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## Functional diversity enhances the resistance of ecosystem multifunctionality to aridity in Mediterranean drylands

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- 31

#### 32 SUMMARY

• We used a functional trait-based approach to assess the impacts of aridity and shrub encroachment on the functional structure of Mediterranean dryland communities (functional diversity and community-weighted mean trait values [CWM]), and to evaluate how these functional attributes ultimately affect multifunctionality (i.e., the provision of several ecosystem functions simultaneously).

Shrub encroachment (the increase in the abundance/cover of shrubs) is a major
 land cover change that is taking place in grasslands worldwide. Studies
 conducted on drylands have reported positive or negative impacts of shrub
 encroachment depending on the functions and the traits of the sprouting or non sprouting shrub species considered.

Functional diversity and CWM were equally important as drivers of
multifunctionality responses to both aridity and shrub encroachment. Size traits
(e.g., vegetative height or lateral spread) and leaf traits (e.g., specific leaf area
and leaf dry matter content) captured the effect of shrub encroachment on
multifunctionality with a relative high accuracy (r<sup>2</sup>=0.63). Functional diversity
also improved the resistance of multifunctionality along the aridity gradient
studied.

# Maintaining and enhancing functional diversity in plant communities may help to buffer negative effects of ongoing global environmental change on dryland multifunctionality.

54 Keywords: aridity, community-weighted mean, drylands, functional diversity, global
55 change, multifunctionality, shrub encroachment, traits.

#### 57 INTRODUCTION

58 Global change is altering biodiversity worldwide at an unprecedented rate, with 59 important consequences for the functioning of natural ecosystems (Vitousek et al., 60 1997; Chapin et al., 2000). A response-effect framework based on plant functional traits 61 has been proposed to explore the ecosystem-level consequences of local changes in 62 biodiversity in response to ongoing global environmental change (global change 63 hereafter; Lavorel & Garnier 2002; Suding et al., 2008). This approach states that 64 changes in the functional structure of communities can partly affect ecosystem 65 functioning ("indirect" effects, sensu Suding et al., 2008), although global change drivers also alter such functioning directly (Asner et al., 2004; Austin et al., 2004; Zepp 66 et al., 2007). The influential "mass-ratio hypothesis" (Grime, 1998) considers that the 67 68 traits of dominant species largely determine the effects of plant communities on ecosystem functioning. As such, trait-based studies have mainly focused on community-69 weighted mean values (CWM hereafter; Garnier et al., 2004; Violle et al., 2007; Suding 70 71 et al., 2008; see de Bello et al., 2010 for a review). However, global change drivers can 72 also affect the variance of the trait distributions within communities (here defined as 73 "functional diversity" [FD], see Laliberté & Legendre, 2010). High FD may reflect an 74 increase in complementary in resource use between species (Gross et al., 2007b), thus 75 improving ecosystem functioning (Díaz et al., 2007).

Most studies investigating the relationship between the community functional structure and ecosystem functioning have studied one or a few ecosystem functions (see de Bello *et al.*, 2010 for a review). However, ecosystems are primarily valued because they provide multiple functions and services simultaneously (i.e. multifunctionality hereafter; Zavaleta *et al.*, 2010). Therefore assessing how global change drivers may impact multifunctionality is crucial to understand the ecological consequences of global change (Reiss *et al.*, 2009; Zavaleta *et al.*, 2010; Cardinale *et al.*, 2012). In this context,
high levels of FD have been hypothesized as crucial for maintaining high
multifunctionality (Mouillot *et al.*, 2011).

