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### **Paper:**

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## Original Article

# Genetic signatures of historical dispersal of fish threatened by biological invasions: the case of galaxiids in South America

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1 **Abstract**

2 **Aim** The ecological effects of biological invasions are well documented, but little is known  
3 about the effects of invaders on the genetic structure of native species. We examined the  
4 phylogeography, genetic variation and population structuring of two galaxiid fishes,  
5 *Aplochiton zebra* and *A. taeniatus* threatened by non-native salmonids, and whose  
6 conservation is complicated by misidentification and limited knowledge of their genetic  
7 diversity.

8 **Location** Chile and the Falkland Islands.

9 **Methods** We combined microsatellite and mitochondrial DNA (16S rDNA and CO-I)  
10 markers to compare genetic diversity, effective population size and gene flow of *Aplochiton*  
11 spp. populations differentially affected by salmonid presence.

12 **Results** We identified two 16s rDNA haplotypes among *A. zebra*, one dominant in coastal  
13 populations and another dominant in inland populations. Populations living on the Island of  
14 Chiloé displayed a mixture of coastal and inland haplotypes, as well as high microsatellite  
15 diversity, as one would expect if the island had been a refugium during the Last Glacial  
16 Maximum, or a contact zone among populations. Microsatellite data revealed strong  
17 population structuring, indicative of current isolation patterns, and a negative correlation  
18 between the genetic diversity of *A. zebra* and the relative abundance of invasive salmonids.

19 **Main conclusion** Our study indicates that population structuring of *A. zebra* reflects the  
20 influence of historical patterns of migration, but also current levels of reduced gene flow  
21 among watersheds. Invasive salmonids, known to compete with and prey on native galaxiids,  
22 may have had negative impacts on *Aplochiton* spp. genetic diversity. The low genetic  
23 variation found in some populations, coupled with potential biases in abundance estimates  
24 due to species misidentification, highlight the urgent need for more research into the  
25 conservation status of the two *Aplochiton* species.

## 26 **Introduction**

27 Biological invasions represent a major cause of biodiversity loss (Clavero & García-Berthou,  
28 2005), and although they seldom cause wholesale extinctions (Ricciardi *et al.*, 2011), they  
29 can trigger ecological changes which can make native species less resilient to subsequent  
30 stressors (Parker *et al.*, 1999). Surprisingly, relatively little is known about the genetic impact  
31 of invasions (e.g. Strayer *et al.*, 2006; Carroll, 2011), as most studies tend to focus on genetic  
32 changes exhibited by the invaders (Monzón-Argüello *et al.*, 2013; Monzón-Argüello *et al.*,  
33 2014b; Monzón-Argüello *et al.*, 2014a), rather than genetic responses of native species  
34 (Vanhaecke *et al.*, 2012a). This is unfortunate because without genetic data it may be difficult  
35 to get accurate assessments of the conservation status of threatened populations (Traill *et al.*,  
36 2010) or to quantify the impact of biological invasions. For example, genetic data can be  
37 applied to detect changes in effective population size, estimate gene flow, or detect range  
38 contractions of native species in relation to the presence of invaders (Arenas *et al.*, 2012).  
39 Estimates of genetic diversity are also essential for understanding the long-term evolutionary  
40 consequences of biological invasions (Strauss *et al.*, 2006), and could perhaps also be used as  
41 an early warning of impending impacts, before range shifts or local extirpations take place.

42 In this study we employed molecular markers to understand how non-native  
43 salmonids may have impacted native galaxiid fishes, taking into account historical  
44 biogeographic patterns. We focused on two closely related species, *Aplocheilichthys zebra* (Jenyns,  
45 1842) and *A. taeniatus* (Jenyns 1842) inhabiting rivers of Chilean Patagonia and the Falkland  
46 Islands: two areas where native galaxiids are threatened by the introduction of non-native  
47 salmonids since the 19th century (García de Leaniz *et al.*, 2010; Schröder & García de  
48 Leaniz, 2011; Arismendi *et al.*, 2014). *A. zebra* is considered endangered over its entire range  
49 (Lattuca *et al.*, 2008; Arismendi *et al.*, 2009) due to its ecological overlap with non-native  
50 salmonids (McDowall, 2006; McDowall, 2010). However, the conservation status of *A.*

51 *taeniatus* remains unclear (McDowall, 2006) and until recently its range did not include the  
52 Falkland Islands, where it had been misidentified as *A. zebra* (Vanhaecke *et al.*, 2012b). This  
53 is not surprising because the two species are morphologically very similar, and the limited  
54 information available on their ecology and genetic structure makes prioritisation of  
55 populations for conservation difficult (Vanhaecke *et al.*, 2012b; Alò *et al.*, 2013). *A. taeniatus*  
56 appears to attain larger sizes than *A. zebra* and is considered a specialist that preys mostly on  
57 fish, in contrast to *A. zebra* which is considered a generalist that feeds mainly on aquatic  
58 invertebrates. The existence of a third species, *A. marinus*, has been suggested, but its  
59 taxonomic status remains unclear (Alò *et al.*, 2013).

60         The distribution of native galaxiids in South America is related to historical pathways  
61 of dispersal (Habit *et al.*, 2012). To understand these historical pathways for *Aplochiton* spp.  
62 we applied molecular markers with different modes of inheritance, mitochondrial DNA  
63 (mtDNA; maternally inherited) and microsatellites (bi-parentally inherited). This  
64 combination of markers allowed us to reconstruct the phylogeography of *Aplochiton* spp. and  
65 also to provide insights into the historical and current drivers of genetic structure in relation  
66 to the presence of non-native salmonids. We hypothesised that ecological impacts of non-  
67 native salmonids (e.g., predation, competition, or other processes) could decrease population  
68 sizes that would be reflected by reduced microsatellite genetic diversity of *Aplochiton* in  
69 rivers and lakes invaded by salmonids, whereas mtDNA genetic variation should reflect the  
70 extent of the last glacial maximum (LGM) showing the influence of historical routes of  
71 dispersal.

