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1 ***ERG6* and *ERG2* are major targets conferring reduced susceptibility to amphotericin B in**
2 **clinical *Candida glabrata* isolates in Kuwait**

3

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13

14 **Running title:** *ERG2* and *ERG6* mutations in *C. glabrata*

15

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24 **ABSTRACT**

25 *Candida glabrata* is intrinsically less susceptible to azoles and resistance to echinocandins and
26 reduced susceptibility to amphotericin B has also been detected. Molecular mechanisms of
27 reduced susceptibility (RS) to amphotericin B (AMB) were investigated in *C. glabrata* strains in
28 Kuwait by sequence analyses of genes involved in ergosterol biosynthesis. A total of 1646 *C.*
29 *glabrata* isolates were tested by Etest and results for 12 selected isolates were confirmed by
30 reference broth microdilution. PCR-sequencing of three (*ERG2*, *ERG6* and *ERG11*) genes was
31 performed for all RS-AMB and 5 selected wild-type *C. glabrata* isolates by using gene-specific
32 primers. Total cell sterol content was analyzed by gas chromatography-mass spectrometry.
33 Phylogenetic relationship among the isolates was investigated by multilocus sequence typing.
34 Wild-type isolates contained only synonymous mutations in *ERG2*, *ERG6* or *ERG11* and total
35 sterol content was similar to reference strains. A nonsynonymous (AGA48AAA, R48K) *ERG6*
36 mutation was found in both RS-AMB and wild-type isolates. Four RS-AMB isolates contained
37 novel nonsense mutations at Trp286/Tyr192/Leu341 and 2 isolates contained nonsynonymous
38 (V126F or C198F) mutation in *ERG6* and their sterol content were consistent with *ERG6*
39 deficiency. Two other RS-AMB isolates contained a novel nonsynonymous (G119S or G122S)
40 *ERG2* mutation and their sterol content were consistent with *ERG2* deficiency. Of 8 RS-AMB
41 isolates, 1 fluconazole-resistant isolate also contained nonsynonymous Y141H+L381M
42 mutations while 7 isolates contained only synonymous mutations in *ERG11*. All isolates with
43 *ERG6/ERG2/ERG11* mutations were genotypically distinct strains. Our data show that *ERG6* and
44 *ERG2* are major targets conferring RS-AMB in clinical *C. glabrata* isolates.

45

46 **Key words:** *Candida glabrata*; amphotericin B; Reduced susceptibility; *ERG6*, *ERG2* and
47 *ERG11* mutations
48

49 **INTRODUCTION**

50 *Candida* species are the most common cause of invasive fungal infections in seriously ill or
51 immunocompromised hospitalized patients (1). Although *Candida albicans* is the most
52 pathogenic species, infections by non-*albicans Candida* species have increased in recent years
53 and are associated with high mortality rates (1-3). *Candida glabrata* is the second or third most
54 commonly isolated yeast species causing candidemia and other invasive infections in critically ill
55 older adult (>65 years) patients (1-3). *C. glabrata* is intrinsically less susceptible to azole
56 antifungal drugs and causes mortality in nearly 50% subjects with invasive infections (2-4).
57 Recent years have also witnessed increasing reports of breakthrough *C. glabrata* infections in
58 patients receiving systemic echinocandin (micafungin) or polyene treatment (5-7).

59 Major antifungal drugs used for invasive *Candida* infections include triazoles (such as
60 fluconazole, itraconazole, voriconazole, posaconazole and isavuconazole), echinocandins (such
61 as caspofungin, anidulafungin and micafungin) and polyenes (such as amphotericin B, AMB and
62 liposomal amphotericin B, LAMB). In contrast to azoles and echinocandins which disrupt
63 ergosterol and glucan synthesis, respectively, polyenes were postulated, until recently, to
64 intercalate directly with membrane ergosterol forming ion channels, which permeabilize and kill
65 yeast cells (3, 4). More recent studies, however, have shown that AMB forms extramembranous
66 aggregates which extract ergosterol, a central molecule in yeast physiology, from phospholipid
67 bilayers like a sterol sponge and removal of ergosterol kills yeast cells while the contribution of
68 ion channels is relatively minor (8). The sterol sponge model has also stipulated that
69 simultaneous extraction of cholesterol by AMB from mammalian cells is responsible for its
70 toxicity suggesting the possibility of separating cytotoxic properties from membrane
71 permeabilizing activities (8). Further studies have indeed resulted in the synthesis of other

72 derivatives of AMB (such as amphotericin B methyl urea and amphotericin B amino urea) that
73 bind ergosterol with much greater selectivity than cholesterol and thus are toxic to yeast cells but
74 not to human cells (9).

75 Consistent with their mechanism of action, resistance of *Candida* spp. to triazoles and
76 echinocandins usually develops in a stepwise manner during prolonged therapy as a result of
77 induced changes and mutations (3, 4, 10). Since extraction of ergosterol by AMB from yeast
78 membranes will affect all ergosterol-dependent cellular processes and membrane
79 fluidity/hydrophobicity, evolution of amphotericin B-resistant strains is expected to be highly
80 unlikely due to involvement of many different membrane proteins that directly bind to ergosterol
81 as well as blocking of transport processes by several essential transport proteins due to alteration
82 in membrane properties (11). Thus, emergence of *Candida* spp. exhibiting reduced susceptibility
83 to AMB (RS-AMB) has generally been rare despite >50 years of clinical use. The mutations in
84 rare RS-AMB *C. albicans* strains created defects in filamentation and tissue invasion and diverse
85 stresses resulting in hypersensitivity to oxidative stress, febrile temperatures and neutrophil-
86 mediated killing (12, 13). On the contrary, increasing reports of RS-AMB in *C. glabrata*, a
87 haploid species, is a worrisome development (14-19).

