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### **Paper:**

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Title: Changes of gene expression but not cytosine methylation are associated with male parental care reflecting behavioural state, social context, and individual flexibility

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Short Running Title: Behaviour and methylation during parental care

31 Summary Statement

32

33 Behavioural state has more influence on gene expression than social context or individual flexibility  
34 during a transition to parental care, while cytosine methylation is not associated with differential gene  
35 expression.

36

37 Abstract

38

39 Behaviour is often on the front line response to changing environments. Recent studies show behavioural  
40 changes are associated with changes of gene expression; however, these studies have primarily focused  
41 on discrete behavioural states. We build on these studies by addressing additional contexts that produce  
42 qualitatively similar behavioural changes. We measured levels of gene expression and cytosine  
43 methylation, which is hypothesized to regulate the transcriptional architecture of behavioural transitions,  
44 within the brain during male parental care of the burying beetle *Nicrophorus vespilloides* in a factorial  
45 design. Male parenting is a suitably plastic behaviour because while male *N. vespilloides* typically do not  
46 provide direct care (i.e., feed offspring) when females are present, levels of feeding by a male equivalent  
47 to the female can be induced by removing the female. We examined three different factors: behavioural  
48 state (caring vs non-caring), different social contexts (with or without a female mate), and individual  
49 flexibility (if a male switched to direct care after his mate was removed). The greatest number of  
50 differentially expressed genes were associated with behavioural state, followed by social contexts, and  
51 lastly by individual flexibility. Cytosine methylation was not associated with changes of gene expression  
52 in any of the conditions. Our results suggest a hierarchical association between gene expression and the  
53 different factors, but that this process is not controlled by cytosine methylation. Our results further  
54 suggest that the extent a behaviour is transient plays an underappreciated role in determining its  
55 underpinning molecular mechanisms.

56

57 Keywords: DNA Methylation, Epigenetics, *Nicrophorus vespilloides*, Social Behaviour, Social  
58 Neuroscience

59

60

61

62 Introduction

63  
64 Behaviour, like all phenotypes, is traceable to how and when genes are expressed. Transcriptional  
65 profiling has revealed distinct transcriptional architectures associated with distinct behavioural states  
66 (Zayed and Robinson, 2012; Cardoso et al., 2015; Parker et al., 2015; Palmer et al., 2016; Jacobs et al.,  
67 2016), which is further reflected in protein abundance (Cunningham et al., 2017). However, gene  
68 expression differences within and across behavioural states are not only a result of the genes that underpin  
69 the behaviour itself, but can also reflect other factors, such as social context and simple individual  
70 variation. An outstanding question is how much gene expression is associated with each source of  
71 variation when more than one factor is manipulated. Assessing the influence of multiple factors requires  
72 examining those factors with experimental designs that minimize other differences that can exist when  
73 examining highly distinct behavioural states (Benowitz et al., 2017; Kronauer and Libbrecht, 2018) and  
74 that allow a partitioning of effects. Furthermore, the mechanisms that regulate the variation of gene  
75 expression differences are not fully characterised but need to be to fully understand of the evolution and  
76 mechanistic basis of behaviour.

77  
78 In this study, we sought to estimate the association of gene expression with three different factors:  
79 (1) differences of behavioural state, (2) differences social contexts, and (3) differences of individual  
80 flexibility. We examined all three of these factors influencing male parental care behaviour of the  
81 subsocial beetle *Nicrophorus vespilloides*. This social behaviour displays considerable plasticity, making  
82 it accessible for the investigation of transcriptional architecture of flexible social behaviours under  
83 different conditions. In this species, males but not female parental care is naturally plastic (Smiseth et al.,  
84 2005). With a mate, males can but do not always participate in the feeding of the offspring but instead  
85 provide indirect forms of care, such as excretion of anti-microbial compounds to cover the carcass  
86 (Smiseth et al., 2005; Capodeanu-Nägler et al., 2018). With the removal of his female mate, some males  
87 begin feeding offspring (Smiseth et al., 2005). Because we can manipulate male parental care *via* changes  
88 of social context, we can generate factorial crosses of males with or without mates that do or do not feed  
89 offspring. This helps us directly isolate associations of gene expression with behavioural state, social  
90 context, and individual flexibility displayed for those behaviours (Table 1). This is important because  
91 social behaviour is multifaceted and moving to factorial designs helps us to begin disentangling single  
92 variables, rather than comparing gene expression across behavioural states that necessarily differ by many  
93 variables (Lockett et al., 2012; Benowitz et al., 2017).

94  
95 Within this experimental design we also tested if cytosine methylation regulated any rapid  
96 changes of gene expression during socially responsive parental care. Cytosine methylation is a core  
97 mechanisms regulating gene expression (Cardoso et al., 2015). It is stable (Turecki, 2014; Yan et al., 2015),  
98 reversible (Yan et al., 2015), and can have relatively short-term turnover in animals (Levenson et al.,  
99 2006; Guo et al., 2011; Herb et al., 2012; Mizuno et al., 2012; Baker-Andresen et al., 2013; *contrario*  
100 *sensu* Cardoso et al., 2015). Therefore, cytosine methylation has excellent characteristics to regulate the  
101 gene expression underlying behaviours (Cardoso et al., 2015; Yan et al., 2015). Cytosine methylation is  
102 associated with different behaviours of a range of insects, including different hymenopterans (Kucharski  
103 et al., 2008; Lyko et al., 2010; Bonasio et al., 2012; Foret et al., 2012; Herb et al., 2012; Lockett et al.,  
104 2012; Amarasinghe et al., 2014; Kucharski et al., 2016; Herb et al., 2018) and an orthopteran (Wang et  
105 al., 2014). However, its association with behaviour is not ubiquitous, as cytosine methylation is not

106 associated with different behaviours of several hymenopterans (Patalano et al., 2015; Libbrecht et al.,  
107 2016; Gladstad et al., 2017; Toth and Rehan, 2017), nor with the evolution of social behaviour of insects  
108 in general (Bewick et al., 2017). We have a sequenced and annotated genome for *N. vespilloides*, and  
109 there is gene body cytosine methylation allowing us to directly assess the association of cytosine  
110 methylation with different factors (Cunningham et al., 2015). The role of cytosine methylation underlying  
111 gene expression differences of transient behaviours has not been assessed. More generally, it is still  
112 unknown the mechanisms underlying rapid, transient, and flexible transitions of behaviour are the same as  
113 those that are associated with longer-term behavioural transitions.