72

## 73 **Materials and methods**

### 74 *Sampling*

75 We collected tissue samples from 456 individual *Aplochiton* spp. from 20 streams in Chile  
76 and 15 streams in the Falkland Islands (Fig. 1; Appendix S1). Given the morphological  
77 similarity between *A. zebra* and *A. taeniatus*, molecular analysis was needed to discriminate  
78 between both species and their hybrids (Vanhaecke *et al.*, 2012b; Alò *et al.*, 2013). After  
79 DNA extraction and genetic barcoding using the CO-I gene, samples were classified by  
80 species as in Vanhaecke *et al.* (2012b). We found 341 samples were classified as *A. zebra*  
81 (317 from Chile and 24 from the Falklands) and 115 to be classified as *A. taeniatus* (61 from  
82 Chile and 54 from the Falklands). As a result, sample sizes were unbalanced and reduced in  
83 some populations. We analysed the genetic structure of 13 *A. zebra* populations from Chile  
84 which had at least 16 individuals per sampling site (Table 1). We used data on catch per unit  
85 effort (CPUE, fish/min/m<sup>2</sup>) from single-pass electro-fishing to derive indices of the relative  
86 abundance of *Aplochiton* and salmonids (as in Vanhaecke *et al.*, 2012a), and employed the  
87 non-parametric Spearman's rank correlation coefficient (SPSS v19) to examine the  
88 relationship between CPUE and altitude. Although CPUE from electrofishing surveys is  
89 typically a poor indicator of absolute fish abundance, it can be used as a proxy to compare  
90 relative species abundance among sites (Bergman *et al.*, 2011), and use of rank-based  
91 methods are more appropriate in these cases.

92

### 93 *DNA extraction and genotyping*

94 DNA was extracted using the Wizard<sup>®</sup> SV 96 DNA Purification. All samples were amplified  
95 for 13 microsatellite loci (Aggarwal *et al.*, 2011) and mtDNA CO-I (Vanhaecke *et al.*,  
96 2012b), and those individuals identified as hybrids (Vanhaecke *et al.*, 2012b) were removed  
97 from the dataset. To estimate the repeatability of scoring, between 11 and 71 individuals were

98 re-scored for each marker and allele sizes were compared. Potential errors in genotyping were  
99 estimated using MICRO-CHECKER (Van Oosterhout *et al.*, 2004), with the exception of  
100 microsatellites Aze2, Aze4 and Aze5 that have a complex motif.

101 A region of 479 bp of the 16S rDNA gene of the mtDNA was amplified in 96 *A.*  
102 *zebra* samples using the universal primers 16S rRNA<sub>r</sub> and 16S rRNA<sub>b</sub> (Palumbi *et al.*,  
103 1991). Both strands were sequenced on an ABI 3100 DNA analyser (Applied Biosystems  
104 CA, USA) and sequences were aligned using BioEdit v. 7.0.9 (Hall, 1999).

105

#### 106 *Microsatellite analyses: genetic variation*

107 Linkage disequilibrium between microsatellite loci was computed using GENEPOP  
108 (Raymond & Rousset, 1995) and all markers were tested for  $F_{ST}$  deviation from neutral  
109 expectations using FDIST in ARLEQUIN 3.5.1.2 (Excoffier & Lischer, 2010). Observed and  
110 expected heterozygosity ( $H_o$ ,  $H_e$ ) estimates and tests for Hardy Weinberg equilibrium were  
111 conducted in TFGPA v. 1.3 (Miller, 1997) and the significance was adjusted by Bonferroni  
112 correction for multiple tests (Rice, 1989). Allelic richness ( $A_r$ ) was calculated by FSTAT  
113 v.2.9.3.2 (Goudet, 1995). Comparisons of genetic diversity ( $A_r$ ,  $H_e$ ,  $H_o$ ) among populations  
114 and geographical groups were also performed in FSTAT using 10,000 permutations. All  
115 populations were tested for recent bottlenecks using BOTTLENECK v.1.2.02 (Piry *et al.*,  
116 1999) under two models; infinite alleles (IAM) and two-phase (TPM), using a Wilcoxon  
117 sign-rank test based on a 1000 iterations. For TPM,  $\mu_s$  (frequency of single step mutations)  
118 was set to 0.90 with a 10% variance of multistep mutations (Piry *et al.*, 1999). Contemporary  
119 effective population size ( $N_e$ ) was estimated using COLONY2 (Wang, 2009) from the  
120 frequencies of full or half siblings in each population calculated by sibship assignment  
121 analysis.  $N_e$  was calculated by three runs of medium length with the full likelihood option,  
122 only for populations with a minimum sample size of 20. We also used a new implementation

123 of the LD method included in NeEstimator V2 (Do *et al.*, 2014), using allele frequencies  
124 >0.02 to minimize potential bias caused by rare alleles (Waples & Do, 2008).

125

### 126 *Microsatellite analyses: population structuring*

127 Pairwise genetic differentiation ( $F_{ST}$ ) between populations was computed in TFPGA and  
128 significance values were obtained by 10,000 permutations. Pairwise genetic distances ( $D_{est}$ )  
129 (Jost, 2008) were also calculated in SMOGD (Crawford, 2010). Population genetic  
130 structuring was examined using analysis of molecular variance (AMOVA) implemented in  
131 ARLEQUIN (10,000 permutations). In order to identify the most plausible spatial driver of  
132 genetic variation, two analyses were carried out grouping populations by (a) region: West  
133 Chiloé Island, North East Chiloé Island (including Reloncavi), Lake Ranco and Valdivia  
134 (Fig. 1), or (b) origin: freshwater (Lake Ranco and Valdivia populations) vs coastal (Chiloé  
135 and Reloncavi populations).

136 Population structuring was further analysed taking into account the spatial distribution  
137 of genotyped individuals using TESS 2.3.1 (Chen *et al.*, 2007). We ran 100 replicates per K  
138 (2-15) using an admixture model, 500 sweeps of burn-in, and a running period of 2,100  
139 sweeps with the interaction parameter ( $\Psi$ ) fixed at 0.6. The maximum number of clusters  
140 (Kmax) was inferred from changes in the Deviance Information Criterion (DIC)  
141 (Spiegelhalter *et al.*, 2002). The results from the replicates were averaged using the software  
142 CLUMP (Jacobsson & Rosenberg, 2007) and the output was represented using DISTRICT  
143 1.1 (Rosenberg, 2004).

144 Isolation by distance (IBD) among all populations, and between coastal and  
145 freshwater groups, was estimated with a Mantel test (10,000 permutations) on genetic  
146 distance measured by  $F_{ST}/(1-F_{ST})$  and geographical distance (km) using the Zt statistic  
147 (Bonnet & Van de Peer, 2002). In order to identify barriers to gene flow among *A. zebra*



148 populations, we used the Monmonier's (1973) maximum difference algorithm implemented  
149 in BARRIER v. 2.2 (Manni *et al.*, 2004). Geographical coordinates for each sampling  
150 location were connected by Delauney triangulation and the analysis was conducted using two  
151 matrices of genetic distance ( $D_{est}$  and  $F_{ST}$ , described above). To assess the robustness of the  
152 barriers, analyses were also run for 100 bootstrapped  $F_{ST}$  matrices and for each microsatellite  
153 locus separately (Hemmer-Hansen *et al.*, 2007). Barriers supported by high bootstrap values  
154 (>65%) were ranked (I to IV) according to the number of loci supporting them, strong  
155 support being inferred when more than 10 loci supported the presence of a barrier.