88 Given the lower susceptibility to azoles and increasing incidence of breakthrough
89 infections in patients on micafungin therapy, reduced susceptibility to polyenes will severely
90 limit the choice of antifungal drugs to treat *C. glabrata* infections (3, 4). Since polyenes extract
91 ergosterol from the cell membrane, changes in ergosterol content due to mutations in *ERG* genes
92 involved in ergosterol biosynthesis alter susceptibility to polyenes and complete absence of
93 ergosterol confers RS-AMB (17, 19, 20). A better understanding of the mechanisms that mediate
94 reduced susceptibility to polyenes in *C. glabrata* is warranted. The first molecular mechanism

95 describing RS-AMB in *C. glabrata* involved a missense (C198F) or a nonsense (at Gln332)
96 mutation in *ERG6* encoding C24-methyl transferase that converts zymosterol to fecosterol in
97 ergosterol biosynthesis pathway (15, 17). Deletion of *ERG11* or mutations in *ERG1*, *ERG2* and
98 *ERG11* have also been described that are associated with RS-AMB in *C. glabrata* (18, 19, 21).
99 Lack of *ERG6* and *ERG2* encoded enzyme activities leads to accumulation of zymosterol and
100 fecosterol, respectively. Zymosterol and fecosterol can support fungal cell growth and absence of
101 ergosterol in *Candida* cell membrane confers RS-AMB (15, 17, 19, 22).

102 Epidemiological cut-off values rather than clinical breakpoints are available for
103 interpreting the minimum inhibitory concentrations (MICs) of AMB for *Candida* species and
104 yeasts with MICs of ≤ 2 $\mu\text{g/ml}$ were classified as wild-type (WT) while isolates with MICs > 2
105 $\mu\text{g/ml}$ were defined as non-wild-type (non-WT) (23). Antifungal drug susceptibility testing
106 (AST) data for clinical *C. glabrata* isolates collected during 2009 to 2016 in Kuwait by Etest
107 identified 1 isolate as non-WT while the remaining isolates were WT for AMB. However, among
108 WT isolates, 7 strains exhibited reduced susceptibility (MIC ≥ 1 $\mu\text{g/ml}$) to AMB (RS-AMB).
109 These isolates were used for sequence analyses of 3 (*ERG2*, *ERG6* and *ERG11*) ergosterol
110 biosynthesis genes to investigate the mechanisms responsible for RS-AMB. Here we describe
111 novel missense/nonsense mutations in *ERG6* and *ERG2* in 6 and 2 *C. glabrata* isolates,
112 respectively, that resulted in altered sterol content and RS-AMB.

113

114 **RESULTS**

115 **Characterization of study isolates and antifungal susceptibility.** A total of 1646
116 clinical *C. glabrata* isolates were received in Mycology Reference Laboratory (MRL),

117 Department of Microbiology, Kuwait University during 2009-2016 for species-specific
118 identification and AST as part of routine patient care. During AST by Etest, 1 isolate was
119 identified as non-WT while the remaining isolates were WT for AMB. However, among WT
120 isolates, 7 strains exhibited reduced susceptibility ($\text{MIC} \geq 1 \mu\text{g/ml}$) to AMB (RS-AMB). Thus, 8
121 isolates exhibited RS-AMB and were included for further studies while the remaining isolates
122 were considered as WT. Five isolates WT for susceptibility to AMB were analyzed for
123 comparison purpose. Two other *C. glabrata* strains isolated in 2012 that required cholesterol (or
124 other sterols) for growth (20) were not included as their susceptibility to AMB and total cell
125 sterol content could not be determined accurately. All isolates were identified as *C. glabrata* by
126 Vitek 2 yeast identification system and as *C. glabrata* sensu stricto by MALDI TOF MS and by
127 PCR amplification of rDNA (data not shown). The results were further confirmed by PCR-
128 sequencing of the ITS region of rDNA which exhibited >99% identity with the corresponding
129 sequences from two (ATCC90030 and CBS138) reference *C. glabrata* strains and a well-
130 characterized clinical *C. glabrata* isolate (Kw280/06) from Kuwait analyzed previously (16), as
131 expected. The AST data of AMB and other antifungal drugs by the reference broth microdilution
132 method are presented in Table 1. Eight RS-AMB isolates identified by Etest also exhibited
133 elevated MICs by broth microdilution method. Other WT isolates showed MICs $\leq 0.5 \mu\text{g/ml}$ for
134 AMB. Only 1 RS-AMB isolate was resistant to fluconazole and also showed higher MICs for
135 other triazoles while the remaining isolates were susceptible to triazoles. Interestingly, 4 RS-
136 AMB isolates exhibited very low ($\leq 0.5 \mu\text{g/ml}$) MICs for fluconazole and other triazoles. Only 1
137 isolate detected as WT for AMB showed reduced susceptibility to echinocandins while the
138 remaining isolates were susceptible.

139

140 **Analysis of *ERG2*, *ERG6* and *ERG11* gene sequences.** Relative to the sequence of
141 *ERG2* protein (C-8 sterol isomerase) from *C. glabrata* reference strain CBS138 (GenBank
142 accession number CR380958), several nonsynonymous mutations were identified in the
143 translated *ERG2* protein among clinical isolates analyzed in this study. These included I207V
144 mutation in all study isolates, G122S mutation only in Kw844/10 and G119S mutation only in
145 Kw3060/15 (Table 2). The replacement of glycine with serine at Gly122 in *C. glabrata*
146 Kw844/10 and at Gly119 in *C. glabrata* Kw3060/15 apparently impaired *ERG2* protein function
147 as ergosterol was totally absent in these isolates (Table 2). Additionally, some synonymous
148 mutations within the coding region and nucleotide insertion/deletion/substitution in non-coding
149 region of *ERG2* were also detected in some isolates.

150 The results of PCR-sequencing of *ERG6* were very interesting as 3 isolates (Kw1856/15,
151 Kw2813/15 and Kw3357/15) exhibiting RS-AMB contained a nonsense mutation and another
152 RS-AMB isolate (Kw861/13) contained a deletion of one nucleotide (nucleotide 1021) which
153 resulted in pre-mature termination of translated protein at codon 341 (Table 2). Although a
154 nonsynonymous (R48K) mutation was present in some WT and some RS-AMB isolates, 2 other
155 nonsynonymous mutations (V126F in isolate Kw96/15 and C198F in isolate Kw2516/15) were
156 detected among 2 RS-AMB isolates only. Interestingly, 5 of 6 RS-AMB isolates cultured in 2015
157 contained a mutation in *ERG6*. Compared to the sequence of *ERG6* protein (C24 methyl
158 transferase) from *C. glabrata* reference strain CBS138 (GenBank accession number CR380954),
159 some synonymous *ERG6* mutations were also detected among the study isolates and the 2 RS-
160 AMB isolates (Kw1856/15 and Kw3357/15) with the same *ERG6* mutation (W286*) were
161 genotypically very different strains. Consistent with PCR-sequencing results, all 6 RS-AMB

162 isolates with *ERG6* mutations lacked ergosterol and accumulated zymosterol, the substrate for
163 *ERG6*.

