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# Theoretical study of Piezotronic Metal-Insulator-Semiconductor Tunnel Devices

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# Theoretical study of Piezotronic Metal-Insulator-Semiconductor Tunnel Devices

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## Abstract

Piezotronics has been an emerging concept coupling piezoelectric and semiconducting properties with potential applications in sensors, flexible electronics and nanoelectromechanical systems (NEMS). Piezoelectric field is created under an applied strain, which controls the carrier generation, transport, separation or recombination processes at the interface or junction of the semiconductor devices. Based on the piezotronic theory, we present a one-dimensional model for the metal-insulator-semiconductor (MIS) tunnel diode based on the piezoelectric semiconductor. Analytical solutions of piezoelectric modulated tunneling are described to reveal the piezotronic effect on the MIS tunnel junction. Numerical simulation of the carrier transport properties is provided for demonstrating the piezotronic effect on MIS tunnel devices.

**Keyword:** piezotronics, piezoelectric semiconductor, metal-insulator-semiconductor structure, tunneling current

## 1. Introduction

Since the first nanogenerator was created using the piezoelectric zinc oxide nanowires, [1] piezoelectric semiconductor have attracted much attention for energy harvesting and piezotronic devices. Due to the coupling of piezoelectric and semiconductor properties, previous experiments showed that bending the piezoelectric material can change the electric conductance [2] and modify the metal-semiconductor contact from Ohmic to Schottky contact [3]. All these high-performance devices contributed to a new field named as piezotronics [4-6], which aims at the coupling of piezoelectric and semiconducting properties, and applying the piezoelectric field induced by the strain to control the charge transport at the interface or junction. The piezotronic effect has been employed to achieve various devices, such as high-sensitivity strain sensors [7, 8], high output-power nanogenerator [9, 10] and piezotronic transistors [11, 12]. Large-array three-dimensional circuits based on piezotronic transistors has been reported in [11], and a nanowire light-emitting diode-based sensor array has been presented in [13]. Recently, piezoelectricity of the two-dimensional materials  $\text{MoS}_2$  has been demonstrated in [14].

Piezoelectric field under an applied strain can control carrier transport. Based on metal semiconductor structure, gauge factor of the piezotronic strain sensor can reach up to 1250. [3] The gauge factor is higher than Si nanowires  $\sim 320$  [15] and carbon nanotubes strain sensors  $\sim 1000$ . [16] For strain controlled piezoelectric semiconductor devices, previous reports have provided models for p-n junctions, metal-semiconductor and heterojunctions based on semiconductor physics.

Typical building blocks of semiconductor devices include p-n junction, metal-semiconductor (MS) contact, MIS structure, and heterojunction. MIS structure has become very attractive due to its superior electronic properties, which leads to many promising applications, such as MIS solar cells [17, 18], photodetectors [19, 20], sensors [21] and memories [22, 23]. Taking the MIS solar cells as an example, the dark current can be reduced by increasing the effective metal-semiconductor barrier height or reducing the majority carrier concentration at the semiconductor surface [24, 25]. Metal-oxide-semiconductor (MOS) is one of MIS structures which uses the oxide layer as the gate insulator. It also has the advantage of easy integration with conventional semiconductors compared with p-n junctions.

In this paper, one dimensional metal-insulator-piezoelectric semiconductor contacts with an

ultrathin insulating layer are investigated under mechanical strains. Different from the conventional external transverse field modulation, this approach utilizes the inner parallel piezoelectric field to tune the transport properties at the interface.

## 2. Device model of piezotronic junction based on metal-insulator-semiconductor

According to previous theoretical studies [26], Poisson's equation, current transports equation, continuity equation, and the piezoelectric equation are set up to describe the piezotronic devices [27-31]. The MIS structure has important applications, the physics of the MIS structure has been intensively studied [32-42]. Here in this section we propose a simplified model to demonstrate the piezotronic effect for the MIS structure.

