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Maternal colonisation with *Streptococcus agalactiae*, and associated stillbirth and neonatal disease in coastal Kenya

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Abstract

Streptococcus agalactiae (Group B Streptococcus, GBS) causes neonatal disease and stillbirth, but its burden in sub-Saharan Africa is uncertain. We assessed maternal recto-vaginal GBS colonisation (7967 women), stillbirth and neonatal disease. Whole genome sequencing was used to determine serotypes, sequence types (ST), and phylogeny. We found low maternal GBS colonisation prevalence (934/7967, 12%), but comparatively high incidence of GBS-associated stillbirth and early onset neonatal disease (EOD) in hospital (0.91(0.25-2.3)/1000 births; 0.76(0.25-1.77)/1000 live-births respectively). However, using a population denominator, EOD incidence was considerably reduced (0.13(0.07-0.21)/1000 live-births). Treated cases of EOD had very high case fatality (17/36, 47%), especially within 24 hours of birth, making under-ascertainment of community-born cases highly likely, both here and in similar facility-based studies. Maternal GBS colonisation was less common in women with low socio-economic status, HIV infection and undernutrition, but when GBS-colonised, they were more likely colonised by the most virulent clone, CC17. CC17 accounted for 267/915(29%) of maternal colonising (265/267(99%) serotype III, 2/267(0.7%) serotype IV), and 51/73(70%) of neonatal disease cases (all serotype III). Trivalent (Ia/II/III) and pentavalent (Ia/Ib/II/III/V) vaccines would cover 71/73(97%) and 72/73(99%) of disease-causing serotypes respectively. Serotype IV should be considered for inclusion, with evidence of capsular switching in CC17 strains.

47 Introduction

48 A half of all child deaths (<5 years) worldwide are in Sub-Saharan Africa (sSA),¹ and a third of
49 these deaths are in the neonatal period, from infection, preterm birth and neonatal
50 encephalopathy.¹ Stillbirths likely equal neonatal deaths in number, and infections are a major
51 contributor.² *Streptococcus agalactiae* (Group B Streptococcus, GBS) causes neonatal early
52 and late onset disease (EOD, LOD), stillbirth,³ and possibly contributes to preterm birth⁴ and
53 neonatal encephalopathy,⁵ from ascending maternal genito-urinary colonisation (Supplementary
54 Table 1 gives definitions). Whilst GBS emerged as the leading cause of EOD in the United
55 States in the 1960s⁶ and subsequently in Europe, in sSA, there remain major questions as to
56 whether GBS commonly colonises pregnant women, causes stillbirth, or is an important cause
57 of neonatal disease. Establishing this is essential, to inform potential preventive interventions. In
58 resource-rich countries, reductions in EOD have followed the introduction of maternal
59 microbiological or risk factor screening with intra-partum antibiotic prophylaxis (IAP).⁷ However,
60 there is uncertainty as to the feasibility of this approach in resource-poor settings, and there is
61 no evidence of effectiveness of IAP in preventing GBS-associated stillbirth, or LOD. Antisepsis
62 at delivery has been shown to be ineffective.⁸ However, maternal vaccination may provide a
63 feasible strategy to reduce GBS disease in resource-poor countries. A trivalent conjugate
64 vaccine (serotypes Ia/Ib/III) has completed phase 2 clinical trials,⁹ and a pentavalent vaccine is
65 in development.¹⁰

66 Understanding which women are most likely to be GBS colonised could provide insight into both
67 the emergence of GBS, and variation in reported prevalence of maternal GBS colonisation:
68 Europe/United States 5-40%,^{11,12} Africa 9-47% (Supplementary Table 2). Reported maternal
69 risk factors for colonisation are conflicting, with increased maternal GBS colonisation reported in
70 both younger¹³ and older¹⁴ age groups; African-American mothers,¹³⁻¹⁵ and those with higher

71 education,^{14,16} higher income,¹⁶ high sexual activity,¹⁴ and obesity.^{15,16} Data from sSA are
72 limited, but are also conflicting for potentially important risk factors such as HIV-infection. In
73 South Africa, maternal GBS colonisation was lower in HIV-infected mothers¹⁷ but in Malawi,
74 only amongst HIV-infected mothers with lower CD4 counts.¹⁸ In the USA¹⁵ and Zimbabwe¹⁹ no
75 association with HIV was found. The limited data from studies in Kenya, Zimbabwe, Malawi and
76 South Africa on colonising maternal serotypes in sub-Saharan Africa suggest serotype III is the
77 most common (Ia/Ib/II/IV/V also reported).^{18,20-22}

78 For neonatal disease, data outside of the United States and Europe are sparse.²³ In sSA,
79 facility-based studies generally report a high incidence of neonatal GBS disease, but
80 population-based and outpatient studies have reported much lower incidences,^{24,25} including
81 what was described as a “striking absence” of invasive neonatal GBS disease in large out-
82 patient based studies.²⁴ However, regional estimates, that included only four studies from Africa
83 (one of which is our study site in Kilifi County)^{8,26-28} suggest that Africa may have the highest
84 regional burden of neonatal GBS disease at 1.2(0.50-1.91)/1000 live-births.²³ These limited data
85 suggest that serotype III, as described in other regions,²³ most commonly causes disease; for
86 EOD and LOD in Malawi 52% and 72%;²⁷ in South Africa 49% and 76%,²⁹ with serotypes
87 Ia/Ib/II/V also reported.^{27,29} The incidence of GBS-associated stillbirth is unknown in sub-
88 Saharan Africa,³ with data from two studies; one found no GBS-associated stillbirth,³⁰ the other
89 8/66(12%) stillbirths.³¹

90 The population structure of GBS in Europe and the United States can be described by five
91 major clonal complexes: CC1, CC10, CC17, CC19 and CC23,^{32,33} with CC17 overrepresented
92 in disease isolates.^{32,34} These five clonal complexes are also found in Africa;³² in addition, CC26
93 is common in some regions, representing 15% of sampled GBS isolates in Dakar and Bangui.³⁵

94 GBS also causes bovine mastitis, which is largely mediated by the bovine-specific CC67,
95 although the five major human clonal complexes can also be found in cattle.^{33,36,37}

96 In this study, we aimed to comprehensively describe the clinical epidemiology of maternal GBS
97 colonisation, neonatal disease and stillbirth in coastal Kenya, with molecular analysis to
98 determine associated serotypes, sequence types (ST), and phylogeny.

100 **Results**

101 **Maternal GBS colonisation and adverse perinatal outcomes**

102 During the study, 10,130 pregnant women attended a health facility and we recruited 7,967
103 (Figure 1, sample size Supplementary Table 3). Of these, 526/7967(6.6%) were from rural sites,
104 5470/7967(68.7%) from semi-rural and 1971/7967(24.7%) from an urban site. There were some
105 differences in demographics in those excluded (Supplementary Table 4), with emergency
106 referrals more likely to be excluded as well as women with incomplete data on age, ethnicity or
107 parity, although overall numbers were small. Transport times to the laboratory were longer from
108 urban and rural sites (median 11h(range 0-48h); 11h(0-52h) respectively compared to semi-
109 rural (5h(0-73h)), but there was no evidence of association between GBS isolation and time to
110 sample processing across all sites (OR=1.00(0.99-1.00) p=0.6), across rural and urban sites
111 (OR=0.99(0.98-1.00)), or each site individually (Supplementary Figure 1).