85 Arid, semi-arid and dry-subhumid ecosystems (drylands hereafter) are currently 86 impacted by climate change (Maestre et al., 2012b) and shrub encroachment (Eldridge 87 et al., 2011). Shrub encroachment, i.e. an increase in abundance and/or density of shrub 88 species in grasslands (Schlesinger *et al.*, 1990), is a major land cover change that is 89 occurring in drylands worldwide (Knapp et al., 2008; Maestre et al., 2009; Li et al., 90 2013). This phenomenon has been found to promote dryland desertification by reducing 91 plant biomass and species richness (Knapp et al., 2008), increasing fire risk (Mitchley 92 & Ispikoudis, 1999) and enhancing soil erosion (Schlesinger et al., 1990). However, 93 other studies have found positive effects of shrub encroachment on the richness of 94 different organisms and on ecosystem functioning (see Eldridge et al., 2011 for a 95 review). Maestre et al. (2009) hypothesized that the functional traits of encroaching 96 shrubs relative to those of the grasses being replaced are key determinants of the 97 outcomes of shrub encroachment. Size-related traits of shrub species might be 98 particularly important, as the replacement of grass species by tall and large shrubs (i.e. 99 sprouting shrubs) enhances species richness and ecosystem functions such as 100 nutrient/carbon cycling and storage (Eldridge et al., 2011; Quero et al., 2013).

101 Climate change has been projected to increase aridity in drylands worldwide 102 during this century (Feng & Fu, 2013). This can modify the traits of dominant shrub 103 species, as well as the functional diversity within communities (Gross *et al.*, 2013), 104 because aridity generally favors small stress-tolerant and slow-growing shrub species 105 with particular leaf traits, e.g. thick evergreen leaves with low specific leaf area and 106 high leaf dry matter content (Ackerly *et al.*, 2002). However, these species can be 107 replaced in the most arid Mediterranean ecosystems by stress-avoidant species 108 characterized by summer deciduous leaves and an opposite trait strategy (Ackerly et al., 109 2002; Gross et al., 2013). Changes in leaf trait values may have important consequences 110 on ecosystem functioning, as they determine the rate of resource capture and utilization 111 (Garnier et al., 2004) as well as litter decomposition (Kazakou et al., 2006). Also, 112 increasing aridity may select for small shrub species (Gross et al., 2013) and limit the 113 ability of tall sprouting shrubs to enhance multifunctionality. Finally, increasing aridity 114 may also alter multifunctionality by modifying the FD within drylands (Gross et al., 115 2013) because: (i) a positive effect of species diversity on multifunctionality has been 116 found in global drylands (Maestre et al., 2012a), and (ii) high FD has been hypothesized 117 to improve the resistance of dryland ecosystems to aridity (Volaire *et al.*, 2014); this is so because FD could increase the probability that some species will survive if 118 119 environmental conditions change, and thus could maintain ecosystem functioning (Díaz 120 & Cabido, 2001).

121 In this paper, we evaluated how aridity and shrub encroachment affect the 122 functional structure of Mediterranean drylands, and assessed how changes in their 123 functional structure ultimately drive variations in multifunctionality. We also quantified 124 the relative contribution of mass ratio (reflected by CWM) vs. niche complementarity 125 (reflected by FD) processes on multifunctionality for multiple traits (size and leaf traits) 126 using a confirmatory path analysis (Shipley, 2013; Fig. 1). We tested the following 127 hypotheses: (i) mass ratio and niche complementarity processes are important drivers of 128 multifunctionality (Mouillot et al., 2011), (ii) high functional diversity will improve 129 multifunctionality (Mouillot et al., 2011), (iii) high functional diversity will minimize 130 the negative effects of aridity on multifunctionality (Cardinale et al., 2012; Maestre et

- 131 *al.*, 2012a), and (iv) the effects of aridity on multifunctionality will be modulated by the
- 132 traits of shrubs which determine the outcome of shrub encroachments.
- 133

