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Quantum Simulation of a Topological Mott Insulator with Rydberg Atoms in a Lieb Lattice

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We propose a realistic scheme to quantum simulate the so-far experimentally unobserved topological Mott insulator phase – an interaction-driven topological insulator – using cold atoms in an optical Lieb lattice. To this end, we study a system of spinless fermions in a Lieb lattice, exhibiting repulsive nearest and next-to-nearest neighbor interactions, and derive the associated zero temperature phase diagram within mean-field approximation. In particular, we analyze how the interactions can dynamically generate a charge density wave ordered, a nematic as well as a topologically non-trivial quantum anomalous Hall phase. We characterize the topology of the different phases by the Chern number and discuss the possibility of phase coexistence. Based on the identified phases, we propose a realistic implementation of this model using cold Rydberg-dressed atoms in an optical lattice. The scheme, which allows one to access in particular the topological Mott insulator phase, robustly and independently of its exact position in parameter space, merely requires global, always-on off-resonant laser coupling to Rydberg states and is feasible with state-of-the-art experimental techniques that have already been demonstrated in the laboratory.

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Topological phases of matter are attracting increasing interest not only from a fundamental standpoint, where they represent a new paradigm of quantum states which evade a classification by the standard Landau theory of phases [1, 2], but also due to their potential applications in quantum information processing [3, 4]. Here, in particular topological insulators (TIs), being insulating in the bulk, but exhibiting robust, topologically protected current-carrying edge states, are a new subclass of quantum materials with topological properties [5–7]. Their existence has been confirmed in pioneering experiments [8–10] after their theoretical prediction [11–14] in two and three dimensions.

The discovery and study of topological insulators raise fundamental questions, in particular calling for an understanding of the various mechanisms that can lead to topological order and the classification of topological phases according to the symmetries of the system. For example, the topological order can arise in the presence of background gauge fields, where an extensive classification in the absence of interactions has been achieved [15–18] or in periodically driven quantum systems, giving rise to Floquet topological insulators [19, 20]. In this work, we focus on yet another type, the topological Mott insulator [21], in which the topologically non-trivial phase is generated dynamically by fermionic interactions, even in the complete absence of background gauge fields. Such phase has been first predicted theoretically for fermions in a honeycomb lattice geometry with nearest and next-to-nearest neighbor interactions in a seminal work by Raghu *et al.* [22]. Subsequently, this mechanism has been explored in other lattice geometries, including so far checkerboard [23], Kagome [23–25], Lieb [26], modified dice [27] and decorated honeycomb [24] lattices, as well as by refined mean-field theory [28, 29] and exact diagonalization studies [30–32]. These showed that the location and width of the parameter region where this

phase is predicted to occur crucially depends on the lattice geometry, filling, interaction pattern and size of the system. Whereas most of these works, and in particular a recent numerical study [32], provide growing evidence for the existence of such a topological Mott insulating phase, the experimental observation of this predicted novel quantum phase is outstanding to date.

Here, we propose and work out a realistic scheme which provides a route to an experimental observation of a topological Mott insulator phase using cold interacting fermionic Rydberg atoms in an optical lattice. An earlier implementation proposal [33] has been followed by complementary works which have considered the creation of a TMI phase in the continuum [34] as well as the occurrence of topological density waves in cold atomic gases [35]. The present work is motivated by recent progress that has been made in using cold atoms in optical lattices as a platform to explore and study in a controlled way topological quantum phases of matter. In particular, topological phases in square and honeycomb lattices or band structures with topological features have been demonstrated, based on the engineering of background gauge fields, both for bosonic [36–38] and more recently also fermionic atoms [39]. Complementary, highly flexible, tunable optical lattice setups allowing one to implement e.g. hexagonal lattice geometries as well as to imprint external staggering potentials have been demonstrated in various laboratories [39–41]. In particular, recently an optical Lieb lattice [42] (see Fig. 1a) as well as Lieb photonic lattices [43–45] have been realized experimentally. In addition, the ingredients for the realization of extended Hubbard models in optical lattices, in particular tunable, long-range interactions by off-resonant laser coupling to highly excited Rydberg states [46–48] have been demonstrated in a series of recent experiments [49–53].

In this work, on the one hand we quantitatively study a model of interacting fermions on a Lieb lattice, which hosts a topological Mott insulator phase in a broad parameter region of the phase diagram; on the other hand we provide re-

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alistic implementation schemes which are feasible with the above-mentioned state-of-the-art experimental techniques that have been already demonstrated individually, and partially also in a combined way, in the laboratory. Among the distinguishing features of our work are: (i) The interaction pattern is implementation-friendly, in particular, the topological phase exists in the naturally accessible regime of moderately large long-range interactions: it involves strong next-to-nearest neighbor interactions V_2 , which however are still allowed to be smaller than nearest-neighbor interactions V_1 ($V_2 \lesssim V_1$). Note that the requirement of dominant next-to-nearest neighbor interactions $V_2 > V_1$ constitutes a considerable obstacle for the implementation of many models [22, 24, 28, 33]. Furthermore, the required interactions between fermions at different lattice sites correspond to a global, translationally invariant pattern. (ii) Our scheme requires control over effectively spinless fermions moving in a 2D Lieb lattice, for which it has been shown how to realize such an optical lattice geometry by appropriately combined standing wave laser fields [54, 55]. (iii) In the implementation scheme we propose all Hamiltonian couplings are independently controllable, and no fine tuning is required, neither of experimental implementation parameters nor in view of the derived (mean-field) phase diagram, in which the non-trivial phase exists in a wide parameter stripe. Specifically, we present two possible implementation variants: In the first, atoms are exposed to an external staggering potential, and only global, spatially homogeneous Rydberg laser dressing is required. In the second scheme, no staggering potential is needed, interactions V_1 and V_2 are tunable completely independently, and the Rydberg laser dressing profile itself is applied according to a spatially periodic pattern.

We consider a system of spinless fermions on the Lieb lattice with repulsive nearest and next-to-nearest neighbor interactions (see Fig. 1a), described by the second-quantized Hamiltonian

$$H = H_0 + V_1 \sum_{\langle i,j \rangle} n_i n_j + V_2 \sum_{\langle\langle i,j \rangle\rangle} n_i n_j, \quad (1)$$

where $n_i = c_i^\dagger c_i$ is the onsite number operator. The tight-binding Hamiltonian

$$H_0 = -J \sum_{\langle i,j \rangle} c_j^\dagger c_i + \epsilon_A \sum_i c_i^\dagger c_i \delta_{A,i} \quad (2)$$

describes hopping between nearest-neighbor sites with a real-valued amplitude $-J$, and it involves an onsite external staggering potential ϵ_A , which accounts for a difference in chemical potential between A and B, C sites. The energy spectrum can be obtained analytically and is composed of three energy bands: a flat band at $E = 0$ and two dispersive bands $E_{\pm}(\mathbf{k}) = \epsilon_A/2 \pm \sqrt{(\epsilon_A/2)^2 + 4J^2(\cos^2 k_x + \cos^2 k_y)}$ [56]. For vanishing staggering potential $\epsilon_A = 0$, the system has one Dirac cone at $k_x = k_y = \pi/2$ with a linear dispersion relation in its vicinity (see Fig. 1b). At the Fermi energy $E_F = 0$, the system is semi-metallic. For $\epsilon_A \neq 0$, the spectrum still possesses a flat band but an energy gap appears in the energy spectrum and the dispersion relation around the Dirac point