112 Overall, 934 (11.7%(11.0-12.5%)) women were GBS-colonised at delivery. Prevalence was
113 lowest at the rural sites (47/526, 8.9%(6.6-11.7%)), intermediate in the semi-rural site
114 (608/5470, 11.1%(10.2-12.0%)) and highest at the urban site (279/1971, 14.2%(12.6-15.8%);
115 trend P<0.001). However, after adjustment for other risk factors (including maternal age, socio-
116 economic status and ethnicity; univariable analyses Supplementary Table 5), the odds of

117 isolating GBS at the urban site (OR=0.95(0.92-0.98)) and rural site (OR=0.91(0.88-0.94)) were
118 lower than at the semi-rural site ($p<0.001$), Table 1.

119 GBS colonisation was independently associated with maternal age, highest in the middle
120 categories (Supplementary Figure 2; $p=0.023$), and parity (≥ 5 vs 1-4) (OR=0.81(0.70-0.93)
121 $p<0.001$) as well as Mijikenda ethnicity (indigenous population, OR=0.73(0.59-0.90) $p=0.003$)
122 (Table 1). GBS colonisation was increased in women with higher socio-economic status
123 (OR=1.21(1.13-1.29), $p<0.001$) and those who had contact with cattle (OR=1.29(1.17-1.43)
124 $p<0.001$). GBS colonisation was reduced amongst HIV-infected women, and especially in HIV-
125 infected women taking co-trimoxazole prophylaxis (OR=0.68(0.42-1.09); OR=0.24(0.14-0.39),
126 $p<0.001$), in less well-nourished mothers (OR=0.72(0.60-0.88), $p<0.001$) and women with
127 obstetric emergencies (OR=0.85(0.79-0.92), $p<0.001$).

128 There was evidence that adverse perinatal outcomes (very preterm delivery, very low birth-
129 weight, stillbirth, possible serious bacterial infection (definitions Supplementary Table 1) were
130 associated with maternal GBS colonisation in multivariable models in the context of interactions
131 with clinical risk factors for invasive GBS disease, such as maternal temperature $>37.5^{\circ}\text{C}$,
132 urinary tract infection, and prolonged rupture of membranes $>18\text{h}$ (Figure 2, Supplementary
133 Tables 6-9). In contrast, without GBS colonisation there was no evidence that these clinical
134 factors conferred elevated risk of poor outcomes. There was no evidence of association of
135 maternal GBS colonisation with perinatal mortality ($p=0.7$; Supplementary Table 10), including
136 testing for an interaction with any risk factor for GBS disease ($p=0.4$).

137 Of 918/934(98.3%) colonising isolates available and extracted, 915/934(98.0%) were of
138 sufficient quality for genomic analysis. Amongst colonised mothers, 658/915(71.9%) of GBS
139 isolates were serotypes Ia, Ib or III; serotype III being most common (350/915(38.3%)); Clonal-
140 complex 17 (CC17) comprised 267/915(29.2%), Figures 3 and 4, Supplementary Table 11,

141 GBS- colonised women. Of these, 265/267(99.3%) were serotype III and 2/267(0.7%) were
142 serotype IV.

143 The population structure was broadly similar to other parts of the world, with 114/915(12.5%)
144 CC1, 148/915(16.2%) CC10, 268/915(29.3%) CC17, 173/915(18.9%) CC19, 208/915(22.7%)
145 CC23, whilst 4/915(0.4%) did not belong to any commonly described clonal complex. No
146 bovine-associated CC-67³⁸ GBS isolates were identified. Each of the five major clonal
147 complexes were represented at each site (Figure 4, Supplementary Table 12), with no evidence
148 for geographic stratification. Within clonal complexes, there was considerable diversity, with a
149 total of 43 distinct STs, 18 of which were newly identified in this study. The largest number of
150 STs was seen in CC17 (12 STs total, 8 newly identified). The most common STs within CC17
151 were ST17 (183/268,68.3%) and ST484 (67/268,25.0%).

152 Within GBS-colonised women, risk factors for colonisation with the most virulent clone CC17,
153 were, in general, the reverse of those associated with GBS colonisation overall (Table 2).

154 Maternal GBS CC17 was increased in the rural site (OR=1.26(1.20-1.31), $p<0.001$), women of
155 Mijikenda ethnicity (OR=1.62(1.43-1.85), $p<0.001$), and women with HIV-infection and women
156 with HIV-infection taking co-trimoxazole (OR=1.46(1.11-1.92); OR=4.30(0.59-31.3), $p<0.001$).

157 Mothers who had cattle contact (OR=0.54(0.45-0.64), $p<0.001$) and were better nourished
158 (OR=0.79(0.42-1.49), $p<0.001$) were less frequently colonised with CC17, but this did not hold
159 for ST-17 (Supplementary Table 13). For each of the risk factors, including cattle contact, the
160 corresponding isolates were dispersed in the phylogeny (Figure 4), suggesting that the
161 associations were not driven by specific sub-lineages.

162 Pairwise comparison of all maternal colonising isolates in mothers delivering at Kilifi County
163 Hospital showed increased genetic similarity in a small number of mothers who delivered within
164 7 days of each other, but not according to household location (Supplementary Figure 3). Of

165 mothers admitted <7 days apart, in Kilifi County Hospital, there were 14/91013(1.4%) pairs from
166 mothers admitted on the same day with 0-4 Single Nucleotide Variant (SNV) differences,
167 11/1967(0.6%) 1 day apart, 2/1845(0.1%) 2 days apart and 2/1832(0.1%) 6 days apart
168 ($p<0.001$). At the rural sites, of mothers admitted <7 days apart, there were 2/124(1.6%) pairs
169 from mothers admitted on the same day with 0-4 SNV differences and 2/219(0.9%) 1 day apart
170 ($p=0.1$). At the urban site, there were 8/987(0.8%) pairs from mothers admitted on the same day
171 with 0-4 SNV differences and 3/1555(0.2%) 1 day apart ($p<0.001$).²²

172 **GBS in mother-neonatal pairs (surface contamination)**

173 We recruited 830 mother and baby pairs at KCH (Figure 1, and Supplementary Table 14);
174 104/830 (12.5%(10.4-15.0%)) mothers were colonised with GBS at delivery and 44/830
175 (5.3%(3.9-7.1%)) neonates had GBS isolated from ear, umbilicus or nose within 6h of delivery.
176 30/44(68.2%) neonates with surface GBS were born to one of the 104 GBS-colonised mothers
177 and 14/44(31.8%) were born to one of the 726 mothers without colonising GBS detected; of
178 which 2/14(14.3%) were born by caesarean section. Odds of neonatal surface GBS were high
179 with maternal GBS colonisation (OR=20.6(10.5-40.6, $p<0.001$)).

180 Pairwise SNV comparisons between maternal and newborn isolates showed a clear bimodal
181 distribution: 26/30(86.7%) pairs differed by ≤ 4 SNVs (all pairs the same ST and serotype),
182 presumably representing vertical transmission, and 4/30(13.3%) pairs were highly divergent
183 (>9000 SNVs, with different STs and different serotypes), Figure 4. Combining all pairs with ≤ 4
184 SNVs, the SNVs were dispersed throughout the genome, with no gene represented more than
185 once. There were 7/44(15.9%) neonates with surface GBS after delivery by caesarean section,
186 5 of their mothers had GBS detected; 3/5 had 0 SNV differences, 1/5 1 SNV, and 1/5 9673
187 SNVs.