114  
115 Our first goal was to identify gene expression associated with three different factors; differences  
116 between behavioural state (directly caring vs non-caring), differences of social context (with or without a  
117 mate), and differences of individual flexibility (whether an individual did or did not change their  
118 behaviour during the study; Table 1). We predicted that differences of behaviour would have a large  
119 influence on gene expression (Parker et al., 2015), followed by difference of social context (Parker et al.,  
120 2015), and the influence of individual flexibility of behaviour is largely unknown. We also predicted the  
121 possible change of expression of several pathways, including neuropeptides (Cunningham et al., 2016;  
122 2017; Bukhari et al., 2017), neural remodelling factors (e.g. *bdnf*; Cunha et al., 2010), and genes  
123 associated with transcriptional regulation in general (Cardoso et al., 2015). Our second goal was to assess  
124 if cytosine methylation underpinned the rapid changes of gene expression seen during rapid changes of  
125 behaviour using whole genome bisulfite sequencing (WGBS) of the same males used for the gene  
126 expression experiments. Assuming cytosine methylation underpins behavioural changes, we expected to  
127 see cytosine methylation levels would change for behaviourally-responsive genes (Cardoso et al., 2015;  
128 Yan et al., 2015). We found many differences of gene expression between caring and non-caring  
129 behavioural states, fewer expression differences due to social context or individual flexibility. Very few  
130 cytosine methylation changes were associated with any of the factors we manipulated. Thus, differential  
131 expression of genes accompanies rapidly changing behaviour with a hierarchy from behavioural state,  
132 social context, and individual flexibility; however, cytosine methylation does not appear to underpin any  
133 of these rapid changes and the epigenetic mechanisms that influence this process remain to be identified.

134  
135 Materials and Methods

136  
137 Parental Care of *N. vespilloides*

138  
139 The parental care behaviour of *N. vespilloides* is multifaceted, easily observed, and reliably scored  
140 (Smiseth et al., 2004, 2005; Walling et al., 2008). Parental care in all burying beetle species is extensive  
141 and elaborate, including direct provisioning of regurgitated food to begging offspring (Eggert and Müller,  
142 1997; Scott, 1998). Parental care can be uniparental or biparental, often within a species. Adults search  
143 for and bury a small vertebrate carcass on which they feed and rear offspring. Parents provide both  
144 indirect and direct care. Before offspring are born there is indirect care involving stripping the fur (or  
145 feathers or scales) from the carcass, forming it into a nest, and preventing microbial growth on the carcass  
146 through excretions (e.g., Palmer et al., 2016; Duarte et al., 2018; Shukla et al., 2018; Wang and Rozen,  
147 2018). The latter form of indirect care also occurs after offspring are present, along with resource defence  
148 (Walling et al., 2008). Eggs are deposited away from the carcass while it is being manipulated into a  
149 suitable larval food resource. When eggs hatch, the larvae crawl to the carcass and reside in a small cavity

150 excised by the parents in the carcass. Parents provide direct care by regurgitating pre-digested carrion  
151 directly to their dependent, begging offspring and by depositing oral secretions into the larval cavity to  
152 prepare it for larval occupation and consumption (Scott, 1998). In *N. vespilloides*, the species studied  
153 here, parental care can be provided under multiple social contexts; by both parents or either individually  
154 without influencing the survival or vigour of larvae (Parker et al., 2015). When both parents are present,  
155 females bias their time toward direct care of offspring (i.e., feeding them) while males spend more time  
156 on indirect care (Smiseth et al., 2005). This system is amenable to our experimental manipulation (Table  
157 1) as removing females while larvae are still young results in some males initiating direct care (Smiseth et  
158 al., 2005), while other males will maintain their level of direct care observed when a female is present  
159 (generally, high level or none). This allowed us to create a factorial design to separate the influence of  
160 behavioural state, social context, and individual flexibility on gene expression.

161

## 162 Experimental Design and Behavioural Observations

163

164 We obtained beetles from an outbred colony of *N. vespilloides*, originating from Cornwall, UK, and  
165 maintained at the University of Georgia (Cunningham et al., 2014, 2017). This colony is augmented with  
166 new families yearly from the same origin population. We followed the protocol of Smiseth et al. (2005) to  
167 generate flexibly caring males. Unrelated female and male pairs (age 14 – 29 days) were placed into a  
168 mating box with a mouse carcass (19-21g) and 2.54 cm of moist soil. The boxes were observed every  
169 morning (approximately 9:00 am) and evening (approximately 17:00) starting at 60 h post-pairing until  
170 larvae arrived at the carcass. 21 h after larval arrival, each pair was observed using 1 minute scans for 10  
171 minutes an hour for four observation periods. We then repeated the observation protocol 24 h later. There  
172 were two treatments on Day2: we removed half the female from pairs where the males showed no direct  
173 care on Day1 and left the pairs intact for the other half. If males were observed caring on Day 1, we left  
174 the pair intact. All pairs were observed both days regardless of treatment.

175

176 Because we were first interested in separating the influence of three factors on gene expression,  
177 we designed an experiment that manipulated males into one of four different experiences that allowed us  
178 to assess three a priori contrasts (Table 1a): The first sample, phenotypically “Flexible care”, contained  
179 males that initiated direct care (directly feeding offspring) when the female was removed. The second,  
180 “Non-flexible no-care”, contained males that were not observed to directly care for offspring even if the  
181 female was removed. The third sample, “Biparental no-care”, contained males that never directly care for  
182 offspring with the female present both days. The fourth sample, “Biparental care”, contained males that  
183 that always directly cared for offspring with the female present both days. No-care samples might have  
184 dispersed from the carcass, which is why they might not have been observed to care. It is hard to tell with  
185 our protocol as the boxes are so small that males are often found near but not on the carcass. Near but not  
186 on can signify rest or dispersal, which can only be distinguished with multiple widely spaced observations  
187 that directly opposes our ability to gather samples directly after the behavioural observations. Other  
188 samples are not available as males do not provide direct care on Day 2 if they do not provide direct care  
189 on Day 1 in the presence of females, and males that provide direct care on Day 1 rarely change to no  
190 direct care on Day 2 regardless of the presence or absence of the female. To maximize power, we only  
191 selected males for analysis that showed “pure” phenotypes; that is, consistently high care or absolutely no  
192 direct care throughout all observation periods. We observed 104 pairs in total (Table S1).