156         We used Approximate Bayesian Computation (ABC) implemented in DIYABC v.  
157 2.0.3 (Cornuet *et al.*, 2008) to reconstruct the potential colonization routes of *A. zebra* using  
158 microsatellite data. We compared three simple colonisation scenarios based on the mtDNA  
159 groupings (Chiloé, Lake Ranco, Valdivia and the Falklands). The potential scenarios  
160 involved a two-step colonisation of Lake Ranco/Valdivia and Chiloé/Falklands (Scenario 1),  
161 a sequential colonisation of Lake Ranco, Valdivia and the Falklands (Scenario 2) and the  
162 possibility of admixture between Valdivia and Chiloé (Scenario 3). Priors were considered  
163 uniformly distributed and we used the default settings for mutation rates. We simulated  
164 300,000 data sets per scenario and considered the following summary statistics: mean number  
165 of alleles per locus, mean gene diversity, mean size variance, mean M ratio within each  
166 population and pairwise  $F_{ST}$  values between populations. Effective population sizes were  
167 considered to vary between 10 and 100,000 for three Chilean populations and between 10 and  
168 50,000 for the Falklands. Confidence in the scenario with the highest posterior probability  
169 was estimated by comparing simulated and observed summary statistics, and by calculating  
170 type I and II error rates (Cornuet *et al.*, 2008).

171

172

173 *Mitochondrial DNA (mtDNA) analysis: genetic diversity and population structuring*  
174 For mtDNA, the number of haplotypes (h), haplotype diversity ( $H_D$ ) and nucleotide diversity  
175 ( $\pi$ ) were calculated in DnaSP v5 (Librado & Rozas, 2009). AMOVA was conducted in  
176 ARLEQUIN to estimate population structuring, using the same groups as for the  
177 microsatellite analyses.

178 Non-parametric Spearman's rank correlations between genetic diversity  
179 (microsatellite heterozygosity and allelic richness, mtDNA haplotype and nucleotide  
180 diversity) of *A. zebra* populations and salmonid abundance (as estimated by CPUE) were  
181 carried out using SPSS v19 to test for potential effects of salmonid presence on *A. zebra*  
182 genetic diversity.

183

184

185 **Results**

186 *Relative abundance (CPUE) of A. zebra and salmonids*

187 We found salmonids in all but two of the study rivers (U28 and U29; Table 1). The relative  
188 abundance of salmonids (estimated by CPUE, fish/min/m<sup>2</sup>) ranged from 0 (West Chiloé) to  
189 0.0075 (R. Punahue, Valdivia) and, as expected for cold water fishes, CPUE increased  
190 significantly with altitude (Spearman's  $r_s = 0.718$ ;  $P = 0.003$ ). The relative abundance of *A.*  
191 *zebra* was not correlated with altitude and was highest in site U29, on the West coast of the  
192 Island of Chiloé, an area free from fish farming and not yet invaded by salmonids (Young *et*  
193 *al.*, 2010).

194

195 *Microsatellite genetic diversity and population genetic structuring of A. zebra*

196 Scoring repeatability was 94% ± 6% on average (per allele). No large allele drop outs were  
197 identified, although null alleles were detected in two populations for markers Aze3 and  
198 Aze11, and in five populations for Aze14. Aze14 was therefore excluded from further  
199 analyses. Microsatellites Aze6 and Aze13 were in linkage disequilibrium in one of the 13  
200 populations analysed (RPU,  $P = 0.00045$ ). None of the markers displayed evidence of being  
201 under selection. Significant deviations from Hardy Weinberg equilibrium were found for  
202 microsatellite Aze9 in one population (U29,  $P = 0.0002$ ) and Aze14 in two populations  
203 (REN, RPU  $P = 0.0001$ ), in both cases due to an excess of homozygotes (Appendix S1).  $F_{IS}$   
204 was high for locus Aze4 in all populations (Appendix S1), and was also excluded from  
205 analyses.

206 Inland and coastal populations did not differ in genetic diversity (Appendix S2;  
207 coastal:  $Ar = 9.03$ ;  $Ho = 0.65$ ;  $Hs = 0.74$  and inland:  $Ar = 8.93$ ;  $Ho = 0.72$ ;  $Hs = 0.76$ ;  $P =$   
208  $0.393$ ). Effective population size ( $N_e$ ) estimated using COLONY was generally low for *A.*  
209 *zebra* and ranged between 47 (RPU) and 127 (U29). Confidence intervals were very wide,

210 particularly those obtained by the LD method probably due to small sample sizes (Table 2);  
211 we therefore only considered those confidence intervals obtained from COLONY for further  
212 analyses. Neither genetic diversity, nor effective population size ( $N_e$ ), were significantly  
213 associated with altitude (Spearman rank correlation  $P = 0.237$ ). We found a negative  
214 correlation between microsatellite genetic diversity of *A. zebra* and the relative abundance of  
215 salmonids (He:  $r = -0.48$ ,  $P = 0.048$ ; Ar:  $r = -0.52$ ,  $P = 0.030$ ). Only the population with the  
216 lowest effective population size (RPU) showed significant evidence of genetic bottleneck  
217 after strict Bonferroni correction under the IAM model ( $P = 0.0012$ ). The genetic diversity of  
218 *A. zebra* in the Falkland Islands, estimated by pooling samples from all populations (due to  
219 limited numbers of individuals in local collections) was similar to that in Chile ( $N=22$ ,  
220  $Ar=7.5$ ,  $He=0.72$ ,  $Ho=0.61$ ).

221 Pairwise  $F_{ST}$  comparisons of population differentiation ranged between 0 and 0.066  
222 (Appendix S3), and  $F_{ST}$  values showed a highly significant pattern of differentiation ( $P <$   
223  $0.001$ ) among geographical regions (W. Chiloé, E. Chiloé, Reloncavi, Valdivia and Lake  
224 Ranco) but non-significant differences within them (Appendix S3). The only significant  $F_{ST}$   
225 within a region was between coastal populations U33 and U20 on the East Coast of Chiloé.  
226  $D_{est}$  values ranged between 0 and 0.264 and generally showed similar patterns as  $F_{ST}$ , with  
227 low to zero values within regions and high differentiation among regions (Appendix S1b).