189 **Stillbirth**

190 There were 278 stillbirths during the nested case-control study (278/4394(6.3%) all births). We
191 sampled cord blood in 149/278(53.6%) (94/149(63.1%) intra-partum, 55/149 (36.9%) ante-
192 partum stillbirths) 104 also had a lung aspirate; 34/278 (12.2%) had a lung aspirate sample only.
193 In total 183/278(65.8%) stillbirths were sampled, plus 330 live-birth cord blood controls (Figure
194 1).

195 GBS was isolated from 4/183 (2.2%(95%CI0.6-5.5)) stillbirths (3/149 cord blood samples, 2/138
196 lung aspirates; one stillbirth had GBS isolated from both); two ante-partum (36 and 39 weeks'
197 gestation) and two intra-partum (35 and 39 weeks'). Overall minimum incidence of GBS-
198 associated stillbirth (cord blood or lung aspirate) was 0.91(0.3-2.3)/1000 births. Compared to
199 live-born controls (GBS isolated from 1/330(0.3%)), GBS was isolated more frequently from
200 cord-blood in stillbirths (OR=6.8(0.7-65.5), p=0.09), and in a multinomial model ante-partum
201 stillbirths (OR=12.4(1.1-139.3)) and intra-partum stillbirth (OR=3.5(0.2-57.1) exact p=0.055).
202 Serotype data were available from three stillbirths; two were serotype V and one serotype III.

203 There were 2/4 GBS-associated stillbirths born to GBS colonised mothers (2/2 pairs differed by
204 0 SNVs, all ST1, serotype V); one mother was not colonised, one was not tested. Risk ratio for
205 GBS-associated stillbirth in GBS-colonised vs non-colonised mothers 7.6(1.1-52.6, p=0.016).

206 **Neonatal disease**

207 Eighty-two neonates with invasive GBS disease were admitted to KCH (1998-2013, Figure 1):
208 36/82(43.9%) and 43/82(52.4%) with EOD and LOD respectively (3 unknown). Case fatality was
209 highest in EOD 17/36(47.2%) despite treatment, particularly for those diagnosed <24h of birth
210 (11/18(61.1%)). In cases of LOD, 5/43(11.6%) died. Most GBS EOD cases (52/82(63.4%)) were
211 male, and 25/82(30.5%) were <2500g at admission (Supplementary Table 15). Sepsis without

212 focus was predominant in EOD (33/36(91.6%)), with meningitis (+/- sepsis) being more common
213 in LOD (21/43(48.8%)), (Figure 3). Gestational age was not routinely available from prior clinical
214 surveillance data, however, there were five EOD cases with gestations of 36, 36, 37, 37 and 40
215 weeks' born at the time of the prospective cohort study (vs median 38 (IQR 36-40) overall in
216 prospective cohort).

217 EOD incidence amongst deliveries at KCH during the cohort study (2011-13) was 0.76(0.25-
218 1.77)/1000 live-births. Including only residents in KHDSS population (1998-2013), the
219 (minimum) population-based incidence of neonatal GBS disease was 0.34(0.24-0.46)/1000 live-
220 births: EOD 0.13(0.07-0.21)/1000 live-births and LOD 0.21(0.14-0.31)/1000 live-births; with no
221 evidence of a trend over the study period (Supplementary Figure 4).

222 There were 73/82(89.0%) neonates with invasive isolates available and extracted, and all were
223 of sufficient quality for inclusion in the final analysis. Serotypes Ia/Ib/III caused 71/73(97.3%)
224 and serotypes Ia/Ib/II/III, caused 72/73(98.6%) of EOD and LOD. Serotype III predominated in
225 both EOD (18/30(60.0%)) and LOD (36/40(90.0%); $p=0.003$ χ^2 test for trend); these isolates
226 were all CC17, except 1 CC-19 isolate (Figure 4). Serotype III was the almost universal cause of
227 meningitis; 22/23(95.7%) cases, of which 21/22(95.4%) were CC17; Figure 3, Supplementary
228 Table 16. Isolates were all susceptible to penicillin and 61/76(80.3%) were susceptible to co-
229 trimoxazole.

230 Three of the five neonates with EOD born at KCH (2011-2013) were born to GBS-colonised
231 mothers (1/3 pairs differed by 0 SNVs (both ST17, serotype III), 1/3 88 SNVs (1 ST17, 1 ST484,
232 both serotype III) and 1/3 1002 SNVs (both ST17, serotype III): risk ratio (RR) for EOD for GBS-
233 colonised vs non-colonised mothers 11.8(2.0–70.3) $p<0.001$. For all perinatal GBS disease
234 (EOD or stillbirth) RR=13.1(3.1–54.8, $p<0.001$).

235

236 Discussion

237 GBS is an important cause of stillbirth and neonatal disease in Kenya. The incidence of stillbirth
238 was comparable to early onset disease (EOD) in hospital births ((0.91(0.25-2.3)/1000 births)
239 and 0.76(0.25-1.77)/1000 live-births respectively). These incidences are all underestimates, with
240 samples not taken from all stillbirths, and insensitivity in cultures, particularly if intrapartum
241 antibiotics were given. The much lower population-based incidence of EOD (0.13(0.07-
242 0.21)/1000 live-births) suggests recruitment bias with under ascertainment of cases in the
243 community, or in out-patient settings, due to rapid case fatality after delivery and limited access
244 to care. This is supported by the higher proportion of late onset disease (LOD), which is the
245 reverse of the ratio of GBS disease typically seen in high-income countries.²³ Whilst it could be
246 argued that facility delivery is a risk factor for EOD (if there was in-hospital maternal GBS
247 acquisition), we found very limited evidence of horizontal transmission in facilities, with few
248 genetically near-identical pairs (0-4 SNVs, threshold determined empirically from newborn
249 surface contamination study) in mothers admitted <7days of each other.

250 However, there may be true differences in incidence of both GBS-associated stillbirth and
251 neonatal GBS disease in sub-Saharan Africa, neither explained by study design nor other
252 methodological limitations. The incidences of neonatal GBS disease recently reported in urban
253 South Africa²⁹ and Malawi²³ are high, and could be due to differences in maternal GBS
254 colonisation prevalence; consistent with our finding of higher prevalence of maternal GBS
255 colonisation in urban compared to semi-rural and rural residents. This association was
256 explained by variables describing improved socio-economic status, and other factors associated
257 with improved health, such as better nutritional status, being in the middle age categories, and
258 lower parity, both in the complete-case analyses and using multiple imputation. Whilst our study

259 includes impoverished populations, the pattern of risk factors identified is consistent with recent
260 studies in high-income countries reporting increased maternal GBS colonisation with higher
261 education^{14,16} and higher income.¹⁶ The reasons for this are unclear, but it likely relates to
262 changes in the maternal microbiome, with different community-states reported.³⁹

263 Use of prophylactic co-trimoxazole amongst HIV-infected women had a clear negative
264 association with GBS colonisation. Previously reported conflicting findings,^{17,18} may depend on
265 the frequency of antimicrobial use (and provision of anti-retroviral therapy). In contrast, neonatal
266 GBS disease is increased with HIV-exposure,⁴⁰ with reduced maternal GBS capsular antibody
267 in HIV-1 infection,^{41,42} and/or because, as shown here, the most virulent clone, CC17, is more
268 frequently found in HIV-infected GBS colonised women, compared to other non-CC17 types.
269 There have been a number of virulence factors (adhesins, invasins and immune evasins)
270 associated with increased ability of GBS to colonise and cause disease,⁴³ with the more
271 homogeneous CC17 having acquired its own set of virulence genes,³⁸ and increased ability to
272 form biofilms in acidic conditions.⁴⁴

273 We observed an association between cattle contact and maternal GBS colonisation, however,
274 no bovine-associated CC-67 isolates were identified, and the isolates from women with cattle
275 contact were from a variety of lineages representing all major CCs. Little is known about bovine
276 GBS populations in Kenya, and it is possible that the human and bovine populations are similar,
277 and thus the association between cattle contact and maternal GBS colonisation from genuine
278 transmission, as suggested elsewhere.⁴⁵ Alternatively, women who look after cattle may be of
279 higher socio-economic status and thus the association due to residual confounding.