164 Relative to the sequence of ERG11 protein (sterol 14 α -demethylase) from *C. glabrata*
165 reference strain CBS138 (GenBank accession number CR380951), few synonymous mutations
166 were identified in the translated ERG11 protein among all clinical isolates analyzed in this study
167 while two nonsynonymous (Y141H + L381M) mutations were detected in one (Kw861/13) RS-
168 AMB isolate (Table 2) that was also resistant to fluconazole (Table 1). Isolate Kw861/13 also
169 contained *ERG6* mutation (deletion of nucleotide 1021 that resulted in creation of termination
170 codon at Leu341), no detectable ergosterol but higher levels of lanosterol in the cell (Table 2).
171 None of the other WT or RS-AMB isolates contained a nonsynonymous mutation in *ERG11*
172 (Table 2). All mutations were confirmed by re-extraction of DNA from fresh cultures of *C.*
173 *glabrata* isolates and PCR-sequencing of the respective *ERG* genes.

174

175 **Total cell sterol composition.** The total cell sterol composition of one isolate WT for
176 AMB was very similar to the sterol composition of the reference strains ATCC90030 and
177 Kw280/06 with ergosterol accounting for nearly 90% of total cell sterol. All 6 RS-AMB isolates
178 with *ERG6* mutations showed marked differences in sterol content compared to the isolates WT
179 for AMB as ergosterol was not detectable while cholesta-type sterols (including zymosterol)
180 accumulated in the mutants (Table 3). Differences were also apparent in the abundance of
181 various cholesta-type sterols in the 6 *ERG6* mutants. Among the 4 isolates with nonsense
182 mutations resulting in truncated ERG6 protein, the cholesta-8,24-dienol (zymosterol) was more
183 abundant sterol in 3 (Kw1856/15, Kw2813/15 and Kw3357/15) isolates while cholesta-5,7,24-
184 trienol was the major accumulating sterol and cholesta-5,7,22,24-tetraenol was barely detectable

185 in Kw861/13 (Table 3). The cholesta-5,7,24-trienol was also the major accumulating cholesta-
186 type sterol in 2 (Kw96/15 and Kw2516/15) isolates containing nonsynonymous *ERG6* mutations,
187 however, these isolates also accumulated cholesta-5,7,22,24-tetraenol (Table 3). Isolate
188 Kw844/10 with a nonsynonymous (G122S) mutation and isolate Kw3060/15 with another
189 nonsynonymous (G119S) mutation in *ERG2* also lacked ergosterol but accumulated ergosta-type
190 ($\Delta 8$) sterols including fecosterol (ergosta-8,24(28)-dienol) (Table 3).

191 The genotypic relationship among the study isolates was also determined by constructing
192 phylogenetic tree based on concatenated sequences of ITS region of rDNA, *ERG2*, *ERG6* and
193 *ERG11* and the results are shown in FIG. 1. Both (Kw844/10 and Kw3060/15) isolates with a
194 missense mutation in *ERG2* and the two (Kw96/15 and Kw2516/15) isolates with a missense
195 mutation in *ERG6* were genotypically distinct strains. Furthermore, all four (Kw861/13,
196 Kw1856/15, Kw2813/15 and Kw3357/15) isolates with a nonsense mutation in *ERG6* were also
197 unique strains (FIG. 1). Fingerprinting of selected isolates performed with 6-loci-based MLST
198 also showed that most of the isolates analyzed were unique strains (Table 2).

199

200 **DISCUSSION**

201 Invasive fungal infections are difficult to treat due to availability of only few classes of
202 antifungal agents. Among invasive *Candida* infections, *C. glabrata* infections are more common
203 among elderly (≥ 65 -year-old) hospitalized patients who usually have several debilitating
204 conditions and so these infections are generally associated with higher mortality (24-26).
205 Although resistance of *Candida* spp. to azole antifungal drugs is common and resistance to
206 echinocandins also appeared soon after their introduction in clinical practice nearly 15 years ago,

207 RS-AMB is uncommon despite >50 years of clinical use as sequestering of ergosterol by
208 extramembranous aggregates of amphotericin B deprives phospholipid membranes of a sterol
209 essential for many different aspects of yeast physiology (8, 9, 11). In diploid *C. albicans*, RS-
210 AMB is rare due to fitness trade-offs which abrogate fungal virulence (9, 10). However, there
211 have been several reports of RS-AMB in haploid *C. glabrata* and breakthrough infections in
212 patients receiving systemic LAMB is a worrisome development for effective management of
213 invasive *C. glabrata* infections (7, 14, 15, 17-19).

214 Although molecular mechanisms involved in azole resistance are well recognized, those
215 involved in conferring RS-AMB are poorly defined (3, 4, 24, 26). Results from this study on 8 *C.*
216 *glabrata* isolates with RS-AMB showed that 4 isolates contained a nonsense mutation at codons
217 Tyr192 or Trp286 or Leu341 resulting in premature translational termination of ERG6
218 transcripts. The mutant cells accumulated cholesta-type sterols (including cholesta-8,24-dienol or
219 zymosterol) indicating that the truncated ERG6 proteins were inactive. Since polyenes act as a
220 sterol sponge and extract ergosterol from phospholipid bilayer (8, 9), absence of ergosterol in
221 yeast cell membrane was likely responsible for RS-AMB in these isolates. Nonsense mutations at
222 codons Tyr192 or Trp286 or Leu341 in ERG6 described in this study are novel mutations
223 described for the first time. Premature termination of ERG6 protein due to another nonsense
224 mutation at codon Gln332 was recently described in a *C. glabrata* isolate with RS-AMB, the
225 mutant cells lacked ergosterol but accumulated ergosterol pathway intermediates and wild-type
226 properties were restored in complementation studies with a wild-type copy of the *ERG6* gene
227 (17). The total cell sterol analysis of our isolates also showed differences in the accumulation of
228 individual cholesta-type sterols in the 4 mutant strains. The mutation in isolate Kw861/13
229 introduced the stop codon only 32 amino acids before the C-terminal end of C-24 sterol