193

194 mRNA-sequencing (RNA-seq) Preparation, Sequencing, and Quality Control

195

196 We dissected brains from individual males as in Cunningham et al. (2014), with the exception that  
197 samples were snap frozen in liquid nitrogen after dissection and stored at -80 °C. From these samples we  
198 extracted RNA and genomic DNA (gDNA) simultaneously using Qiagen's AllPrep DNA/RNA Mini Kit  
199 (cat. # 80284; Hilden, Germany) following the manufacturer's protocol after homogenization with a  
200 Kontes handheld pestle (Kimble Chase, Rockwood, TN, USA) to allow us to quantify both gene  
201 expression and methylation level from the same individual. We quantified RNA and gDNA with a Qubit  
202 2.0 Fluorometer (Invitrogen, Carlsbad, CA, USA) using the RNA Broad Range and dsDNA High  
203 Sensitivity protocols, respectively, following the manufacturer's instructions.

204

205 We prepared libraries for RNA-seq with a modified Smart-seq2 protocol (Picelli et al., 2014)  
206 using a target of 80 ng of total RNA per library and barcoded with Illumina TruSeq indexes. Libraries  
207 were SPRI<sup>ed</sup> to select for fragments between 300-1000 bp and insert size was estimated with a Fragment  
208 Analyzer Automated CE System (Advanced Analytical, Ankeny, IA, USA). We sequenced 24 samples  
209 (six from each of the four behavioural states), assigned to one of two pools to evenly distribute samples  
210 based on experimental factors across the two lanes, with a 75bp single-end (SE) protocol using to  
211 Illumina's NextSeq500 with a High-Output flow cell targeting 35 million reads per sample at the  
212 University of Georgia's Georgia Genomics Facility (Table S2).

213

214 We assessed the quality of the raw sequencing reads using FastQC (v0.11.4; default settings;  
215 bioinformatics.babraham.ac.uk/projects/fastqc). We trimmed for the transposase adapter, reads based on  
216 quality (Phred > 15 at both ends), trimmed the last two base pairs of the reads due to highly skewed  
217 nucleotide frequencies, and reassessed quality of the reads using FastQC (v0.11.4) and Cutadapt  
218 (v1.9.dev1; Martin, 2011).

219

220 Differential Gene Expression and Gene Ontology (GO) Analysis

221

222 We combined data from the four groups of males with different experiences of parental care, social  
223 context, and individual flexibility to parse the effect of different influences and refine the potential causal  
224 differences (Table 1b). We performed three contrasts. First, we compared all those individuals that  
225 displayed direct care to those that did not, regardless of social context (Behavioural State contrast). This  
226 compares males that transition from a no-care state to a direct care state versus those that do not make this  
227 transition with or without the female. Next, we compared individuals in the presence of a female both  
228 days to those where a female was absent the second day, regardless of their own behaviour (Social  
229 Context contrast). This tests for the transcriptional response to difference of the social context. Finally, we  
230 tested for the transcriptional response to changes of individual behaviour regardless of social context or  
231 starting behaviour (Individual Flexibility contrast). This directly compares flexible to non-flexible  
232 individuals. Taken together, then, these three contrasts lead to a description of gene expression unique to  
233 each source of variation influencing behavioural changes.

234

235 We used RSEM (v1.2.20; default settings; Li and Dewey, 2011) with BowTie2 (v2.2.9; default  
236 settings; Langmead and Salzberg, 2012) to map and quantify reads against the *N. vespilloides* Official  
237 Gene Set (OGS) v1.0 transcriptome (Cunningham et al., 2015). To better assess the completeness of the

238 Nv OGS v1.0 before mapping, we used the updated BUSCO gene set (v2.0; default settings; Simao et al.,  
239 2015) with the Arthropoda Hidden Markov Models (2,675 HMM gene models). This gene set is defined  
240 as gene models that are present in 90% of the searched species as single-copy orthologs. We found that  
241 2,607 (97.5%) genes were present with 2,484 (92.8%) as complete gene. Of the complete genes, 2,183  
242 were single-copy orthologs and 301 were duplicated. A further 123 genes were fragmented.

243

244 Differential expression was estimated using both a parametric and non-parametric differential  
245 gene expression analysis to find genes that individually exhibited strong responses to our manipulation.  
246 These two methods find differential expression based on different biological signals and so can identify  
247 different sets of genes between contrasts of interest. For each analysis, we performed three contrasts  
248 (Table 1b).

249

250 We imported the expected read count per gene from RSEM into the DESeq2 package (v1.12.3;  
251 default settings; Love et al., 2014) using the tximport package (v1.0.3; Sonesson et al., 2015) of R (v3.3.1;  
252 R Core Team, 2016). Following the suggested workflow of DESeq2, we performed overall sample quality  
253 control by visual inspected for and removed two outlier samples (one Flexible care, one Non-Flexible  
254 care) after completing quality control by visual inspection of a principal component analysis (PCA) plot  
255 using the data without regard to any factor in the study design. Statistical significance was assessed after a  
256 Benjamini-Hochberg (BH) correction of  $P$ -values (Benjamini and Hochberg, 1995). We used NOISeq  
257 (2.16.0; Tarazona et al., 2015) to test for differential gene expression as a non-parametric method.  
258 Following the suggested workflow of NOISeq, we performed overall sample quality control by visual  
259 inspected for and removed one outlier sample (flexible care) after completing quality control by visual  
260 inspection of samples on PCA plot using trimmed mean of M-values (TMM) standardized data without  
261 regard to any factor in the study design, as per program guidelines. Each analysis was conducted using  
262 TMM standardized data, filtering out genes with counts per million reads (CPM)  $< 1$ , correcting for gene  
263 length, substituting zero gene counts with 0.01 to avoid undefined gene counts, and with 20 permutations  
264 using the NOISeqBIO function. Statistical significant was assessed after a BH correction of  $P$ -values. We  
265 used all the unique differentially expressed gene from either DESeq2 and NOISeq gene list (i.e., the union  
266 of the two gene lists) for each of the three contrasts to test for enrichments of all three categories of Gene  
267 Ontology (GO) terms: biological process, molecular function, and cellular component. We used the  
268 AgriGO webserver to test for enriched GO terms (Du et al., 2010). We performed a Singular Enrichment  
269 Analysis (SEA) using Complete GO terms and a hypergeometric test with a BH correction. The complete  
270 list of GO terms assigned to all *N. vespilloides* genes was used as the background for the enrichment test.