228 AMOVA revealed that 4.6% of genetic variation could be explained by differences  
229 among the four geographical regions considered ( $F_{CT} = 0.046$ ;  $P < 0.001$ ), 0.8% of variation  
230 was explained by differences among populations within locations ( $F_{SC} = 0.008$ ;  $P < 0.001$ ),  
231 and 94.7% of variation was distributed within populations ( $F_{ST} = 0.054$ ;  $P < 0.001$ ). In  
232 contrast, dividing the populations into inland or coastal groups only explained 1.97% of  
233 genetic variation between groups ( $P = 0.01$ ).

234 Individual assignment analysis (TESS) supported regional genetic clusters with a  
235 most likely  $K = 4$  (Fig. 1). All populations displayed very uniform genotype clustering except  
236 for sites U28 and U29 on the West coast of Chiloé, which were the populations with the  
237 highest degree of admixture (average  $Q$  membership coefficients ranged between 16% and  
238 59%). The Mantel test revealed significant patterns of isolation by distance (IBD) among all  
239 13 *A. zebra* populations in Chile ( $r = 0.490$ ;  $P = 0.001$ ; Fig. 2), and also in coastal ( $r = 0.680$ ;  
240  $P = 0.002$ ) and inland populations ( $r = 0.860$ ;  $P = 0.044$ ) considered separately. Results from  
241 BARRIER supported the population structuring identified by TESS. Two strong barriers were  
242 identified based on matrices of pairwise  $F_{ST}$  and  $D_{est}$  differentiation: barrier I, which separated  
243 inland from coastal populations and was supported by 10 of the 11 loci; and barrier II, which  
244 separated the two freshwater drainages of Lake Ranco and Valdivia and was supported by 9  
245 of the 11 loci (Fig. 1; Appendix S2). Two weaker barriers included barrier III, which  
246 separated East and West Chiloé (supported by 7 loci), and barrier IV which separated River  
247 Lenca (coastal mainland) and Chiloé Island (supported by 5 loci), but these were not strongly  
248 supported by pairwise  $F_{ST}$  values based on all loci combined. The most likely colonisation  
249 scenario identified by DIYABC was one where there was population mixture between Chiloé  
250 and Valdivia, followed by a more recent split of the Falklands populations from Chiloé  
251 (Appendix S3, scenario 3).

252

### 253 *mtDNA diversity and population structuring of A. zebra*

254 The sequencing of 479 bp of the mtDNA 16S rDNA region in 98 *A. zebra* revealed three  
255 parsimoniously informative sites, one of which was a non-synonymous substitution (A/G).  
256 Based on this difference, we identified four haplotypes that could be included in either  
257 haplogroup 1 (base site 148 = G; H1 with  $n = 51$  and H2 with  $n = 2$ ) or haplogroup 2 (base  
258 site 148 = A; H3 with  $n = 2$  and H4 with  $n = 43$ ; GenBank accession numbers: JF437635 –

259 JF437642). Haplogroup 1 tended to be more common in inland populations (Valdivia, L.  
260 Ranco) than haplogroup 2, which characterized most of the coastal fish in Chiloé and the  
261 Falklands individuals (Fig. 3; Table 3). Grouping populations according to regional location  
262 indicated that West Chiloé had the highest genetic diversity ( $H_D = 0.56$ ,  $\pi = 0.001$ ) and  
263 Valdivia the lowest ( $H_D = 0$ ,  $\pi = 0$ ; Table 3). For CO-I we had previously identified six  
264 unique haplotypes defined by five mutations amongst *A. zebra* (Vanhaecke *et al.*, 2012b), the  
265 highest variability corresponding to East Chiloé.

266 AMOVA by geographical region (W. Chiloé, E. Chiloé and Reloncavi, Lake Ranco  
267 and Valdivia) using 16S rDNA revealed that most (56.7%) of the genetic variation could be  
268 explained by differences among regions ( $\Phi_{CT} = 0.567$ ;  $P < 0.001$ ), 44.3% was explained by  
269 differences within populations ( $\Phi_{ST} = 0.557$ ;  $P < 0.001$ ), while the amount of variation  
270 explained by differences among populations within groups was negligible (i.e. high genetic  
271 uniformity within regions;  $\Phi_{SC} = -0.023$ ;  $P = 0.746$ ). When populations were divided into  
272 coastal and inland groups, genetic variation between groups accounted for 54% ( $\Phi_{CT} =$   
273  $0.539$ ;  $P = 0.001$ ), differences within populations accounted for 35.9% ( $\Phi_{ST} = 0.641$ ;  $P <$   
274  $0.001$ ) and 8% was explained by differences among populations within groups ( $\Phi_{SC} = 0.219$ ;  
275  $P = 0.002$ ).

276 For CO-I, 5.4% of genetic variation could be explained by differences among groups  
277 ( $P = 0.04$ ), 89% by differences within populations ( $P < 0.001$ ), and 5.5% by differences  
278 among populations within groups ( $P = 0.102$ ). When populations were divided into coastal  
279 and inland groups, genetic variation between groups accounted for 6.2% ( $P = 0.001$ ),  
280 differences within populations accounted for 87% ( $P < 0.001$ ) and differences among  
281 populations within groups accounted for 6.3% ( $P = 0.039$ ).

282 In contrast to microsatellite diversity, we did not find a significant correlation  
283 between relative salmonid abundance and measures of *A. zebra* mtDNA diversity (haplotype  
284 diversity:  $r = -0.54$ ,  $P = 0.055$ ; nucleotide diversity:  $r = -0.45$ ,  $P = 0.127$ ).

285

#### 286 *Genetic diversity of Aplochiton taeniatus*

287 Analysis of genetic diversity was carried out in those populations of *A. taeniatus* with  
288 sufficient sample size following DNA barcoding and species identification: River Huicha  
289 from Chiloé (N = 28) and North Arms from East Falklands (N = 30). Comparisons were  
290 performed using those nine microsatellites that were variable for both species (Vanhaecke *et*  
291 *al.* 2012b). Allelic richness (Ar) of *A. taeniatus* in North Arms (Ar = 2.8) was significantly  
292 lower than in Chiloé (Ar = 9.2,  $P = 0.016$ ) although they did not differ significantly in Ho  
293 (0.27 vs. 0.46  $P = 0.11$ ). In addition, *A. taeniatus* in the Falklands (but not in Chiloé)  
294 displayed evidence of a recent population bottleneck under the two mutation models  
295 considered (IAM,  $P = 0.004$ ; TPM,  $P = 0.019$ ) and a shifted allelic frequency distribution  
296 compared to the expected L-shaped distribution as well as deviation from Hardy-Weinberg  
297 equilibrium ( $P < 0.001$ ). Estimates of effective population size of *A. taeniatus* were very low  
298 in the Falklands ( $N_e = 26$ ; 95% CI = 19 - 47) and low in Chiloé ( $N_e = 49$ ; 95% CI = 29 - 100).  
299