230 methyltransferase encoded by *ERG6* while nonsense mutations in the other 3 (Kw1856/15,
231 Kw2813/15 and Kw3357/15) isolates resulted in shortening of the *ERG6* protein by 87 or more
232 amino acids at the C-terminal end. Previous studies have shown the presence of two conserved
233 domains in methyltransferases located between amino acid positions 134 to 222 and the second
234 domain between 231 and the C-terminal end (27, 28). The second domain was only 32 amino
235 acids shorter in isolate Kw861/13. As *ERG6* encoded enzyme catalyzes the conversion of
236 zymosterol into fecosterol by C-24 methylation, isolate Kw861/13, like the other 3 isolates
237 lacked fecosterol but unlike the other 3 isolates contained low level of 14-methyl fecosterol
238 suggesting modification of C-24 sterol methyltransferase activity in Kw861/13. Furthermore,
239 isolate Kw861/13, in addition to exhibiting RS-AMB, also exhibited resistance to fluconazole
240 and other azoles, contained two nonsynonymous mutations (Y141H + L381M) in *ERG11* and
241 accumulated lanosterol suggesting loss of sterol 14 α -demethylase activity which conferred
242 resistance to azoles. Fluconazole-resistant clinical *C. glabrata* isolates usually contain gain-of-
243 function mutations in the gene encoding transcription factor *CgPDR1* which results in
244 upregulation of drug efflux transporters encoded by *CgCDR1* and *CgCDR2* genes and to a lesser
245 extent, *CgCNQ2* (3, 4). Only few studies have reported azole resistance conferring mutations in
246 *ERG11*, the main target of azoles in *C. albicans* and some other non-*albicans* *Candida* species
247 (3, 4, 18, 29). A clinical *C. glabrata* isolate (CG156) with a nonsynonymous (G315D) mutation
248 in substrate recognition site of *ERG11* has been described previously that was resistant to
249 triazoles (fluconazole and voriconazole) and AMB and accumulated lanosterol due to complete
250 loss of sterol 14 α -demethylase activity (18). The mutated CG156 Erg11p failed to complement
251 the function of a doxycycline-regulatable *Saccharomyces cerevisiae* *erg11* strain while wild-type
252 *C. glabrata* Erg11p fully complemented, supporting of role of *ERG11* mutation in conferring

253 resistance to fluconazole. Another recent study from Iran has also suggested the involvement of
254 another nonsynonymous mutation (G236V) in *ERG11* as the main mechanism conferring
255 resistance to azoles in a clinical *C. glabrata* isolate (R1) even though several other
256 nonsynonymous mutations were also detected in this and other isolates (29). However, the role
257 of G236V mutation in altering the function of *ERG11* was speculated solely based on homology
258 modelling studies but was not confirmed by sterol analysis or by gene replacement studies (29).
259 It will be interesting to see if resistance conferring *ERG11* mutations are also found in other
260 fluconazole-resistant *C. glabrata* isolates in Kuwait.

261 Two other RS-AMB isolates contained two different nonsynonymous mutations in
262 *ERG6*. Of these V126F mutation in Kw96/15 is a novel mutation while C198F mutation found in
263 isolate Kw2516/15 has been described previously in an RS-AMB *C. glabrata* isolate (isolate no.
264 21229) that lacked ergosterol in cell membrane, exhibited pseudohyphal growth, accumulated
265 late sterol intermediates and overexpressed genes encoding enzymes involved in late steps of
266 ergosterol biosynthesis pathway (15). Complementation studies with a wild-type copy of *ERG6*
267 gene restored WT pattern of AMB susceptibility for isolate 21229 demonstrating the role of
268 *ERG6* protein in conferring resistance to polyenes (15). Consistent with these observations,
269 isolate Kw96/15 and Kw2516/15 also lacked ergosterol and accumulated cholesta-type sterols.
270 As seen above with different nonsense mutations, isolate Kw96/15 and Kw2516/15 also showed
271 variations in the accumulation of individual intermediates with zymosterol (cholesta-8,24-dienol)
272 and cholesta-5,7,22,24-tetraenol levels varying by nearly 2-fold and accumulation of detectable
273 levels of ergosta-5,7-dienol in isolate Kw96/15 but not in isolate Kw2516/15. The findings are
274 consistent with observations that the enzymes encoded by various *ERG* genes and involved in
275 ergosterol biosynthesis pathway may act on similar substrates leading to formation of several

276 sterol intermediates (15, 22, 30). Furthermore, similar to isolate 21229 with ERG6 mutation (15),
277 and an *erg1* mutant of *C. glabrata* (31), both Kw96/15 and Kw2516/15 also exhibited increased
278 susceptibility to azoles. Similarly, 2 isolates (Kw1856/15 with W286* and Kw2813/15 with
279 Y192*) with nonsense mutations also exhibited increased susceptibility to azoles while another
280 isolate (Kw3357/15 with W286*) exhibited slightly higher MIC value for fluconazole. It has
281 been suggested that isolate 21229 with C198F mutation in ERG6 becomes more susceptible to
282 azoles due to presence of $\Delta 5,7$ -dienols (cholesta-type sterols) which maintain the cell viability
283 but do not completely replace ergosterol functionally (15). Another consequence of altered sterol
284 composition in the mutant cells is disturbance of protein trafficking preventing targeting of ABC
285 transporters (CgCDR1p and CgCDR2p) to the plasma membrane and the decreased efflux
286 capacity likely contributes to increased sensitivity to azoles (15, 32).