#### 134 MATERIALS AND METHODS

#### 135 Study area

136 We surveyed 45 sites along an aridity gradient from central to south-east Spain (Fig. 137 S1). Mean annual precipitation and temperature along this gradient ranged from 294 138 mm to 479 mm and from 12 °C to 18 °C, respectively. Aridity (1- aridity index 139 [precipitation/potential evapotranspiration]; Delgado-Baquerizo et al., 2013) values 140 range from 0.57 to 0.76, and are strongly correlated to both annual mean precipitation  $(R^2 = 0.97)$  and temperature  $(R^2 = 0.89)$  in the studied sites. Climatic data were 141 142 extracted from the WorldClim global database (Hijmans et al., 2005), while data to 143 calculate the aridity index were obtained from Trabucco and Zomer (2009). All the 144 studied sites were located on south-facing slopes, with slope values ranging from 1° to 22° (measured in situ with a clinometer), and had soils derived from limestone (Lithic 145 146 Calciorthid; Soil Survey Staff, 1994). Vegetation at these sites was either a grassland 147 dominated by Stipa tenacissima or a shrubland dominated by obligate-seeder shrubs 148 such as Rosmarinus officinalis (hereafter non-sprouting shrubs, Fig. S2). Within 149 grasslands and shrublands, we selected sites with and without tall sprouting shrubs 150 (such as *Quercus coccifera*; Fig. S2). The cover of sprouting shrubs in both grasslands 151 and shrublands were similar along the aridity gradient studied (Table S1).

152

#### 153 Vegetation sampling

We established a 30 m x 30 m plot at each study site. Total plant cover within each plot
was sampled by using four 30 m long transects located 8 m apart from each other,

which were extended parallel to the slope. In each transect, the cover of every perennial
species in 20 consecutive quadrats (1.5 m x 1.5 m) was visually recorded. We focused
on perennial plants as they represent most of the plant biomass in drylands (Whitford,
2002), and their cover is a good predictor of ecosystem functioning in these areas
(Maestre & Escudero, 2009; García-Gómez & Maestre 2011; Gaitán *et al.*, 2014).
Species abundance per site was calculated as the sum of the cover measured in the 80
quadrats.

163 At each plot, we measured the traits of all the perennial plant species that 164 accounted for at least 80% of the total plot cover, in a decreasing order of relative 165 abundance. These measurements were conducted on ten randomly selected individuals 166 per species during the peak of the vegetation growth season (spring). We assigned to 167 each species and plot the average value of the individuals measured in that plot. In the 168 case of the species for which we did not have local trait values we used the average trait 169 values observed in the three nearest sites. Ten traits were measured following 170 standardized protocols (Cornelissen *et al.*, 2003): (i) plant architecture traits: vegetative 171 height VH (cm), lateral spread LS (cm<sup>2</sup>), branching density (BD, number of main 172 stems) and ramification (Br, number of ramifications per stem). These traits are related 173 to plant water use efficiency and/or competitive ability (Westoby et al., 2002); (ii) leaf 174 traits, including leaf area (LA, cm<sup>2</sup>), leaf length (LL, cm), leaf width (LW, cm) and leaf 175 thickness (LT, mm), all reflecting light interception and water stress tolerance (Westoby et al., 2002), and specific leaf area (SLA, cm<sup>2</sup> g<sup>-1</sup>) and leaf dry matter content (LDMC, g 176 g<sup>-1</sup>), which correlate with plant relative growth rate and nutrient acquisition and 177 178 utilization (Wright et al., 2004).

179

#### 180 Soil sampling and analyses

Soil cores (0–7.5 cm depth) were sampled during the peak of the dry season (July-August) under the canopy of five randomly selected *S. tenacissima* and *R. officinalis* individuals, and five other in randomly selected open areas devoid of vascular vegetation. In those sites with sprouting shrubs, additional soil cores were sampled under the canopy of five randomly selected individuals of these shrubs. Hence, 10 or 15 soil samples, respectively, were collected per site.

187 Soil samples were sieved by a 2 mm mesh and air dried for one month prior to 188 laboratory analyses. For each soil sample, the following variables were quantified as 189 described in Maestre et al. (2012a) and Delgado-Baquerizo et al. (2013): organic C, 190 pentoses, hexoses, total N, total available N, aminoacids, proteins, net potential 191 mineralization rate, total P, available inorganic P, Olsen P (inorganic P – HCL 1M) and 192 the activities of phosphatase and  $\beta$ -glucosidase. These variables constitute a good proxy 193 for processes such as nutrient cycling, biological productivity, and buildup of nutrient 194 pools, which are important determinants of ecosystem functioning in drylands 195 (Whitford, 2002). Most of these processes are also considered to support ecosystem 196 services, as other types of ecosystem services depend on them (MEA, 2005; Isbell et al.,

197 2011).