## 300 **Discussion**

301 Non-native salmonids are known to impact native galaxiids by displacing, out-competing,  
302 and preying upon them (Arismendi *et al.*, 2009; Young *et al.*, 2009; Garcia de Leaniz *et al.*,  
303 2010). However, the potential genetic impact of salmonids on galaxiids remains largely  
304 unknown (but see Vanhaecke *et al.*, 2012a). Our estimates of relative salmonid abundance in  
305 Chilean streams were similar to those of previous studies that found salmonids were absent in  
306 some coastal rivers of Chiloé and were most abundant in areas with greater numbers of fish  
307 farms that could serve as sources for invaders (Young *et al.*, 2010; Consuegra *et al.*, 2011;  
308 Monzón-Argüello *et al.*, 2014b).

309         We used two types of molecular markers with different rates of evolution and modes  
310 of inheritance to investigate the drivers of population structuring in *A. zebra*: maternally  
311 inherited mtDNA to provide information on historical phylogeographic events (e.g., post-  
312 glacial colonization) and bi-parentally inherited microsatellite loci to infer more recent  
313 demographic events influencing patterns of genetic variability (Emerson & Hewitt, 2005).  
314 Analyses of the mitochondrial 16S rDNA gene revealed weak population structuring (i.e.,  
315 high genetic uniformity) within *A. zebra* populations inhabiting freshwater systems, which  
316 was unrelated to salmonid presence, and low divergence between inland and coastal  
317 populations, including the Falkland Islands. These, along with our analyses of microsatellite  
318 variation, suggest that the population structuring of *A. zebra* reflects the signature of  
319 historical patterns of colonisation since the last glacial maximum (LGM) and current  
320 geographical barriers. We also found a negative association between microsatellite genetic  
321 diversity of *A. zebra* and estimates of salmonid abundance, but not between mtDNA diversity  
322 and salmonid presence. These results suggest that any genetic impacts of salmonids upon  
323 *Aplocheilichthys* must have been relatively recent (indicated by microsatellite diversity), and have  
324 yet to be reflected in changes in mtDNA diversity.



325 Unlike the case of the more abundant *Galaxias maculatus*, whose genetic diversity  
326 appears to be unaffected by salmonid abundance (Vanhaecke et al., 2012a), our results  
327 suggest that invasive salmonids may have caused a decrease in the nuclear genetic diversity  
328 of the endangered *A. zebra*; it is important to note, however, that sample sizes were small for  
329 some populations, and that results were merely correlational; genetic inferences, therefore,  
330 need to be made with caution. An unknown variable related to both salmonid abundance and  
331 microsatellite diversity in *Aplocheilichthys* could also explain the associations we observed.

332 Intraspecific mtDNA sequence divergence can be low in fishes (Hubert *et al.*, 2008),  
333 but in species such as *Aplocheilichthys* spp. with diadromous and resident life histories, long-term  
334 isolation can cause substantial divergence between populations (McCusker & Bentzen, 2010;  
335 McDowall, 2010). We found that one non-synonymous mutation separated coastal from  
336 inland populations, with the exception of populations on the West Coast of Chiloé that  
337 displayed a mixture of both. The populations on Chiloé also displayed the highest haplotype  
338 diversity for CO-I. Population structuring based on mtDNA may reflect the pattern of  
339 colonisation following the LGM, when freshwater populations are thought to have derived  
340 from coastal refugia. A similar pattern of low population structuring has been observed for  
341 other diadromous and marine species that retreated to marine refugia during the LGM in this  
342 region (Fraser *et al.*, 2010; Zemlak *et al.*, 2010). In contrast, freshwater species occupying  
343 recently de-glaciated habitats display stronger divergence between separate watersheds,  
344 reflecting the recolonization from disconnected freshwater refugia (Ruzzante *et al.*, 2006;  
345 Unmack *et al.*, 2009).

346 Of all the populations examined, *A. zebra* displayed the highest genetic diversity on  
347 the Island of Chiloé, suggesting that this could have been a refugium for the species during  
348 the LGM (approximately 20,000 to 10,000 yrs BP), as it has also been suggested for other  
349 diadromous and marine species (Fraser *et al.*, 2010; Zemlak *et al.*, 2010). Recolonization

350 from coastal refugia and founder effects could explain the lack of diversity in the most  
351 isolated population, such as the Valdivian populations and the Falkland Islands. During the  
352 LGM, the Patagonian Ice Sheet spread northward from the southern tip of Patagonia (54°S)  
353 to 38°S, and westward from the Andes mountains to the Pacific coastline (Cussac *et al.*,  
354 2004); therefore, freshwater species could have migrated from the Andes to the coast and  
355 from the south to unglaciated coastal regions in the north, resulting in *A. zebra* from coastal  
356 rivers in Chile and the Falkland Islands sharing the same 16S rDNA haplotype. Surface  
357 marine currents in the southern coast of Chile move southward with the Cape Horn Current,  
358 around Tierra del Fuego and reach the Atlantic Ocean where the Antarctic Circumpolar  
359 Current and the Malvinas Currents circumvent the Falkland Islands (Kaiser *et al.*, 2005).  
360 Such currents have been found to be important dispersal pathways for Chilean seaweed  
361 (Fraser *et al.*, 2010), diadromous *G. maculatus* (Zemlak *et al.*, 2010) and Chinook salmon  
362 escaping from Chilean net pens and entering the South Atlantic around Cape Horn (Correa &  
363 Gross, 2008). Based on these lines of evidence, a common origin for *A. zebra* in Chile and  
364 the Falkland Islands is therefore plausible.

365         The low mtDNA diversity detected in *Aplochiton* spp. is common among many  
366 freshwater fish, which typically display much lower diversity than marine fish (McCusker &  
367 Bentzen, 2010). This would explain the genotypic uniformity observed between the River  
368 Lenca (mainland Chile) and East Chiloé, connected by the Chiloé Interior Sea, and also the  
369 low genetic diversity observed at West Chiloé. In contrast, the strong genetic differentiation  
370 observed among landlocked populations suggests that inland populations are less likely to  
371 migrate to sea following their recolonization from marine refugia, as observed in other  
372 species (Waters *et al.*, 2010). Microsatellite data also indicated highly significant population  
373 structuring with four distinct clusters: (1) Valdivia, (2) Lake Ranco, (3) East Chiloé with  
374 River Lenca (i.e., populations inhabiting coastal rivers draining into the Chiloé Interior Sea)

375 and (4) West Chiloé (i.e., populations inhabiting coastal rivers draining into the Pacific  
376 Ocean). This level of regional genetic differentiation at microsatellite loci may reflect  
377 currently limited gene flow among watersheds and is supported by genetic barriers identified  
378 by BARRIER and IBD patterns.