From the band diagram shown in Figure 1, the potential difference for the insulator can be given by

$$\Delta = \frac{E_g}{q} + \chi_s - \phi_m - \phi_p - \psi_s + V \quad (1)$$

where  $E_g$  is the semiconductor bandgap,  $\chi_s$  denotes the affinity of the semiconductor,  $\phi_m$  represents the metal work function,  $\phi_p$  is the potential difference between the majority-carrier Fermi level and the valence band,  $\psi_s$  is the potential across the semiconductor and  $V$  denotes the applied voltage.

For simplicity, surface states, work function differences and other anomalies are neglected. The semiconductor is grounded, and a positive voltage is applied to the metal. It is assumed that the semiconductor is working in thermal equilibrium and direct tunneling is the dominant tunneling mechanism at the interface. Therefore, the difference between the electron and hole quasi-Fermi levels is neglected. Thus,

$$\Delta = -\psi_s + V \quad (2)$$

According to the Gauss's law, we have

$$\Delta = E_i d_i = d_i \frac{Q_M}{\epsilon_i} \quad (3)$$

where  $E_i$  is the electric field inside the insulator,  $Q_M$  is the charge on the metal,  $d_i$  is the insulator thickness and  $\epsilon_i$  is the permittivity of the insulator. For charge neutrality, it is required that

$$Q_M + Q_S + Q_{piezo} = 0 \quad (4)$$

where  $Q_S$  is the charge on the semiconductor surface due to the ionized acceptor and  $Q_{piezo}$  is the piezoelectric charge. Thus, equations can be given by

$$\Delta = -d_i \frac{(Q_S + Q_{piezo})}{\varepsilon_i} \quad (5-a)$$

$$Q_S = -qN_A W_{Dp} \quad (5-b)$$

$$Q_{piezo} = q\rho_{piezo} W_{piezo} \quad (5-c)$$

Assuming the depletion approximation and completed ionization inside the depletion region, we proceed to calculate the field and potential distribution. The potential  $\psi_s(x)$  inside the semiconductor as a function of distance can be obtained by solving the one-dimensional Poisson's equation

$$\frac{d^2\psi_s(x)}{dx^2} = -\frac{dE}{dx} = -\frac{\rho(x)}{\varepsilon} = -\frac{q[p(x)-n(x)-N_A(x)+\rho_{piezo}(x)]}{\varepsilon} \quad (6)$$

where  $\rho(x)$  is the charge density as shown in Figure 1a,  $N_A(x)$  is the density of the acceptor,  $\rho_{piezo}(x)$  is the density of the piezoelectric charges. By integrating the Poisson equation, we can obtain the electric field distribution inside the semiconductor.

$$E(x) = -\frac{qN_A(x-W_{Dp})}{\varepsilon_s} + \frac{q\rho_{piezo}(x-W_{piezo})}{\varepsilon_s} \quad (0 \leq x \leq W_{piezo}) \quad (7-a)$$

$$E(x) = -\frac{qN_A(x-W_{Dp})}{\varepsilon_s} \quad (W_{piezo} \leq x \leq W_{Dp}) \quad (7-b)$$

By setting  $\psi_s(N_A) = 0$ , we can get the potential distribution across the contact as shown in Figure 1(c).

$$\psi(x) = \frac{qN_A(x-W_{Dp})^2}{2\varepsilon_s} - \frac{q\rho_{piezo}(x-W_{piezo})^2}{2\varepsilon_s} \quad (0 \leq x \leq W_{piezo}) \quad (8-a)$$

$$\psi(x) = \frac{qN_A(x-W_{Dp})^2}{2\varepsilon_s} \quad (W_{piezo} \leq x \leq W_{Dp}) \quad (8-b)$$

Thus, the band bending of the semiconductor valence band can be given by

$$\psi_s = \psi(0) = \frac{q}{2\varepsilon_s} (N_A W_{Dp}^2 - \rho_{piezo} W_{piezo}^2) \quad (9)$$

where  $\varepsilon_s$  is the permittivity of the semiconductor,  $N_A$  is the acceptor concentration,  $\rho_{piezo}$  is the density of the piezoelectric charges,  $W_{piezo}$  is the width of the piezoelectric charges distribution region and  $W_{Dp}$  is the depletion layer width in the semiconductor.

