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1 **Polyvinylidene fluoride membranes impregnated at optimised content of pristine and**
2 **functionalised multi-walled carbon nanotubes for improved water permeation, solute**
3 **rejection and mechanical properties**

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11
12 **Abstract**

13 Pristine (CNTs-P) and oxygen-plasma-functionalised (CNTs-O) multi-walled carbon
14 nanotubes were incorporated in polyvinylidene fluoride (PVDF) membranes using the phase
15 inversion technique. N-methyl 2-pyrrolidone solvent gave good dispersion and stability of the
16 CNTs and hence was used for membrane fabrication. The membranes were characterised and
17 their performances in water permeation and solutes (NOM, BrO_3^- , Br^- and Cl^-) rejection were
18 evaluated at different CNT contents. SEM imaging of the membranes showed asymmetric
19 finger-like porositic structure with small channelling tubes in the top layer that connect with
20 larger channelling tubes in the deeper side. The finger-like pores were shallower in CNTs-
21 O/PVDF membranes than the PVDF or CNTs-P/PVDF membranes. Due to oxygenated
22 groups imparted by CNTs-O, CNTs-O/PVDF membranes were more wettable, presented
23 higher electronegativity and hence better rejection of the anions. CNTs have increased
24 membrane porosities and mean pore sizes and have lead to significantly enhanced water flux
25 by up to 3.3 (CNTs-O) and 3.7 (CNTs-P) times that of pure PVDF membranes. They have

1 also improved the rejections of NOM, bromate, bromide, and chloride at absolute values as
2 high as 93.4%, 21.7%, 10.5%, and 9.2% respectively for CNTs-O/PVDF membrane. CNTs
3 have also enhanced significantly the mechanical properties of the PVDF membranes and a
4 CNT content of 0.2% mass was optimal.

5

6 **Key words:** Polyvinylidene fluoride membrane; Ultrafiltration; Multi-walled carbon
7 nanotubes; Impregnation.

8

9 **1. Introduction**

10 Poly vinylidene fluoride (PVDF), $[-CH_2-CF_2-]_n$, is a highly hydrophobic semicrystalline,
11 acid resistant and chemically inert polymer. It has recently gained considerable attention as
12 one of the promising materials in polymeric membrane fabrication (Liu et al., 2011; Kang
13 and Cao, 2014). PVDF membranes have been widely used for fine separation processes, such
14 as microfiltration, ultrafiltration, nanofiltration, membrane distillation, pervaporation and gas
15 separation (Buonomenna et al., 2007; Sukitpaneenit et al., 2010; Kang and Cao, 2014).
16 Techniques such as grafting and surface modification (Bottino et al., 2000; Hashim et al.,
17 2009; Zheng et al., 2011), addition of inorganic chemicals (Bottino et al., 2002; Fontananova
18 et al., 2006), and blending with other polymers (Yan and Wang, 2011; Pezeshk and Narbaitz,
19 2012) have been successfully used to modify the PVDF membrane porous structure and
20 enhance its permeability, solute rejection, and fouling resistance. Impregnation of polymeric
21 membranes with graphitic carbon materials has particularly attracted considerable attention in
22 the last two decades (Yin and Deng, 2015). Among the carbon materials, carbon nanotubes
23 (CNTs) are very interesting materials to use for altering the properties of polymeric
24 membranes. This is because they possess high surface area, high aspect ratio, frictionless
25 surfaces, simple functionalisation and good dispersion in common organic polymers.

1 Research studies have found that CNTs increase membrane permeate flux and reduce
2 roughness leading to enhanced membrane rejection and fouling resistance (Vatanpour et al.,
3 2011). CNTs can also be functionalised to produce active functional groups (e.g. -OH, =O, -
4 COOH, -F, =N, -NH₂) that enhance the separation of water solutes (Rao et al., 2007; Madaeni
5 et al., 2011). Besides, impregnation of CNTs increases the mechanical strength of the
6 polymer and provides control of the pore dimensions at the nanometer scale (Reich et al.,
7 2004). Despite the many advantages offered by CNTs, studies on their use in PVDF
8 nanocomposite membrane fabrication are scarce and it is only recently that few studies have
9 started to emerge. Zhang et al. (2013) have successfully used pristine and chemically
10 oxidised MWCNTs to fabricate hybrid CNTs/PVDF membrane using the phase inversion
11 method where N,N-dimethylacetamide (DMAc) was used as a solvent. They found that the
12 addition of oxidised MWCNTs enhanced the hydrophilicity, permeability, antifouling and
13 mechanical performances of the membrane. On the other hand, Madaeni et al. (2011)
14 embedded CNTs in PVDF by simply filtering a CNT solution through a commercial PVDF
15 membrane. Xu et al. (2014) have used the thermally induced phase separation to produce
16 PVDF/O-MWCNT membranes with dense structure. They have also shown that the addition
17 of O-MWCNTs improved the surface hydrophilicity (i.e. wettability) and the anti-fouling
18 property of the membrane. In contrast, Ma et al. (2013) have used a relatively high
19 percentage of MWCNTs in PVDF (up to 2% mass) and found that the water flux has
20 increased by 11 times of that of pure PVDF membranes. They have also found that O-
21 MWCNTs played a critical role in determining the morphologies and performances of the
22 PVDF membranes impregnated with MWCNTs.

23

24 Although only very limited number of studies has been conducted so far on CNTs/PVDF
25 membranes, the characteristics of the produced membranes are not well established and are

1 difficult to predict since they are affected by many factors including the CNT type, its
2 functionalisation, and its content in the polymer as well as the membrane fabrication
3 conditions (e.g. solvent and non-solvent used, temperature, solution mixing conditions). This
4 present study discusses the effects of the type and content of CNTs in modifying the
5 properties of hybrid CNTs/PVDF membranes fabricated by the phase inversion technique.
6 The study also evaluates the effects of CNT type and composition and membrane operating
7 conditions on water permeation and solutes rejection.

8

9 **2. Experimental**

10 *2.1. Materials*

11 Analytical grade N-methyl-2-pyrrolidone (NMP), pristine multiwalled carbon nanotubes
12 (CNTs-P), humic acid to represent natural organic matter (NOM), sodium bromate (NaBrO₃),
13 and sodium bromide (NaBr) were purchased from Sigma Aldrich, UK whilst analytical grade
14 of sodium chloride (NaCl) and N,N-dimethylformamide (DMF) were purchased from Fisher
15 Scientific, UK. Polyvinylidene fluoride (PVDF) (Kynar 761 type) and plasma oxidised
16 multiwalled carbon nanotubes (CNTs-O) were kindly supplied free of charge by Arkema, Ltd
17 and Haydale, Ltd respectively. Both CNTs were used as received without further treatment.
18 The pertinent physical properties of the CNTs from the manufacturers are shown in Table 1.

19

20 **Table 1**

21

22

23

24

25 *2.2. Fabrication of CNTs/PVDF Membranes*

1 Stock solutions of dispersed CNTs (see Supplementary Material) were made by adding an
2 accurate mass of CNTs in 100mL of solvent (i.e. NMP) to achieve concentrations of 0, 0.05,
3 0.1, 0.2, 0.3 and 0.4 %mass CNTs. The solutions were then ultrasonicated for 1 hour before
4 being used for membrane fabrication.

5 Kynar 761 PVDF powder was placed in an oven at 105°C for 24 hours to remove any
6 moisture contained in the solid material. 4.12 g of dried PVDF powder was mixed with 20mL
7 of either pure NMP or NMP containing CNTs in a 60mL glass beaker to obtain 20 %mass of
8 polymer solution. This solution was then stirred at 250 rpm by a mechanical agitator (IKA-
9 Werke GmbH & Co. KG, Germany) at 70°C for 3 hours to make sure that all PVDF was
10 dissolved homogeneously. A water bath was used to control the operating temperature. After
11 this mixing step, the solution was then cooled to 20±1°C in a desiccator to prevent exposure
12 to water vapour. Once the solution was bubble-free, it was then casted on a 30 × 35 cm
13 smooth and clean glass plate. The membrane casting depth was set by a casting knife at
14 200µm.

15 The casted membrane solution was then dipped in 25L deionised water (DI) bath as soon as
16 the casting process finished minimising solvent evaporation and exposure to air humidity.
17 Solvent and non-solvent displacement and membrane coagulation were done at about
18 20±1°C. Although the coagulation took place very fast, the membrane was kept in the DI
19 water bath for 2 hours to ensure completion of the membrane formation process. Finally, the
20 prepared membrane was stored in a DI water container at room temperature for testing.

21

22 *2.3. Characterisation*

23 The particle size distribution of CNTs in NMP were determined by High Performance
24 Particle Sizer 3.3 (Malvern Instruments Ltd., UK) and their zeta-potential values were
25 determined by a Zetasizer 2000 (Malvern Instruments Ltd., UK). Both of these measurements

1 were presented as the average of 10 readings (results are in Supplementary Material).
2 Viscosity of membrane solutions were determined by Ostwald BS/U tube viscometer size G
3 (Rheotek, UK) at 30°C. The membrane wettability was determined by contact angle
4 measurements with a DAT 1100 (Fibro System ab, Sweden) using 4μL of DI water as the
5 wetting liquid and the contact angle was measured 12s after the wetting liquid was dropped
6 on the membrane. The measurement data were presented as average of five readings.
7 Membrane zeta-potential values were determined by EKA Electrokinetic Analyser (Anton
8 Paar GMBH, Austria) based on the streaming potential method. The analyses were made at
9 pH 3.5 – 11 by a 10⁻³ M of KCl solution served as the electrolyte.