198

- 199 Data management
- 200 Community trait distribution

For each measured trait, we calculated two complementary indices of functional structure: CWM and FD. CWM corresponds to the mean trait value of a community weighted by the relative abundance of each species, and reflects the trait values of the most dominant plant species in a given community. It was calculated with the following equation (Violle *et al.*, 2007):

206 
$$CWT_{j} = \sum_{i}^{n} p_{ij}T_{ij} \qquad (eqn. 1)$$

where  $p_{ij}$  is the abundance of the species *i* in the community *j* and  $T_{ij}$  the mean trait value of the species *i* in the community *j*.

Functional diversity quantifies the degree of trait dispersion within a community (adapted from Laliberté & Legendre, 2010). Calculated for each trait separately, FD is similar to the variance of the community trait distribution weighted by the relative abundance of each species within the community. It was calculated as:

213 
$$FD_{j} = \sum_{i}^{n} p_{ij} \left( \frac{\left| T_{ij} - CWT_{j} \right|}{\sum_{i}^{n} \left| T_{ij} - CWT_{j} \right|} \right)$$
(eqn. 2)

where  $p_{ij}$  is the abundance of the species *i* in the community *j*,  $T_{ij}$  is the mean trait value of the species *i* in the community *j*, and *CWT<sub>j</sub>* is the community-weighted trait of the community *j*. High FD values suggest higher complementarity in resource used between species within a given community (Maire *et al.*, 2012).

218

#### 219 *Multifunctionality index*

220 Multifunctionality was estimated from all the soil variables measured using the 221 M index of Maestre et al. (2012a). To obtain a M value for each site, Z scores were first 222 calculated for each of the 13 soil variables estimated at the scale of each 30 m  $\times$  30 m 223 plot surveyed. These estimates were obtained by using a weighted average of the mean 224 values observed in bare ground and vegetated areas, and weighted by their respective 225 cover at each plot (Maestre et al., 2012a). Raw data were normalized prior to 226 calculations; a square root transformation normalized most of the variables evaluated. 227 Following this, the Z scores of the 13 soil variables were averaged to obtain M. This

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228 index provides a straightforward and easily interpretable measure of the ability of 229 different communities to sustain multiple ecosystem functions simultaneously (Byrnes 230 et al., 2014). It is also statistically robust (Maestre et al., 2012a), and is being 231 increasingly used when assessing multifunctionality (Quero et al., 2013; Pendleton et 232 al., 2014; Wagg et al., 2014; Bradford et al., 2014). We acknowledge that the use of M 233 may preclude a detailed analysis of how particular species differ in their importance for 234 different functions (e.g., Hector & Bagchi, 2007; Gotelli et al., 2011), and that in this 235 index declines in a particular process/function can theoretically be compensated for by 236 increases in another process/function (something that has been criticized in the past; e.g. 237 Gamfeldt *et al.*, 2008). However, we did not find that particular sites with high values of 238 a single or a few functions had consistently low values for other functions (Table S4). 239 Moreover, the relatively large number of variables employed to calculate M makes it relatively robust to outliers or atypical values. We also acknowledge that having 240 241 variables that are highly correlated among them could make them somewhat redundant 242 (albeit this also simplifies the interpretation of the values of M). However, in our dataset 243 only nine out of the 78 correlations between the soil variables evaluated had r values 244 higher than 0.7, suggesting that redundancy is not very high within our data (Table S4). 245 Finally, our estimates of M are highly related to other multifunctionality indices (Fig 246 S4). Thus, our results and conclusions are robust to the choice of metric used to estimate 247 multifunctionality.

248

#### 249 Statistical analyses

250 Functional variation between dryland communities

251 We conducted a principal component analysis (PCA) with Varimax rotation using the

252 CWM and FD values of all the traits measured. These analyses were done separately for

253 CWM and FD. We used the PCA coordinates in those components with an eigenvalue 254 higher than one to measure the CWM and the FD of each community. This procedure 255 allowed us to identify the plant strategy spectrum along which traits co-vary across 256 species and communities (Maire *et al.*, 2012). It has also the advantage to consider only 257 independent variables in further analyses. CWM values were normalized using log-258 transformation before PCA analyses.