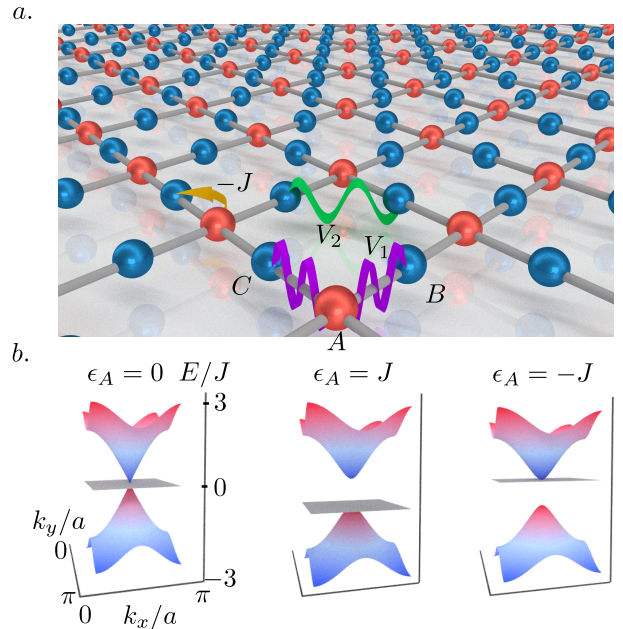


Figure 1. a. The Lieb lattice is a square lattice of N_A unit cells with a three-atom unit cell A,B,C. The Hamiltonian has hopping between nearest neighbors and interactions between nearest neighbors and next-to-nearest neighbors. b. Energy spectrum of the non-interacting Lieb lattice with $\epsilon_A = 0$ (left subfigure). The system exhibits one Dirac cone at $k_x = k_y = \pi/2$. The two right subfigures present the energy spectrum for $\epsilon_A = -J$ (respectively $\epsilon_A = J$): the flat band is still present but the spectrum has now an energy gap between one and two (respectively two and three).

becomes quadratic (see Fig. 1b). The position of the energy gap depends of the sign of ϵ_A . For the Fermi energy lying in the energy gap, the system is in a trivial insulating phase [57].

We treat the interacting part of the Hamiltonian within a mean-field approximation, according to the Hartree-Fock decoupling [58] of the quartic interaction term, $n_i n_j \simeq -\xi_{ij} c_j^\dagger c_i - \xi_{ij}^* c_i^\dagger c_j + |\xi_{ij}|^2 + \bar{n}_i c_j^\dagger c_j + \bar{n}_j c_i^\dagger c_i - \bar{n}_i \bar{n}_j$. This decoupling gives rise to nearest neighbor hopping terms proportional to the expected value $\xi_{ij} = \langle c_i^\dagger c_j \rangle$ and to onsite terms proportional to the expected value $\bar{n}_i = \langle n_i \rangle$. We then determine the mean field phase diagram by solving self-consistently the set of coupled equations for the expected values ξ_{ij} and \bar{n}_i . To this end, two natural assumptions are made to limit the number of order parameters: translation invariance of the system, allowing one to work with the three-atom basis, and isotropy of the hopping between A and B, C and between B and C sites, enabling one to work only with $\xi_{AB} = \xi_{AC}$ and ξ_{BC} .

To characterize the physics of the different phases, we introduce several order parameters, written in terms of \bar{n}_i or ξ_{ij} . The charge density wave (CDW) order parameter $\rho_1 = \bar{n}_A - \bar{n}_B - \bar{n}_C$ characterizes the difference of occupation between sites A on the one hand and B, C sites on the other hand. The nematic order parameter $\rho_2 = \bar{n}_B - \bar{n}_C$ accounts for a possible difference of occupation between B

and C sites. In addition to these order parameters, the mean-field decoupling of the nearest neighbor interaction gives rise to a renormalisation of the nearest neighbor hopping amplitude $J' = J + \xi_{AB}V_1$. Similarly, the next-to-nearest neighbor interactions generate a real-valued next-to-nearest neighbor hopping ξ_{BC}^R as well as an imaginary-valued next-to-nearest neighbor hopping ξ_{BC}^I . The latter is responsible for the occurrence of a quantum anomalous Hall (QAH) phase [15, 56] and constitutes a local order parameter for this phase (see App. A for details). Solving the self-consistent equations shows that the parameters ξ_{AB} and ξ_{BC}^R are almost constant as functions of V_1 and V_2 , which justifies fixing their values to $\xi_{AB} = 0.24J$ and $\xi_{BC}^R = -0.1J$ (see App. B for details).

The resulting mean field Hamiltonian reads then

$$H_{\text{MF}} = E_0 + \sum_{\mathbf{k}} \Psi_{\mathbf{k}}^\dagger \mathcal{H}_{\mathbf{k}} \Psi_{\mathbf{k}}, \quad (3)$$

where $E_0/N_\lambda = 4V_2(\xi_{BC}^I)^2 - V_1/2(n^2 - \rho_1^2) - V_2(1/4n^2 - \rho_2^2)$ is a real-valued energy shift depending only on the expectation values of the operators, $\Psi_{\mathbf{k}}^\dagger = (c_{A,\mathbf{k}}^\dagger, c_{B,\mathbf{k}}^\dagger, c_{C,\mathbf{k}}^\dagger)$ and the matrix elements of $\mathcal{H}_{\mathbf{k}}$ are given by

$$\begin{aligned} \mathcal{H}_{\mathbf{k}}^{AA} &= (n - \rho_1)V_1, \\ \mathcal{H}_{\mathbf{k}}^{BB} &= (n + \rho_1)V_1 + (n - 2\rho_2)V_2, \\ \mathcal{H}_{\mathbf{k}}^{CC} &= (n + \rho_1)V_1 + (n + 2\rho_2)V_2, \\ \mathcal{H}_{\mathbf{k}}^{AB} &= -2J' \cos k_x, & \mathcal{H}_{\mathbf{k}}^{AC} &= -2J' \cos k_y, \\ \mathcal{H}_{\mathbf{k}}^{BC} &= -4V_2\xi_{BC}^R \cos k_x \cos k_y + 4V_2\xi_{BC}^I \sin k_x \sin k_y. \end{aligned} \quad (4)$$

To obtain the mean field phase diagram, we minimize the free energy F at zero temperature,

$$F/N_\Lambda = \langle H_{\text{MF}} \rangle / N_\Lambda = E_0 + \sum_{i, \mathbf{k} \in \text{B.Z.}} E_i(\mathbf{k}) \Theta(E_F - E_i). \quad (5)$$

We focus on the situation where the two first energy bands are filled (filling $n = 2/3$). Note that in this case, even in the absence of a staggering potential ($\epsilon_A = 0$), there develops a density imbalance between A and B, C sites, which becomes manifest through $\rho_1 = -J$.