280 The overall GBS population structure here is similar to previous studies from a variety of
281 geographic locations, supporting the notion of recent global dissemination of relatively few
282 clones.³² Within this study, we found no evidence for geographic clustering of related isolates,

283 both at the level of sampling location (Figure 4), as well as distance between households
284 (Supplementary Figure 3), further suggesting rapid geographic dispersal of GBS. However, in
285 contrast to a previous study from Africa,³⁵ we found no CC-26 isolates, suggesting this lineage
286 may be geographically restricted. Furthermore, we found a large number of ST-484 isolates
287 67/915(7.3%) of total, 67/268(25.0%) of CC17; this lineage has previously been reported in only
288 a single study, also from Kenya.⁴⁶ We also identified three novel STs that represent single-locus
289 variants of ST-484. Taken together, it is possible that ST-484 originated in or near Kenya, with
290 relatively little geographic dispersal. Alternatively, there may be a lack of GBS sampling in other
291 locations where ST-484 is present.

292 Prevention strategies in resource-rich settings focus on reducing EOD through intra-partum
293 antibiotic prophylaxis (IAP) using either microbiological or risk-factor screening to identify at-risk
294 mothers;⁷ both strategies would be challenging in resource-poor settings. Of interest, when
295 comparing these strategies, however, is the fact that associations with adverse perinatal
296 outcomes were only detected through interactions between maternal GBS colonisation and
297 clinical risk factors. This supports a mechanism of action whereby colonising maternal GBS
298 ascends, leading to chorioamnionitis (intra-amniotic infection) and fever in a small proportion of
299 women, leading to poor perinatal outcomes. Neither maternal GBS colonisation without signs of
300 infection, nor maternal fever without GBS colonisation increased the risk of adverse perinatal
301 outcomes. Thus either approach (microbiological or risk-factor screening) will target far larger
302 numbers than those actually at risk. Any direct association between maternal GBS colonisation
303 and adverse outcomes may also be diluted by the many other causes of adverse perinatal
304 outcomes, and by misclassification (e.g. uncertainty over the date of the last menstrual period to
305 determine gestation), which may explain some of the conflicts in findings in studies assessing
306 the contribution of GBS to preterm birth.⁴

307 We demonstrated vertical transmission of maternal GBS colonisation in maternal-newborn
308 dyads, for both surface contamination (including in cases of emergency caesarean section) and
309 perinatal disease. Genetically divergent maternal-newborn dyads may reflect un-sampled
310 variation in the mother, as only a single colony was sequenced in each case. Whilst adaptive
311 mutations associated with disease progression have been reported elsewhere from the
312 comparison of mother-newborn pairs,⁴⁷ we were unable to find evidence for this in the current
313 study, as all pairs involving invasive isolates were either genetically identical (0 SNVs), or
314 divergent enough to argue against this. The findings show GBS infection occurs prior to
315 delivery; supporting the need for IAP to be administered before delivery to be effective, and
316 showing why antiseptics in active labour, for example vaginal chlorhexidine wipes, are ineffective
317 in reducing neonatal EOD.⁸ The finding of 14/44(31.8%) newborns with surface GBS
318 contamination, where maternal GBS colonisation was not identified suggests insensitivity of
319 maternal recto-vaginal screening, despite the consistent use of broth-enrichment and blood agar
320 to maximise sensitivity. This is a higher percentage than a recent study in The Gambia
321 (40/186(21.5%)),⁴⁸ but this study excluded mothers at high risk for pregnancy complications.
322 Similarly to repeat vaginal examinations, as seen here and reported elsewhere,⁴⁹ complicated
323 deliveries (obstetric emergencies) likely decrease GBS sampling sensitivity, through antiseptics
324 measures, or mechanical removal.

325 With limitations in the clinical benefit of IAP in terms of reducing stillbirth and LOD, as well as
326 challenges in effective implementation to reduce EOD in sSA, maternal vaccination is an
327 attractive strategy for prevention. The most advanced vaccine (completed phase 2 trials) is
328 trivalent (Ia/Ib/III), but plans are to advance a pentavalent vaccine.¹⁰ If this includes the most
329 common disease-causing serotypes worldwide (Ia/Ib/II/III/V), it will cover almost all
330 72/73(98.7%) of the serotypes causing invasive disease in this study. However, importantly for
331 vaccine development, and in line with other reports,⁵⁰ we identified capsular switching to

332 serotype IV in 2 isolates within CC17, suggesting consideration of inclusion of serotype IV is
333 warranted.

334 GBS is an important, potentially preventable, cause of stillbirth and neonatal death in coastal
335 Kenya. Maternal GBS colonisation is increased with urbanisation and higher socio-economic
336 status, and likely to increase with development. GBS neonatal disease in population-based
337 studies is markedly under-ascertained through rapid case fatality after birth and limited access
338 to care, and is equalled by the burden of GBS-associated stillbirth. Maternal GBS vaccination is
339 a key opportunity to reduce stillbirth and neonatal death in this high burden region.

341 **Methods**

342 **Study design**

343 The study design included a prospective cohort at rural, semi-rural and urban sites, a nested
344 case-control study in the semi-rural site, and analysis of surveillance of neonatal disease at the
345 semi-rural site (Figure 1).

346 *Prospective cohort study:* In a prospective cohort study (2011-13), we assessed prevalence and
347 risk factors for maternal GBS colonisation at delivery, and perinatal outcomes at delivery
348 (stillbirth, gestational age, birth-weight, possible serious bacterial infection, and perinatal death).

349 *Nested case control study:* Investigation of stillbirth was undertaken with a nested case-control
350 study; Cord blood cultures were taken at delivery from the stillbirth, and the next two
351 subsequent admissions that were live-born (case: controls 1:2). Lung aspirates were taken from
352 stillbirths only, by a study clinician attending within 4 hours of the stillbirth.

353 *Surveillance of neonatal invasive bacterial disease:* Neonatal disease was quantified using
354 systematic clinical and microbiological surveillance data (1998-2013 at Kilifi County Hospital)

355 within the Kilifi Health and Demographic Surveillance System (KHDSS) area, giving accurate
356 population and birth denominators (see study sites).⁵¹

357 **Study sites**

358 The studies were conducted at Coast Provincial General Hospital, Mombasa (CPGH) (urban,
359 ~12,000 deliveries/year, comprehensive obstetric care); Kilifi County Hospital (KCH) (semi-rural,
360 ~3000 deliveries/year, comprehensive obstetric care); Bamba sub-district hospital (rural, ~600
361 deliveries a year, basic obstetric care) and Ganze health facility (rural, ~400 deliveries a year,
362 basic obstetric care).

363 A part of Kilifi County is included in detailed health and demographic surveillance (KHDSS)⁵¹
364 from which accurate population data are available from 2004. Kilifi County Hospital (KCH) is the
365 main district hospital which serves this population, so incidence estimates for residents seeking
366 health care at KCH can be made with the KHDSS population as the denominator. We used
367 prospectively collected data on live births from the regular re-enumerations of the KHDSS
368 population, and used the estimated slope from a regression to estimate the number of births
369 prior to the start of KHDSS.