259

#### 260 *Community responses to aridity and shrub encroachment*

261 We used a stepAICc procedure (following Grace, 2006) to evaluate the relationship 262 between either CWM or FD (response variables) and aridity, abundance of sprouting 263 shrubs and abundance of non-sprouting shrubs (predictors). Since the functional 264 response to aridity is not necessarily linear (e.g., Gross et al., 2013), a quadratic term 265 was introduced if needed. The best model was selected based on the Akaike information 266 criterion (AICc; Akaike, 1973). To evaluate the relative importance of aridity and shrub 267 encroachment as drivers of the functional structure of the studied communities, we 268 conducted a variance decomposition analysis based on the sum of squares of the 269 selected models. Note that we also initially included slope in our models, as it has 270 important effects on water availability in drylands (Gómez-Plaza et al., 2001). 271 However, this variable was not retained in any model based on an AICc model selection 272 (P > 0.05 in all cases, data not shown). Therefore, we removed slope as a predictor in 273 our models because it does not explain additional variation over that explained by 274 aridity and functional structure.

275

276 Direct and indirect effects of aridity and shrub encroachment on multifunctionality

277 To test for relationships between CWM, FD, aridity, shrub encroachment, and 278 multifunctionality, we conducted a confirmatory path analysis using a d-sep approach 279 (Shipley, 2009; Laliberté & Tylianakis, 2012). This methodology allows relaxing some 280 of the limitations of standard structural equation models, including non-normal data 281 distribution, non-linear relationships between variables and small sample sizes (Grace, 282 2006; Shipley, 2009). The d-sep approach is based on an acyclic graph that depicts the 283 hypothetical relationships and independence claims between variables, where the latter 284 are tested using the C statistic (See Fig. 1 and Appendix S1 for the detailed rationale of 285 our analyses). We tested three main alternative hypotheses, where multifunctionality 286 responses to aridity and shrub encroachment are driven by: (i) CWM only (mass ratio 287 hypothesis); (ii) FD only (niche complementary hypothesis); and (iii) the interplay of 288 mass-ratio and niche complementarity processes (combined hypothesis). To simplify 289 the *a priori* models used, a stepAICc procedure was first conducted to select the 290 predictors that explained most of the variability found in multifunctionality (see Table 291 S2). When several models were not rejected, we used the AICc procedure adapted for 292 confirmatory path analysis to select the best model (Shipley, 2013). Finally, 293 standardised path coefficients were used to measure the direct, indirect, and total effects 294 of the predictors (Grace & Bollen, 2005).

As multiple traits can potentially act simultaneously on multifunctionality through contrasted mechanisms (e.g. mass ratio and niche complementarity in the case of the combined hypothesis), their respective effects on multifunctionality responses to aridity might be difficult to isolate. Thus, we ran a sensitivity analysis on the best selected model to highlight the relative contribution of multiple traits to the observed multifunctionality in response to aridity and shrub encroachments. To do so, we used the parameters of the best model to estimate multifunctionality values along the aridity 302 gradient studied under different scenarios, which were created by manipulating the 303 abundances of non-sprouting and sprouting shrubs. The first scenario considered only 304 the effects of aridity by fixing the abundance of both shrub types at 0%. The second 305 scenario focused on the interplay between aridity and encroachment by non-sprouting 306 shrubs. To do so, we fixed the abundance of sprouting shrubs at 0%, and simulated the 307 effects of aridity on non-sprouting shrubs. In the third scenario we simulated the 308 interactive effects of aridity and encroachment by sprouting shrubs. We fixed the 309 abundance of sprouting shrubs at 30% (an average abundance that can be observed 310 along the aridity gradient), and that of non-sprouting shrubs at 0% (to remove their 311 effect from the simulation).

Principal component analysis and stepAICc analyses were carried out using JMP
11 (The SAS Institute, Cary, North Carolina, USA); d-sep analyses were conducted
using the lm function in R (R Core Development Team, 2012).