379         In summary, our study of *A. zebra* in Chile and the Falklands indicates that the  
380 mtDNA diversity of this species probably reflects the legacy of historical routes of  
381 recolonization and migration, whereas the pattern of structuring found at microsatellite loci  
382 probably reflects the influence of more recent demographic and isolation processes in the  
383 region. Our analysis also indicates that the genetic diversity of *Aplochiton* may have  
384 decreased in those populations most affected by salmonid encroachment, particularly in the  
385 case of *A. taeniatus*. Although the possibility of ascertainment bias cannot be totally ruled out  
386 with current molecular markers used to study *Aplochiton* spp., the observed low genetic  
387 diversity, and the limited information available on their abundance and distribution calls for a  
388 more detailed analysis of the conservation status of *A. zebra* and *A. taeniatus* in the face of  
389 salmonid invasions.

390

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#### 582 **Data accesibility**

583 Alignments of the 16S rDNA results generated in this study are publicly available in figshare,  
584 <http://dx.doi.org/10.6084/m9.figshare.1423279>.

#### 585 **Biosketch**

586 This work was part of the PhD thesis of DV, supervised by SC and CGL on salmonid  
587 invasion biology in the Southern Hemisphere. SC and CGL conceived the work; DV, CGL,  
588 GGa, JD and GGi collected the samples, DV and SC analysed the data, all authors  
589 contributed to the writing of the manuscript.

590 **Editor:** Robert Bryson Jr.

591 **Appendix S1.** Genetic diversity and differentiation among *Aplocheilichthys zebra* populations.

592 **Appendix S2.** Barriers to gene flow among *Aplocheilichthys zebra* populations in Chile identified  
593 by BARRIER v2.2.

594 **Appendix S3.** Alternative scenarios for ABC analysis population colonisation of *Aplocheilichthys*  
595 *zebra* in Chile and the Falklands.

596

597 **Table 1.** Characteristics and location of study rivers, sample size of *Aplochiton zebra* (n) in Chile, presence of  
 598 abundance (CPUE, fish/min/m<sup>2</sup>) of native *A. zebra*. and salmonids(\*).

Watershed	Code	River name	n	Latitude	Longitude	Altitude (m)	Origin	Species present
W. Chiloé	U28	N/A	22	-41.95	-74.02	10	Coastal	AZ, AT
	U29	N/A	21	-41.96	-74.04	18	Coastal	AZ
E. Chiloé	U17	N/A	20	-42.12	-73.48	10	Coastal	AZ, GM, OM*
	U20	N/A	26	-42.21	-73.40	9	Coastal	AZ, GM, OM*
	U33	N/A	25	-42.17	-73.48	4	Coastal	AZ, AT, GM, OM*, SS*
	U34	N/A	16	-42.11	-73.48	11	Coastal	AZ, AT, BB, GM, OM*
Reloncaví	RL	Lenca	17	-41.61	-72.68	13	Pre-Andean	AZ, GM, OM*, ST*
Valdivia	REN	Blanco	30	-39.91	-72.15	139	Andean	AZ, OM*, ST*
	RPU	Punahue	21	-39.83	-72.04	195	Andean	AZ, OM*, ST*
L. Ranco	RPI	Pitreño	30	-40.33	-72.32	82	Central Valley	AZ, GP, PT, TA, BA, C
	RQU	Quiman	27	-40.11	-72.34	118	Central Valley	AZ, GP, OM*, SS*, ST*
	RFU	Futangue	30	-40.33	-72.27	71	Central Valley	AZ, GP, PT, OM*
	RIC	Iculpe	30	-40.32	-72.44	89	Central Valley	AZ, GP, TA, PT, OM*,

599 AZ= *Aplochiton zebra*; AT = *A. taeniatus*; GM = *Galaxias maculatus*; BB = *Brachygalaxias bullocki*; BA=*Basilichthys*

600 TA=*Trichomycterus areolatus*; PT = *Percichthys trucha*; GP = *G. platei*; OM = *Oncorhynchus mykiss*; ST = *Salmo trutta*

601 **Table 2.** Effective population size ( $N_e$ ) and 95% confidence intervals estimated with  
 602 COLONY (full likelihood score method) and NeEstimator (Linkage Disequilibrium, LD  
 603 method) for populations with sample size (N) of at least 20 individuals and based on 11  
 604 microsatellites.

	COLONY				NeESTIMATOR	
	N	$N_e$	0.05	0.95	$N_e$	CI (Jackknife method)
U28	22	66	35	205	40.6	(22.7, 122.0)
U29	21	127	44	$\infty$	$\infty$	(78.6, $\infty$ )
U17	20	84	37	$\infty$	$\infty$	(88.5, $\infty$ )
U20	26	71	39	190	31.3	(19.3, 63.2)
U33	25	54	31	115	608.4	(68.5, $\infty$ )
REN	30	83	47	173	172.2	(47.0, $\infty$ )
RPU	21	47	25	129	49.3	(25.5, 223.5)
RPI	30	58	34	101	332.6	(85.3, $\infty$ )
RQU	27	71	41	136	$\infty$	(102.0, $\infty$ )
RFU	30	64	39	115	226.5	(60.7, $\infty$ )
RIC	30	72	40	137	194.2	(64.5, $\infty$ )

605

606 **Table 3.** Molecular diversity indices per population based on mitochondrial 16S  
607 rDNA and CO-I genes calculated in ARLEQUIN (sample size (N), number of  
608 haplotypes (h), haplotype diversity ( $H_D$ ) and nucleotide diversity ( $\pi$ )) for each river  
609 separately and pooled by region.