10

11 Polyethylene Glycol (PEG) of different molecular masses (3.35, 10, 20, and 35 kDa) and
12 Polyethylene Oxide (PEO) of a 100 kDa molecular mass were used to determine the
13 molecular weight cut off (MWCO) of the fabricated membranes by the solute transport
14 method (Singh et al., 1998). The concentration of PEG or PEO in the feed or permeate were
15 determined by UV-VIS spectrophotometer (Agilent 8453, Agilent Technology, UK) at a
16 wavelength of 192 nm (Gajdos et al., 2007; JianBin et al., 2008). Standard calibration curves
17 ($R^2 > 0.995$) for each molecular size solute were prepared and used for the determination of
18 solute concentrations.

19

20 The membrane volume porosity (ϵ) is defined as the ratio between the volume of the pores
21 and the total volume of the porous membrane. The volume of the pores was determined from
22 measurement of the volume of water that occupied the pores of a wetted membrane using a
23 mass difference between a wet and dry membrane (Equation 1) (Thürmer et al., 2012; Zhao
24 et al., 2013).

$$\epsilon = \frac{(m_{wet} - m_{dry})/\rho_l}{(m_{wet} - m_{dry})/\rho_l + m_{dry}/\rho_p} \times 100\% \quad (1)$$

1 where: m_{wet} is the mass of wet membrane, m_{dry} is the mass of dry membrane, ρ_l is the density
2 of water and ρ_p is the density of the polymer (in this study $\rho_p = 1.78 \text{ g/cm}^3$).

3
4 The mean pore radius, r_p , which represents the average pore size along the membrane
5 thickness, was determined by the filtration velocity method according to the revised form
6 Guerout-Elford-Ferry equation (Equation 2) (Yuliwati et al., 2011; Vatanpour et al., 2012).

$$r_p = \sqrt{\frac{(2.9 - 1.75\varepsilon) \times 8\eta l Q}{\varepsilon A \Delta P}} \quad (2)$$

7 where: l is the membrane thickness (m), η is the water viscosity ($8.9 \times 10^{-4} \text{ Pa.s}$), ε is the
8 membrane volume porosity determined as above, A is the membrane surface area (m^2), Q is
9 the flowrate of permeate (m^3/s) and ΔP is the transmembrane operating pressure (Pa).

10

11 The molecular structure of the membrane was determined by a universal sampling attenuated
12 total reflectance (ATR) combined with spectrum one Fourier Transform Infrared (FTIR)
13 spectroscopy (PerkinElmer, UK) and the quantitative analysis of oxygen contained in the
14 membrane was determined by an X-MAX silicon drift detector electron dispersive X-ray
15 spectroscopy (Oxford Instrument, UK). The structure and surface imaging of the membranes
16 were analysed using a Hitachi S4800 field emission scanning electron microscopy (SEM)
17 (Hitachi, Japan). For the SEM analysis, the membrane samples were freeze-fractured and
18 gold-coated before analysis. The freeze fracture was done by dipping the membrane in liquid
19 nitrogen for several minutes then fractured as the membrane froze. The samples were then
20 gold coated by sputter coater (Edwards, UK) at 20mA for 15s to create a gold coating
21 thickness of approximately 3nm.

22

1 The mechanical properties including tensile strength, elongation at the break point and Young
2 modulus of dry membranes were investigated at room temperature with a Hounsfield
3 Universal Testing Machine (Hounsfield UTM, UK now known as Tinius Olsen, Ltd., UK)
4 (100 N max load cell) using a strain rate of 50 mm/min. The membrane sample sizes were
5 length=5cm, width=1 cm and thickness = 100 μm as determined by a micrometer. The
6 mechanical results were averaged from four samples.

7

8 *2.4. Membrane Operation*

9 Water permeation and solute rejection of the fabricated pristine PVDF and CNTs/PVDF
10 membranes were evaluated using a cross-flow ultrafiltration membrane system. The
11 membrane effective area was 9.6 cm^2 and the retentate water flowrate was set at 1 L/min
12 whilst the transmembrane pressure (TMP) was set at 1.80, 2.80 or 4.85 bar. The mass of
13 permeate was measured as function of time by a digital analytical balance connected to a
14 computer for automatic data logging. The permeate flux, as determined from the slope of the
15 line representing permeate mass versus time divided by the effective membrane area, was
16 measured for about 15 minutes and it was started after the first 5mL of permeate were
17 collected. Membrane rejection of NOM (10 mg/L), bromate (200 $\mu\text{g/L}$), bromide (1 mg/L),
18 and chloride (1000 mg/L) were also evaluated using the various membranes manufactured in
19 this study. Although the fabricated membranes are expected to fall in the ultrafiltration pore
20 size range and hence they may not be adequate for significant salt rejection, the use of salt in
21 this study was to provide understanding whether the impregnation of CNTs in the membrane
22 modifies salt rejection, possibly, based on charge repulsion and/or adsorption. The
23 concentrations of NOM were measured by the UV-Vis spectrophotometer using a pre-
24 determined calibration curve at a wavelength of 254 nm. The concentrations of the anions
25 were determined using an ion chromatograph Dionex ICS-900 (Dionex Corporation,

1 Sunnyvale, CA) equipped with IonPac™ AS14A (4 × 250 mm) analytical column,
2 Ionpac™ AG14A (4 × 50 mm) guard column and a DS5 suppressed conductivity detector
3 with suppressor AMMSTM 300 4mm. The eluent was 8mM Na₂CO₃/1.0mM NaHCO₃. The
4 IC was interfaced with a PC for data acquisition and analysis using the Chromeleon®
5 software. Calibration curves were determined using standard solutions of the anions at
6 different concentrations.

7

8 **3. Results and discussion**

9

10 *3.1. Membrane Characterisation*

11 *3.1.1. Contact angle*

12 The wettability properties of membranes can be determined by measuring the contact angle
13 between the membrane surface and water as a wetting liquid. In general, high contact angles
14 mean that the material is more difficult to wet. Figure 1 compares the averaged contact angles
15 at different contents of pristine and oxidised CNTs. It can be observed that higher content of
16 CNTs-P added into the polymer matrix resulted in higher contact angles meaning that CNTs-
17 P make the membranes less wettable. However, increasing the content of CNTs-O resulted in
18 more wettable surfaces since the contact angle was reduced as the content of CNTs-O
19 increased. The change in contact angle could be linked to the presence of CNTs on the
20 surface of the membrane. Overall, when the CNT content changed from 0 to 0.4%, the
21 contact angles have changed from 73.2° to 69.8° and 77.3° for CNTs-O/PVDF and CNTs-
22 P/PVDF membranes respectively. This indicates that CNTs have modified the surface energy
23 of the PVDF membranes. Given that CNTs are hydrophobic materials, the addition of their
24 pristine version to the membrane made it less wettable than the pristine PVDF membrane.
25 However, owing to the oxygen-rich functional groups present on the surface of CNTs-O, the

1 wettability of the CNTs-O/PVDF membrane has fairly increased. It can be estimated from
2 contact angle measurements that the oxidised functional groups on the surface of CNTs have
3 increased the wettability of the membrane by up to 4.72%. Albeit virgin PVDF membranes
4 are hydrophobic, impregnation of oxygen-functionalised CNTs in the membrane polymer
5 casting matrix increases the wettability of the membrane thus providing better resistance to
6 fouling (Rana and Matsuura, 2010).

7

8 **Figure 1**

9

10 *3.1.2. Membrane zeta potential*

11 Measurements of the membrane zeta potential illustrate that all three membranes show
12 negative charges when used at neutral pH 7 (Figure 2). The isoelectric points were almost
13 similar for all membranes with values of 4.5 for pristine PVDF and 4.0 for both CNTs/PVDF
14 membranes. However, as the pH increased above the isoelectric points, the CNTs-O/PVDF
15 membrane became more negatively charged as compared to CNTs-P/PVDF and PVDF
16 membranes. For example at pH 7, the zeta potential values are -22.43, -16.87 and -12.77mV
17 for CNTs-O/PVDF, CNTs-P/PVDF and pristine PVDF membranes respectively. This shows
18 that the addition of CNTs in the PVDF matrix, which as revealed before are characterised by
19 negatively charged surfaces at pH 7, has naturally increased the negative charge of the
20 membranes. Besides, oxidised functional groups (e.g. C-OH, C=O, C-OOH) imparted by
21 CNTs-O reduce the zeta potential even further by providing more ionic or molecular
22 interaction between CNTs and ions contained in water (Liu et al., 2010) thus resulting in
23 increased accumulation of negative charges on the membrane surface.

24

25 **Figure 2**

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3.1.3. Membrane porosity

The addition of carbon nanotubes into the PVDF polymer up to 0.4 %mass resulted in increased membrane porosities from that of virgin PVDF membrane. Figure 3 shows that the addition of 0.05 %mass of CNTs has increased membrane porosities by about 10% and 16% for CNTs-O and CNTs-P respectively. A further increase in the content of CNTs resulted in a maximum porosity at about 0.1 %mass for CNTs-P and at 0.2%mass for CNTs-O membranes; porosity increased from 64% at 0% CNTs to 76% and 73% for CNTs-P and CNTs-O membranes at 0.1%mass and 0.2%mass respectively. The increase of porosity may be due to the additional porosity imparted by the CNTs themselves, which are naturally hollow materials, as well as the formation of new macro-void porous structure resulting from the interaction of solid-liquid contacting body in the presence of suspended CNTs in the polymer matrix. Figure 3 further shows that the additions of carbon nanotubes by more than 0.1%mass for CNTs-P and by more than 0.2%mass for CNTs-O lead to a decreased porosity. This reduction in porosity may be explained by agglomeration and entanglement of CNTs, at a relatively high concentration, causing reduction of macro-void porous formation. Besides, more CNTs increase the viscosity of the mixture CNTs/polymer (Table 2) resulting in delayed transfer rate between solvent and non-solvent during membrane formation (Vatanpour et al., 2011). The higher the viscosity of the CNTs/polymer matrix solution, the more reduction in the ability of water to penetrate the solution hence giving more time for the solvent in the solution to desolvate. Under this delayed demixing condition, more micro-void pores are formed and the top membrane layer becomes denser, thicker and with lower porosity (vandeWitte et al., 1996; Wu et al., 2010; Vatanpour et al., 2011).