We now discuss the mean field phase diagram for $\epsilon_A = 0$ (shown in Fig. 2a) and for clarity of the discussion first focus on the effect of the nearest neighbor interactions separately (horizontal axis for $V_2 = 0$). As soon as $V_1 > 0$, ρ_1 is renormalized and the system passes from a semimetallic (SM) phase to the CDW phase with an energy gap opening between the first and second band, while the gap between the second and third band still remains closed.

Considering next exclusively the effect of the next-to-nearest neighbor interactions (vertical axis in Fig. 2 for $V_1 = 0$), a sketch of the different phases as a function of V_2 is shown in Fig. 2c. First, at small values of V_2 , the next-to-nearest neighbor interaction gives rise to a CDW term in the mean field Hamiltonian proportional to V_2n . The latter drives the system from the SM to the CDW phase by continuously opening an energy gap between the first and second

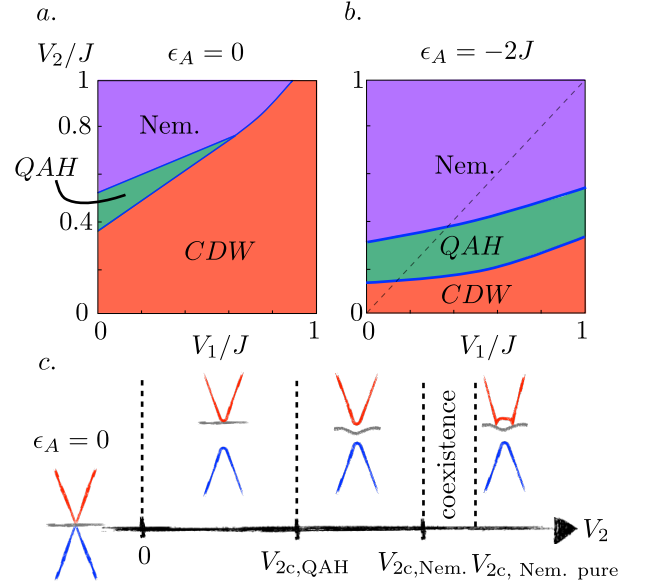


Figure 2. a. Phase diagram at a filling $2/3$ with $\epsilon_A = 0$. Three phases are present: charge density wave (CDW), nematic (Nem.) and quantum anomalous Hall phase (QAH). The blue lines show the second order phase transitions. b. Phase diagram at $\epsilon_A = -2J$. The QAH is now stabilized in a bigger window and the boundaries have moved. c. Sketch of the energy spectrum of the line $V_1 = 0$ for $\epsilon_A = 0$: When $V_2 < V_{2c, \text{QAH}} = 0.37J$, the lowest gap is opened by the V_2 interaction. For $V_2 > V_{2c, \text{QAH}}$, the second energy gap opens and the system is in a QAH phase. For $V_2 > V_{2c, \text{Nem.}} = 0.47J$, the system is in the nematic phase; the second gap closes but the second energy band is still dispersive. For $V_{2c, \text{Nem. pure}} = 0.52J$, the system is in a pure nematic phase.

energy band. Beyond a critical value, $V_2 > V_{2c, \text{QAH}}$, ξ_{BC}^I becomes nonzero and the system undergoes a second-order phase transition from the CDW to the QAH phase: the flat band becomes dispersive and an energy gap opens between the second and third band. We characterize the topological nature of the energy gap by computing the transverse conductivity, which is proportional to the sum of the Chern numbers of the occupied bands. We first compute numerically the Chern numbers of the two first energy bands with the gauge-invariant algorithm proposed by Fukui, Hastugai and Suzuki (FHS algorithm) [59]. We find $C_1 = 0$, $C_2 = 1$ and hence $\sigma_{xy} = (C_1 + C_2)\sigma_0 = -1 \times \sigma_0$, indicating the topological character of the phase. For $V_2 > V_{2c, \text{Nem.}}$, ρ_2 starts to become nonzero, allowing the coexistence of the topological and nematic phases. There is a small parameter window of coexistence in which ρ_2 increases continuously while ξ_{BC}^I decreases continuously. For $V > V_{2c, \text{Nem. pure}}$, $\xi_{BC}^I = 0$, the second gap closes and the system enters a purely ungapped nematic phase characterized by a dispersive second energy band (see App. C for the plot of the order parameters in terms of V_2). Finally, we consider both nonzero V_1 and V_2 interactions and find a finite window (green region in Fig 2) where the system resides in the QAH phase.

Fig. 2b. shows the phase diagram for the case of a finite ex-

ternal staggering potential, $\epsilon_A = -2J$. As shown in Fig. 1b, a negative value of ϵ_A opens the first energy gap of the non-interacting system. This term changes radically the phase diagram of the system with interactions. Focussing first exclusively on the next-to-nearest neighbor interaction (vertical axis), we find that the second order phase transition to the QAH phase appears for $V_{2c, \text{QAH}} = 0.13J$, i.e. at a much smaller critical value than for the case in Fig. 2a, however, with the size of the parameter region of the QAH phase of the same order. The transition to the nematic phase follows the same mechanism: the order parameter $\rho_2 > 0$ starts to grow for $V_2 > V_{2c, \text{Nem.}} = 0.27J$ and then ξ_{BC}^1 continuously decreases to zero giving rise to a purely ungapped nematic phase for $V_2 > V_{2c, \text{Nem. pure}} = 0.3J$. However, when considering the whole phase diagram with both nonzero V_1 and V_2 interactions, as a striking difference the region where the system is in the QAH phase is now a stripe: the fact that for each value of V_1 , there is a value of V_2 such that the system is predicted to be in the QAH regime, will be important in the scheme we propose for quantum simulating this model.

Let us finally mention that the case of the filling 1/3 is commented in App. D.

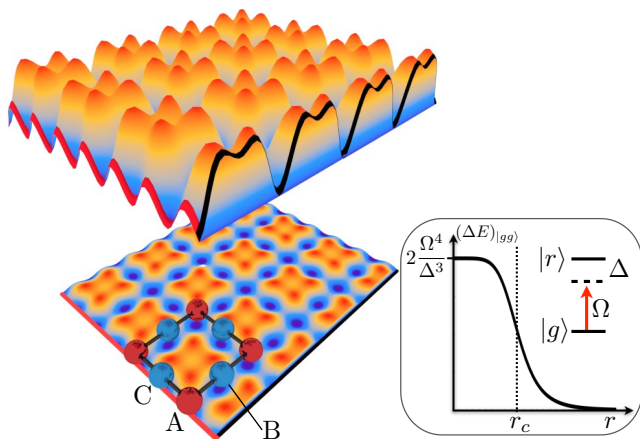


Figure 3. Upper subfigure: Optical lattice potential (a.u.) generated by three super-imposed lattice potentials, realizing the desired Lieb lattice structure ($U_0 = U_1 = 2U_2$). Red and black wavy lines show cuts of the potential landscape along the x -direction (crossing C and A sites) and y -direction (going through C-type lattice sites only). Lower part: projection of the potential landscape showing allowed lattice sites (blues wells) and energetically forbidden regions (yellow-reddish regions). Lower subfigure: Off-resonant laser coupling (Rabi frequency Ω , detuning Δ) leads to a weak admixture of Rydberg states $|r\rangle$ to the state of atoms residing in an electronic ground state $|g\rangle$, which induces an effective pairwise interaction potential as sketched in the right part.