According to the depletion assumptions of the analytical model, the majority carriers have been removed in the depletion region. Thus, the piezo-charges are not screened by carriers in depletion region in this case. For the piezotronic MIS structure, piezoelectric charges change the energy band and building-in potential in the MIS structure. The carries redistribution is neglected in our simplified analytical model.

### 3. Current-voltage characteristics of simplified piezotronic metal-insulator-semiconductor tunnel junction

According to typical tunneling current model [43], and previous works by Gray [44], Card and Rhoderick [33, 34], Doghish [40], the hole and electron tunneling currents can be written as

$$J_{nt} = A_n^* T^2 \exp\left(-\chi_n^2 d_i\right) \left[ \exp\left(-\frac{E_{co}-E_{fm}}{kT}\right) - \exp\left(-\frac{E_{co}-E_{fs}}{kT}\right) \right] = A_n^* T^2 \exp\left(-\chi_n^2 d_i\right) \exp\left(-\frac{E_g}{kT}\right) \exp\left(\frac{q\phi_p+q\psi_s}{kT}\right) \left[ \exp\left(\frac{qV}{kT}\right) - 1 \right] \quad (10-a)$$

$$J_{pt} = A_p^* T^2 \exp\left(-\chi_p^2 d_i\right) \left[ \exp\left(-\frac{E_{fs}-E_{vo}}{kT}\right) - \exp\left(-\frac{E_{fm}-E_{vo}}{kT}\right) \right] = A_p^* T^2 \exp\left(-\chi_p^2 d_i\right) \exp\left(-\frac{q\phi_p+q\psi_s}{kT}\right) \left[ 1 - \exp\left(-\frac{qV}{kT}\right) \right] \quad (10-b)$$

where  $A_n^*$  and  $A_p^*$  are effective Richardson constants for electrons and holes,  $\chi_n$  and  $\chi_p$  are effective barrier heights for electrons and holes tunneling into metal. The total current density can be given by

$$J_t = J_{pt} + J_{nt} \quad (11)$$

It indicates that the piezoelectric charges can tune the tunneling current  $J_t$  by changing the surface potential  $\psi_s$  of semiconductor.

Figure 2a shows the current-voltage characteristics of an ideal metal-insulator- GaN contact at different strains ranging from -0.08% to 0.08%. The calculated tunneling current density varies as the strain changes, demonstrating the modulation of the piezoelectric charges. It is seen that a tensile strain leads to a reduced current density, which matches with the theory described in previous sections. The current density remains almost unchanged for voltages of less than 0.35V and demonstrates a significant difference at 0.45V for various strain values. It is also worth mentioning that the relation between the strain and the current density at a certain voltage e.g. 0.45V is not linear. A large jump of the current density value appears from strain of -0.04% to -0.08%. To confirm the depletion approximation, the surface potential range should be between 0 and  $E_g/2q - \phi_p$ . Figure 2b shows the variation of the surface potential versus the applied voltage under different strains from -0.08% to 0.08%. The surface potential increases as the strain changes from tensile strain to compressive strain. This is exactly in consistent with the results shown in Figure 2a. It should be emphasized that the current sensitivity upon applied strains is much larger

than the voltage sensitivity, particularly when the voltage value is above 0.4 V.

#### 4. Numerical simulation

The current is continuous throughout the metal-insulator-semiconductor. Tunneling current can be described from metal to semiconductor, and drift-diffusion current is inside the semiconductor. The model can be solved numerically. Here we use a multi-physical software COMSOL to conduct the numerical calculations, which is a widely employed software allowing both equation-based customized numerical analysis and finite element modelling. Thus, the DC characteristics of the structure are obtained. According to the previous fundamental theoretical framework of piezotronics, the Gaussian profile is adopted to describe the dopant concentration function  $N$  as

$$N = -N_{Ap} - N_{Apmax} e^{-\left(\frac{z-l}{ch}\right)^2} \quad (12)$$

where  $N_{Ap}$  is the p-type background doping concentration,  $N_{Apmax}$  is the maximum acceptor concentration,  $l$  is the length of semiconductor and  $ch$  is the doping fall-off constant.