370 **Study population**

371 *Prospective cohort study:* We included all women admitted for delivery at study sites admitted at
372 designated times who gave written informed consent, without additional exclusion criteria. We
373 planned to recruit over one calendar year (to allow for seasonality), but extended enrolment to
374 meet sample size requirements (Supplementary Table 3) because national strikes closed
375 government health facilities twice during the study. Recruitment was done at CPGH for 48 hours
376 each week (01.04.2012-31.07.2013), at Bamba and Ganze for 6 days each week (01.07.2012-
377 31.07.2013) and at KCH every day (01.08.2011-31.07.2013) including additional studies of
378 neonatal surface contamination (01.05.2012 to 31.07.2013)

379 *Nested case control study:* We included all stillbirths delivered in Kilifi County Hospital and the
380 next two consecutive live births (01.05.2012-01.10.2013).

381 *Surveillance of neonatal invasive bacterial disease:* We included all neonates admitted to Kilifi
382 County Hospital (01.08.1998-1.10.2013).

383 **Sampling and laboratory methods**

384 *Prospective cohort study:* We took recto-vaginal swabs during routine vaginal examination at
385 admission for delivery, when possible prior to rupture of membranes. A small cotton swab was
386 used to wipe the lower third of the vaginal mucosa and then the inside surface mucosa of the
387 anus,⁵² according to standard procedures. Neonatal surface swabs (to assess surface
388 contamination) included the external ear, nares and umbilicus. Swabs were placed into Amies
389 transport medium with charcoal,⁵³ refrigerated, transported in cool containers⁵³ to the research
390 laboratory (participating in UK National External Quality Assessment Service) and processed by
391 standard protocols (including enrichment (LIM broth) and sub-culture onto blood agar). Isolates
392 with GBS morphology were CAMP tested and definitive grouping done using a Streptococcal
393 grouping latex agglutination kit (PRO-LAB Diagnostics, USA).

394 *Nested case control study:* For stillbirths and live-born controls, we sampled cord blood at
395 delivery after double clamping the cord if necessary and cleaning with 70% ethanol. We
396 processed cord blood cultures using an automated culture system (BACTEC 9050, Becton
397 Dickinson, UK). We took lung aspirate samples (stillbirths only) with a sterile technique
398 aspirating the lung, within four hours of delivery. We examined lung aspirates with microscopy
399 and culture using standard methods within 30 minutes of sampling, or if delay was unavoidable
400 stored at 2-8°C for up to 8 hours.

401 *Surveillance of neonatal invasive bacterial disease:* For all neonatal admissions (1998-2013) at
402 KCH, we sampled peripheral blood on admission for culture, prior to neonatal antibiotic

403 treatment (during 2011-2013, peri-partum maternal antibiotics were documented in
404 36/5430(0.7%) of deliveries in KCH); we did lumbar puncture when clinically indicated. We
405 tested isolates for antimicrobial susceptibility to penicillin and co-trimoxazole (British Society for
406 Antimicrobial Chemotherapy). We processed blood cultures using an automated culture system
407 (BACTEC 9050); we tested cerebrospinal fluid as described elsewhere.²⁶

408 **Molecular methods**

409 We performed DNA extraction, Illumina sequencing (HiSeq technology) and raw read
410 processing using standard methods starting from a single GBS colony. GBS isolates were
411 frozen in 1mL vials and stored at -80°C prior to sub-culture on a Columbia blood agar plate for
412 24-48 hours, followed by DNA extraction using a commercial kit (QuickGene, Fujifilm, Tokyo,
413 Japan) from a single colony. High throughput sequencing was undertaken at the Wellcome
414 Trust Centre for Human Genetics (Oxford University, UK) using HiSeq2500, generating 150
415 base paired-end reads. *De novo* assembly, mapping and variant calling were performed as
416 previously described,⁵⁴ except that mapping was to the *S. agalactiae* reference genome
417 2603V/R (NC_004116.1). Sequence quality was assessed using various metrics (% reads
418 mapped to reference genome, % reference positions called, contig number, total contig length).
419 Sequence data showing poor quality metrics was excluded from further analysis; where
420 practicable the corresponding samples were re-isolated, re-grouped and re-sequenced (if re-
421 grouping confirmed the isolate as GBS). Sequence data were submitted to the NCBI
422 Sequence Read Archive under BioProject PRJNA315969. Individual accession numbers are
423 provided in Supplementary Table 17 (BioProject PRJNA315969).

424 We allocated serotype on the basis of BLASTn comparisons assessing sequence similarity of
425 *de novo* assemblies with the capsular locus regions of each of the ten known GBS serotypes.
426 We validated this method internally ($\kappa=0.92$).⁵⁵ Sequence types (ST) were also assigned *in*

427 *silico* using BLASTn with *de novo* assemblies. Novel STs were submitted to pubmlst.org for
428 assignment. Phylogenetic analysis was performed separately for each clonal complex using
429 RAxML version 8.1.16, with an alignment consisting of all variable sites from mapping to the
430 2603V/R reference, padded to the length of the reference with invariant sites of the same GC
431 content as the original data. Recombination was detected using ClonalFrameML,⁵⁶ and we
432 present the resultant phylogenies with recombinant regions removed. To partition the isolates
433 according to previously described clonal complexes, we first reconstructed a single RAxML
434 phylogeny with all isolates. The resulting tree was then visually partitioned on long, deep
435 branches, which effectively corresponded to previously described clonal complexes, but
436 enabled us to include all STs. We have therefore used this partitioning as our definition of the
437 clonal complexes. Using this definition, each ST belongs to a single clonal complex and each
438 clonal complex is monophyletic (Supplementary Figure 6), indicating that partitioning by clonal
439 complex remains appropriate when whole-genome data is taken into account.

440 Pairwise comparison of SNV differences from mapped data was used to examine maternal and
441 newborn paired GBS isolates, and possible transmission of GBS between mothers was
442 investigated through these differences and epidemiological links in time and place (through
443 delivery in Kilifi County Hospital) or residence (distance between household locations in Kilifi
444 HDSS).

445 **Statistical analysis**

446 We used Stata (version 13.1) for statistical analyses. We used the first principal component
447 from a set of household assets as a proxy for socio-economic status (SES).⁵⁷ We used multiple
448 imputation with chained equations (Stata mi) to impute missing data on potential risk factors
449 (<15% per variable; 50 imputations). Continuous variables were checked for normality and
450 transformation was not required. We used natural cubic splines to allow for non-linearity in

451 variable effects in imputation models. Imputations were done separately by maternal GBS
452 status so that interactions could be examined in the analyses of adverse newborn outcomes.
453 The same imputation was used for both analyses; by imputing separately for GBS colonisation
454 there are fewer assumptions than if it was fitted as a covariate (allows variances of continuous
455 imputed variables to differ according to GBS colonisation, and the associations between two
456 imputed variables can be stronger in one group).

457 We built multivariable logistic regression models using complete-case and imputed datasets
458 (combined using Rubin's rules) to examine risk factors for maternal GBS colonisation using
459 robust variances reflecting clustering by site. We included non-linearity in continuous variables
460 via natural cubic splines, with factors categorised at quartiles for presentation of final models.
461 Risk factors with $p < 0.1$ in univariable models were included in a multivariable model and final
462 independent predictors identified using backwards elimination (exit $p > 0.1$). We assessed
463 whether risk factors for maternal GBS colonisation were associated with ST-17 (and CC17)
464 colonisation in mothers who were GBS colonised using the same process, for complete-cases
465 only.