Table 2

1 **Figure 3**

2

3 Since hydrophilic materials tend to be easily wetted by water, the transfer of water during
4 coagulation in CNTs-O/PVDF membrane is expected to be faster than in CNTs-P/PVDF
5 membrane. As a result of higher water (i.e. non-solvent) transfer during membrane formation,
6 higher porosity is hence expected in the CNTs-O/PVDF membrane matrix (Thürmer et al.,
7 2012). However, as shown in Figure 3, CNTs-O/PVDF membranes have a slightly lower
8 porosity than CNTs-P/PVDF membranes. This might be caused by the different dimensions
9 and densities of the two CNTs used. As shown in Table 1 and as discussed in the
10 Supplementary Material, CNTs-O used in this research has smaller aspect ratio than CNTs-P
11 and also have smaller particle size than CNTs-P when dispersed in NMP. Smaller aspect ratio
12 and particle size of CNTs-O provide more homogenous distribution in the solvent and makes
13 CNTs-O less prone to entanglement between each other. In addition, the lighter density of
14 CNTs-O as compared to CNTs-P also reduces the membrane porosity since for the same
15 CNT mass used, CNTs-O will have more volume occupied and distributed in the polymer
16 matrix. Therefore, CNTs-O, which are characterised by smaller dimensions, lighter density
17 and higher viscosity suspension (Table 2) provide favourable conditions for the formation of
18 micro-void pores hence reduced porosity as compared to CNTs-P (Vatanpour et al., 2011).

19

20 3.1.4. *Membrane mean pore size and MWCO*

21 The mean pore size of the membranes and their MWCO at different CNT contents are shown
22 in Table 3. According to this table, as the content of CNTs-O increased from 0% to 0.2%, the
23 mean pore sizes have also increased from 10.56 to 15.41 nm respectively and a further
24 addition of CNTs-O at contents higher than 0.2% decreased the mean pore size to 12.77 nm
25 at 0.4%. Similar trend was also observed when CNTs-P were used with the mean pore sizes

1 increasing from 10.56 nm at 0% to 15.91 nm at 0.2% followed by a decrease to 11.62 nm at
2 0.4% CNTs-P. This trend is in general agreement with that observed for the porosity above
3 and also consistent with the water flux results that are discussed below which have also
4 showed optima at 0.2% CNTs. Other studies have also observed similar trend when
5 nanomaterials are incorporated in the polymer matrix (Yin and Deng, 2015). The addition of
6 a hydrophilic filler in the casting solution leads to an accelerated solvent and non-solvent
7 exchange, hence encouraging formation of more porous polymeric structure (Zhang et al.,
8 2013). However, a further increase in the filler content increases significantly the viscosity of
9 the casting solution (Table 2) and thus a reduction in the exchange rate between solvent and
10 non-solvent during membrane formation by the phase inversion technique resulting in
11 reduced mean pore sizes.

12
13 The results in Table 3 also show that the MWCO followed similar trend as the mean pore
14 size. It increased from 72.9 kDa to 96.9 kDa then decreased to 85.5 kDa as the percentages of
15 CNTs-O increased from 0 to 0.2% then to 0.4% respectively. When CNTs-P were used, the
16 MWCO also increased from 72.9 kDa to 102 kDa then declined to 89.6 kDa as the percentage
17 of CNTs-P increased from 0 to 0.2 then to 0.4% respectively. The results in Table 3 supports
18 that the PVDF-based membranes fabricated in this study fall in the category of ultrafiltration
19 membranes. Comparing the effect of CNTs-P to CNTs-O on pore sizes, it appears that the
20 incorporation of CNTs-P promoted a slightly higher pore sizes than CNTs-O particularly at
21 low contents. This is in agreement with the trend of porosity observed earlier. However, it
22 appears to be in disagreement with the expectation that the hydrophobic interaction between
23 PVDF and CNTs-P to be strong which would lead to tighter pores. As shown by the ATR-
24 FTIR results on Figure 4 below, the conversion from a highly non-polar α -phase PVDF into a
25 polar β -phase PVDF during the dissolution of PVDF into the solvent seems to have

1 weakened the bondings between CNTs-P and the polymer. As the CNTs-P/polymer bondings
2 are weakened, they become conjugated by the solvent thus creating larger pores when
3 replaced by the non-solvent during the immersion process.

6 **Table 3**

9 *3.1.5. FTIR-ATR*

10 The chemical structure of a few-micrometer thick surface layer reflected by ATR-FTIR
11 spectra of the membranes is shown on Figure 4, where the wavenumbers applied were from
12 4000 to 500 cm^{-1} . The vibration peak bands reflected on the spectrum at 532, 614 and 763
13 cm^{-1} are accounted for CF_2 bending whilst spectrum bands at 796, 840, 873, and 975 cm^{-1}
14 correspond to CH_2 rocking (Shukla et al., 2008). The groups of C-C symmetric stretching are
15 characterised by the peak at 1068 cm^{-1} whilst stretching of the groups of CF_2 are at peaks
16 1148, 1182, and 1274 cm^{-1} (Nallasamy and Mohan, 2005; Shukla et al., 2008). The vibration
17 band at 1209 cm^{-1} accounts for CH_2 twisting whilst the bands at 1383 and 1402 cm^{-1} account
18 for CH_2 wagging (Shukla et al., 2008). Deformation of CH_2 groups occur at the peak 1454
19 cm^{-1} whilst the peaks at 2930 and 2967 cm^{-1} are assigned to the CH_2 symmetric and
20 asymmetric stretching vibration modes (Mihály et al., 2006; Meng et al., 2011).

21
22 The infrared absorption at 762, 840 and 1234 cm^{-1} might be selected as representative
23 absorptions for the determination of the PVDF crystalline phase where higher value at 762
24 cm^{-1} represents the α -phase whilst higher peaks at 840 and 1234 cm^{-1} represent the γ -phase
25 (Park et al., 2004; Park et al., 2005). The presence of peaks at 840 cm^{-1} and 1274 cm^{-1} also

1 indicates the formation of high electrical properties of β -phase forms (Mago et al., 2008;
2 Tjong and Mai, 2010). An absorption band in the range 1440 cm^{-1} to 1000 cm^{-1} is important
3 because it indicates the transformation from α -phase to β or γ -phases (Ahmed et al., 2013).
4 As shown in Figures 4a,c, the PVDF powder exhibits significantly higher peak at 762 cm^{-1} as
5 compared to the fabricated membranes, which exhibit stronger peaks at 840 cm^{-1} and 1274
6 cm^{-1} instead, indicate that the PVDF powder is mostly α -phase crystalline and the fabricated
7 membranes are mainly in the β -phase crystalline form.

8
9 New peak spectra in the fabricated membranes have occurred at about $1600 - 1700\text{ cm}^{-1}$
10 (Figure 4 b), which can be associated to the vibration of newly introduced functional
11 carbonyl and carboxyl groups into the membranes (Bottino et al., 2006; Zhang et al., 2009).
12 The intensity of this peak is much stronger in the CNTs-O/PVDF membranes as compared to
13 the pristine PVDF or CNTs-P/PVDF membranes. The presence of the oxygenated functional
14 groups at higher intensity in the CNTs-O/PVDF membrane is obviously imparted by the
15 oxygenated CNTs and the small peak shown in the other membranes may be formed during
16 the membrane fabrication process. In fact, during the blending process of PVDF powder with
17 the solvent NMP, which is done under relatively high temperature and inevitable exposure to
18 air and moisture, NMP could degrade and produce amine products that contain carbonyl and
19 carboxyl groups (e.g. methylamine, dimethylacetamide, amino carbonyl butanoic acid)
20 (Berrueco et al., 2009; Reynolds et al., 2012). The amine products in the solution
21 dehydrofluorinate the PVDF and lead to amines addition to the PVDF chain accompanied by
22 their conversion to carbonyl and carboxylic groups in the presence of oxygen in solution
23 (Taguet et al., 2005) as observed on Figure 4(b). The formation of brownish colour in the
24 solution during heating as observed in this study gives further evidence of the development of
25 these reactions (Taguet et al., 2005).

1

2 **Figure 4**

3

4 *3.1.6. Energy Dispersive X-Ray Spectroscopy (EDX)*

5 For more detailed information about the oxygen content on the membrane and to ascertain the
6 presence of oxygenated functional groups on the fabricated membranes, oxygen content was
7 analysed by EDX (Figure 5). Oxygen molecules were detected in all membranes including
8 PVDF membranes without addition of CNTs and this is consistent with FTIR-ATR analysis
9 results. Pristine PVDF and CNTs-P/PVDF (0.4 %mass CNTs-P) membranes were found to
10 contain almost the same oxygen content of about 0.12%. However, The highest oxygen
11 content was found in CNTs-O/PVDF membranes. For example at 0.4 % CNTs-O, the
12 membrane oxygen content was 0.137%, accounting for an increase of 13% from the oxygen
13 content of pristine PVDF membrane (Figure 5). Considering the oxygen content of CNTs-O
14 being 4%mass (Table 1), theoretically the addition of 0.4%mass of CNTs-O leads to a
15 membrane oxygen content of 0.136% which agrees well with the experimental value reported
16 above.