287 Although a nonsynonymous mutation (I207V) was found in *ERG2* in all 13 *C. glabrata*
288 isolates from Kuwait, this alteration represents genetic polymorphism not associated with RS-
289 AMB since it has also been described previously in *C. glabrata* isolates WT for AMB as well as
290 in isolates with RS-AMB (19). However, 2 RS-AMB (Kw844/10 and Kw3060/15) *C. glabrata*
291 isolates from Kuwait contained novel nonsynonymous mutations (G122S and G119S) in *ERG2*.
292 Both isolates (Kw844/10 and Kw3060/15) lacked ergosterol and accumulated ergosta-type
293 sterols including fecosterol ($\Delta 8$ sterols). Two RS-AMB *C. glabrata* isolates containing
294 nonsynonymous mutations at *ERG2* codon Thr121 (T121I and T121V) have been described
295 recently (19). Both *ERG2* mutant isolates (CG852 and CG872) in that study (19) also lacked
296 ergosterol and accumulated $\Delta 8$ sterols indicating impaired function of ERG2 protein. Thr121 in
297 ERG2 protein is likely involved in the binding of sterol substrate as the corresponding amino
298 acid (Thr119) in *S. cerevisiae* is involved in sterol $\Delta 8$ - $\Delta 7$ isomerization (19, 33). Since Gly119

299 and Gly122 are located on either side of the Thr121, they may be critical in maintaining the
300 structure of the active site and the extended region (codons 119 to 122) may constitute the ERG2
301 protein region conferring RS-AMB.

302 An intriguing observation of our study pertains to the fact that despite complete absence
303 of ergosterol from mutant *C. glabrata* cells, the increase in MIC values for AMB were only
304 modest as they were still categorized within WT category for susceptibility to AMB. These
305 observations and the presence of significant amounts of various ergosta-type and cholesta-type
306 sterols in mutant *C. glabrata* cells suggest that at least some of these sterols can also maintain
307 fungal membrane fluidity and are also sensitive to removal by the extramembranous AMB
308 sponge. It will be interesting to see how these mutant strains will respond to other derivatives of
309 AMB that have recently been synthesized to overcome the problem of toxicity of AMB to
310 mammalian cells (9, 34, 35).

311 Fingerprinting of the isolates carried out by sequence comparisons of ITS region of
312 rDNA together with ERG2, ERG6 and ERG11 sequences showed that all study isolates were
313 genotypically distinct strains. The 6-loci-based MLST carried out on selected RS-AMB isolates
314 also showed genetic variations among the isolates as they usually belonged to different STs.
315 These findings suggest that RS-AMB in *C. glabrata* isolates in Kuwait is not clonal.

316 In conclusion, 8 RS-AMB *C. glabrata* strains were isolated in Kuwait and 6 of these 8
317 isolates were obtained in 2015. Six isolates contained a nonsense or nonsynonymous mutation in
318 ERG6 while 2 isolates contained a nonsynonymous mutation in ERG2 and the total cell sterol
319 content were consistent with ERG6 or ERG2 deficiency. Fingerprinting studies showed that RS-
320 AMB in *C. glabrata* isolates in Kuwait was not clonal. The data show that *ERG6* and *ERG2* are
321 major targets conferring RS-AMB in clinical *C. glabrata* isolates.

322

323 MATERIALS AND METHODS

324 **Yeast strains, culture conditions and identification.** Reference strains of *Candida*
325 *glabrata* (ATCC90030, CBS138 and a well characterized clinical isolate, Kw280/06) were used.
326 During 2009 to 2016, 1646 clinical *C. glabrata* isolates were received in the Mycology
327 Reference Laboratory (MRL), Department of Microbiology, Faculty of Medicine, Kuwait
328 University. The isolates were cultured in BACTEC Plus blood culture bottles (Beckton
329 Dickinson, Sparks, MD, USA) from various clinical specimens in different hospitals across
330 Kuwait after obtaining informed verbal consent as part of routine patient care and diagnostic
331 work-up. The isolates were initially subcultured at MRL on Sabouraud dextrose agar (SDA) for
332 species-specific identification and antifungal susceptibility testing (AST) as part of routine
333 patient care (16). Few isolates failed to grow on SDA upon subculturing and required addition of
334 cholesterol to SDA for their growth, as described in detail previously (20).

335 Phenotypic identification of the isolates was initially performed by Vitek 2 yeast
336 identification system (bioMérieux, Marcy-l'Etoile, France). The isolates were also identified by
337 matrix-assisted laser desorption ionization time-of-flight mass spectrometry (MALDI TOF MS)
338 as described previously (36). The genomic DNA from reference strains and clinical isolates was
339 extracted by using Genra Puregene Yeast DNA extraction kit (Qiagen, Hilden, Germany)
340 according to kit instructions or by the rapid method using Chelex-100, as described previously
341 (37). Species-specific identification was performed by PCR amplification of rDNA as described
342 previously (38). The complete ITS region was also amplified by using ITS1 and ITS4 primers
343 and the amplicons were sequenced by using ITS1FS, ITS2, ITS3 and ITS4RS primers, as
344 described previously (39, 40).

345

346 **Antifungal susceptibility testing.** The antifungal susceptibility testing (AST) of *C.*
347 *glabrata* isolates to AMB was initially carried out on SDA and minimum inhibitory
348 concentrations (MICs) were determined by the Etest procedure (AB Biodisk, Solna, Sweden)
349 according to manufacturer's instructions and as described previously (41). The isolates were also
350 tested against different antifungal drugs by the CLSI reference broth microdilution method as
351 described previously (42, 43) and susceptibility breakpoints for different antifungal agents were
352 those described earlier (23, 43, 44). *C. glabrata* ATCC90030 was used as reference strain during
353 AST.