315

#### 316 **RESULTS**

#### 317 **Functional structure of studied communities**

318 The CWM of the studied communities segregated along two PCA components, which 319 accounted for 62% of the total variance found in the data (Fig. 2a). The first component 320 (36% of the variance) separated communities according to their leaf trait values 321 (hereafter CW-leaf trait), with SLA and Br being negatively correlated to LDMC, LL 322 and LA. The first PCA component was negatively correlated with the abundance of S. 323 *tenacissima* ( $r^2=0.82$ , P value < 0.001; dot scale in Fig. 2), and discriminated grasslands 324 from shrublands. The second PCA component discriminated communities according to plant size traits (hereafter CW-size trait), with VH, LW and LS being negatively 325 326 correlated to BD.

Similarly to what was observed with CWM, the FD of the studied communities was explained by the two first PCA components, which accounted for 55% of the total variance in the data (Fig. 2b). The first component (31% of the variance) discriminated communities according to the FD of traits related to plant size (hereafter FD-size trait), such as FD-Br, FD-LS, FD-LW and FD-VH. The second PCA component (24% of the variance) segregated communities according to the FD of leaf traits (hereafter FD-leaf traits), such as FD-SLA, FD-LDMC, and FD-LT.

334

#### 335 Community response traits to aridity and shrub encroachment

336 The abundance of non-sprouting shrubs largely determined CW-leaf traits (73% of the 337 explained variance, Table 1) and the communities dominated by these species had 338 higher CW-SLA and -Br, and lower CW-LDMC, -LL, and -LA. These traits were also 339 significantly impacted by aridity and the abundance of sprouting shrubs, although to a 340 lesser extent (8% and 19% of the explained model variance, respectively; Table 1). A 341 quadratic relationship was observed between aridity and CW-leaf traits (Table 1). In contrast, CW-size traits were mostly driven by the abundance of sprouting shrubs 342 343 (Table 1). Communities with high CW-size traits were those dominated by tall 344 sprouting shrubs.

The abundance of sprouting shrubs largely impacted FD-size traits (97% of the variance explained), whose values peaked at intermediate levels of non-sprouting shrub abundance (Table 1). Finally, variations in FD-leaf traits were driven by the interplay of aridity and shrub abundance (Table 1). A positive quadratic relationship between aridity and FD-leaf traits ( $r^2=36\%$ ) indicated that the FD values of these traits peaked at low and high aridity levels. Sprouting shrubs tended to have a negative impact on FD-leaf traits ( $r^2=20\%$ ), while non-sprouting shrubs increased FD-leaf traits ( $r^2=44\%$ ).

#### 353 Linking community response traits to effect traits on multifunctionality

The model including the combined effects of CWM and FD (combined hypothesis) was the only model not rejected by the data (Fig. 3 and Table S3). This model explained 62% of the variation in multifunctionality. Importantly, it highlighted that the effects of shrub encroachment on multifunctionality were mostly indirect via its effects on the functional structure of the plant community (Fig. 4).

359 While aridity had a direct effect on multifunctionality, it also had a large 360 cascading effect by altering the functional structure of the studied communities. Aridity favoured the abundance of non-sprouting shrubs, which resulted in higher values of 361 362 CW-leaf traits (Fig. 3). Shifting leaf trait values toward higher SLA had a strong 363 adverse effect on multifunctionality. In contrast, the abundance of sprouting shrubs was 364 independent from aridity. Increasing the abundance of these shrubs changed the value of CW-size traits towards higher plant height. Such an increase did not directly impact 365 366 multifunctionality, but had an indirect effect via the changes it promoted in FD (Fig. 3). 367 Increasing the average size of the species in the community augmented the FD of size 368 traits, though decreased the FD of leaf traits, especially for intermediate values of CW-369 size traits (quadratic relationship). It must be noted that communities showing a high 370 variance in size traits were also characterized by high FD values of leaf traits. 371 Increasing FD values of both leaf and size traits generally increased multifunctionality. 372 However, a significant interaction between aridity and FD leaf traits was observed (Fig. 373 3). This indicates that the effect of these traits on multifunctionality shifted from 374 positive to negative under high aridity conditions. Finally, sprouting and non-sprouting 375 shrubs did not have a direct effect on multifunctionality (Fig. 4), suggesting that all their 376 effects on multifunctionality were explained by the functional traits measured.