17

18 **Figure 5**

19

20 *3.1.7. Scanning Electron Microscopy (SEM)*

21 Images showing the colour gradation of CNTs/PVDF membranes were taken by digital
22 photography as presented on Figure 6a. As the CNT content increased, darker membrane
23 colours were imaged. The porous membrane structure with CNTs impregnated on the
24 membrane is shown by SEM micrographs (Figure 6b-f). Figure 6(d-f) shows that the
25 manufactured membranes exhibit an asymmetric with finger-like structure and cavities of

1 different sizes and shapes beneath the skin layer. This structure agrees with those of PVDF
2 membranes presented in the literature (Bottino et al., 2006). The total thickness of the
3 membrane was about $100\pm 10\mu\text{m}$ when measured by SEM, which is close to the expected
4 thickness. Using SEM images, it was possible to estimate an average pore size of the active
5 skin layer of the membrane in the order of 30 nm. This was also checked using the AFM
6 technique (data not shown here). Zhang and Vecitis (2014) have also reported pore sizes of a
7 CNT/PVDF membrane, measured by SEM, in the same order of magnitude (28 nm). The
8 finger-like pores on the membrane support have diameters of about 2 – 15 μm whilst the
9 cavity pores have diameters in the range 200 – 500nm. The occurrence of denser top layer
10 can be explained by faster desolvation of solvent into the non-solvent medium and this occurs
11 before the non-solvent penetrates the casted membrane (Young et al., 1999; Chuang et al.,
12 2000). The growth of the dense top layer will be halted when sufficient non-solvent medium
13 have diffused into the sublayer solution to create the pores. The porous surface forms when
14 the non-solvent inflow is higher than the solvent outflow (Thürmer et al., 2012). Figure 6 (d-
15 f) also shows that small channelling tubes in the top layer connect with larger channelling
16 tubes in the lower side and this will result in high permeation and excellent solute rejection.
17 Figure 6 (e-f) also shows that the addition of CNTs resulted in reduction of the finger-like
18 structure of the membranes, possibly due to delayed solvent/non-solvent replacement during
19 the coagulation process as a result of increased viscosity imparted by CNTs (Table 2). This
20 result is in agreement with that obtained by Zhao et al. (2013).

21

22 **Figure 6**

23

24 *3.1.8. Mechanical properties*

25

1 The membranes at different CNT contents were tested for stress-strain characteristics and the
2 results for the Young modulus (i.e. elastic tensile modulus), stress at the break point, and the
3 elongation at the break point were determined and plotted on Figure 7(a-c). As shown in
4 Figure 7-a, Young's modulus raises as the CNT content increases up to 0.2% from 67.3 MPa
5 for pure PVDF membrane to 105.4 MPa and 92.1 MPa for CNTs-P and CNTs-O
6 respectively. This indicates that CNTs have reinforced the composite membrane by
7 increasing its resistance to elastic deformation. CNTs-O appear to have lower effect than
8 CNTs-P possibly as a result of lower interaction between the PVDF and CNTs-O due to weak
9 interface compatibility between hydrophilic CNTs-O and hydrophobic PVDF matrix. As the
10 percentage of CNTs is further increased above 0.2%, Young's modulus decreases sharply
11 (62.9 MPa at 0.4% CNTs-O). Ma et al. (2013) have also found that 0.2% O-MWCNT gave
12 the highest tensile strength. The stress at break point has also followed a similar trend (Figure
13 7(b)) whereby it increased from 2.4 MPa for pure PVDF membrane to 3.1 MPa and 2.9 MPa
14 for 0.2% CNTs-P and CNTs-O respectively then declined as the CNTs content increased
15 further. The effect of CNTs on the elongation at break point up to a content of 0.3% is less
16 pronounced since it remained almost constant at $41.7\% \pm 4\%$ and $44.2\% \pm 5\%$ for CNTs-P and
17 CNTs-O respectively (Figure 7(c)). A further increase of CNTs content to 0.4%, however,
18 has sharply reduced the elongation at break point to 16.9% and 18.6% for CNTs-P and
19 CNTs-O respectively. This indicates that the addition of higher CNTs content in the casting
20 solution results in brittle membranes. The mechanical properties of the CNTs/PVDF
21 membranes strongly depend on the interaction between the polymer and the CNTs. The
22 incorporation of the CNTs in the polymer matrix could increase the crystallinity of the
23 composite membranes and thus enhance their mechanical properties. However, higher CNT
24 contents might promote aggregation of the CNTs thus resulting in weaker interaction between
25 the polymer matrix and the CNTs leading to reduction of the Young's modulus and

1 elongation at the break point. The results obtained in this study indicate that the mechanical
2 properties of the PVDF membranes were significantly enhanced via the addition of CNTs at
3 an optimum content of 0.2% mass with CNTs-P being slightly better than CNTs-O.

4
5
6
7
8 **Figure 7**

9
10
11
12 *3.2. Membrane Performance*

13 *3.5.1 Water Permeation*

14 Figure 8(a-c) shows the changes of the pure water flux as function of transmembrane pressure
15 and CNT content. Figure 8(a-b) shows that the addition of CNTs-O or CNTs-P into the
16 polymer matrix resulted in higher membrane water flux than without CNTs. The water flux
17 continued to increase up to 0.2 %mass CNTs then decreased as further additions of CNTs
18 were made. This trend is consistent with that observed for the membrane pore sizes that also
19 showed a convex profile with increasing CNT content. A CNT content of 0.2% mass can then
20 be taken as optimum for water flux. At 0.2 %mass CNTs, the flux has increased from that of
21 pure PVDF membrane at TMPs of 1.8, 2.8, 4.9 bar by 3.3, 3.0, and 2.0 times for CNTs-O and
22 by 3.7, 3.2, and 2.1 times for CNTs-P respectively. This indicates that CNTs have improved
23 the water flux of the membranes and the content 0.2% mass of both CNTs appears as an
24 optimum. The presence of CNTs in the PVDF membrane leads to the formation of more
25 porous areas and larger pore sizes (Figure 3 and Table 3), which results in reduced hydraulic
26 resistance and hence enhanced permeate flux (Wu et al., 2010; Vatanpour et al., 2011; Daraei
27 et al., 2013).

1 Comparing pristine to oxidised CNTs, Figure 8(a-b) shows that for lower CNT content less
2 than 0.2 %mass, the water flux of CNTs-P/PVDF membrane tends to be higher than that of
3 CNTs-O/PVDF membrane (by about 30% on average). This can be directly attributed to the
4 larger pores of CNTs-P/PVDF membranes at lower CNTs content (Table 3). However, this
5 trend reverses slightly as the content of CNT increased beyond 0.2 %mass. At higher CNT
6 additions, the slightly higher mean pore size of CNTs-O/PVDF membrane (Table 3) may
7 have provided lower membrane resistance and hence higher permeation than CNTs-P/PVDF
8 membranes. Besides, the increased wettability of CNTs-O/PVDF membrane as CNTs-O
9 content increased (Figure 1) has potentially elevated the wettability of the membrane also
10 resulting in higher water permeation. Membrane wettability (i.e. lower contact angle) is
11 known to enhance the water permeability by attracting water molecules inside the membrane
12 pores facilitating their passage through the membrane.

13

14 Figure 8(c) shows that higher transmembrane pressure (TMP) increased water flux for all
15 membranes. Naturally, higher TMP increases the driving force of water permeation resulting
16 in faster water penetration into the membrane pores and producing higher flux. Depending on
17 the content of CNTs used, as TMP increased from 1.8 to 4.85 bar, the water flux values have
18 also increased by for example 3.2 times for both CNTs at a content of 0.2%mass. The values
19 of the permeability (i.e. Flux/TMP) were calculated and averaged over the various TMPs
20 used. Permeability values at 0.2% CNTs of 38.7 ± 2.0 L/m².h.bar and 41.3 ± 2.1 L/m².h.bar
21 were obtained for CNTs-O/PVDF and CNTs-P/PVDF membranes respectively.

22

23 **Figure 8**

24

25 *3.5.2 Solute rejection*

1 Figure 9 shows the rejection percentages for NOM and the anionic solutes bromate, bromide,
2 and chloride at pH 7. Figure 9(a) reveals that as expected, the PVDF membrane (i.e. 0 %
3 mass CNTs) exhibited small rejections of the three anionic solutes of 9.1%, 5.1% and 3.8%
4 for bromate, bromide and chloride respectively. However, impregnation of CNTs in the
5 PVDF membranes have increased the rejection of the anions; for example at 0.4 %mass
6 CNTs, rejections of bromate, bromide and chloride have increased to 21.7% (CNTs-
7 O/PVDF) and 19.6% (CNTs-P/PVDF), to 10.5% (CNTs-O/PVDF) and 8.8% (CNTs-
8 P/PVDF), and to 9.2% (CNTs-O/PVDF) and 7.8% (CNTs-P/PVDF) respectively. Daraei et
9 al. (2013) have also showed that rejection of anions was enhanced by the addition of CNTs to
10 their polyethersulfone membrane. Figure 9 also shows that the membrane rejection for
11 bromate, bromide and chloride follows the order: PVDF < CNTs-P/PVDF < CNTs-O/PVDF.
12 Meanwhile, the rejections of the three anions follow the order: $\text{Cl}^- < \text{Br}^- < \text{BrO}_3^-$. Charge
13 repulsion between the anions and the negatively charged surface of the membranes (at pH 7
14 membrane ZPs are -13 mV(PVDF), -17 mV(CNTs-P/PVDF) and -23 mV(CNTs-O/PVDF)
15 (Figure 2)) could be largely responsible for the rejection of the anions observed in this study.
16 It should be noted that the order of anions rejection by the three membranes follows the same
17 order as the surface charge. Previous studies have also shown that due to the membrane
18 surface charge, ultrafiltration or nanofiltration membranes can reject ions, even though the
19 pore size of the membrane is much larger than the size of the ions (Labbez et al., 2002; Yoon
20 et al., 2002; Reddy et al., 2003; Moslemi et al., 2012).