For the implementation of Hamiltonian (1), we focus on cold fermionic and effectively spinless atoms loaded into an optical lattice exhibiting the Lieb lattice geometry as sketched in Fig. 3. This 2D lattice in the xy -plane can be achieved, as shown in a recent experiment [42], by superimposing three

optical lattices[54, 55, 60],

$$U_{\text{OL}} = U_0 [\sin^2(kx) + \sin^2(ky)] + U_1 [\sin^2(2kx) + \sin^2(2ky)] + U_2 \left[\cos^2\left(\sqrt{2}k\frac{x+y}{\sqrt{2}}\right) + \cos^2\left(\sqrt{2}k\frac{x-y}{\sqrt{2}}\right) \right]. \quad (6)$$

Tunnelling amplitudes as well as a staggering potential can be controlled by adjusting the relative intensities of the constituent lattice potentials: in particular, for $U_0 = U_1$ one can control a staggering potential between A and B/C sites with a magnitude $\epsilon_A = U_0 - 2U_2$ (see Fig. 3).

In order to induce the required repulsive interactions V_1 and V_2 (see Fig. 3) we propose to off-resonantly laser couple the fermionic electronic ground state atoms to highly excited Rydberg states, which exhibit strong and long-range repulsive interactions [61]. Such laser dressing with Rydberg states, recently demonstrated in the laboratory [51, 52], allows one to generate effective repulsive nearest and nearest-neighbor interactions, which are on the same order of magnitude as the tunneling time scale J . In addition, this can be achieved in a regime where imperfections such as radiative decay set in at sufficiently longer time scales (see App. E for a detailed analysis of relevant error sources). For weak, off-resonant and red-detuned laser dressing, the effectively induced interaction potential exhibits the well-known plateau-like potential structure [46, 47] (see Fig. 3), with a nearly distance-independent interaction energy shift at small distances and rapidly decaying interactions beyond a critical interparticle distance r_c , which can be controlled by appropriate choices of laser parameters and atomic Rydberg states (see App. E).

In order to experimentally access the different phases, in particular the QAH phase, according to the phase diagrams established in Fig. 2, in realization (i) it suffices to illuminate in a homogeneous, time-independent (“always-on”) way the Lieb lattice with the Rydberg dressing laser, and to choose parameters such that inter-site distances $r_{AB} = r_{AC} = a$ and $r_{BC} = \sqrt{2}a$ are smaller than r_c . This realizes the regime where V_2 and V_1 are comparable, $V_2 \lesssim V_1$ (dashed line in Fig. 2b). Together with a non-zero staggering potential $\epsilon_A \neq 0$, this allows one to access the QAH region (green parameter stripe), independently of its exact quantitative localization in the phase diagram. This is a striking difference of the realization of the topological phase in the Lieb lattice geometry, as compared to other schemes, including our proposal of Ref. [33], where experimental accessibility according to the mean-field theory analysis requires strong $V_2 \gtrsim V_1$ next-to-nearest-neighbor interactions. Alternatively, thanks to the Lieb lattice geometry, one can even access the regime of finite (vanishing) interactions V_2 (V_1) – see vertical axis in the phase diagram of Fig. 2a in the absence of a staggering potential $\epsilon_A = 0$. This interaction pattern can be generated if the Rydberg dressing laser itself is applied according to a 45° tilted lattice in the xy -plane, so that atoms at B/C (A) lattice sites are exposed to a finite (vanishing) dressing light intensity, which results in finite (vanishing) interaction values V_2 (V_1). Finally, we remark that for the experimental detection of the topological phase, a variety of techniques have been proposed

[62–67], including schemes for the detection of edge states [68, 69], which can be adapted to the proposed setup.

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Appendix A: Mean Field Hamiltonian

In this Appendix, starting from Eq. (1), we outline the derivation of Eq. (3). Applying the Hartree-Fock decoupling to $n_i n_j$, the mean-field Hamiltonian reads

$$H_{\text{MF}} = E_0 + \sum_{\mathbf{k}} \Psi_{\mathbf{k}}^\dagger \mathcal{H}_{\mathbf{k}} \Psi_{\mathbf{k}}, \quad (\text{A1})$$

where

$$E_0/N_\lambda = 4V_1|\xi_{AB}|^2 + 4V_2|\xi_{BC}|^2 - 2V_1\bar{n}_A(\bar{n}_B + \bar{n}_C) - 4V_2\bar{n}_B\bar{n}_C \quad (\text{A2})$$

is a real number depending only on the expectation values of the operators, $\Psi_{\mathbf{k}}^\dagger = (c_{A,\mathbf{k}}^\dagger, c_{B,\mathbf{k}}^\dagger, c_{C,\mathbf{k}}^\dagger)$ and where

$$\mathcal{H}_{\mathbf{k}} = \begin{pmatrix} \mathcal{H}_{\mathbf{k}}^{11} & -2J' \cos k_x & -2J' \cos k_y \\ -2J'^* \cos k_x & \mathcal{H}_{\mathbf{k}}^{22} & -4V_2|\xi_{BC}|f_{\mathbf{k},\theta} \\ -2J'^* \cos k_y & -4V_2|\xi_{BC}|f_{\mathbf{k},\theta}^* & \mathcal{H}_{\mathbf{k}}^{33} \end{pmatrix}, \quad (\text{A3})$$

with $J' = J + \xi_{AB}V_1$, $f_{\mathbf{k},\theta} = \cos k_x \cos k_y \cos \theta - i \sin k_x \sin k_y \sin \theta$ and

$$\begin{aligned} \mathcal{H}_{\mathbf{k}}^{11} &= 2(\bar{n}_B + \bar{n}_C)V_1, \\ \mathcal{H}_{\mathbf{k}}^{22} &= 2\bar{n}_A V_1 + 4V_2\bar{n}_C, \\ \mathcal{H}_{\mathbf{k}}^{33} &= 2\bar{n}_A V_1 + 4V_2\bar{n}_B. \end{aligned} \quad (\text{A4})$$

We introduce the CDW order parameter $\rho_1 = \bar{n}_A - (\bar{n}_B + \bar{n}_C)$, the nematic order parameter $\rho_2 = \bar{n}_B - \bar{n}_C$ and separate the real ξ_{BC}^R and imaginary ξ_{BC}^I contributions to the next-to-nearest neighbors hopping amplitude. This allows us to write the real number E_0 as $E_0/N_\lambda = 4V_1|\xi_{AB}|^2 + 4V_2((\xi_{BC}^R)^2 + (\xi_{BC}^I)^2) - V_1/2(n^2 - \rho_1^2) - V_2(1/4(n - \rho_1)^2 - \rho_2^2)$ and the matrix elements as

$$\begin{aligned} \mathcal{H}_{\mathbf{k}}^{AA} &= (n - \rho_1)V_1, \\ \mathcal{H}_{\mathbf{k}}^{BB} &= (n + \rho_1)V_1 + (n - \rho_1 - 2\rho_2)V_2, \\ \mathcal{H}_{\mathbf{k}}^{CC} &= (n + \rho_1)V_1 + (n - \rho_1 + 2\rho_2)V_2, \\ \mathcal{H}_{\mathbf{k}}^{AB} &= -2J' \cos k_x, \quad \mathcal{H}_{\mathbf{k}}^{AC} = -2J' \cos k_y, \\ \mathcal{H}_{\mathbf{k}}^{BC} &= -4V_2\xi_{BC}^R \cos k_x \cos k_y + 4V_2\xi_{BC}^I i \sin k_x \sin k_y. \end{aligned} \quad (\text{A5})$$

We emphasize that the contribution proportional to ρ_1 in the next-to-nearest neighbor interaction is pathological and leads to an unstable free-energy: physically, the next-to-nearest neighbor interaction does not see A sites and therefore cannot generate an imbalance between sites A and B, C. We therefore omit this term in the remainder.