The Shockley-Read-Hall recombination is taken as an example of carrier recombination mechanisms. The electrical contact of the electrodes with the semiconductor is supposed to be Ohmic contact, which means the carrier concentrations and electrical potential will have Dirichlet boundary conditions at the boundary with thermal equilibrium values same as in previous works.

In the simulation, it is assumed that the piezoelectric charges have a uniform distribution  $W_{piezo}$  at piezoelectric semiconductor, as shown in Figure 3a. In our simulation, the parameters are set as follows: The length of the semiconductor and insulator are 100 nm and 2.4 nm, respectively. The radius of the device is 10 nm. The piezoelectric material is wurtzite structure GaN with p-type background doping concentration  $N_{Ap}$  of  $1 \times 10^{15} \text{ cm}^{-3}$ . The maximum acceptor doping concentration is  $1 \times 10^{17} \text{ cm}^{-3}$ . The doping fall-off constant  $ch$  is 4.66 nm. The temperature is set to be 300 K. The relative dielectric constant of GaN is 8.9. The carrier lifetimes are  $\tau_p = 0.01 \mu\text{s}$  and  $\tau_n = 0.01 \mu\text{s}$ . The electron and hole mobilities are  $\mu_p = 350 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and  $\mu_n = 900 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ .

Taking the above practical parameters of the MIS junction into the numerical model, the effect of piezoelectric charges on the I-V characteristic is shown in Figure 3b. Under compressive strains, the negative piezoelectric charges are created at the insulator-semiconductor interface,



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4 which attract holes and repel electrons. While under tensile strains, the positive piezoelectric  
5 charges are created at the insulator-semiconductor interface which attract electrons to accumulate  
6 at the interface and repel holes along the semiconductor.  
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10 The distribution of electrons and holes across the semiconductor are illustrated in Figures 3c  
11 and 3d at different strains ranging from -0.08% to 0.08% under a fixed applied voltage of 2.2 V.  
12 Figure 3c shows the tendency of holes diffusing into the interior as the strains changes from +0.08%  
13 to -0.08%. When a tensile strain is applied, the electrons are attracted by positive piezoelectric  
14 charges to accumulate at the interface. As a compressive strain is applied, the created negative  
15 piezoelectric charges push the electrons away.  
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22 Moreover, we have looked into the I-V characteristics of the MIS structure under various  
23 background doping conditions and maximal doping conditions as Figure 4 shows. The strain was  
24 fixed at +0.08% and the default doping conditions are  $N_{Apmax} = 1 \times 10^{17} cm^{-3}$  and  $N_{Ap} = 1 \times 10^{15} cm^{-3}$ .  
25 Figure 4(a) depicts the current density-voltage curves as the maximal doping concentration  
26 changes from  $1 \times 10^{16} cm^{-3}$  to  $9 \times 10^{16} cm^{-3}$ , very little change has been demonstrated. However when  
27 the background doping condition varies, the surface potential can be affected through the carrier  
28 concentration at the fixed voltage, which effectively tunes the I-V characteristics. Figure 4b shows  
29 the I-V characteristics of the MIS structure at various background doping concentrations. It is  
30 clearly observed from the numerical model that the background doping makes bigger impact on  
31 the I-V performance than the maximal doping concentration. Because piezo-charges induce energy  
32 band change, the DC characteristics are tuned by the piezo-charges. In our numerical model, not  
33 only the energy band change is considered, the carrier redistribution effect is also included.  
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45 For further investigation, the hole and electron distribution for different maximal doping  
46 conditions and background doping conditions are portrayed in Figures 5a-5d. It is seen from the  
47 results that the background doping plays more critical roles than the maximal doping conditions  
48 for both the electrons and holes distributions. The numerical analysis with practical parameters has  
49 revealed several key design specifications for the piezotronically tunable MIS devices, such as the  
50 strain condition and the background doping, which pave the way to real implementation of the  
51 structures in electronic systems. This work has filled in the gap of piezotronic effect used in  
52 semiconductor structures, as the MIS is equally important along with p-n junctions,  
53 metal-semiconductor contacts, and heterojunctions.  
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## 5. Conclusion