21
22 The rejection of NOM was significantly higher than the anions at a percentage of 90.0% for
23 PVDF membrane and modestly increased for CNTs impregnated PVDF membranes to about
24 93.4% (Figure 9(b)). The rejection of NOM by CNTs-O/PVDF membrane was slightly higher
25 than that by CNTs-P/PVDF membrane. The rejection of NOM by the PVDF membranes with

1 or without CNTs may be attributed to a combined effect of charge repulsion (at the
2 experiment's pH 7 both NOM molecules and the surface of the membranes are negatively
3 charged) and by steric hindrance due to the relatively large NOM molecules and the
4 aggregates they form on the surface of the membrane. Despite that CNTs have only increased
5 NOM rejection by a small percentage, 3.4% at best for CNTs-O/PVDF, their addition to the
6 PVDF membranes has significantly increased the water flux in the presence of NOM as
7 compared to pristine PVDF membranes. In addition and given that CNTs have significantly
8 enhanced anions rejection and the mechanical properties of the membranes, it is therefore
9 evident that CNTs/PVDF (particularly CNTs-O) membranes have a promising potential
10 application in water purification at enhanced water permeation and solutes rejection.

11

12

13 **Figure 9**

14

15 **Conclusions**

16 Fabrication of new membranes is required for a range of separation applications such as
17 water treatment. This study has successfully fabricated composite PVDF membranes by the
18 phase inversion technique and optimised the content of two types of multiwalled carbon
19 nanotubes CNTs-O and CNTs-P as membrane fillers. The study showed that NMP was an
20 excellent dispersing solvent for both CNTs, though the presence of oxidised functional
21 groups on the plasma oxidised CNTs gave a better affinity of the CNTs-O towards NMP.
22 Moreover, the oxidised functional groups have not only increased the dispersion of CNTs-O
23 in NMP but have also increased the electronegativity of the fabricated membranes (see
24 Supplementary Material).

25

1 Detailed membrane structure shows that all membranes have finger-like structures with the
2 shallowest depth obtained in CNTs-O/PVDF membranes whilst the deepest pores were
3 obtained in pure PVDF membranes. The ATR-FTIR analysis revealed that the fabricated
4 PVDF membranes have β -phase crystalline structure. CNTs-O/PVDF membranes tend to be
5 more hydrophilic whilst CNTs-P/PVDF tend to be more hydrophobic. Low CNT content in
6 the membranes up to about 0.2 %mass have increased the membrane porosity and mean pore
7 sizes, which resulted in increased water permeation. However, further addition of CNTs
8 decreased membrane permeation possibly due to blockage of the pores as a result of CNT
9 agglomeration. The mechanical properties of the membranes have also been enhanced by the
10 addition of the CNTs with a CNT content of 0.2%mass being optimal. The fabricated
11 membranes exhibited enhanced rejection of anionic solutes, particularly bromate, as a result
12 of CNTs impregnation in the PVDF membrane though NOM rejection was modestly
13 increased by less than 3.4% in the presence of CNTs. CNTs-O appear to provide slightly
14 lower water permeability than CNTs-P but higher solute rejection. Given the significant
15 enhancement in water permeation and the enhanced rejection of solutes in addition to
16 improved mechanical properties, CNTs-O/PVDF membranes have a great potential to
17 develop in a robust UF membrane technique for water purification.

18

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1

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Tables

Table 1: Properties of the carbon nanotubes from manufacturer

Property	CNTs-P	CNTs-O
Carbon Content (%)	> 95	96.08
Outer Diameter (nm)	6-9	~13-16
Length (μm)	5	~1
Aspect ratio (length/diameter)	~667	~69
Bulk Density (g/cm^3)	0.22	~0.19
Oxygen Content (%)	-	3.5 – 4

Table 2: Viscosity ratio between CNTs/PVDF solution and PVDF solution as function of CNT contents

CNTs content in PVDF solution (% mass)	Viscosity ratio	
	CNTs-P/PVDF	CNTs-O/PVDF
0	1	1
0.05	1.08	1.15
0.1	1.12	1.21
0.2	1.17	1.25
0.3	1.21	1.28
0.4	1.28	1.31

1 **Table 3:** MWCO and mean pore size for membranes at various CNT types and contents

2

Membrane Type	CNTs Content (%mass)	MWCO (kDa)	Mean Pore Size (nm)
Pure PVDF	0	72.9	10.56
CNTs-O/PVDF	0.05	88.6	9.84
	0.1	92.8	12.06
	0.2	96.9	15.41
	0.3	78.5	13.52
	0.4	85.5	12.77
CNTs-P/PVDF	0.05	96.5	13.74
	0.1	92.9	14.42
	0.2	102	15.91
	0.3	92.9	12.41
	0.4	89.6	11.62

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Figures

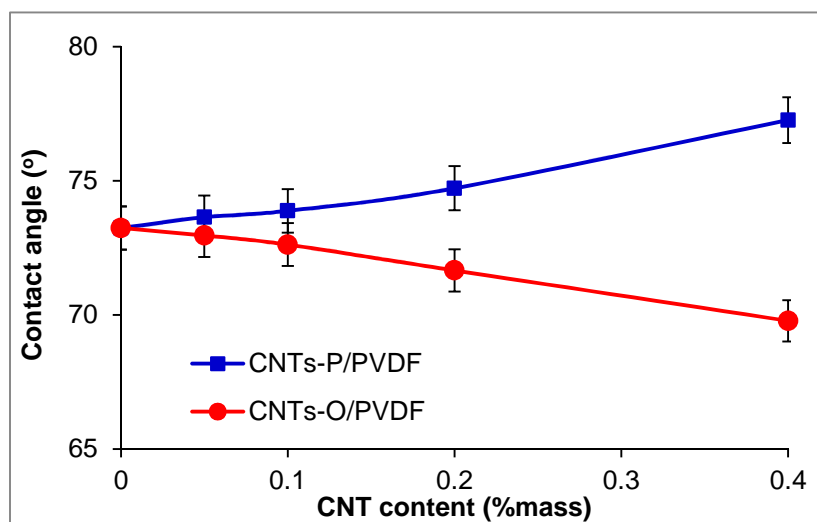


Figure 1: Contact angle of CNTs-O/PVDF and CNTs-P/PVDF membranes.

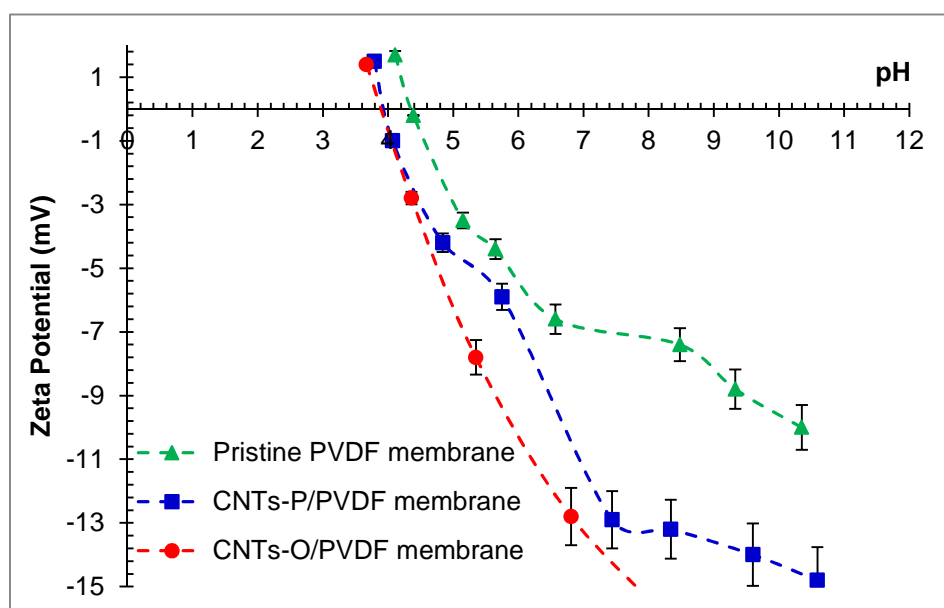
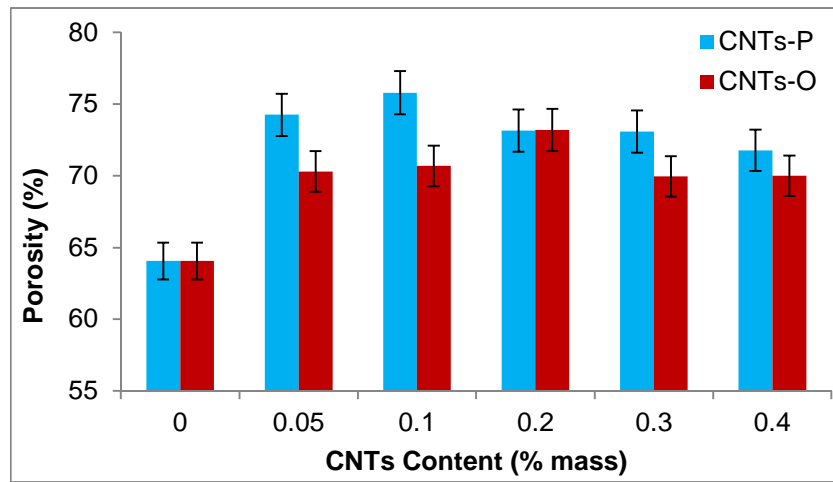
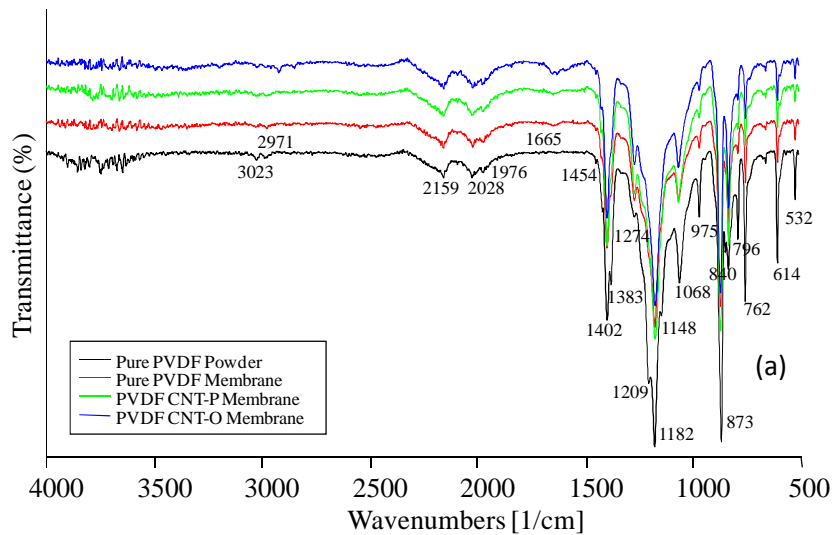


Figure 2: Zeta potential of membranes (CNT at 0.3% mass).