Appendix B: Generation of real nearest-neighbor (NN) hopping renormalization and real next-to-nearest-neighbor (NNN) hopping from interactions

In this section, we discuss the value of the renormalization of the NN hopping amplitude due to the NN interaction and the generation of the NNN hopping due to the NNN interaction. We show that these assumptions are reasonable by comparing it to the solution of the full MF equations on a chosen axis.

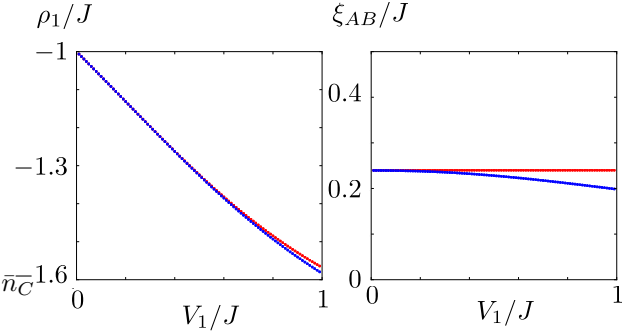


Figure 4. Order parameters ρ_1 and ξ_{AB} when $V_2 = 0$ for the full mean field Hamiltonian in blue and for the mean field Hamiltonian with the approximation $\xi_{AB} = 0.24J$ in red. The maximal error due to the approximation is of the order of 0.05J.

Firstly, the NN interaction renormalizes the NN hopping amplitude $J \rightarrow J' = J + \xi_{AB}V_1$. This term will not lead to new phases in the phase diagram, but affects the position of the different phases. It is thus important to take it into account. To this end, we fix the value of ξ_{AB} as the solution of a simplified mean-field equation [28, 33]: starting from the mean field Hamiltonian defined in the App. A, we fix $\rho_1 = \rho_2 = \xi_{BC}^R = \xi_{BC}^I = 0$. The free energy is therefore given by the analytical expression:

$$F/N_\lambda = 4V_1\xi_{AB}^2 - \frac{1}{\pi^2} \int_{\text{BZ}} \sqrt{4(J + V_1\xi_{AB})^2(\cos^2 k_x + \cos^2 k_y)}, \quad (\text{B1})$$

and the minimization of the free energy leads to the equation for ξ_{AB} :

$$\xi_{AB} = \frac{1}{\pi^2} \int_{\text{B.Z.}} \frac{J\sqrt{\cos^2 k_x + \cos^2 k_y}}{4} \simeq 0.24J. \quad (\text{B2})$$

Fig. 4 compares the value of the order parameters along the axis $V_2 = 0$ for the full mean field Hamiltonian (blue dots) and the mean field Hamiltonian with the assumption $\xi_{AB} = 0.24J$: the approximation agrees very well with the complete solution with a maximal absolute error of the order of 0.05J, being indeed a small correction as compared to J.

Secondly, the NNN interaction generates a real NNN hopping contribution ξ_{BC}^R . We also take it into account and fix its value as the solution of a simplified mean-field equation,

taking the Hamiltonian without interaction and only the contribution to the real NNN hopping due to the Hartree Fock decoupling of the NNN interaction. The real term is therefore expressed as $E_0 = 4V_2(\xi_{BC}^R)^2$ and \mathcal{H}_k is written as

$$\mathcal{H}_k = - \begin{pmatrix} 0 & 2J \cos k_x & 2J \cos k_y \\ 2J \cos k_x & 0 & J_2^R \cos k_x \cos k_y \\ 2J \cos k_y & J_2^R \cos k_x \cos k_y & 0 \end{pmatrix} \quad (\text{B3})$$

with $J_2^R = 4V_2\xi_{BC}^R$.

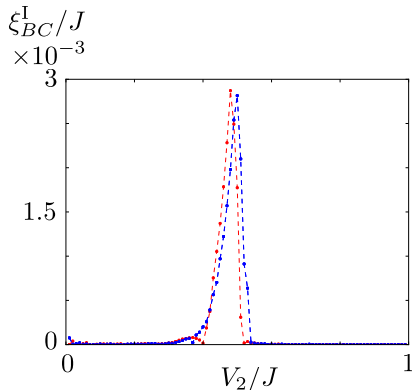


Figure 5. Order parameter ξ_{BC}^R when $V_1 = 0$ for the full mean field Hamiltonian in blue and for the mean field Hamiltonian with the approximation $\xi_{BC}^R = -0.1J$ in red.

In this case, the free energy needs to be minimized numerically. We find that ξ_{BC}^R is almost constant and that its value is $\xi_{BC}^R = -0.1J$. Figure 5 compares the value of the order parameter ξ_{BC}^I along the axis $V_1 = 0$ for the full mean field Hamiltonian (blue points) and for the mean field Hamiltonian under the assumption $\xi_{BC}^R = -0.1J$. The approximate curve fits well to the one of the full solution.

Appendix C: Details of the MF phase diagram

In this Appendix, we discuss in detail the behavior along one line of the mean field phase diagram. Figure 6 shows the order parameters ξ_{BC}^I and ρ_2 for $\epsilon_A = 0$ and along the vertical axis $V_1 = 0$. The order parameters are considered to be 0 if their values are smaller than the numerical threshold set to $10^{-4}J$. For small V_2 , the system is in the CDW phase with $\rho_1 \neq 0$. At $V_{2c,\text{QAH}} = 0.37J$, ξ_{BC}^I is becoming nonzero and the system enters the topological phase. To verify this last statement, we have computed the Chern number using the FHS algorithm on the effective Hamiltonian and found $C_1 = 0$, $C_2 = 1$, $C_3 = -1$. At $V_2 > V_{2c,\text{Nem.}} = 0.47J$, ρ_2 becomes non zero. In this case, the nematic and the QAH phase coexist and interestingly the system is still in a topological regime with $C_2 = 1$. If V_2 is increased further, ξ_{BC}^I continuously decreases to zero and at $V_2 > V_{2c,\text{Nem. pure}} = 0.52J$, the system enters in a purely ungapped nematic phase.