271

272 Because genes usually act within a network, and whole networks can exhibit responses to a  
273 manipulation even if the individual genes within the network do not, we also performed a weighted gene  
274 co-expression network analysis (WGCNA). This technique also allows for the centrality of a gene to a  
275 network to be estimated with the assumption that genes deeply connected within a network are of  
276 increased overall importance because changing their expression influences many other genes. We again  
277 looked for associations with our three contrasts and the expression of gene modules between these  
278 contrasts. We used the WGCNA package of R (Storey, 2002; Langfelder and Horvath, 2008) to perform a  
279 weighted gene co-expression network analysis using default guidelines and parameters. We used the  
280 Variance Stabilized Transformation that was blind to the study design from DESeq2, with the same two  
281 outlier samples removed, as input data with genes with  $< 10$  reads in 20 samples removed, as per programs



282 suggestion. We converted the correlation matrix of variance stabilized transformed values (DESeq2's  
283 default transformation) to a signed adjacency matrix with an exponent of 10 and a minimum module size  
284 of 30. We tested for an association between modules and traits of interest using the biweight mid-  
285 correlation (bicor) function with a robustY setting, as per program guidelines for our data types. Modules  
286 significantly associated with traits were assessed for enrichment of GO terms as described above.

287  
288 We also established orthology between Amel OGS3.2 (Elsik et al., 2014) and Nicve OGS1.0  
289 using OrthoFinder (default setting using diamond; v2.1.2; Emms and Kelly, 2015) to assess the overlap of  
290 differentially expressed “direct caring” genes between Shpigler et al (2018) and this study.

291  
292 MethylC-seq Preparation and Differential Gene- and Cytosine-Methylation Analysis  
293

294 We used MethylC-seq to estimate levels of cytosine methylation associated with different behavioural  
295 states. We prepared MethylC-seq libraries following Urich et al. (2015) targeting 200 ng of gDNA as  
296 input per library. Six individuals, three each from sample group 1 & 2 (Table 1a), that we used for RNA-  
297 seq were haphazardly chosen for whole genome bisulfite sequencing. Libraries were quality controlled  
298 with the above RNA-seq protocol. We sequenced six adult samples with a 150bp single-end (SE) protocol  
299 using Illumina's NextSeq500 with a High-Output flow cell at the University of Georgia's Georgia  
300 Genomics Facility (Table S2).

301  
302 We followed the protocol of Cunningham et al. (2015) to determine the methylation status of  
303 individual cytosines and genes that was used to survey the methylome of larval *N. vespilloides*. Briefly,  
304 we used the methylpy analysis pipeline (Schultz et al., 2015) that checks reads for adapter contamination  
305 and quality score trimming with cutadapt (v1.9dev), maps with Bowtie1 (v1.1.1; parameters: -S -k 1 -m 1  
306 --chunkmbs 3072 --best --strata -o 4 -e 80 -l 20 -n 0), removes PCR duplicate reads with Picard (v2.4.1;  
307 default settings; broadinstitute.github.io/picard), and uses a BH corrected binomial test against the sample  
308 specific non-conversion rate of fully unmethylated lambda gDNA to call methylated cytosines. Cytosines  
309 within a region of interest (here, CDS) were aggregated and a BH corrected binomial test against the  
310 mean percentage of methylated cytosines per gene is used to call methylated genes. To estimate how  
311 conserved gene methylation status is between adult and larval life history stages, we re-analysed the six  
312 adult samples from this study and the three larvae samples from Cunningham et al. (2015; NCBI  
313 BioProject: PRJNA283826) together. To address the influence of different sequencing coverage between  
314 these samples, we restricted our analysis to genes that had at least five CpGs covered with at least three  
315 mapped reads; Cunningham et al., 2015 within the CDS regions for all nine samples (i.e., we only  
316 assessed genes with sufficient amounts of information from all samples to reduce the influence of noise  
317 from low-coverage CpGs and coverage differences between samples). A BH corrected binomial test  
318 determined the methylation status of each gene within each sample using the mean percent of methylated  
319 CpGs of all samples across all genes as the null probability. Genes identified as methylated in all adult  
320 samples and unmethylated in all larval samples were defined as adult-specific methylated genes, and vice-  
321 versa. We defined the overlap as the union of adult methylated genes compared with the union of the  
322 larval methylated genes.

323  
324 We estimated differential cytosine methylation amongst the two adult behavioural states (flexible  
325 care vs. nonflexible no-care) in two different ways (qualitative and quantitative) at the gene (Patalano et

326 al., 2015) and individual nucleotide (Libbrecht et al., 2016) levels. Our analysis was designed within an  
 327 exploratory framework to capture any signal of individual cytosine or gene methylation status associated  
 328 with social behaviour. For the qualitative analysis at the gene level, we assessed how many genes were  
 329 consistently methylated or non-methylated in one sample group while having the opposite methylation  
 330 status in other sample group. The quantitative analysis was a BH-corrected *t*-test of the proportion of  
 331 methylated cytosines across a gene or a BH-corrected *t*-test of weighted methylation level across a gene  
 332 (# of methylated reads/all reads mapped to a cytosine; Schultz et al., 2012) with at least 10 mapped  
 333 cytosines (12,627 genes meet the minimum coverage threshold; Patalano et al., 2015).

334  
 335 For the qualitative analysis at the nucleotide level, we assessed how many cytosines were  
 336 methylated or non-methylated in one sample group while having the opposite methylation status in the  
 337 other sample group. The quantitative analysis was a BH-corrected *t*-test of the weighted methylation level  
 338 (# of methylated reads/all reads mapped to a cytosine) for every cytosine that was mapped in all adult  
 339 samples with at least five reads.

## 340 341 Results

### 342 343 Behavioural Analysis

344  
 345 In the sample where males were induced to shift from no-care to direct care (Table 1, sample 1), the  
 346 percentage of observed time spent directly feeding larvae shifted from 0 (with female; Day 1) to  $28.3 \pm$   
 347  $0.4$  (after female removal; Day 2). In samples where females weren't removed but males direct care was  
 348 observed (Table 1, sample 4), males spent  $34.0 \pm 5.5\%$  of the observation period on direct care in Day 1,  
 349 and  $35.9 \pm 4.1\%$  of the observation period directly caring for larvae on Day 2. These results recapitulate  
 350 those of Smiseth et al. (2005).