We have analyzed a one-dimensional simplified model of metal-insulator-semiconductor tunnel diode considering the piezotronic effect. The analytical solutions unveiled that the piezoelectric modulation can be realized through changing the band bending, which affects the carrier distribution in the semiconductor. The numerical simulation with designated geometrical and physical parameters of the proposed device was also conducted to support the analysis. The simulation results show that the piezotronic effect can be potentially introduced into the MIS tunnel diode to tune the performance and sensibility in the prospective devices. Furthermore, the analysis here provides a theoretical guide to the practical design of piezotronic MIS devices.

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**Figure captions:**

Figure 1. Ideal metal-insulator-piezoelectric semiconductor contact with the presence of piezoelectric charges when applying positive voltage to metal. (a) charge distribution (b) electric field (c) potential distribution (d) band diagram of the structure.

Figure 2. (a) Current-voltage characteristics of ideal metal-insulator-piezoelectric semiconductor contact at strains ranging from -0.08% to +0.08%; (b) Variation of the surface potential versus the applied voltage  $V$  under different strains.

Figure 3. (a) Sketch of a metal-insulator-piezoelectric semiconductor contact; (b) Calculated current density curves voltage for different applied strains. Concentration distribution of holes (c) and electrons (d) at fixed voltage of 2.2V for various strains.

Figure 4. I-V characteristic of metal-insulator-piezoelectric semiconductor for different background doping density (a) and different maximum doping concentration (b).

Figure 5. Concentration distribution of holes (a) and electrons (b) at fixed voltage of 2.2V for various background doping conditions. Concentration distribution of holes (c) and electrons (d) at fixed voltage of 2.2V for different maximum doping conditions

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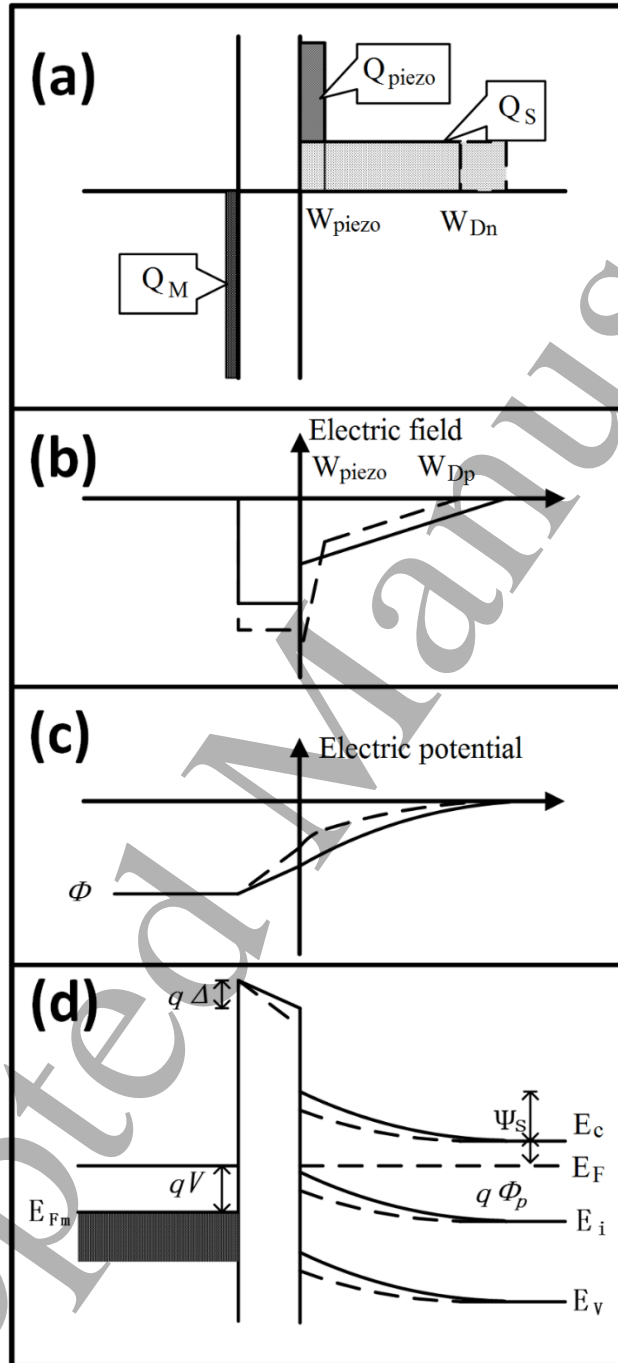