466 We used the imputed dataset in multivariable regression analyses to examine whether maternal
467 GBS colonisation was associated with gestational length, birth-weight, possible serious bacterial
468 infection, stillbirth or perinatal mortality. We included pre-specified confounders (age, parity, sex
469 (of new-born), maternal education, SES, nutritional status, HIV status, obstetric complication
470 and multiple delivery) and tested for interaction with GBS colonisation from prolonged rupture of
471 membranes (PROM, >18 h), maternal fever ($>37.5^{\circ}\text{C}$) or urinary tract infection (leukocytes and
472 nitrites present). We included these terms in multivariable models if there was evidence of
473 interaction at the $p < 0.1$ level.

474 We estimated the odds of isolating GBS from cord blood in all stillbirths, then ante-partum and
475 intra-partum stillbirths, compared to live-births. We estimated incidence of GBS-associated
476 stillbirth and neonatal disease using denominators of facility births, and community births, for
477 residents of Kilifi Health and Demographic Surveillance Study.⁵¹

479 Ethics

480 The study protocol was approved by KEMRI Ethical Review Committee (SSC/ERC 2030) and
481 the Oxford Tropical Research Ethics Committee (53-11) (clinicaltrials.gov NCT01757041).

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674 **Contributions**

675 The study was conceived and designed by ACS, ACK, SCM, CJ, BT, SJS, SHK, GD, DWC, and
676 JAB. Data were acquired, analysed and/or interpreted by ACS, ACK, AES, HCB, JL, EA, SM,
677 SM, KA, AV, AG, PM, LW, HM, DM, MS, BK, NM, EM, DM, VB, MS, O, NO, ASW, SJS, GF,
678 DWC, JAB. Administrative or technical support was given by AES, SM, SCM, KA, AV, AG, PM,
679 LW, CJ, NM, BT, EM, DM, VB, MS, MO, NO, ASW, SHK, GF, DWC, and JAB. Statistical
680 analysis was done by ACS, with advice from GF, ASW and JAB. Phylogenetics were done by
681 AES with ACS. The first draft was written by ACS. All authors reviewed the manuscript.

682
683 **Competing financial interests**

684 We declare no competing interests.

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Table 1: Exposures associated with maternal Group B Streptococcus (GBS) colonisation

Variable	No GBS ^c		GBS ^c		Complete cases (N=3979)			Imputation OR
	N not missing	N not missing	(%)	OR	95%CI ^a	p ^b		
Site	Rural	479	47	(8.9)	0.80	(0.73-0.88)	<0.001	0.91
	Semi-rural	4862	608	(11.1)	1			1
	Urban	1692	279	(14.2)	0.96	(0.93-1.00)		0.95
Age in quartiles (years)^e	<21.5	1674	166	(9.0)	0.77	(0.55-1.15)	0.009	0.80
	21.5-25.3	1663	223	(11.8)	1.15	(0.87-1.22)		1.03
	25.4-29.9	1656	213	(11.4)	1			1
	≥30	1672	186	(10.0)	0.91	(0.78-1.18)		0.96
Parity	0	2986	365	(10.9)	1.06	(0.99-1.09)	<0.001	1.05
	1-4	3550	442	(11.1)	1			1
	≥5	1341	119	(8.2)	0.85	(0.69-0.92)		0.81
Ethnicity: Mijikenda^d	No	2226	345	(13.4)	1		0.002	1
	Yes	5617	578	(9.3)	0.65	(0.60-0.90)		0.73
Household socioeconomic status (quartiles)^e	Very low	1086	96	(8.1)	0.88	(0.66-1.16)	<0.001	0.89
	Low	2720	294	(9.8)	1			1
	Medium	2123	229	(9.7)	1.00	(0.82-0.92)		0.88
	High	2038	315	(13.4)	1.24	(1.06-1.30)		1.21
Mother looks after cattle	No	7471	873	(10.5)	1		<0.001	1
	Yes	449	56	(11.1)	1.46	(1.17-1.42)		1.29
Nutritional status (mid-upper arm circumference in cm^e)	≤23.9	1428	125	(8.0)	0.77	(0.60-0.89)	<0.001	0.72
	24-25.9	2219	264	(10.6)	1			1
	26-27.9	1662	183	(9.9)	0.80	(0.66-1.07)		0.85
	≥28	2170	309	(12.5)	1.02	(0.78-1.40)		1.05
HIV infection	No	7285	879	(10.8)	1		<0.001	1
	Yes, no CTX ^f	239	20	(7.7)	1.16	(0.92-1.45)		0.68
	Yes, on CTX ^f	161	5	(3.0)	0.20	(0.14-0.26)		0.24
Vaginal examination before swab	No	4952	609	(11.0)	1		0.019	1
	Yes	780	73	(8.6)	0.57	(0.36-0.91)		0.83
Obstetric complication	No	6913	823	(10.6)	1		<0.001	1
	Yes	1054	111	(9.5)	0.78	(0.70-0.88)		0.85

^a 95% confidence intervals are given, based on robust standard errors to account for intracluster correlation within recruitment sites

^b p values are derived from the Wald test (imputations combined using Rubin's rules)

^c Full details on all variables and numbers for missing variables are given in Supplementary Table 4

^d Mijikenda are the indigenous coastal population

^e For continuous variables we tested for associations prior to non-linearity, natural cubic splines were used (Supplementary Table 4). The largest group was used as the reference group.

^f CTX=co-trimoxazole prophylaxis

Table 2: Exposures associated with maternal Group B Streptococcus (GBS) colonisation with

Variable	GBS			Univariable complete cases (N=914)			p ^b	Mu (N)
	Not CC17	N CC17	(%)	OR	95%CI ^a	OR		
Site	Rural	33	13	28.3	0.85 (0.43-1.65)	0.072		
	Semi-rural	403	187	31.7	1			
	Urban	211	67	24.1	0.68 (0.49-0.95)			
Age in quartiles (years) ^d	<21.5	115	49	29.9	1.16 (1.07-1.27)	0.2		
	21.5-25.3	156	60	27.8	1.05 (0.92-1.21)			
	25.4-29.9	153	56	26.8	1			
	≥30	130	51	28.2	1.07 (0.85-1.35)			
Parity	0	257	98	27.6	0.86 (0.49-1.51)	0.4		
	1 to 5	301	133	30.6	1			
	≥5	83	35	29.7	0.95 (0.83-1.10)			
Ethnicity: Mijikenda ^e	No	262	79	23.2	1	<0.001		
	Yes	379	183	32.6	1.60 (1.52-1.69)			
Household socioeconomic status ^d (quartiles)	Very low	71	25	26.0	0.61 (0.48-0.80)	<0.001		
	Low	192	95	33.1	1			
	Medium	155	69	30.8	1.21 (0.82-1.80)			
	High	229	78	25.4	0.69 (0.41-1.15)			
Mother looks after cattle	No	598	255	29.9	1	<0.001		
	Yes	44	12	21.4	0.64 (0.58-0.70)			
Nutritional status (mid-upper arm circumference in cm) ^d	<23.9	81	41	33.6	1.19 (0.57-2.56)	0.0042		
	24-25.9	181	77	29.8	1			
	26-27.9	130	48	27.0	0.87 (0.56-1.35)			
	≥28	219	85	28.0	0.91 (0.57-1.46)			
HIV infection	No	608	251	29.2	1	<0.001		
	Yes, no CTX ^e	13	7	35.0	1.30 (1.21-1.40)			
	Yes, on CTX ^e	2	3	60.0	3.63 (1.58-8.34)			

^a 95% confidence intervals are given, based on robust standard errors to account for intracluster correlation within recruitment sites

^b p values are derived from the Wald test

^c Mijikenda are the indigenous coastal population

^d For continuous variables we tested for associations prior to categorisation and inclusion in the model. Where there was non-linearity, natural cubic splines were used (Supplementary Figure 2). Data were categorised for ease of presentation, and the largest group was used as the reference group.