351  
 352 There was no statistically significant difference in the proportion of direct care (DC) and indirect  
 353 care (IC) for males that expressed care with and then also without a partner (Day 1: DC – 34.0%, IC –  
 354 4.6%; Day 2: DC – 35.9%, IC – 3.5; DC:  $t_{23.5} = -0.22$ ,  $P = 0.826$ ; IC:  $t_{24} = 0.269$ ,  $P = 0.79$ ). There was also  
 355 no statistically significant difference in the proportion of direct care (DC) and indirect care (IC) for males  
 356 that expressed care (Day 2: Biparental Care – DC – 35.9%, IC – 0.35%; Flexible Care – DC – 28.3%, IC  
 357 – 5.3%; DC:  $t_{25.2} = 1.010$ ,  $P = 0.32$ ; IC:  $t_{24.2} = -0.522$ ,  $P = 0.61$ ).

### 358 359 Differentially Expressed Genes and Gene Co-Expression Networks

360  
 361 To identify differentially expressed genes and gene co-expression networks associated with changes of  
 362 behaviour in different contexts, we investigated gene expression between three contrasts: behavioural  
 363 state, social context, and individual flexibility (Table 1b; Table S3). For the behavioural state contrast, we  
 364 found 522 total differentially expressed genes using parametric analysis (177 genes up-regulated and 345  
 365 genes down-regulated contrasting caring to non-caring), 150 differentially expressed genes using non-  
 366 parametric analysis (138 genes up-regulated and 12 genes down-regulated; the union of two sets is 552  
 367 genes; Fig. 1), and seven co-expressed gene modules using WGCNA (Modules 1, 2, 5, 7, 8, 9, 10; Table  
 368 2). For the social context contrast, we found 97 differentially expressed genes using parametric analysis  
 369 (45 genes up-regulated and 52 genes down-regulated comparing without partner to with partner), zero

370 genes differentially expressed using non-parametric analysis, and one co-expressed gene module using  
371 WGCNA (Module 5; Table 2). For the individual flexibility contrast, we found 17 differentially expressed  
372 genes using parametric analysis (eight genes up-regulated and nine genes down-regulated comparing  
373 flexible to non-flexible), three differentially expressed genes using non-parametric analysis (all up-  
374 regulated; union of two sets is 19 genes), and three co-expressed gene modules using WGCNA (Modules  
375 1, 9, 10; Table 2). High number of down-regulated gene is consistent with the pattern of caring to post-  
376 care (Parker et al., 2015). As expected, there was little overlap between the differentially expressed genes  
377 between the contrasts suggesting that we could cleanly dissect each effect (Fig 2).

378

### 379 Functional Categories of Genes using Gene Ontology (GO) Analysis

380

381 We next used gene ontology (GO) analysis to examine the potential functions or functional categories of  
382 the genes and gene co-expression networks associated with each contrast. We found 77 GO terms  
383 enriched for the behavioural state contrast, with glutamine family amino acid metabolism, cellular  
384 aromatic compound metabolism, carboxylic acid metabolism, oxoacid metabolism, cellular amino acid  
385 biosynthetic processes, and organic acid metabolism being the most significantly associated (all  $P =$   
386 0.0063, Table S4). Only two of the seven gene co-expression networks associated with the behavioural  
387 state contrast had significant GO enrichment. Module 7 was enriched for terms related to mitochondria,  
388 cell envelope, and organelle envelope (all  $P = 0.037$ ), whereas Module 9 was enriched for terms related  
389 to cellular amino acid metabolism, carboxylic acid metabolism, oxoacid metabolism, organic acid  
390 metabolism, and small molecule biosynthetic processes (all  $P = 0.019$ ). Genes differentially expressed  
391 associated with variation due to difference of social context were enriched for GO terms related to only  
392 three terms; ion binding, cation binding, and metal-ion binding (all  $P = 0.011$ ). The one gene co-  
393 expression network associated with social context had no significant GO enrichments. The differentially  
394 expressed genes of the individual flexibility contrast were not enriched for any GO terms. Of the three  
395 gene co-expression networks associated with the individual flexibility contrast, only Module 9 had  
396 enriched GO terms (see above).

397

### 398 Gene and Cytosine Methylation

399

400 We investigated differences of gene or cytosine methylation to assess its relationship with flexibility in  
401 expressing care, focusing on a comparison of individuals that changed from no-care to care and those that  
402 never changed (Table 1a; sample 1 versus sample 2). This comparison should capture any mechanism  
403 associated with changes of behavioural state or individually flexibility. The genes methylated in  
404 reproductive adults overlapped highly with methylated genes in *N. vespilloides* larvae (99.4%; Fig 3).  
405 However, we found that only 2.1% of conserved adult methylated genes were also differentially  
406 expressed in any of our three contrasts (Fig 4, showing largest overlap contrast; Table S5).

407

408 We next asked whether any methylation changes at the gene level were associated with individual  
409 flexibility of adults. We found no association between the total number of methylated genes and changes  
410 of behaviour ( $t_4 = 0.714$ ,  $P = 0.515$ ). We then asked if methylation of individual genes differentiates these  
411 samples. We found 17 genes displaying a qualitative difference in methylation status. However, two  
412 methods of quantitative gene methylation analysis, percent of methylated cytosines and weighted

413 methylation level, showed that zero and one gene, respectively, differed between flexibly expressed care  
414 and non-flexible no-care males.

415  
416 It could be possible that methylation differences of individual cytosines (rather than across the  
417 entire gene body) are responsible for producing phenotypic differences. Therefore, we examined whether  
418 methylation of individual cytosines was associated with flexibly expressed care. Qualitatively, we found  
419 460 cytosines with differing methylation status between the two groups. A permutation analysis of our  
420 samples showed that  $510.5 \pm 307.0$  (mean  $\pm$  SD) cytosines differed in methylation status. Therefore, 460  
421 cytosines are no more than expected by chance, and provide little evidence that individual cytosine  
422 methylation is associated with behavioural state or individual flexibility. Furthermore, quantitative  
423 analysis of cytosine weighted methylation level showed only a single nucleotide (out of 56,753  
424 methylated cytosines that had coverage in all samples) significantly associated with behavioural state or  
425 individual flexibility.

426

427 Discussion

428

429 Gene Expression and Differing Forms of Plasticity

430

431 Our results suggest a hierarchy of associations with gene expression during socially responsive parental  
432 care. Greater differences of gene expression were induced by manipulating behavioural states (directly  
433 caring vs. non-caring), fewer associated with social context, and least associated with individual variation  
434 in expressing a behaviour. The first result is consistent with the large body of studies showing differences  
435 between many behavioural states are strongly associated with gene expression differences and to a lesser  
436 extent with other factors (Zayed and Robinson, 2012; Cardoso et al., 2015; Parker et al., 2015; Toth and  
437 Rehan, 2017; Tripp et al., 2018). Better understanding why some factors are more impactful to  
438 transcriptional architecture will require more studies using factorial designs to discover general patterns.  
439 The more flexible, and therefore rapid, the behavioural change the fewer gene expression changes  
440 involved. This suggests that increased flexibility requires fewer changes of gene expression, perhaps  
441 because fewer phenotypic components are changing.

