMM (Linear Mixed Model) could be applied. Thus, we used the maximum likelihood-like approach of 157 Box and Cox (1964) to select a transformation index using powerTransform command (Team, 2015). For latency data we found the coefficient (λ) to be 0.192, and for time data 158

the coefficient (λ) was 0.585. After transformation, data presented Gaussian distribution 159

160 and we used the lmer command from the lme4 package (Bates, Maechler, Bolker, &

161 Walker, 2015) to analyze it. In all cases, the post-hoc comparisons between treatments of

each model were made using the Tukey post hoc test (Ismeans package) (Lenth & Hervé, 162

163 2014).

155

156

165 **Results**

Figure 2 shows the time fish spent in each area of the arena during the test trial and Figure 166 3 presents the latency to enter the target or any distractor area during the test. Mixed model 167 168 comparison showed that time spent in each area showed statistical significance due to the area of the tanks (target or distractors 1, 2 and 3) (LMM, $\gamma 2 = 9.29$, df = 3, p=0.02) but was 169 170 not significantly related to treatment (control, caffeine 10mg/L and caffeine 50mg/L) (LMM, $\gamma 2 = 4.58$, df = 2, p=0.10). The interaction terms treatment vs. areas of the tank was 171 show to be statistically significant (LMM, $\gamma 2 = 21.88$, df = 6, p=0.001). The post-hoc 172 173 comparison test (Tukey) indicated that time spent in the target area was higher for the control and caffeine 10mg/L than for caffeine 50mg/L. The fish treated with caffeine 174 175 50mg/L spent statistically similar time in the target and distractors 1 and 2 areas, but less 176 time at the distractor 3 area (p<0.05) (Fig. 2). The mixed model applied to latency to enter each area showed that statistical 177 significance was found among treatment (control, caffeine 10mg/L and caffeine 50mg/L) 178 (LMM, $\chi 2 = 28.16$, df = 2, p<0.001) but there was not statistical significance related to the 179 areas of the tanks (target or distractors 1, 2 and 3) (LMM, $\chi 2 = 5.01$, df = 3, p=0.17). The 180 181 interaction terms treatment vs. areas of the tank was show to be statistically significant

182 (LMM, $\chi 2 = 46.58$, df = 6, p<0.001). Tukey post-hoc comparison test indicated that the 183 shorter latencies were shown by the control group to enter the distractor 1 area, the caffeine 184 10mg/L to enter the target area and the caffeine 50mg/L to enter the distractor 1 and 2 areas 185 (p<0.05) (Fig. 3).

186 The values for average speed, maximum speed and freezing behavior are presented187 in figure 4. One-way ANOVA showed statistical significance for average swimming speed

(F_{40,2}=6.70, p=0.003), and the post hoc Tukey HDS indicated that caffeine 10mg/L group presented higher average speed than the other groups (p<0.05; Fig. 4a). Maximum speed was not statistically significant between groups (One-way ANOVA: F_{40,2}=0.89, p=0.42; Fig. 4b). Freezing behavior, a trait related to anxiety response, was shown to present statistical significance between groups (One-way ANOVA: F_{40,2}=8.60, p<0.001), while Tukey HDS indicated that caffeine 10mg/L group presented the lowest freezing response compared to the other groups(p<0.05; Fig. 4c).

196 **Discussion**

In this study, we evaluated the effect of caffeine on zebrafish performance in a task 197 198 requiring focus and attention. Zebrafish display a natural tendency to explore and the ability 199 to associate an unconditioned stimulus (food) with a previously neutral cue (the target) in 200 order to process it as a conditioned stimulus. We added distractors, that is, objects resembling the target, which can confuse fish and impair conditioning. Our results show the 201 202 associative learning ability of zebrafish, corroborating other literature studies (Al-Imari & 203 Gerlai, 2008; Braubach, Wood, Gadbois, Fine, & Croll, 2009; Chacon & Luchiari, 2014; Gómez-Laplaza & Gerlai, 2010; Karnik & Gerlai, 2012; Luchiari & Chacon, 2013). In 204 addition, we show that fish can discriminate the visual target in the presence of distractors 205 206 and that their performance in terms of time to reach the correct choice improves at a low dose of caffeine (10 mg/L). 207

Although a number of studies have investigated distractors in fish decision-making and a few others in zebrafish under the effect of caffeine, none have studied these subjects in tandem. Apart from its effect of preventing fatigue, society also uses caffeine to maintain focus on certain activities, such as studying (Hameleers et al., 2000), driving (Liu, Yao, & Spence, 2014) and similar attention and vigilance tasks (Foxe et al., 2012). In an

environment filled with stimuli, attention allows individuals to process and respond only towhat is relevant (Thiele & Bellgrove, 2018).