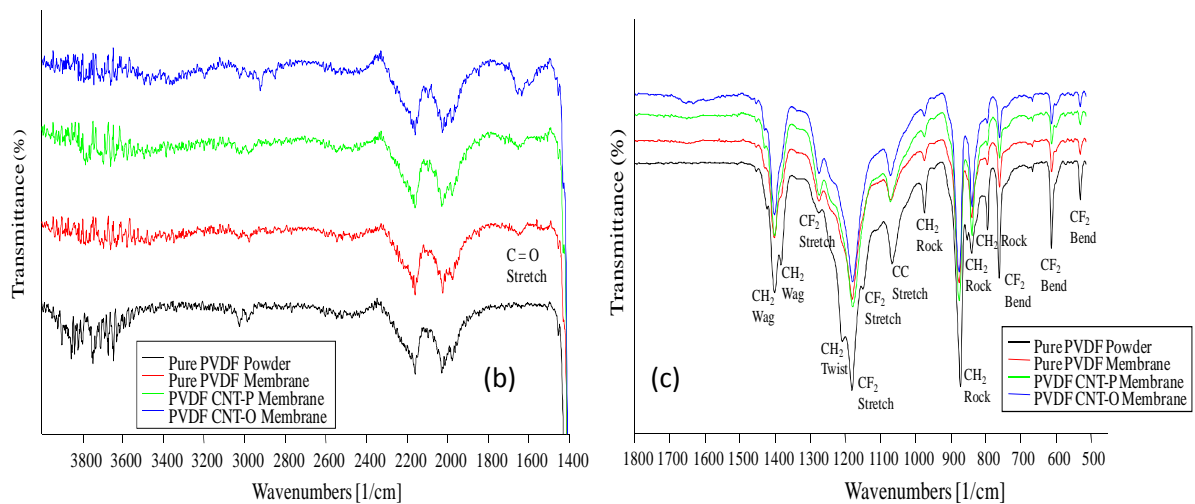


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Figure 3: Membrane porosities as function of CNTs content.



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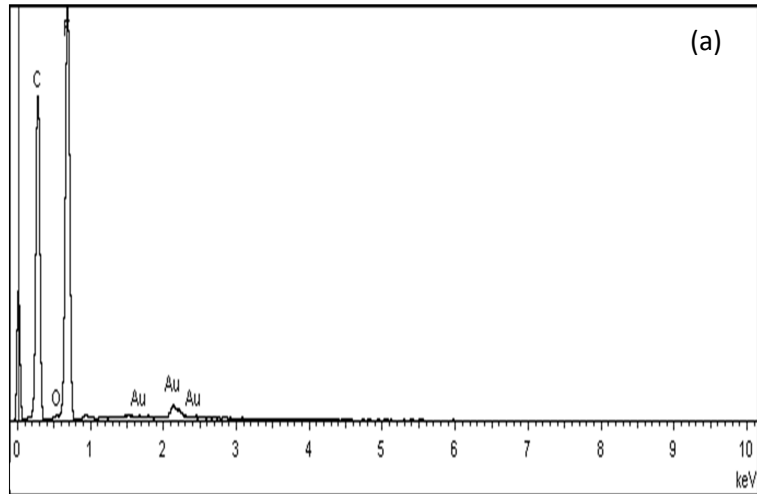


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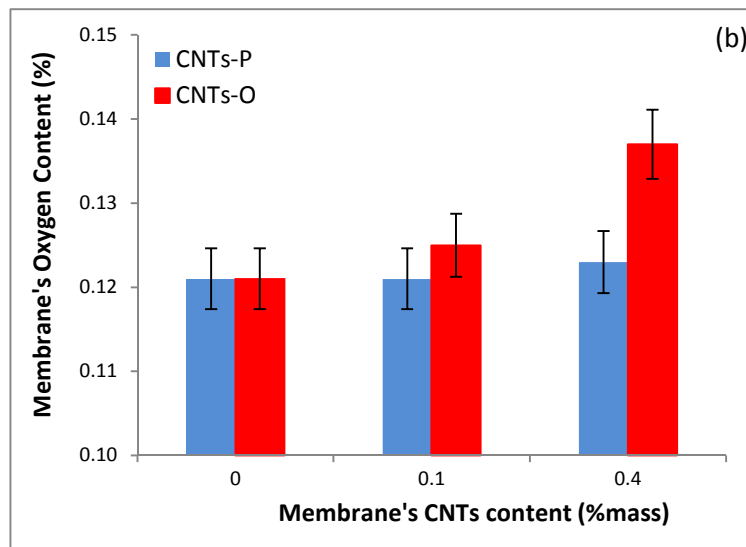
Figure 4: FTIR – ATR spectra of fabricated membranes: (a) full band range; (b) 1400 – 4000 cm⁻¹ band range; and (c) 500 – 1800 cm⁻¹ band range (CNT content =0.3%).

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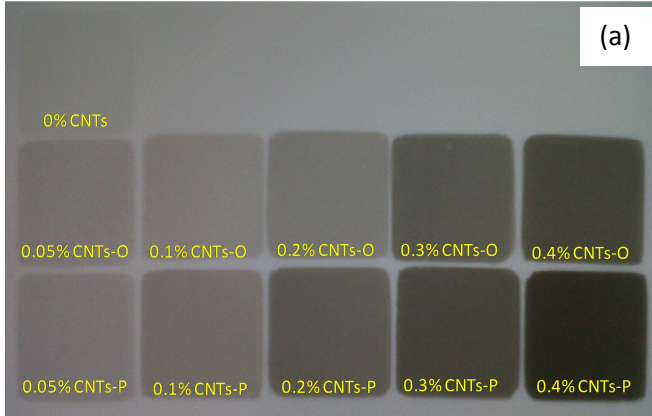
3 **Figure 5:** (a) EDX Spectra of 0.4 %mass CNTs-O; (b) oxygen content of fabricated 0.4%
4 CNTs-O/PVDF membranes.