442

443 By going beyond broad state comparisons, we show that transcriptional architecture depends on  
444 the context under which behaviour is expressed. Most studies that compare differences in caring and non-  
445 caring states have compared different castes or developmental states in Hymenoptera (Toth and Rehan,  
446 2017). Such transitions are typically long-lasting, and can include confounds from developmental change.  
447 It is unclear how to compare such studies and the present study, which is more concerned with rapid  
448 changes and switches that can be reversed. A more comparable study is that of Shpigler et al. (2018),  
449 which compared young nurses present with queen larvae to initiate alloparental care. Shpigler et al.  
450 (2018) sampled the mushroom body of the brain of nurses at 30, 60, and 120 minutes after care was  
451 initiated followed by RNA-seq. We examined the overlap of the genes identified by Shpigler et al. (2018)  
452 by establishing one-to-one orthology and then asked about the overlap between our 552 Caring DEG and  
453 their 1268 DEG from any sampling point. We found 49 orthology groups that contained genes that were  
454 differentially expressed in both gene sets (Table S6). We suggest this small overlap has to do with the  
455 difference of the sampling points, although it may also reflect the restricted brain region sampled in  
456 Shpigler et al. (2018). The latter hypothesis seems less likely, however, because our samples included

457 mushroom bodies as well as other part of the brain. Instead, we may have missed the genes causing a  
458 “change of behaviour” and sampled the “stable expression of behaviour” genes. We are clearly at a point  
459 where we need more functional studies of genes associated with parenting. This further highlights the  
460 message that considering the context, rapidity, and type of change is important.

461  
462 Comparisons can also be made with a recent studies using *N. vespilloides*. Genes previous found  
463 to be differentially expressed in *N. vespilloides* when transitioning from a completely non-parenting to  
464 parenting state; such as *vitellogenin 1*, *vitellogenin 2*, and their receptor (*vg1*, *vg2*, *vgr*; Roy Zokan et al.  
465 2015) and *neuropeptide f* and its receptor, *npfr* (Cunningham et al. 2016); were not involved in the subtler  
466 plasticity examined here. Benowitz et al. (2017) sampled *N. vespilloides* and *Nicrophorus orbicollis*  
467 males and females that contrasted the transcriptional architecture of high vs low direct care expressed by  
468 parents in a 2 x 2 x 2 design. This study found very subtle differences of transcription associate with the  
469 level of direct care expressed by parents. Here we found very clear differences of gene expression when  
470 comparing males providing direct care to those that did not. In this way, we align much more with an  
471 earlier study of *N. vespilloides* by Parker et al. (2015) that compares very large differences of  
472 behaviours/social context (virgin to direct care to post-care) and finds very clear differences of gene  
473 expression. Our current study reaffirms the suggestion of Benowitz et al. (2017) that transcription  
474 variation underpinning the phenotypic variation within a behaviour (high vs low direct care) is much  
475 subtler than the transcriptional variation that underpins complete changes of behaviours (high vs no direct  
476 care). We also suggest this highlights how impactful small changes of behaviour can be to transcriptional  
477 architecture.

478  
479 When we assessed the functional categorization of the differentially expressed genes and gene co-  
480 expression networks, we found an abundance of metabolic related categories. Despite the abundance of  
481 GO terms related to metabolism, we do not expect these genes to reflect the energetic cost of parenting  
482 because we only sampled brains. Instead, we suggest that metabolic genes might be co-opted for a social  
483 function in *N. vespilloides*, as is argued elsewhere (Zayed and Robinson, 2012; Rittschof et al., 2014; Wu  
484 et al., 2014; Cunningham et al., 2016; Fischer and O’Connell, 2017). Alternatively, metabolic genes may  
485 be involved in neurotransmitter synthesis (Livingstone and Tempel, 1983), as many neurotransmitter  
486 pathways influence parental care (Mileva-Seitz et al., 2016). One potentially interesting candidate gene  
487 found in both the list of differentially expressed genes and as a hub gene in the gene co-expression  
488 network (Module 9) associated with caring is NK homeobox 7 (*nk7*). This gene was also one of the only  
489 genes showing evidence for positive selection in the *N. vespilloides* genome (Cunningham et al., 2015),  
490 and thus multiple lines of evidence suggest it is an important regulator of parental care behaviour. The  
491 differentially expressed genes associated with differences of social context related to ion binding, which  
492 might be associated with ion-gated channels in the brain that modulate neural activity (Simms and  
493 Zamponi, 2013). Thus, these channels may represent a candidate pathway mediating effects of the social  
494 context on behaviour. Individual flexibility of behaviour produced a clear gene expression signal  
495 associated, but the types of gene underlying this phenotype are difficult to classify. The gene co-  
496 expression network associated with flexibility is more strongly associated with caring than with  
497 individual flexibility *per se*. Individual flexibility in ants and bees is associated with morphological  
498 changes in the brain (Gronenberg et al., 1995; Groh et al., 2006), and thus we expected to detect genes  
499 annotated with neurotropic activity or neuron axon manipulation. That we made no such observation  
500 suggests that gross morphological changes in the brain might only be seen in species that make permanent

501 or developmental changes between behavioural states (Cardoso et al., 2015). It is also possible that we  
502 sampled males too late to capture the genes involved in causal changes in behavioural change, especially  
503 the immediate early genes that respond within minutes to hours to a stimulus (Cardoso et al., 2015).

504

505 Cytosine Methylation is Not Associated with Plastic Parental Care

506

507 There is little evidence to suggest that methylation at the individual gene or individual cytosine level is  
508 associated with behavioural state or individual flexibility of male direct care of *N. vespilloides*. There  
509 were few differences at the gene or individual cytosine level between the two samples compared (Flexible  
510 Caring vs. Non-Flexible Non-Caring). Furthermore, very few (2.1%) of the adult methylated genes were  
511 also genes that were differentially expressed for any of the three contrasts of gene expression. Adult  
512 methylated genes were also highly overlapping with larval methylated genes, which indicates that gene  
513 methylation is stable across broad life history stages (and generations) encompassing widespread  
514 behavioural and physiological changes.