River	16S rDNA				CO-I			
	N	h	$H_D$	$\pi$	N	H	$H_D$	$\pi$
U28	11	3	0.56	0.0013	10	1	0	0
U29	8	2	0.57	0.0012	9	1	0	0
U17	6	3	0.6	0.0014	15	5	0.79	0.0024
U20	5	1	0	0	14	3	0.39	0.0009
U33	5	2	0.4	0.0008	11	4	0.69	0.0018
U34	4	2	0.5	0.0010	7	2	0.29	0.0006
RL	10	2	0.36	0.0007	16	1	0	0
REN	10	1	0	0	30	3	0.25	0.0006
RPU	10	1	0	0	21	1	0	0
RPI	5	2	0.4	0.0008	24	1	0	0
RQU	5	2	0.4	0.0008	18	1	0	0
RFU	4	1	0	0	27	2	0.21	
RIC	5	2	0.4	0.0008	19	1	0	0
<b>REGIONS</b>								
West Chiloé	19	3	0.56	0.0013	19	1	0	0
East Chiloé and RL	30	3	0.35	0.0008	63	5	0.49	0.0012
Valdivia	20	1	0	0	50	3	0.15	0.0003
Lake Ranco	19	3	0.29	0.0006	88	2	0.07	0.0001
Falkland Islands	10	1	0	0	23	1	0	0

611 **Figure legends**

612 **Figure 1.** Representation of genetic barriers (left) between galaxiid fish populations  
613 (*Aplochiton zebra*) in Chile identified using BARRIER v 2.2, based on 11 microsatellites  
614 using Monmonier's algorithm. Blue lines represent the main barriers to gene flow, ranked  
615 from 1<sup>st</sup> to 4<sup>th</sup> (number of loci supporting the barrier is indicated in brackets next to ranking),  
616 thickness of the line represents bootstrapping support. Plot of individual assignment (right)  
617 based on 11 microsatellites using TESS with K = 4, averaged from 100 runs using CLUMPP  
618 and represented using DISTRUCT. Each bar constitutes an individual fish. Y- axis represents  
619 the proportion of each individual attributable to each cluster, represented by coloured bars.

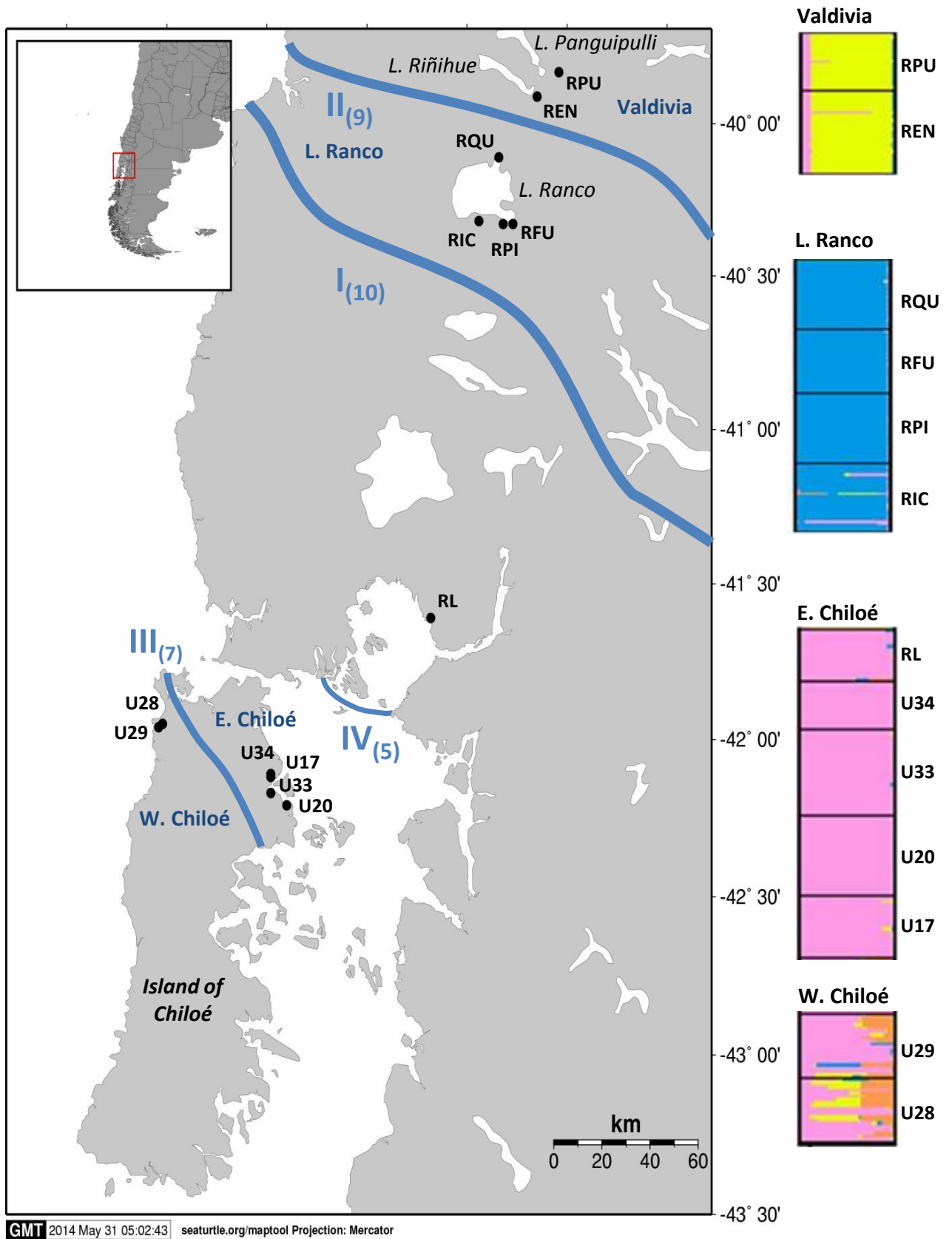
620

621 **Figure 2.** Relationship between geographical distance (km) and genetic distance ( $F_{ST}/1 - F_{ST}$ )  
622 for 13 fish populations of *Aplochiton zebra* in Chile ( $y = 0.0157\ln(x) - 0.0164$ ,  $R^2 = 0.462$ ).

623 **Figure 3.** Distribution of mitochondrial 16S rDNA haplogroups of galaxiid fish (*Aplochiton*  
624 *zebra*) in the sampling regions: Valdivia, L. Ranco, West Chiloé, East Chiloé (including Seno  
625 Reloncavi) and Falkland Islands .