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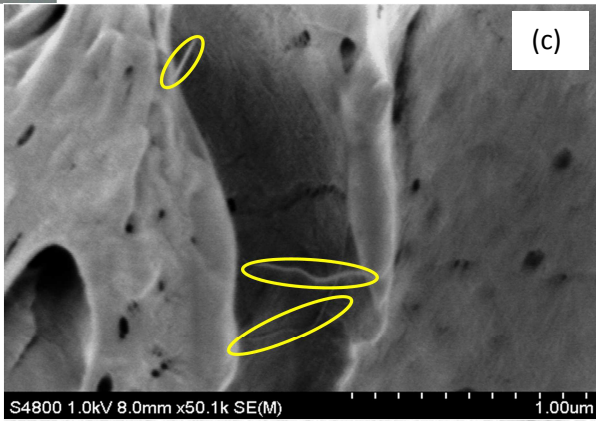
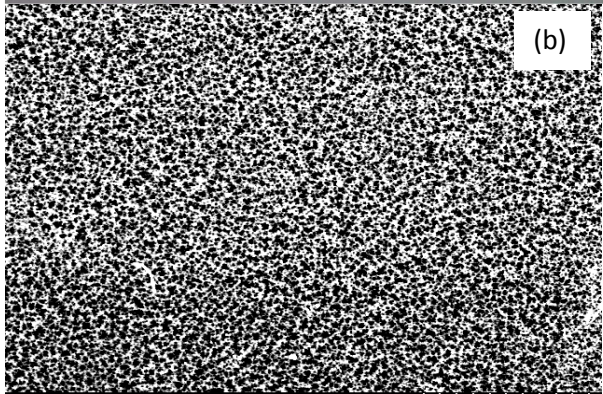
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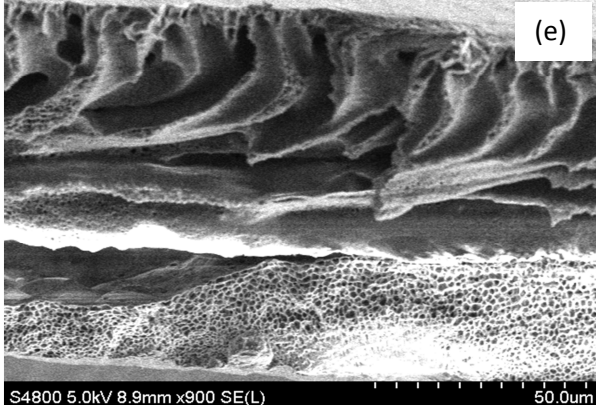
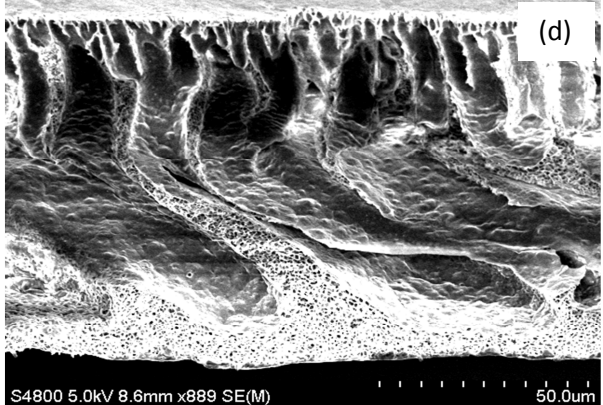
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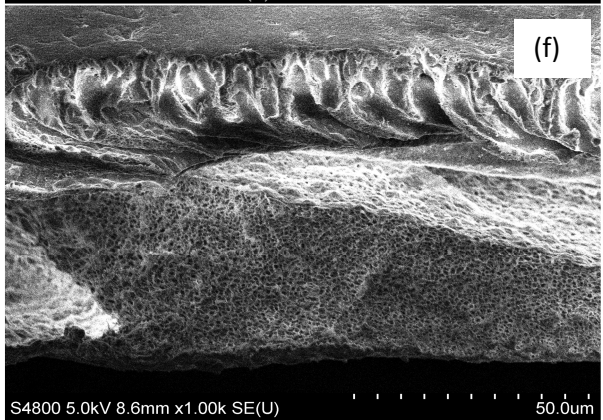
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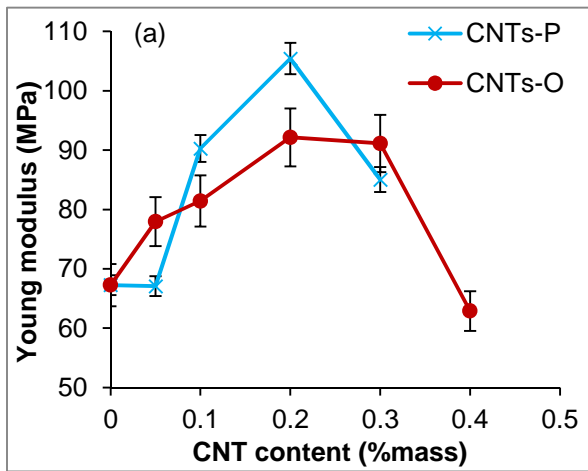
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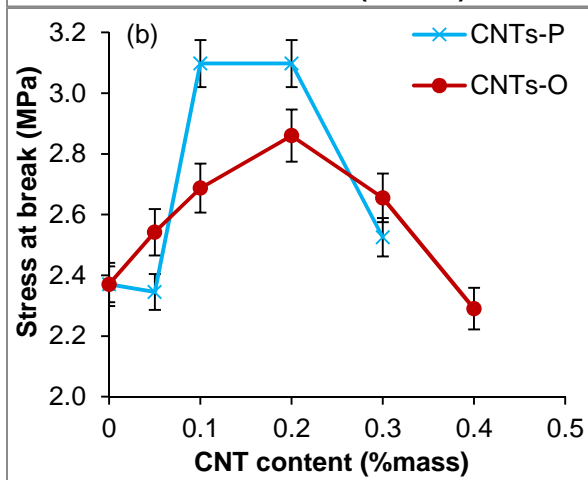
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Figure 6: (a) Digital photograph of top membrane sides; (b) SEM image of 0.4 % mass CNTs/PVDF membrane top layer side; (c) SEM image of impregnated 0.4 % mass CNTs-O in membrane (CNTs are shown by yellow ovals); SEM cross section images of the fabricated membranes: (d) pure PVDF, (e) CNTs-P/PVDF, and (f) CNTs-O/PVDF.

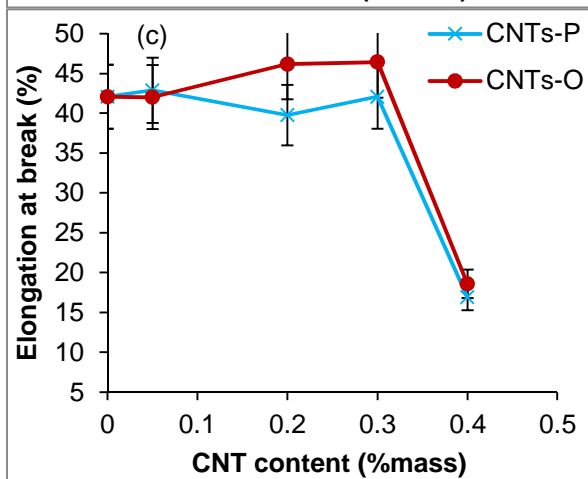
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6 Figure 7: Mechanical properties of the CNTs/PVDF membranes as function of CNT content
7 (a) Young's modulus; (b) stress at break point; (c) elongation at break point.