354

355 **ERG genes sequencing.** The complete coding sequence and the flanking 5' and 3'
356 untranslated regions of *ERG2*, *ERG6* and *ERG11* genes for all study isolates were amplified
357 from genomic DNA by using gene-specific primers. The *ERG2* gene was amplified by using
358 CgERG2F (5'-CTAAACGAGCTCGTAATTCTA-3') and CgERG2R (5'-
359 GCCTTAGAGTCTATCCTTGAA-3') primers by using the PCR amplification reaction and
360 cycling conditions, as described previously (37, 39). The amplicons were purified by using PCR
361 product purification kit (Qiagen, Hilden, Germany) according to kit instructions. Both strands of
362 purified amplicons were sequenced by using forward (CgERG2FS1, 5'-
363 GCTCGTAATTCTATCGGTTTGA-3'; CgERG2FS2, 5'-TTGCAATGGTGTACTTGCCAA-3';
364 CgERG2FS3, 5'-GGTATGACCCATCATCTACA-3') and reverse (CgERG2RS1, 5'-
365 GATTCTGTAGAGGCACTAGCA-3'; CgERG2RS2, 5'-CCTTGAGCCAATTCTAGTGCGA-
366 3'; CgERG2RS3, 5'-CCTTAGAGTCTATCCTTGAATTA-3') primers with BigDye terminator
367 v3.1 cycle sequencing kit (Applied Biosystems, Austin, TX, USA) and ABI 3130xl Genetic

368 Analyzer by following manufacturer's instructions (Applied Biosystems) and as described
369 previously (20, 37). The complete *ERG2* sequences of ~1060 bp were assembled and were
370 compared with the corresponding sequences from reference *C. glabrata* strain CBS138 by using
371 the program Clustal omega (<https://www.ebi.ac.uk/Tools/msa/clustalo/>).

372 The *ERG6* gene was amplified and sequenced as two overlapping fragments. The N-
373 terminal fragment was amplified by using CgERG6F1 (5'-GATTTTCTCGTTTCGCCGAGAA-
374 3') and CgERG6R1 (5'-GATGATGTTACAGCCGGTGAA-3') primers while the C-terminal
375 fragment was amplified by using CgERG6F2 (5'-ACGAACAGTACTTGGCATAACA-3') and
376 CgERG6R2 (5'-CATGTGGAATGAATTCAAGTGA-3') primers and PCR amplification
377 reaction and cycling conditions, as described previously (37, 39). The amplicons were purified
378 and both strands were sequenced by using the gene-specific primers and the sequencing protocol
379 described previously (20, 37). The sequencing primers for the N-terminal fragment included
380 forward (CgERG6FS1, 5'-CTCGTTTCGCCGAGAATTGTTA-3' or CgERG6FS2, 5'-
381 CAGTTTATTGTGCTCTTGACG-3') and reverse (CgERG6RS1, 5'-
382 TCGGGAGAATTTCAATTCCTT-3' or CgERG6RS2, 5'-GATGTTACAGCCGGTGAATCT-
383 3') primers. The primers for the C-terminal fragment included forward (CgERG6FS3, 5'-
384 GAACAGTACTTGGCATAACATGG-3' or CgERG6FS4, 5'-TTTGAAGAACGTCGGTTTCG-
385 3') and reverse (CgERG6RS3, 5'-GTAATTCCATTCTCCGGTCAA-3' or CgERG6RS4, 5'-
386 GTGGAATGAATTCAAGTGAACA-3') primers. The complete *ERG6* sequences of ~1840 bp
387 were assembled and were compared with the corresponding sequences from reference *C.*
388 *glabrata* strain CBS138 by using the program Clustal omega
389 (<https://www.ebi.ac.uk/Tools/msa/clustalo/>).

390 The *ERG11* was amplified and sequenced from all study isolates as described previously
391 (20). The complete *ERG11* sequences of ~1920 bp were assembled and were compared with the
392 corresponding sequences from reference *C. glabrata* strain CBS138 by using Clustal omega.

393

394 **Fingerprinting of *C. glabrata* isolates.** The gene sequences were analyzed individually
395 or nucleotide sequences of *ERG2*, *ERG6* and *ERG11* genes together with ITS region of rDNA
396 were included in the combined analysis. Multiple sequence alignments of concatenated sequence
397 data were performed with Clustalw muscle (<https://www.ebi.ac.uk/Tools/msa/muscle/>) and
398 phylogenetic analysis was performed with Molecular Evolutionary Genetic Analysis (MEGA)
399 version 6 software. The phylogenetic tree was constructed using the Neighbor-joining method
400 with Kimura 2-parameter model. The DNA sequence data from *C. glabrata* strain CBS138 was
401 used as reference and the robustness of tree branches was assessed by bootstrap analysis with
402 1,000 replicates. The genotypic relationship among selected *C. glabrata* isolates was also studied
403 by 6 (*FKS*, *LEU2*, *NMT1*, *TRP1*, *UGP1* and *URA3*) loci-based multilocus sequence typing
404 (MLST) scheme, as described previously (45). The DNA sequences for each gene fragment were
405 used for allelic profile and the combined data set were used to determine the sequence types
406 (STs) (45). Since a curated MLST database is not available for *C. glabrata*, new STs from
407 Kuwait were given an arbitrary number (STKN1, STKN2 etc).

408

409 **Sterol analysis.** The total cell sterol content of *C. glabrata* isolates was determined by
410 inoculating 15-ml volumes of MOPS-buffered (0.165 M MOPS) RPMI medium (pH 7.0) with
411 single colonies and the cultures were grown for 24 h at 37°C. Cells were harvested by

412 centrifugation and washed three times with sterile water before sterol extraction. Non-
413 saponifiable lipids were extracted by using alcoholic KOH as described previously (46). Samples
414 were dried in a vacuum centrifuge (Heto) and were derivatized with trimethylsilane (TMS) by
415 the addition of 100 μ l of 90% N,O-Bis (trimethylsilyl) trifluoroacetamide (BSTFA)/10% TMS
416 (Sigma), 200 μ l anhydrous pyridine (Sigma) and heating for 2 h at 80°C. The TMS-derivatized
417 sterols were analyzed by using gas chromatography-mass spectrometry (GC-MS) (Thermo 1300
418 GC coupled to a Thermo ISQ mass spectrometer, Thermo Scientific, Loughborough, UK) and
419 identified with reference to retention times and fragmentation spectra for known standards (18,
420 46). The GC/MS data files were analyzed by using Xcalibur software (Thermo Scientific) to
421 determine sterol profiles for study isolates and for integrated peak areas. The results of three
422 replicates from each sample were used to calculate the mean percentage \pm standard deviation for
423 each sterol.

424

425 **Patient samples.** The clinical specimens which yielded the *C. glabrata* isolates described
426 in this study were obtained from different patients after obtaining informed verbal consent as part
427 of routine patient care and diagnostic work-up. The isolates were also analyzed in the Mycology
428 Reference Laboratory in the Department of Microbiology, Faculty of Medicine, Kuwait
429 University, for identification and antifungal susceptibility testing as part of routine patient care,
430 and the results from deidentified samples are described in this paper.