Fig. 1

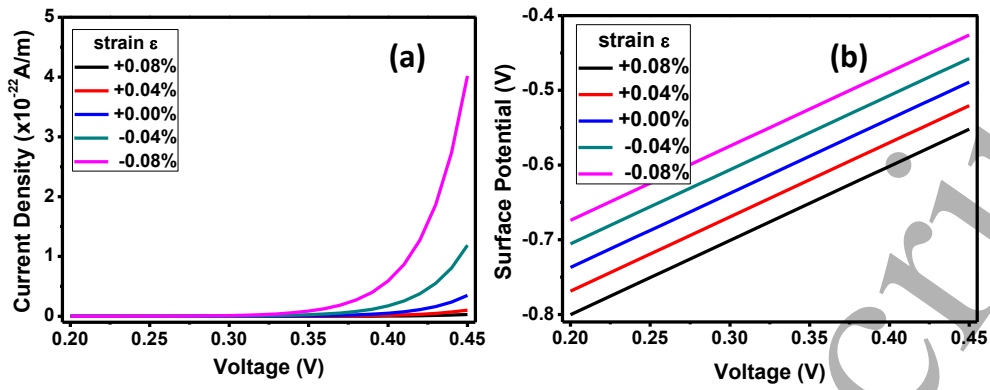


Fig.2

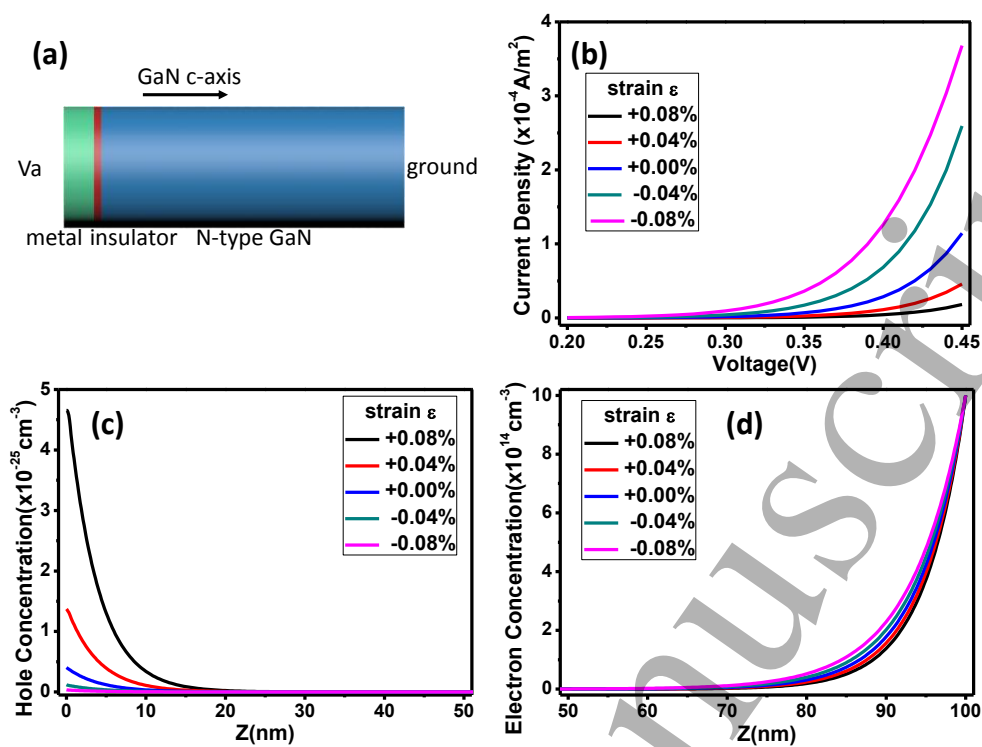