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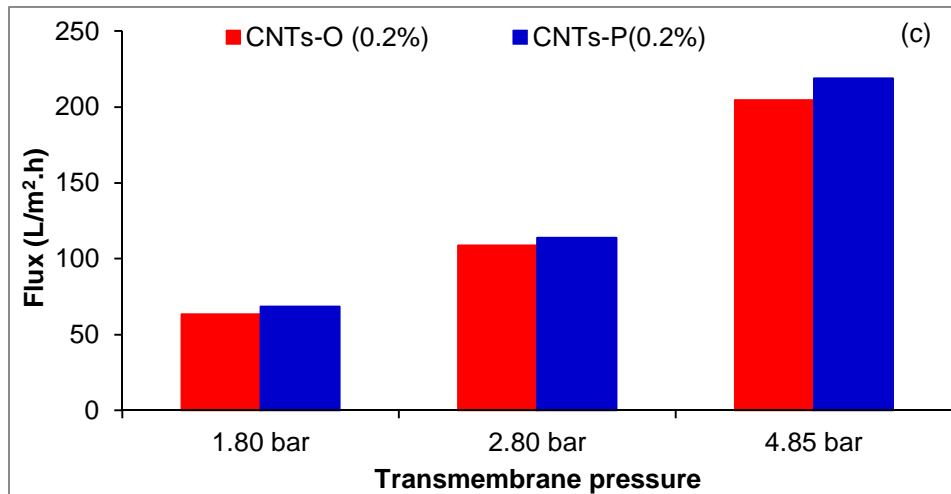
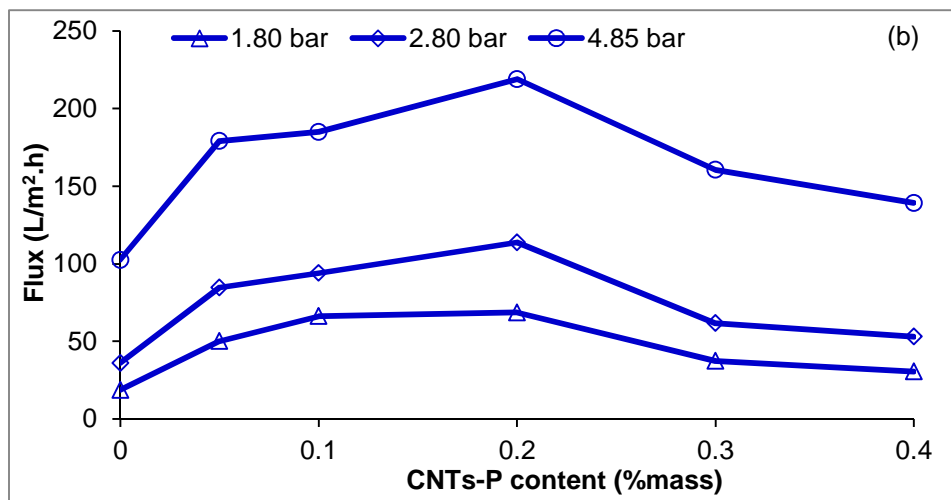
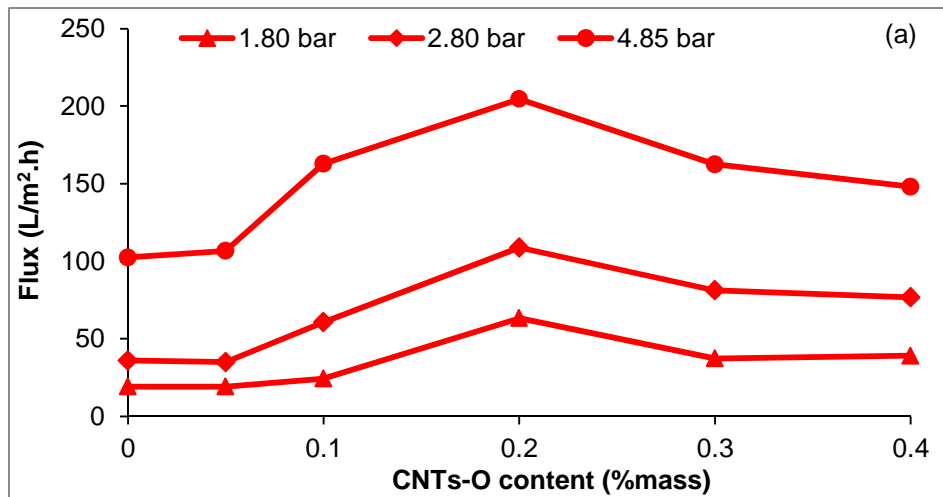
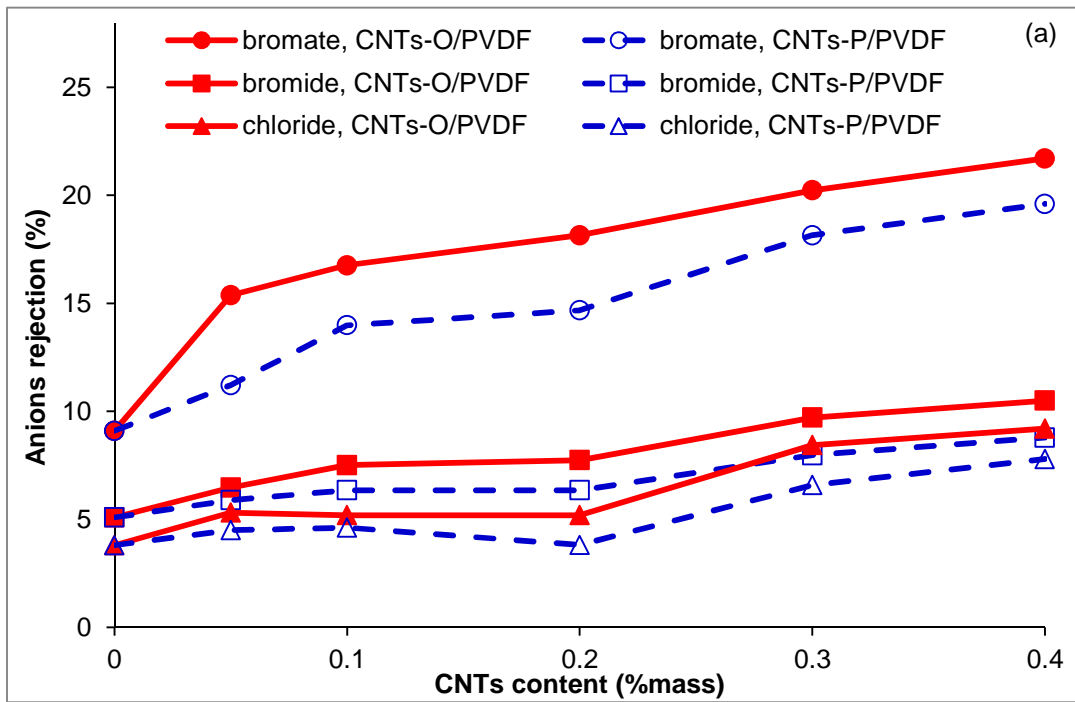
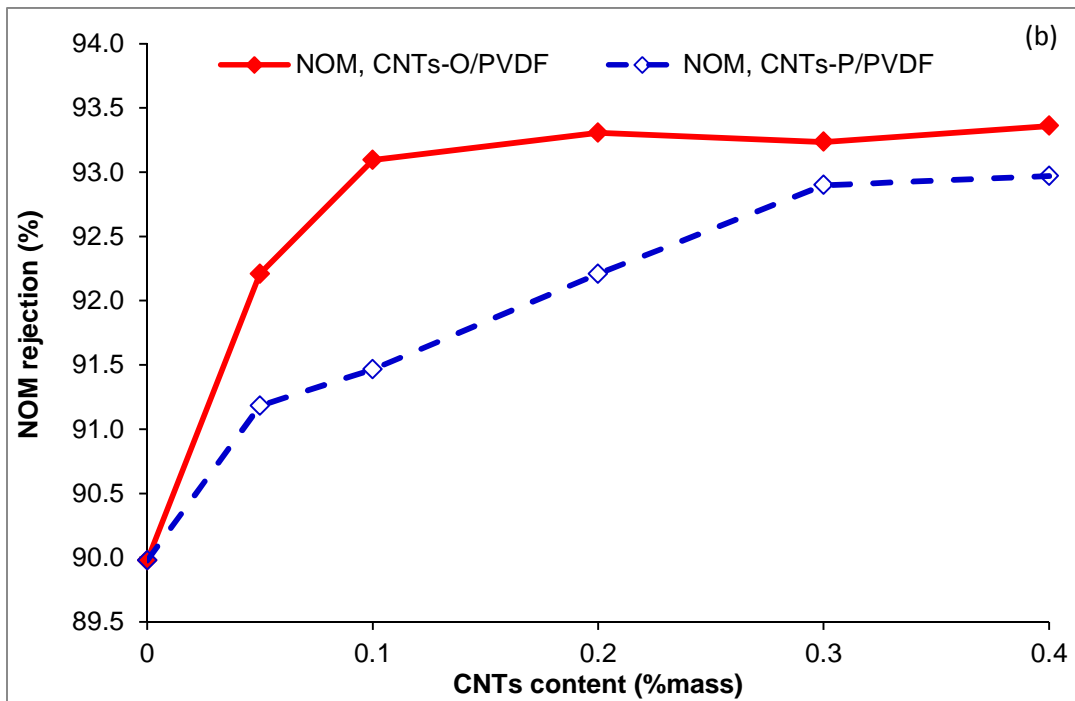


Figure 8: Membrane water flux as function of CNTs content and transmembrane pressure, (a) CNTs-O/PVDF membrane; (b) CNTs-P/PVDF membrane; (c) Membrane water flux as function of operating transmembrane pressure at 0.2% mass CNTs content.

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4 **Figure 9:** Membrane solute rejection performance as function of CNTs loading (a): bromate,
5 bromide and chloride rejection; (b): NOM rejection operated at pH 7, TMP 3.85 bar and
6 retentate flowrate 1L/min.

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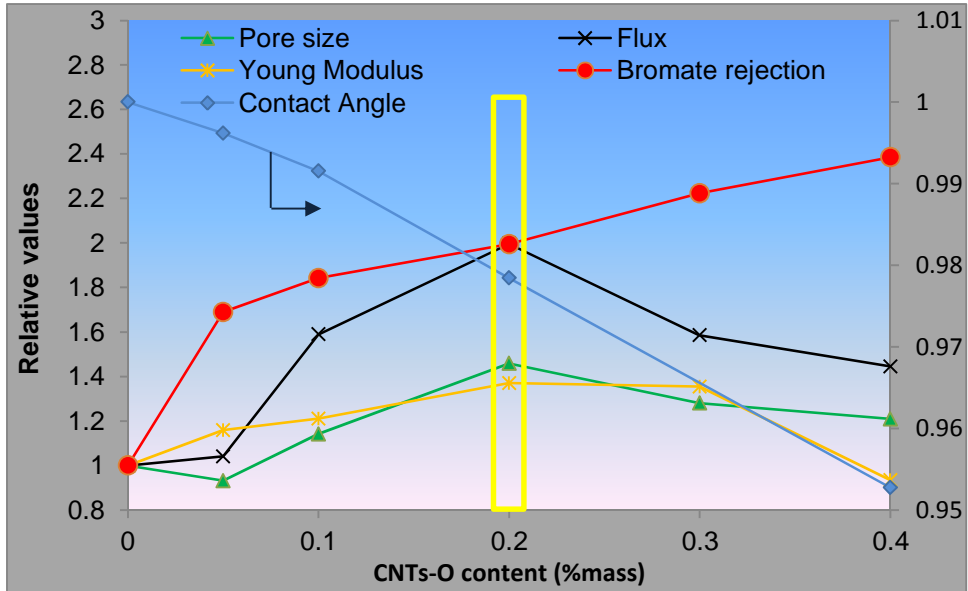
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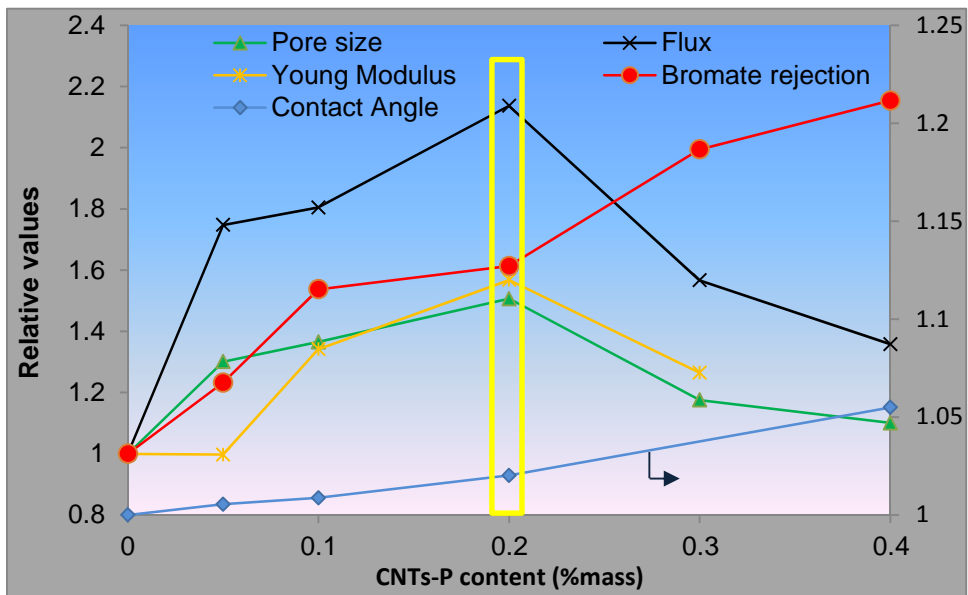
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1 Graphical Abstract

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1 **Highlights**

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- PVDF impregnated multiwalled carbon nanotube membranes were fabricated
- N-methyl 2-pyrrolidone was best PVDF solvent for dispersing CNTs
- CNTs enhanced membrane porosity, pore sizes, mechanical properties and electronegativity
- CNTs enhanced water permeation and solute rejection
- CNT content of 0.2% mass was optimal