^e CTX=co-trimoxazole prophylaxis

Figure 1: Study design and recruitment of participants by study site

a, Recruitment timeline and sub-studies undertaken at each study site. **b**, Recruitment of mothers in the cohort study. *The denominator for live-births in the prospective cohort period, used to calculate incidence of early onset disease in Kilifi County Hospital (KCH) excluded those who did not deliver, or had a stillbirth (leaving 6598.**These mothers (7967) were included in the analysis of risk factors for maternal GBS colonisation. [§]These births (7833) were included in analyses assessing GBS as a risk factor for stillbirth or perinatal death. ^{§§}These live-births (7408) were included in analyses assessing GBS as a risk factor for preterm birth, low birth-weight or possible serious bacterial infection. **c** Recruitment for the vertical transmission study (maternal-neonatal dyads), a subset of mothers who delivered in KCH. **d** Recruitment for stillbirth nested case-control study including mothers who delivered in KCH and had a stillbirth, and controls.

Figure 2: Interaction of risk factors at delivery with maternal GBS colonisation associated with adverse newborn outcomes.

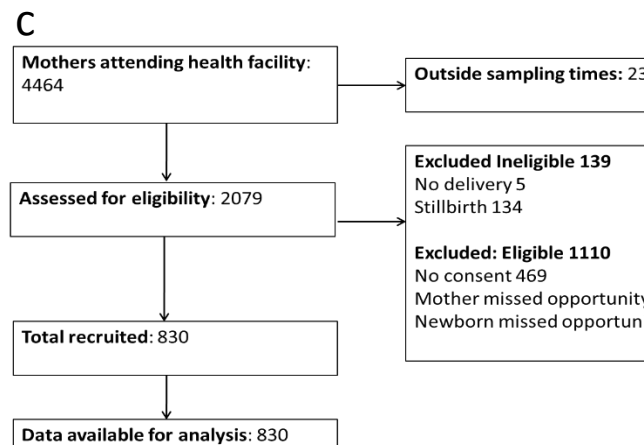
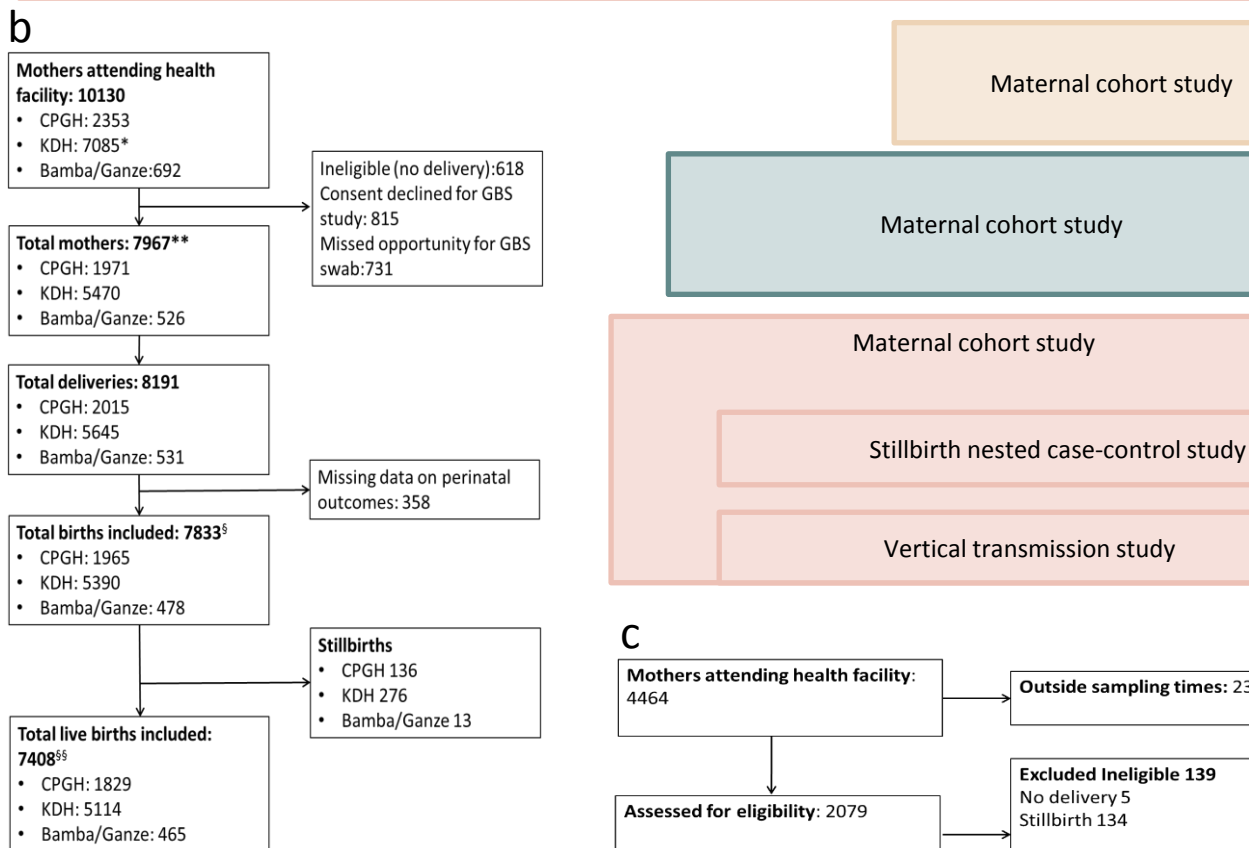
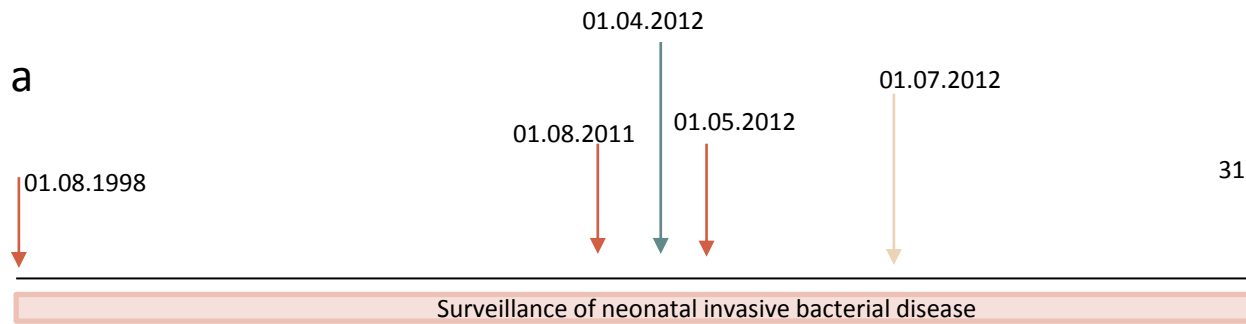
Interactions between maternal risk factors at delivery (maternal fever, maternal urinary tract infection, prolonged rupture of membranes) and adverse perinatal outcomes (very preterm birth, very low birth weight, stillbirth, possible serious bacterial infection), in the presence and absence of maternal GBS colonisation. Odds ratios are given for maternal exposures and associated perinatal outcome (listed vertically) with 95% confidence intervals illustrated with error bars for the odds ratio in each case. Interactions were included in multivariable models if there was evidence of interaction at the $p < 0.1$ level in univariable analyses. P values given here are for interaction tests in imputed multivariable models (details for all models in Supplementary tables 5-9). **Possible serious bacterial infection (pSBI) is defined in Supplementary table 1; it is a clinical diagnosis used to guide empiric treatment of neonates for possible serious bacterial infections in resource-poor settings.