The increased attentional performance provoked by caffeine is related to its effects 215 216 on adenosine receptors. In fact, during prolonged alertness and attention, firing neurons 217 accumulate a byproduct called adenosine, which acts by binding adenosine receptors and 218 signaling that brain activity should decrease, such as when the body needs rest (Fredholm, 219 Bättig, Holmén, Nehlig, & Zvartau, 1999). However, when caffeine is available, it binds 220 the adenosine receptors (antagonist), and the brain's own stimulants, such as glutamate and 221 dopamine, are more likely to function (Fredholm et al., 1999). Another neuromodulatory 222 effect of caffeine is in the brain levels of acetylcholine (Carter, O'Connor, Carter, & 223 Ungerstedt, 1995; Murray, Blaker, Cheney, & Costa, 1982). Methylxanthines such as 224 caffeine increase acetylcholine metabolism and activity (Acquas, Tanda, & Di Chiara, 225 2002; Murray et al., 1982). Activation of the cholinergic system has been associated with 226 different cognitive functions, including attention, memory and learning (Herlenius & 227 Lagercrantz, 2004).

These positive caffeine effects occur only in controlled amounts, since high caffeine levels increase receptor binding in many parts of the brain and body, raise heart rate and blood pressure, and release hormones such as epinephrine and cortisol (Benowitz, 2008; Butt & Sultan, 2011; Franco, Oñatibia-Astibia, & Martínez-Pinilla, 2013; Rosa et al., 2018). In this respect, high amounts of caffeine are usually related to stress and anxiety (Wood, Sage, Shuman, & Anagnostaras, 2014).

In the present study, the low caffeine dose seems to have ameliorated the ability of fish to discriminate cues and reach the target, while the higher dose, instead of further

enhancing performance, impaired their ability to find the target and may demonstrate a side
effect of the substance, namely, increased anxiety (Lieberman, 1992). This biphasic effect
of caffeine on zebrafish behavior has been reported in other studies, showing that high
doses negate its beneficial effects, giving rise to learning impairment and increased anxiety
(Santos et al., 2016; Santos, Ruiz-Oliveira, Silva, & Luchiari, 2017).

241 It is important to underscore that in our study caffeine affected locomotor 242 parameters, increasing average speed and decreasing freezing behavior in the groups treated 243 with 10mg/L. The increase in zebrafish swimming could have led to the shortest time to 244 reach the target (Fig. 3), however, this response would induce fish to continue exploring the 245 tank regardless the presence of the visual cue, what was not observed (Fig. 2). In fact, after 246 reaching the target area, fish stayed there longer (as the control group; Fig. 2). Also, the 247 longer time in the same place could have been interpreted as higher freezing behavior, what as not observed for the 10mg/L caffeine group, suggesting that burst locomotion may be 248 caused by a decrease in fatigue (Claghorn, Thompson, Wi, Van, & Garland Jr, 2017), rather 249 250 than an anxiogenic response. The possible decrease in fatigue, together with improved 251 focus to find the area of interest, confirms the positive effect of the low caffeine dose, 252 suggesting that caffeine acts mainly in areas related to attention and alertness at this dose. 253 On the other hand, the high dose (50 mg/L caffeine) may act on other areas of the brain 254 domains, thereby augmenting stress. Rosa et al. (2018) found that 50 mg/L of caffeine increases whole-body cortisol levels in zebrafish. In this regard, we can expect a similar 255 256 alteration in our experimental fish. However, we cannot confirm this hypothesis, since the 257 levels of freezing and locomotors behavior were the same for 50mg/L caffeine and control 258 groups. Therefore, new tests are required to thorough understand how 50mg/L caffeine 259 impact on the fish cognitive ability.

260	Caffeine is a widely used psychostimulant (De Luca, Bassareo, Bauer, & Di Chiara,
261	2007), consumed daily by a large part of the population and drunk excessively by people
262	seeking improved physical or cognitive performance. We demonstrate that a low
263	concentration of caffeine helps fish select what is important in their environment in order to
264	obtain a reward. On the other hand, high concentrations seem to create a stress response,
265	preventing individuals from learning the task. However, these effects were not observed for
266	locomotor behavior. In this respect, studies using techniques to show changes in the brain
267	(neurotransmitters, proteins, neuroplasticity) and body (cortisol levels) caused by different
268	doses of caffeine are crucial for a better understanding of the effect of caffeine on attention
269	and learning shown here.
270	Finally, our study confirms the importance of zebrafish as a model for drug
271	screening and cognition studies. We show that low caffeine consumption may help perform
272	tasks demanding focus and attention, but chronic consumption of high amounts may have
273	the opposite effect. For future studies, we suggest investigating the effects of different
274	concentrations in order to determine the most appropriate dose and regime, in terms of
275	focus and attention, and avoid its negative consequences.
276	
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280	
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