431

432 **Accession numbers.** The DNA sequence data reported in this study have been submitted
433 to GenBank under accession numbers LS398111 to LS398136, LS398591 to LS398603 and
434 LS399273 to LS399285.

435

436

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579 **TABLE 1** Source of isolation and minimum inhibitory concentration (MIC) values of 13 *C. glabrata* isolates for
 580 (AMB) by Etest and for AMB and other antifungal drugs by broth microdilution method

Isolate no.	Clinical specimen	AMB MIC ($\mu\text{g/ml}$) by Etest	MIC values ($\mu\text{g/ml}$) by broth microdilution method for						
			AMB	FLC	ITC	VOR	POS	ISA	ANI
ATCC90030 ^a	N. A.	0.094	0.5	4	0.125	0.125	0.25	0.125	0.016
Kw280/06 ^a	Vaginal swab	0.047	0.5	16	0.5	0.25	0.25	0.5	0.031
Kw600/09	Wound swab	0.032	N. D.	N. D.	N. D.	N. D.	N. D.	N. D.	N. D.
Kw164/15	Urine	0.38	0.25	16	0.25	0.25	0.25	0.125	0.5
Kw383/15	Ascitic fluid	0.19	0.5	2	0.125	0.031	0.125	0.031	0.016
Kw590/15	Sputum	0.003	0.25	16	0.25	0.25	0.25	0.125	0.016
Kw1421/16	Bone	0.25	0.5	1	0.063	0.031	0.063	0.016	0.031
Kw844/10	Urine	1.5	1	2	0.125	0.031	0.125	0.031	0.031
Kw861/13	ET aspirate	1	1	>64	0.5	2	0.25	0.5	0.016
Kw96/15	Urine	2	1	0.5	0.063	<0.016	0.063	<0.016	0.031
Kw1856/15	Urine	1.5	1	0.25	0.125	<0.016	0.125	<0.016	0.016
Kw2516/15	Urine	1.5	1	0.5	0.063	0.031	0.125	0.016	0.016
Kw2813/15	Urine	4	1	0.25	0.031	<0.016	0.031	<0.016	0.016
Kw3060/15	Wound swab	1.5	1	1	0.063	<0.016	0.063	<0.016	0.031
Kw3357/15	Urine	2	1	2	0.5	0.125	0.25	0.016	0.031

581 ^a*C. glabrata* strains ATCC90030 and Kw280/06 were used as reference strains
 582 ET aspirate, endotracheal aspirate; MIC, minimum inhibitory concentration; AMB, amphotericin B; FLC, fluconazole; ITC, itraconazole
 583 POS, posaconazole; ISA, isavuconazole; ANI, anidulafungin; MIC, micafungin; N. A., not available; N. D., not done
 584

585 **TABLE 2** Susceptibility to amphotericin B (AMB), mutations detected in *ERG2*, *ERG6* and *ERG11* genes, fingerprinting
 586 *ERG2-ERG6-ERG11* sequence-based profile and by 6-loci-based sequence types and content of ergosterol, fecosterol and
 587 lanosterol as a percentage of total cell sterol in 13 *C. glabrata* isolates

Isolate no.	Clinical specimen	Susceptibility to AMB ^a	Non-synonymous mutations detected in			ITS- <i>ERG2-ERG6-ERG11</i> -based profile	MLST-based STs	% of total cell sterol	
			<i>ERG2</i>	<i>ERG6</i>	<i>ERG11</i>			Ergosterol	Fecosterol
ATCC90030	N. A.	Wild-type	Reference ^b	Reference ^b	Reference ^b	Reference ^a	N. D.	93.0 ± 0.1	1.0 ± 0.0
Kw280/06	Vaginal swab	Wild-type	I207V	R48K	None	Unique	ST-KN1	84.8 ± 2.8	2.0 ± 0.3
Kw600/09	Wound swab	Wild-type	I207V	None	None	Unique	N. D.	N. D.	N. D.
Kw164/15	Urine	Wild-type	I207V	None	None	Unique	N. D.	N. D.	N. D.
Kw383/15	Ascitic fluid	Wild-type	I207V	R48K	None	Unique	N. D.	N. D.	N. D.
Kw590/15	Sputum	Wild-type	I207V	R48K	None	Unique	N. D.	N. D.	N. D.
Kw1421/16	Bone	Wild-type	I207V	None	None	Unique	N. D.	89.5 ± 7.2	2.1 ± 1.6
Kw844/10	Urine	RS-AMB	I207V + G122S	None	None	Unique	N. D.	0	14.9 ± 2.1
Kw861/13	ET aspirate	RS-AMB	I207V	Δ Nt. 1021, L341*	Y141H + L381M	Unique	ST-KN2	0	0
Kw96/15	Urine	RS-AMB	I207V	V126F	None	Unique	ST-46	0	0
Kw1856/15	Urine	RS-AMB	I207V	W286*	None	Unique	ST-46	0	0
Kw2516/15	Urine	RS-AMB	I207V	R48K + C198F	None	Unique	ST-KN3	0	0
Kw2813/15	Urine	RS-AMB	I207V	Y192*	None	Unique	ST-KN4	0	0
Kw3060/15	Wound swab	RS-AMB	I207V + G119S	None	None	Unique	N. D.	0	18.9 ± 2.1
Kw3357/15	Urine	RS-AMB	I207V	W286*	None	Unique	N. D.	0	0

588 ^a*C. glabrata* isolates with MIC values ≤ 0.5 µg/ml were classified as wild-type for AMB while those with MIC values ≥ 1 µg/ml were
 589 reduced susceptibility to AMB (RS-AMB)

590 ^b*C. glabrata* strain ATCC90030 was used as reference strain for susceptibility to amphotericin B (AMB) and strain CBS138 was used
 591 *ERG* gene sequencing

592 *Denotes a nonsense mutation; ST, sequence type; ST-KN, new sequence type detected in Kuwait; N. D., not done