515

516 Our results are informative because we assessed a transient behaviour, extending the range of  
517 behaviours that cytosine methylation has been thought to influence. Our results also fall in line with other  
518 studies of social insects demonstrating few differences of cytosine methylation between different  
519 behavioural states (Patalano et al., 2015; Libbrecht et al., 2016). Not all social Hymenoptera even have  
520 active DNA methylation systems (Standage et al., 2016). Therefore, cytosine methylation does not appear  
521 to be a general mechanism to regulate behavioural changes of insects (Patalano et al., 2015; Libbrecht et  
522 al., 2016; Bewick et al., 2017; Glastad et al., 2017), but it remains possible that it might regulate socially  
523 responsive gene expression of any one species, such as in honey bees and long-term changes of  
524 aggression after territorial challenges (Herb et al., 2018).

525

526 Conclusion

527

528 Using the socially responsive and naturally variable male parental care of the subsocial beetle  
529 *Nicrophorus vespilloides*, we made a series of comparisons to understand the influence of behavioural  
530 states, social context, and individual flexibility on transcriptional architecture of a transient social  
531 behaviour. We found clear signals of gene expression after manipulating behavioural state (directly caring  
532 vs. non-caring), social context (with or without a female mate), and to a much lesser extent with an  
533 individual's ability to rapidly change behaviour. This suggests a complex and hierarchical influence on  
534 the transcriptional architecture of parenting behaviour by males. Research on behavioural transitions has  
535 long examined the role of single molecules, such as neuropeptides and hormones. Thus, it is perhaps  
536 unsurprising that an individual's ability to change behaviour might involve fewer changes of gene  
537 expression. While differential gene expression has for many genes long been associated with changes of  
538 long-term or permanent behaviour (Zayed and Robinson, 2012; Cardoso et al., 2015), this study further  
539 supports that gene expression is also associated with more rapid changes of behaviour responding to  
540 immediate environments. Contrary to previous predictions regarding epigenetic control of behaviour  
541 (Cardoso et al., 2015; Yan et al., 2015), we find no support for an association between cytosine  
542 methylation and the expression of direct parental care or individual flexibility and conclude that rapid  
543 changes of cytosine methylation is not the mechanism underpinning the rapid behavioural transitions for  
544 this species. This leads to the conclusion that, contrary to some predictions, rapid gene expression

545 affecting behaviour may be regulated by standard processes of transcriptional control. Our work suggests  
546 that studying the genetic underpinnings of behavioural flexibility, a key attribute that defines behaviour as  
547 a unique phenotype (Bailey et al., 2018), should consider the extent that the behavioural change is  
548 transient.

549  
550

551 Data Availability: Data associated with this project are available at NCBI BioProject PRJNA375005.  
552 Genomic resources for *N. vespilloides* are now collated at an i5k Workspace at the National Agricultural  
553 Library of the USDA (i5k.nal.usda.gov/nicrophorus-vespilloides).

554

555 Conflict of Interest. We have no conflicts of interest to declare.

556

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563

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565 experiment. CBC, KMB, ECM performed the behavioural observations. CBC, ECM processed the  
566 samples. CBC, LJ performed data analysis, with assistance from RJS and AJM. CBC, KMB, AJM drafted  
567 the manuscript, which was edited by all authors.

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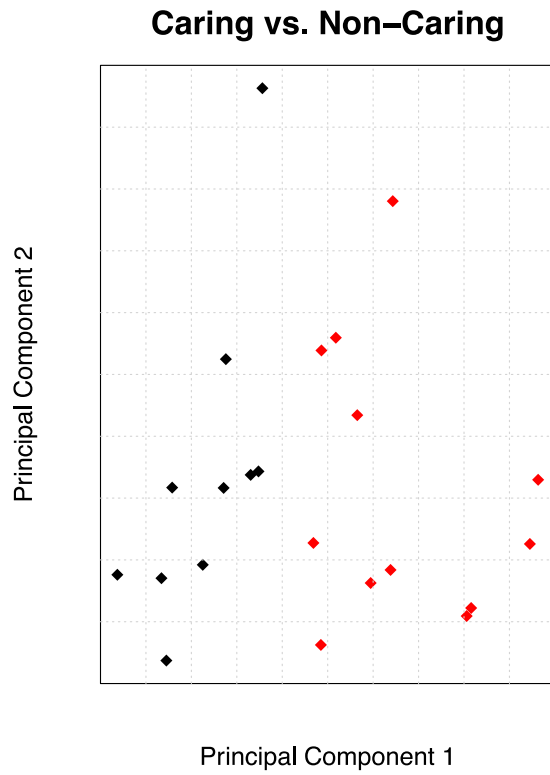
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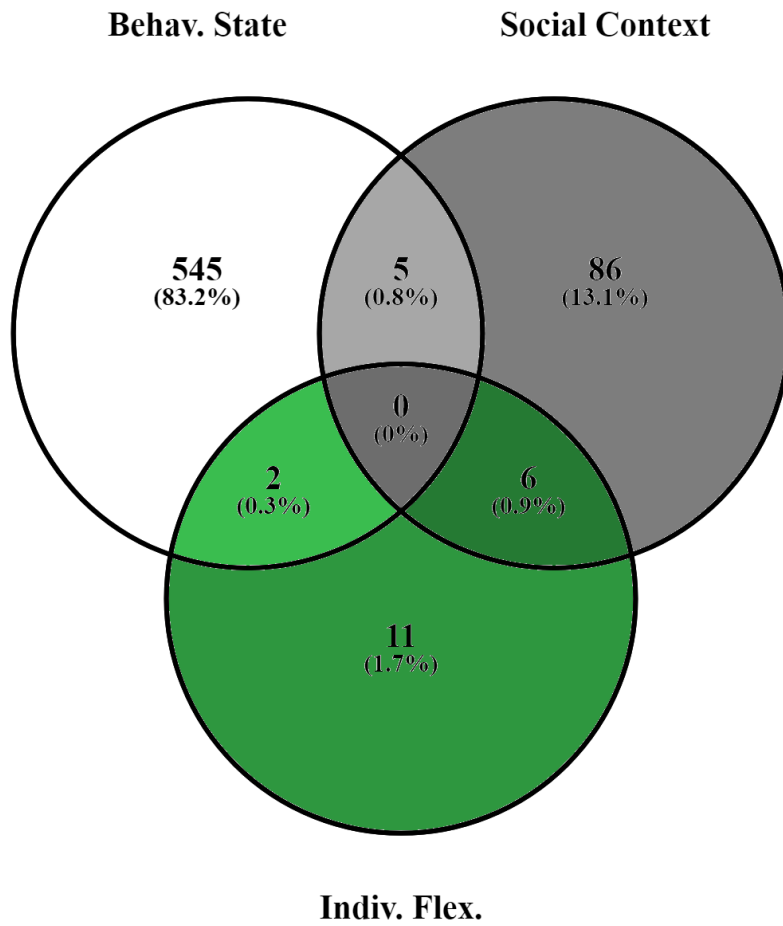
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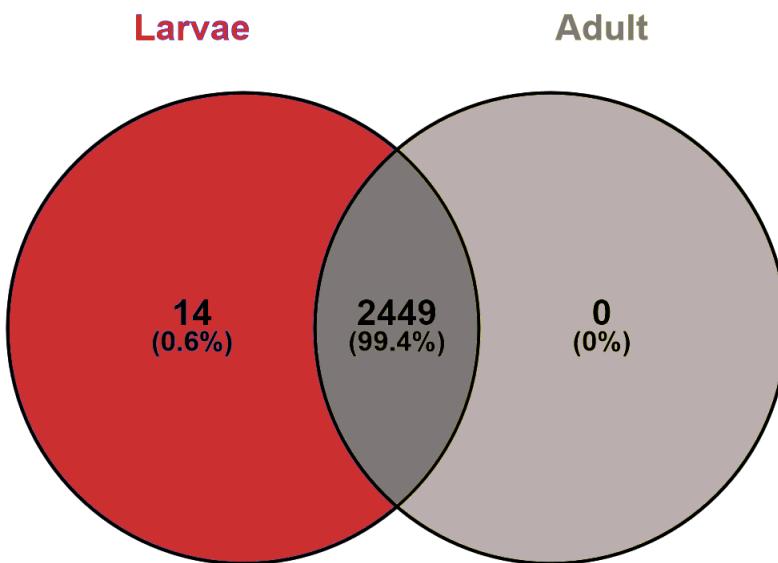