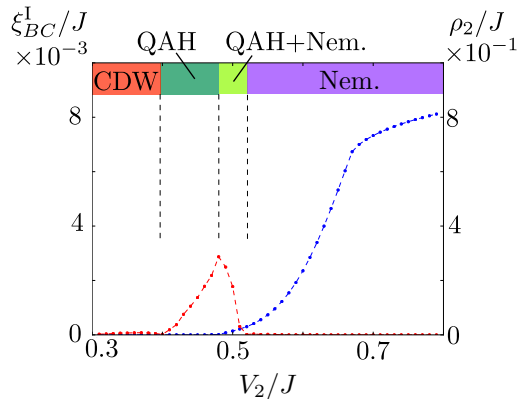


Figure 6. Order parameters ξ_{BC}^I and ρ_2 on the vertical axis $V_1 = 0$. The order parameters are considered to be nonzero when they are greater than the numerical threshold $10^{-4}J$.

Appendix D: Comment on the case of filling 1/3

For completeness, we briefly comment on the case of filling 1/3. Here, for a vanishing staggering potential $\epsilon_A = 0$, neither the QAH phase nor the nematic phase appear and the only phase generated by the interactions is the charge density wave ordered phase, corresponding to an opening of the energy gap between bands one and two. However, for finite $\epsilon_A > 0$, the system can exhibit both QAH and nematic phase, and here ϵ_A counteracts the formation of the CDW phase.

Appendix E: Comment on the case of filling 1/3

For completeness, we briefly comment on the case of filling 1/3. Here, for a vanishing staggering potential $\epsilon_A = 0$, neither the QAH phase nor the nematic phase appear and the only phase generated by the interactions is the charge density wave ordered phase, corresponding to an opening of the energy gap between bands one and two. However, for finite $\epsilon_A > 0$, the system can exhibit both QAH and nematic phase, and here ϵ_A counteracts the formation of the CDW phase.

Appendix F: Details on Rydberg dressing implementation

Outline of the scheme and effective two-body interaction potential. – The internal dynamics of the atoms in the Lieb lattice is described by the Hamiltonian $H = \sum_i H_i + \sum_{i<j} H_{ij}$, where the single-particle terms $H_i = (\Omega|r\rangle\langle g|_i + h.c.) + \Delta|r\rangle\langle r|_i$ describes the laser coupling (in dipole and rotating wave approximation) of atoms in the electronic ground state $|g\rangle$ to a Rydberg state $|r\rangle$, with Ω and Δ denoting the laser Rabi frequency and detuning, respectively. Pairwise interactions of atoms excited to $|r\rangle$ are given by $H_{ij} = V_{ij}|r\rangle\langle r|_i \otimes |r\rangle\langle r|_j$. For Rydberg s -states with repulsive van-der-Waals interactions $V_{ij} > 0$ the isotropic interactions fall off quickly with the interparticle distance, $V_{ij} = C_6|\mathbf{r}_i - \mathbf{r}_j|^{-6}$ [70].

We are interested in far off-resonant laser coupling, $\Omega/\Delta \ll 1$, where atoms initially residing in the electronic ground state $|g\rangle$ obtain a small admixture of Rydberg character. The effective Born-Oppenheimer two-particle potential for a pair of dressed ground state atoms can be derived in fourth-order perturbation theory (see Ref. [33] for details), yielding

$$(\Delta E)_{|gg\rangle} = 2 \frac{\Omega^4}{\Delta^3} \left[1 + \frac{2\Delta}{V_{ij}} \right]^{-1}, \quad (\text{F1})$$

which is shown in Fig. 3. Here, the trivial single-particle (fourth order in Ω/Δ) AC-Stark-shift has been subtracted. The Rydberg blockade radius corresponds to the length scale $r_c = (C_6/2\Delta)^{1/6}$, given by the condition $2\Delta \approx V_{ij}$. It determines where the crossover from the plateau-like short-distance behavior, with a universal energy shift of $(\Delta E)_{|gg\rangle} = 2\Omega^4/\Delta^3$ (up to a sub-leading correction), and the weakly interacting regime, with reduced van-der-Waals type interactions at large distances, $(\Delta E)_{|gg\rangle} = (\Omega/\Delta)^4 V_{ij}$, takes place.

Experimental laser and atomic parameters and imperfec-

tions – Whereas an optimal set of parameters (choice of the Rydberg state, laser parameters) depend on specifics of the setup and the atomic species used, one can see that reaching realistic conditions is feasible: the critical effective blockade radius r_c needs to be on the order of or slightly larger than the lattice spacing a ($r_c \gtrsim a$), with typical values on the order of $a \approx 500$ nm. For Rydberg s states of principal quantum number n around $n = 30$, with van-der-Waals interactions of the order of $2\pi\hbar \times 100$ MHz at that distance, a detuning chosen as $\Delta = 2\pi\hbar \times 50$ MHz and a Rabi frequency $\Omega = 2\pi\hbar \times 5$ MHz, the perturbative treatment in ($\Omega/\Delta = 0.1$) yields an effective interaction shift between ground state atoms of $(\Delta E)_{|gg\rangle} \approx 2\pi \times 10$ kHz. This is on the order of typical tunnelling rates of a few kHz. At the same time, it is much larger than the effective decay rate $\gamma_{\text{eff}} = (\Omega/\Delta)^2 \gamma_r = 2\pi \times 50$ Hz, which is induced by the finite lifetime of Rydberg states of a few tens of microseconds of $n = 30$ s -states at room temperature [61, 70]. One can show that for the considered lattice fillings of order one (1/3 and 2/3) at the present parameters the effective interaction is well-described by pair-wise interactions, and higher-order many-body effects as well as mechanical effects between the laser-dressed ground state atoms are negligible (see also the discussion in Ref. [33]).

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Supplementary material for: Quantum Simulation of a Topological Mott Insulator with Rydberg Atoms in a Lieb Lattice

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CONTENTS

A. Mean Field Hamiltonian	1
B. Generation of real nearest-neighbor (NN) hopping renormalization and real next-to-nearest-neighbor (NNN) hopping from interactions	2
C. Details of the MF phase diagram	3
D. Comment on the case of filling 1/3	4
E. Details on Rydberg dressing implementation	4
References	4

Appendix A: Mean Field Hamiltonian

In this Appendix, starting from Eq. (1), we outline the derivation of Eq. (3). Applying the Hartree-Fock decoupling to $n_i n_j$, the mean-field Hamiltonian reads

$$H_{\text{MF}} = E_0 + \sum_{\mathbf{k}} \Psi_{\mathbf{k}}^{\dagger} \mathcal{H}_{\mathbf{k}} \Psi_{\mathbf{k}}, \quad (\text{A1})$$

where

$$E_0/N_{\lambda} = 4V_1 |\xi_{AB}|^2 + 4V_2 |\xi_{BC}|^2 - 2V_1 \bar{n}_A (\bar{n}_B + \bar{n}_C) - 4V_2 \bar{n}_B \bar{n}_C \quad (\text{A2})$$

is a real number depending only on the expectation values of the operators, $\Psi_{\mathbf{k}}^{\dagger} = (c_{A,\mathbf{k}}^{\dagger}, c_{B,\mathbf{k}}^{\dagger}, c_{C,\mathbf{k}}^{\dagger})$ and where