593 Sterol values (mean of 3 replicates with standard deviation) are percentage of total sterols and values >5% of total cell sterol are shown

594 **TABLE 3** Total *C. glabrata* cell sterol composition in an isolate (Kw1421/16) wild-type for AMB and 7 isolates

595 susceptibility to AMB

Type of sterol	Percentage of each sterol in the total cell sterol composition of <i>C. glabrata</i> iso							
	ATCC90030 ^a	Kw280/06 ^a	Kw1421/16	Kw844/10	Kw861/13	Kw96/15	Kw1856/15	Kw2516/15
Ergosta-5,8,22,24-tetraenol	0.9 ± 0.1	3.4 ± 1.2	0.7 ± 0.1	1.5 ± 0.0				
Unknown sterol					1.4 ± 0.1	1.4 ± 0.0	0.9 ± 0.1	1.2 ± 0.0
Ergosta-5,8,22-trienol	0.9 ± 0.0	1.0 ± 0.0	0.4 ± 0.3	25.8 ± 0.3				
Ergosta-8,22-dienol				7.0 ± 0.7				
Ergosta-5,8,24-trienol				8.2 ± 0.5				
Ergosta-5,8-dienol				6.0 ± 0.4				
Cholesta-5,8,24-trienol					5.1 ± 0.3	9.0 ± 0.3	5.2 ± 0.5	9.5 ± 0.5
Zymosterol (Cholesta-8,24-dienol)		2.5 ± 0.6			21.4 ± 0.8	14.5 ± 0.5	42.5 ± 1.3	35.5 ± 0.6
Cholesta-5,7,24-trienol	1.1 ± 0.0		1.6 ± 1.3		56.0 ± 0.4	40.9 ± 0.5	34.0 ± 0.2	36.9 ± 0.7
Ergosterol	93.0 ± 0.1	84.8 ± 2.8	89.5 ± 7.2					
Cholesta-7,24-dienol					1.3 ± 0.5	1.0 ± 0.1	1.9 ± 0.3	0.8 ± 0.0
Cholesta-5,7,22,24-tetraenol					0.1 ± 0.2	26.7 ± 0.9	11.5 ± 0.8	13.6 ± 1.6
14-Methyl fecosterol					1.0 ± 0.0			
Fecosterol (Ergosta-8,24(28)-dienol)	1.0 ± 0.0	2.0 ± 0.3	2.1 ± 1.6	14.9 ± 2.2				
Ergosta-8-enol				30.9 ± 1.3				
Ergosta-5,7-dienol	1.3 ± 0.2	1.8 ± 0.1	2.2 ± 1.7		0.3 ± 0.3	5.9 ± 0.3		
Episterol (Ergosta-7,24(28)-dienol)	0.2 ± 0.0	0.7 ± 0.1	1.2 ± 0.9					
Unknown sterol				2.1 ± 0.3				0.7 ± 0.2
14-Methyl ergosta-8,24(28)-dien-3,6-diol								
Lanosterol	1.3 ± 0.1	3.2 ± 0.3	2.1 ± 1.6	2.5 ± 0.1	11.6 ± 1.0	0.6 ± 0.1	3.3 ± 0.3	1.3 ± 0.2
4,4-Dimethyl cholesta-8,24-dienol	0.2 ± 0.1	0.6 ± 0.4	0.2 ± 0.2	1.1 ± 0.1	1.9 ± 0.2		0.8 ± 0.2	0.4 ± 0.1

596 ^a*C. glabrata* strains ATCC90030 and Kw280/06 were used as reference strain for determining total cell sterol composition; AMB, amphotericin B.

597 Sterol values (mean of 3 replicates with standard deviation) are percentage of total sterols and values >5% of total cell sterol are shown in bold.

598 **FIGURE legend:**

599 **FIG. 1.** Neighbor-joining phylogenetic tree based on combined sequence data for ITS region of
600 rDNA, *ERG2*, *ERG6* and *ERG11* genes from 13 clinical *C. glabrata* isolates from Kuwait
601 together with reference *C. glabrata* strains CBS138 and Kw280/06. The numbers on the nodes
602 branches are bootstrap frequencies.

603

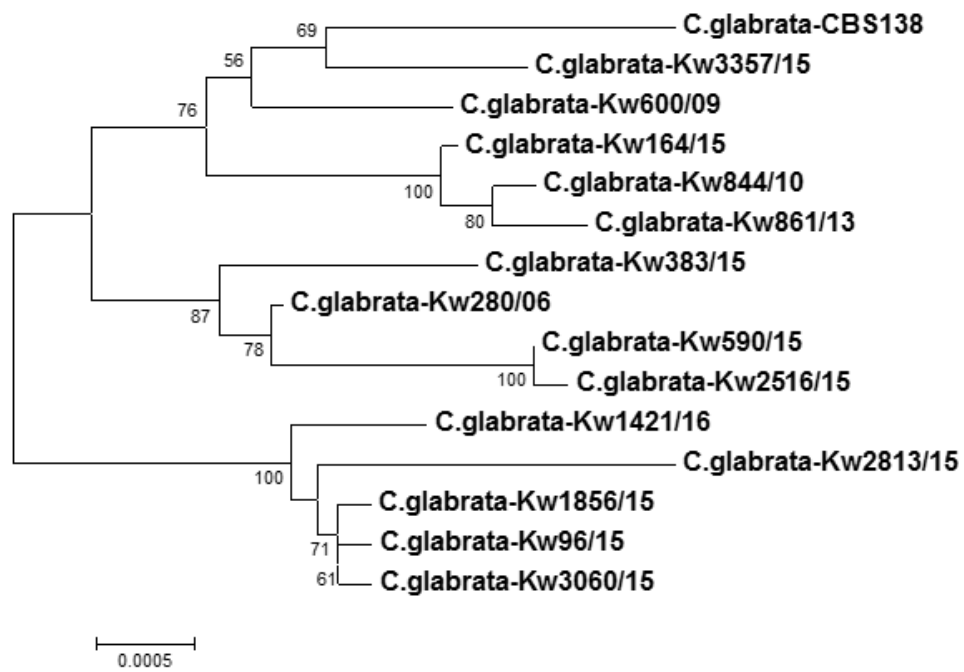


FIG. 1