#### 378 Model scenarios

379 In the sensitivity analyses of our final path model (Fig. 3), scenario 1 modelled the 380 direct effect of aridity on multifunctionality as it had fixed zero abundance of both types 381 of shrubs. In this case, multifunctionality directly decreased with increases in aridity 382 (orange line, Fig. 5). In scenario 2, we modelled the effects of aridity on the abundance 383 of non-sprouting shrubs (significant link in Fig. 3) and its consequences for 384 multifunctionality. Increasing the abundance of non-sprouting shrubs augmented CW-385 leaf traits, and strongly decreased multifunctionality, along the aridity gradient (green 386 line, Fig. 5). Finally, in scenario 3 we fixed the abundance of sprouting shrubs to 30% 387 to maintain high values of functional diversity along the aridity gradient and to model 388 its effects on multifunctionality. In this scenario, multifunctionality values remained 389 high for most of the aridity gradient, declining only under high aridity conditions (red 390 line, Fig. 5).

391

#### **392 DISCUSSION**

393 Our study represents a first attempt to evaluate how multiple traits mediated dryland 394 multifunctionality responses to two major global change drivers, i.e. aridity and shrub 395 encroachments. Dryland multifunctionality largely depends on the functional structure 396 of the plant communities. Our results indicate that mass ratio and niche 397 complementarity processes, as reflected by CWM and FD, respectively, were equally 398 important as drivers of multifunctionality responses to both aridity and shrub 399 encroachment (Fig. 3). Specifically, the two key findings from our study are: (i) high 400 FD improved the resistance of multifunctionality (i.e., the ability not to be affected by 401 something, especially adversely; Oxford Dictionaries, 2014) to increases in aridity; (ii) 402 two sets of simple plant functional traits (size traits like VH and leaf traits like SLA or 403 LDMC) can capture the effect of shrub encroachment on multifunctionality with a 404 relative high accuracy. Thus, this set of traits can be particularly helpful to identify 405 when and where shrub species affect multifunctionality positively or negatively, and to 406 clarify the contrasted results previously found in the literature regarding the effects of 407 shrub encroachment on ecosystem functioning (Eldridge *et al.*, 2011).

408

#### 409 Functional diversity enhances multifunctionality in drylands

410 Functional diversity within dryland communities improved ecosystem 411 multifunctionality, and accounted for a large fraction of the variation across 412 communities (42% of the effect on multifunctionality, Fig. 4). This result contrasts with 413 studies conducted in more mesic ecosystems, which highlighted the importance of CWM as a driver of ecosystem functioning (Garnier et al., 2004; Díaz et al., 2007; 414 415 Mokany et al., 2008). However, most studies addressing the relationship between FD 416 and ecosystem functioning conducted so far have considered single ecosystem functions 417 (e.g. productivity, Garnier et al., 2004 or soil carbon, Laliberté & Tylianakis, 2012, see 418 also de Bello et al., 2010 for a review). Our results suggest that FD and the associated 419 niche complementarity might be particularly important when considering multiple 420 ecosystem processes simultaneously (Mouillot et al., 2011).

In temperate ecosystems, the effect of high FD on ecosystem functioning has been generally associated to higher resource acquisition rates (Van Ruijven & Berendse, 2005) and resource use efficiency (Gross *et al.*, 2007a), temporal niche variability (Maire *et al.*, 2012) and plant soil feedbacks (Van der Heijden *et al.*, 2008). While future experiments are needed to identify the underlying mechanisms supporting the positive relationship between FD and ecosystem multifunctionality reported here, our results suggest that FD may improve multifunctionality in drylands via two distinctpathways:

(i) Increasing the functional diversity of size traits can lead to regular spatial
distributions of plants according to their size (Gross *et al.*, 2013), with tall
individuals being regularly spaced between each other. Such spatial distributions,
which are characteristic of dryland communities (Fowler, 1986), can limit runoff
and maximise soil infiltration and heterogeneity (Valentin *et al.*, 1999), thus
enhancing species diversity (Soliveres *et al.*, 2011) and maximising plant growth
and ecosystem functioning (Puigdefábregas *et al.* 1999).