$$\mathcal{H}_{\mathbf{k}} = \begin{pmatrix} \mathcal{H}_{\mathbf{k}}^{11} & -2J' \cos k_x & -2J' \cos k_y \\ -2J'^* \cos k_x & \mathcal{H}_{\mathbf{k}}^{22} & -4V_2 |\xi_{BC}| f_{\mathbf{k},\theta} \\ -2J'^* \cos k_y & -4V_2 |\xi_{BC}| f_{\mathbf{k},\theta}^* & \mathcal{H}_{\mathbf{k}}^{33} \end{pmatrix}, \quad (\text{A3})$$

with $J' = J + \xi_{AB} V_1$, $f_{\mathbf{k},\theta} = \cos k_x \cos k_y \cos \theta - i \sin k_x \sin k_y \sin \theta$ and

$$\begin{aligned} \mathcal{H}_{\mathbf{k}}^{11} &= 2(\bar{n}_B + \bar{n}_C) V_1, \\ \mathcal{H}_{\mathbf{k}}^{22} &= 2\bar{n}_A V_1 + 4V_2 \bar{n}_C, \\ \mathcal{H}_{\mathbf{k}}^{33} &= 2\bar{n}_A V_1 + 4V_2 \bar{n}_B. \end{aligned} \quad (\text{A4})$$

We introduce the CDW order parameter $\rho_1 = \bar{n}_A - (\bar{n}_B + \bar{n}_C)$, the nematic order parameter $\rho_2 = \bar{n}_B - \bar{n}_C$ and separate the real ξ_{BC}^R and imaginary ξ_{BC}^I contributions to the next-to-nearest neighbors hopping amplitude. This allows us to write the real

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number E_0 as $E_0/N_\lambda = 4V_1|\xi_{AB}|^2 + 4V_2((\xi_{BC}^R)^2 + (\xi_{BC}^I)^2) - V_1/2(n^2 - \rho_1^2) - V_2(1/4(n - \rho_1)^2 - \rho_2^2)$ and the matrix elements as

$$\begin{aligned}\mathcal{H}_{\mathbf{k}}^{AA} &= (n - \rho_1)V_1, \\ \mathcal{H}_{\mathbf{k}}^{BB} &= (n + \rho_1)V_1 + (n - \rho_1 - 2\rho_2)V_2, \\ \mathcal{H}_{\mathbf{k}}^{CC} &= (n + \rho_1)V_1 + (n - \rho_1 + 2\rho_2)V_2, \\ \mathcal{H}_{\mathbf{k}}^{AB} &= -2J' \cos k_x, \quad \mathcal{H}_{\mathbf{k}}^{AC} = -2J' \cos k_y, \\ \mathcal{H}_{\mathbf{k}}^{BC} &= -4V_2\xi_{BC}^R \cos k_x \cos k_y + 4V_2\xi_{BC}^I i \sin k_x \sin k_y.\end{aligned}\tag{A5}$$

We emphasize that the contribution proportional to ρ_1 in the next-to-nearest neighbor interaction is pathological and leads to an unstable free-energy: physically, the next-to-nearest neighbor interaction does not see A sites and therefore cannot generate an imbalance between sites A and B, C. We therefore omit this term in the remainder.

Appendix B: Generation of real nearest-neighbor (NN) hopping renormalization and real next-to-nearest-neighbor (NNN) hopping from interactions

In this section, we discuss the value of the renormalization of the NN hopping amplitude due to the NN interaction and the generation of the NNN hopping due to the NNN interaction. We show that these assumptions are reasonable by comparing it to the solution of the full MF equations on a chosen axis.

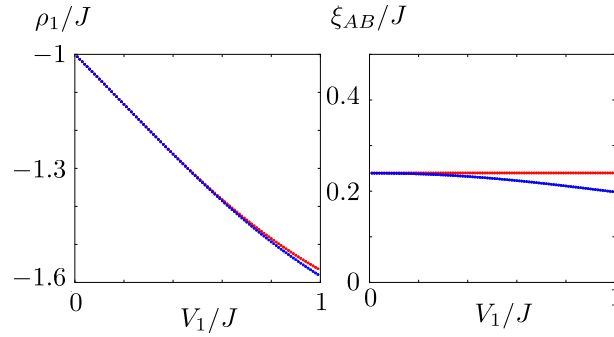


Figure 1. Order parameters ρ_1 and ξ_{AB} when $V_2 = 0$ for the full mean field Hamiltonian in blue and for the mean field Hamiltonian with the approximation $\xi_{AB} = 0.24J$ in red. The maximal error due to the approximation is of the order of $0.05J$.

Firstly, the NN interaction renormalizes the NN hopping amplitude $J \rightarrow J' = J + \xi_{AB}V_1$. This term will not lead to new phases in the phase diagram, but affects the position of the different phases. It is thus important to take it into account. To this end, we fix the value of ξ_{AB} as the solution of a simplified mean-field equation [1, 2]: starting from the mean field Hamiltonian defined in the App. A, we fix $\rho_1 = \rho_2 = \xi_{BC}^R = \xi_{BC}^I = 0$. The free energy is therefore given by the analytical expression:

$$F/N_\Lambda = 4V_1\xi_{AB}^2 - \frac{1}{\pi^2} \int_{\text{BZ}} \sqrt{4(J + V_1\xi_{AB})^2(\cos^2 k_x + \cos^2 k_y)},\tag{B1}$$

and the minimization of the free energy leads to the equation for ξ_{AB} :

$$\xi_{AB} = \frac{1}{\pi^2} \int_{\text{B.Z.}} \frac{J\sqrt{\cos^2 k_x + \cos^2 k_y}}{4} \simeq 0.24J.\tag{B2}$$

Fig. 1 compares the value of the order parameters along the axis $V_2 = 0$ for the full mean field Hamiltonian (blue dots) and the mean filed Hamiltonian with the assumption $\xi_{AB} = 0.24J$: the approximation agrees very well with the complete solution with a maximal absolute error of the order of $0.05J$, being indeed a small correction as compared to J .

Secondly, the NNN interaction generates a real NNN hopping contribution ξ_{BC}^R . We also take it into account and fix its value as the solution of a simplified mean-field equation, taking the Hamiltonian without interaction and only the contribution to the real NNN hopping due to the Hartree Fock decoupling of the NNN interaction. The real term is therefore expressed as

$E_0 = 4V_2(\xi_{BC}^R)^2$ and $\mathcal{H}_{\mathbf{k}}$ is written as

$$\mathcal{H}_{\mathbf{k}} = - \begin{pmatrix} 0 & 2J \cos k_x & 2J \cos k_y \\ 2J \cos k_x & 0 & J_2^R \cos k_x \cos k_y \\ 2J \cos k_y & J_2^R \cos k_x \cos k_y & 0 \end{pmatrix} \quad (\text{B3})$$

with $J_2^R = 4V_2\xi_{BC}^R$.

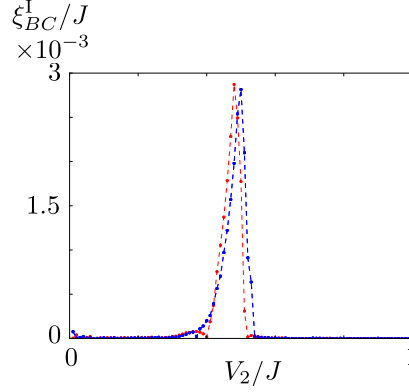


Figure 2. Order parameter ξ_{BC}^R when $V_1 = 0$ for the full mean field Hamiltonian in blue and for the mean field Hamiltonian with the approximation $\xi_{BC}^R = -0.1J$ in red.