Figure 3: GBS types colonising mothers and causing disease.

a, Invasive neonatal GBS disease cases decrease after the first few days of birth in Kilifi County Hospital neonatal admissions (1998-2013), and serotype III causes an increasing proportion of disease; **b**: The clinical infection syndrome is predominantly sepsis in the first few days after birth in neonates admitted with invasive GBS disease to Kilifi County Hospital (1998-2013) with increasing numbers of neonates admitted with meningitis with or without sepsis later in the neonatal period; **c**, The percentage of different serotypes in GBS isolates from maternal colonisation, early onset disease (EOD) and late onset disease (LOD) in neonates shows a stepwise increase in serotype III from maternal colonisation to EOD and LOD; **d**, The percentage of different clonal complexes in GBS isolates from maternal colonisation, neonatal sepsis and neonatal meningitis (+/- sepsis) shows the increasing dominance of CC-17 in neonatal disease, particularly in neonatal meningitis.

Figure 4: Phylogenetic reconstructions of GBS isolates

Maximum likelihood phylogenies, with recombinant regions removed, are shown separately for each clonal complex. Background shading indicates ST-17 isolates within CC-17. Serotypes are illustrated for each clonal complex in the innermost circle. The next circle describes the sample source of the GBS isolate (neonatal invasive, or maternal colonising (by site of recruitment)). For maternal colonising isolates, epidemiological details are illustrated. From the outermost circle, these are: maternal HIV status (negative, HIV-infected, HIV infected and taking prophylactic co-trimoxazole), socio-economic status (high, medium, low and very low), ethnicity (Mijikenda or non-Mijikenda) and the presence or absence of cattle contact.



Outcome: Very preterm birth
Exposure: Maternal fever



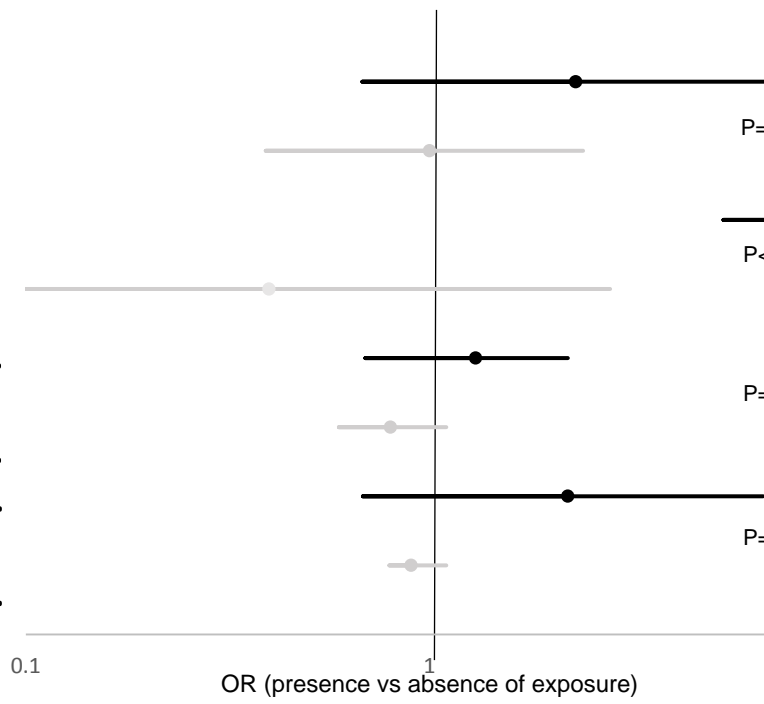
Outcome: Very low birth weight
Exposure: Maternal fever



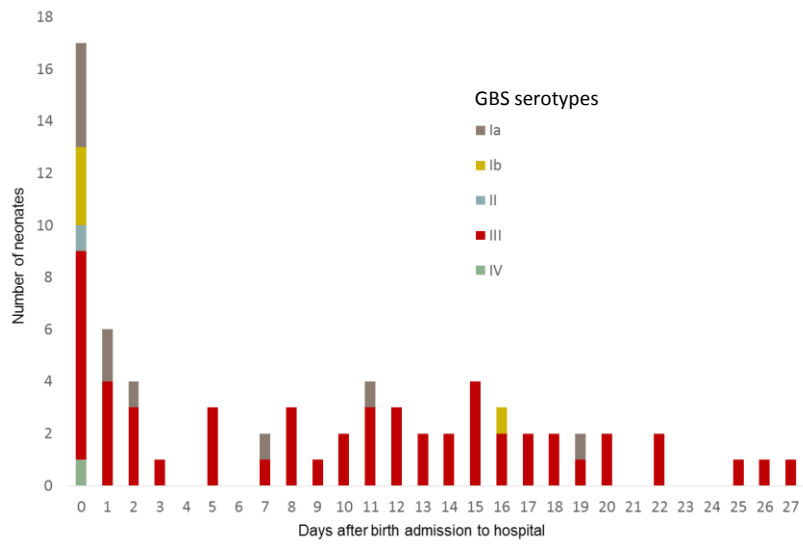
Outcome: Stillbirth
Exposure: Maternal urinary tract infection



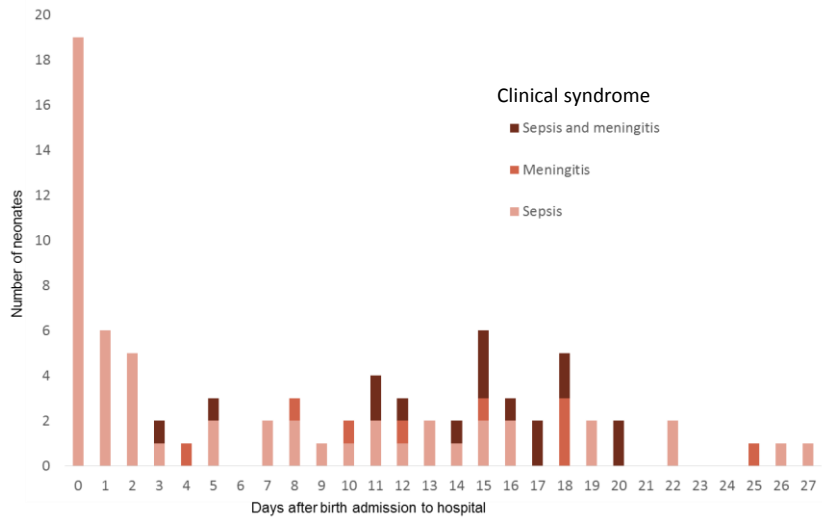
Outcome: Possible Serious Bacterial infection**
Exposure: Prolonged rupture of membranes



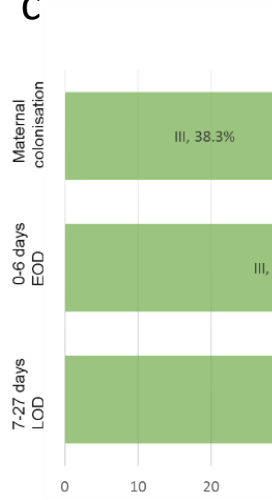
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