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822 Figure 1. . Principal component analysis of gene expression with individual samples coloured by  
823 Behavioural State; caring (black, n = 10) vs. non-caring (red, n = 12) normalized with DESeq2. The graph  
824 clearly shows component one as an axis of separation for this contrast.  
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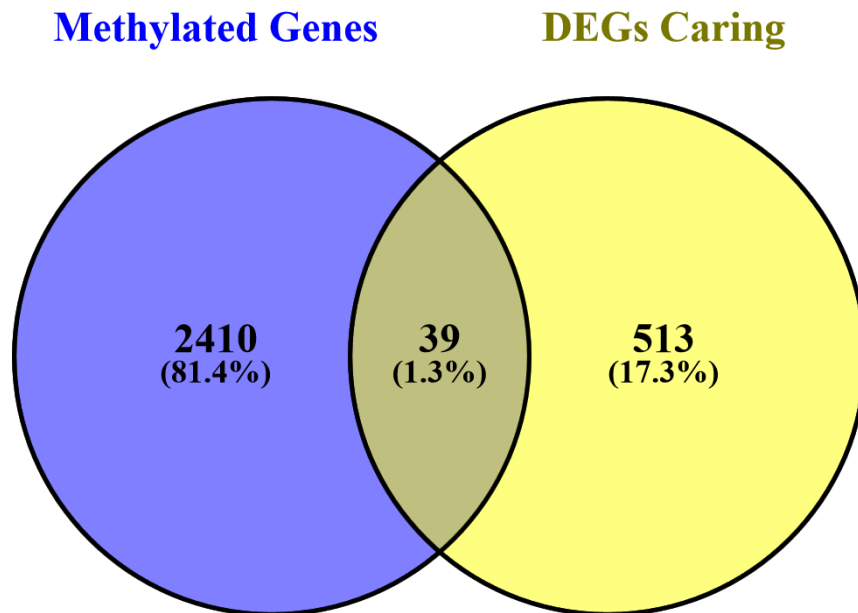
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Figure 2. Venn diagram showing the overlap of significantly differentially expressed genes between the three contrasts analysed; Behavioural State, Social Context, Individual Flexibility.



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832 Figure 3. Venn diagram showing the large overlap between the methylated genes of adults and the  
833 methylated genes of larvae, using only genes that had high sequencing coverage amongst all samples to  
834 adjust for differences of sequencing depth between adult and larval samples.  
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839 Figure 4. Venn diagram showing the overlap between methylated adult genes and the differentially  
840 expressed genes (DEGs) between the caring vs. non-caring contrast.



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Table 1. Experimental design. Four different sample groups collected, reflecting differences in male social context (presence or absence of the female parent) or parental behaviour (expressed or not expressed) on two days of observation. From these, three different contrasts were made.

Table 1a. Samples collected.

Sample	Caring Day 1	Caring Day 2	Social Context* (Day 2)	Flexible	Phenotype Description
1	No	Yes	Mate absent	Yes	Flexible care
2	No	No	Mate absent	No	Non-flexible no-care
3	No	No	Mate present	No	Biparental no-care
4	Yes	Yes	Mate present	No	Biparental care

\*Females were always paired with males on Day 1.

Table 1b. Specific sample groups contrasted

Factors	Samples Contrasted
Behavioural State	1+4 versus 2+3
Social Context	1+2 versus 3+4
Individual	1 versus 2+3+4

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Table 2. Modules of Co-Expressed Genes and their correlation with Behavioural State, Social Context, and Individual Flexibility in the context of parental care by male *N. vespilloides*.

Module No.	No. of Genes	Behavioural State	Social Context	Individual Flexibility
0	1501	-0.044	-0.084	0.0013
1	2113	<b>-0.74</b>	-0.085	<b>-0.51</b>
2	1850	<b>0.48</b>	-0.025	0.13
3	1401	0.013	-0.029	-0.24
4	933	-0.23	-0.25	0.12
5	609	<b>-0.49</b>	<b>-0.44</b>	-0.05
6	470	0.096	0.19	0.17
7	133	<b>0.42</b>	0.35	0.33
8	161	<b>0.45</b>	0.35	0.16
9	111	<b>0.73</b>	0.093	<b>0.44</b>
10	57	<b>0.92</b>	0.0087	<b>0.51</b>

Statistically significant correlations after BH-correction for multiple testing are in bold.

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