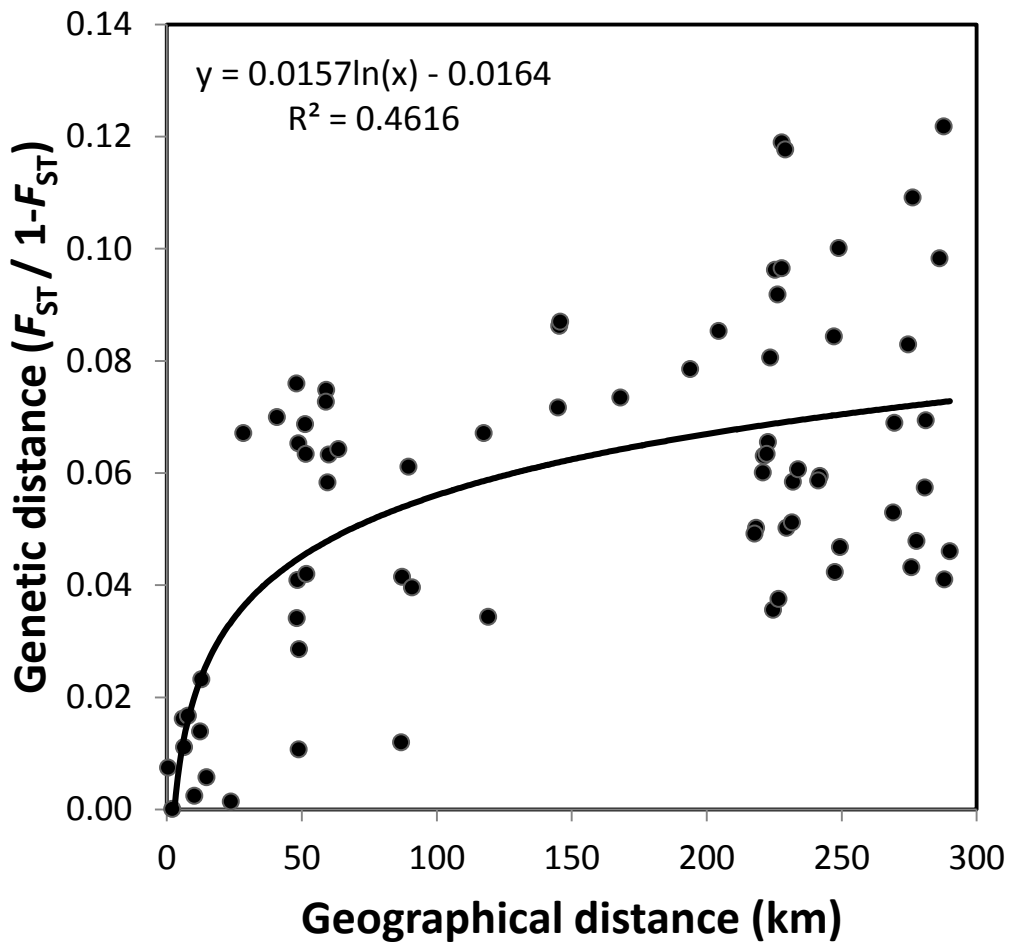
626 **Figure 1.**



627

628

629 **Figure 2.**

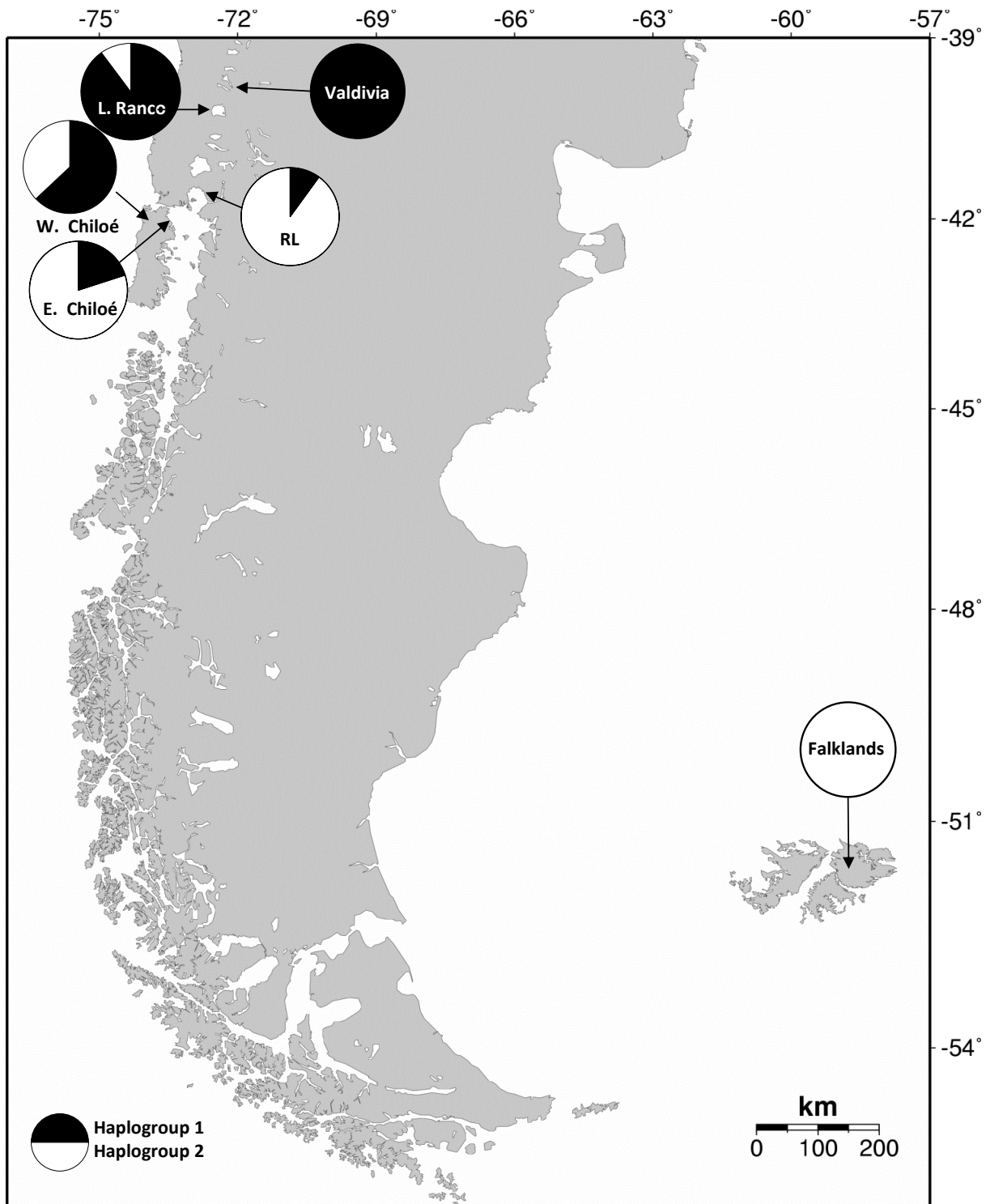


630

631

632 **Figure 3.**

633



634 GMT 2014 May 31 08:19:09 seaturtle.org/maptool Projection: Mercator

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