Fig.3



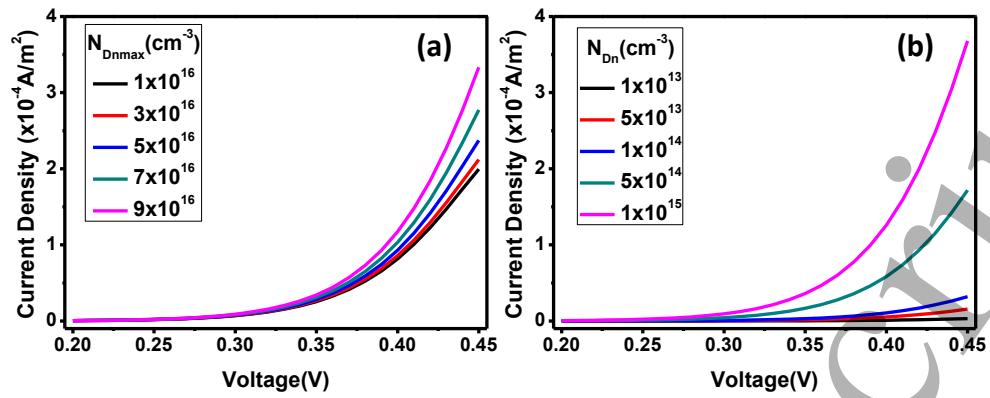


Fig.4

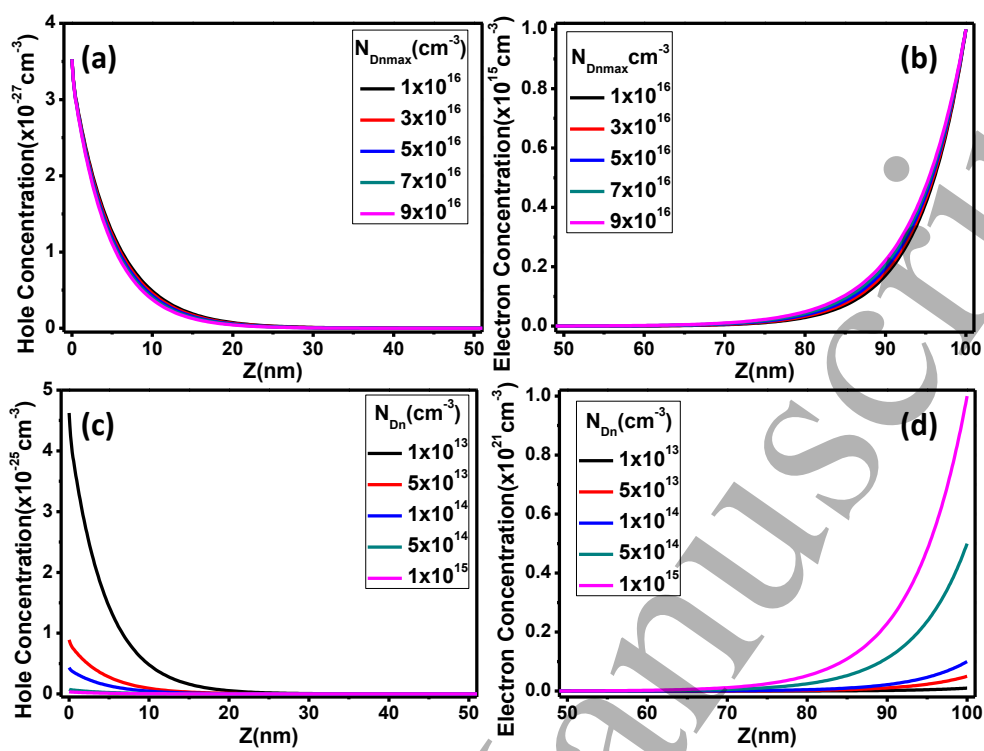


Fig. 5