In this case, the free energy needs to be minimized numerically. We find that ξ_{BC}^R is almost constant and that its value is $\xi_{BC}^R = -0.1J$. Figure 2 compares the value of the order parameter ξ_{BC}^I along the axis $V_1 = 0$ for the full mean field Hamiltonian (blue points) and for the mean field Hamiltonian under the assumption $\xi_{BC}^R = -0.1J$. The approximate curve fits well to the one of the full solution.

Appendix C: Details of the MF phase diagram

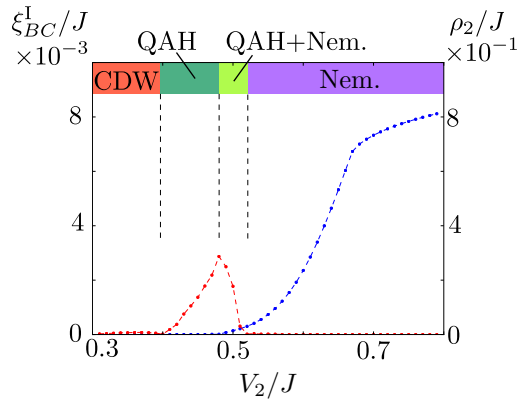


Figure 3. Order parameters ξ_{BC}^I and ρ_2 on the vertical axis $V_1 = 0$. The order parameters are considered to be nonzero when they are greater than the numerical threshold $10^{-4}J$.

In this Appendix, we discuss in detail the behavior along one line of the mean field phase diagram. Figure 3 shows the order parameters ξ_{BC}^I and ρ_2 for $\epsilon_A = 0$ and along the vertical axis $V_1 = 0$. The order parameters are considered to be 0 if their values are smaller than the numerical threshold set to $10^{-4}J$. For small V_2 , the system is in the CDW phase with $\rho_1 \neq 0$. At $V_{2c, \text{QAH}} = 0.37J$, ξ_{BC}^I is becoming nonzero and the system enters the topological phase. To verify this last statement, we have computed the Chern number using the FHS algorithm on the effective Hamiltonian and found $C_1 = 0$, $C_2 = 1$, $C_3 = -1$.

At $V_2 > V_{2c, \text{Nem.}} = 0.47J$, ρ_2 becomes non zero. In this case, the nematic and the QAH phase coexist and interestingly the system is still in a topological regime with $C_2 = 1$. If V_2 is increased further, ξ_{BC}^1 continuously decreases to zero and at $V_2 > V_{2c, \text{Nem. pure}} = 0.52J$, the system enters in a purely ungapped nematic phase.

Appendix D: Comment on the case of filling 1/3

For completeness, we briefly comment on the case of filling 1/3. Here, for a vanishing staggering potential $\epsilon_A = 0$, neither the QAH phase nor the nematic phase appear and the only phase generated by the interactions is the charge density wave ordered phase, corresponding to an opening of the energy gap between bands one and two. However, for finite $\epsilon_A > 0$, the system can exhibit both QAH and nematic phase, and here ϵ_A counteracts the formation of the CDW phase.

Appendix E: Details on Rydberg dressing implementation

Outline of the scheme and effective two-body interaction potential. – The internal dynamics of the atoms in the Lieb lattice is described by the Hamiltonian $H = \sum_i H_i + \sum_{i<j} H_{ij}$, where the single-particle terms $H_i = (\Omega|r\rangle\langle g|_i + h.c.) + \Delta|r\rangle\langle r|_i$ describes the laser coupling (in dipole and rotating wave approximation) of atoms in the electronic ground state $|g\rangle$ to a Rydberg state $|r\rangle$, with Ω and Δ denoting the laser Rabi frequency and detuning, respectively. Pairwise interactions of atoms excited to $|r\rangle$ are given by $H_{ij} = V_{ij}|r\rangle\langle r|_i \otimes |r\rangle\langle r|_j$. For Rydberg s -states with repulsive van-der-Waals interactions $V_{ij} > 0$ the isotropic interactions fall off quickly with the interparticle distance, $V_{ij} = C_6|\mathbf{r}_i - \mathbf{r}_j|^{-6}$ [3].

We are interested in far off-resonant laser coupling, $\Omega/\Delta \ll 1$, where atoms initially residing in the electronic ground state $|g\rangle$ obtain a small admixture of Rydberg character. The effective Born-Oppenheimer two-particle potential for a pair of dressed ground state atoms can be derived in fourth-order perturbation theory (see Ref. [2] for details), yielding

$$(\Delta E)_{|gg\rangle} = 2 \frac{\Omega^4}{\Delta^3} \left[1 + \frac{2\Delta}{V_{ij}} \right]^{-1}, \quad (\text{E1})$$

which is shown in Fig. 3. Here, the trivial single-particle (fourth order in Ω/Δ) AC-Stark-shift has been subtracted. The Rydberg blockade radius corresponds to the length scale $r_c = (C_6/2\Delta)^{1/6}$, given by the condition $2\Delta \approx V_{ij}$. It determines where the crossover from the plateau-like short-distance behavior, with a universal energy shift of $(\Delta E)_{|gg\rangle} = 2\Omega^4/\Delta^3$ (up to a sub-leading correction), and the weakly interacting regime, with reduced van-der-Waals type interactions at large distances, $(\Delta E)_{|gg\rangle} = (\Omega/\Delta)^4 V_{ij}$, takes place.

Experimental laser and atomic parameters and imperfections – Whereas an optimal set of parameters (choice of the Rydberg state, laser parameters) depend on specifics of the setup and the atomic species used, one can see that reaching realistic conditions is feasible: the critical effective blockade radius r_c needs to be on the order of or slightly larger than the lattice spacing a ($r_c \gtrsim a$), with typical values on the order of $a \approx 500$ nm. For Rydberg s states of principal quantum number n around $n = 30$, with van-der-Waals interactions of the order of $2\pi\hbar \times 100$ MHz at that distance, a detuning chosen as $\Delta = 2\pi\hbar \times 50$ MHz and a Rabi frequency $\Omega = 2\pi\hbar \times 5$ MHz, the perturbative treatment in ($\Omega/\Delta = 0.1$) yields an effective interaction shift between ground state atoms of $(\Delta E)_{|gg\rangle} \approx 2\pi \times 10$ kHz. This is on the order of typical tunnelling rates of a few kHz. At the same time, it is much larger than the effective decay rate $\gamma_{\text{eff}} = (\Omega/\Delta)^2 \gamma_r = 2\pi \times 50$ Hz, which is induced by the finite lifetime of Rydberg states of a few tens of microseconds of $n = 30$ s -states at room temperature [3, 4]. One can show that for the considered lattice fillings of order one (1/3 and 2/3) at the present parameters the effective interaction is well-described by pair-wise interactions, and higher-order many-body effects as well as mechanical effects between the laser-dressed ground state atoms are negligible (see also the discussion in Ref. [2]).

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