(ii) High leaf trait diversity indicates the occurrence of contrasting leaf strategies 436 437 (Westoby et al., 2002) commonly found in Mediterranean systems (e.g., stress avoidance vs. tolerance; Ackerly et al., 2002; Freschet et al., 2011). Differences in 438 439 the leaf strategy of co-occurring species may have strong positive effects on ecosystem processes such as productivity (Gross et al., 2007a), carbon cycling 440 441 (Milcu et al., 2014), and litter decomposition rates (Bardgett & Shine, 1999; Cornwell et al., 2008). For instance, some studies have shown that increasing the 442 443 FD of litter positively influences microbial communities (Zak et al., 2003) and litter 444 decomposition rates (Vos et al., 2013), two potentially important factors for 445 maintaining and improving dryland multifunctionality.

446

#### 447 Multiple traits mediate the impact of mass ratio processes on multifunctionality

By considering multiple traits, our study showed that the outcomes of shrub encroachment can be explained by size-related and leaf traits. Shrub encroachment by sprouting shrubs species (such as *Q. coccifera*) had a positive cascading effect on multifunctionality, which was mediated by increasing CW-size trait values (Fig. 3), in 452 accordance with Maestre et al. (2009). Increasing plant size in dryland communities has 453 been shown to be strongly associated with an increase in FD size traits locally, and with 454 a high spatial heterogeneity of plant biomass within communities (Gross et al., 2013), 455 two features that can have potential positive effects on ecosystem functioning as 456 discussed above. Maestre et al. (2009) showed how large Ouercus species can increase 457 the availability of local soil resources under their canopy in semi-arid S. tenacissima 458 grasslands. The positive effects of these shrubs on local resources have been shown to 459 increase species diversity of the whole community (Maestre et al., 2009; Soliveres et 460 al., 2011), an important parameter reinforcing the positive effect of sprouting shrubs on 461 multifunctionality (Quero et al., 2013). Our results complement previous findings by 462 illustrating how sprouting shrubs can enhance functional diversity within dryland 463 communities, ultimately affecting multifunctionality.

464 CW-leaf trait values increased with an increase in the abundance of nonsprouting shrubs (Table 1). This had a negative impact on multifunctionality, 465 466 particularly in the most arid part of the gradient. The negative effect of fast growing species on multifunctionality can be explained by a negative plant soil feedback, as 467 468 suggested by Garnier et al. (2004). Negative relationships between SLA and soil 469 nutrient contents have previously been found in Mediterranean French grasslands 470 (Garnier et al., 2004) and along successional vegetation stages, where fast-growing 471 species are replaced by slow-growing species (Berendse, 1990). Higher growth and 472 nutrient acquisition rates may accelerate nutrient uptake from the soil (Lavorel & 473 Garnier, 2002). At the same time, plants with higher SLA may produce litter with 474 higher decomposition rates (Kazakou et al., 2006). Together with the reduction of litter 475 accumulation per unit of soil surface, these effects may accelerate nutrient loss at the 476 scale of the whole ecosystem (Garnier et al., 2004). This may be particularly true in the

477 most arid part of the aridity gradient, where the typical characteristics of the semi-arid 478 Mediterranean climate are worsened. For instance, the high variability of interannual 479 precipitation distribution promotes increases in water runoff during short periods 480 (Martínez-Mena et al., 2001) and increases soil erosion that might accelerate nutrient 481 loss (Martínez-Mena et al., 2002). In addition, the negative effect of fast growing 482 summer deciduous species on multifunctionality can be amplified via an effect on FD 483 size traits (e.g. the negative link between CW-leaf traits and FD-size traits in Fig. 3). 484 Summer deciduous species with a stress avoidance strategy can outcompete the more 485 stress-tolerant grass and shrub species (Gross et al., 2013) by producing allelopathic 486 compounds (as has been found for species such as Artemisia herba-alba, Escudero et 487 al., 2000). Competition between fast- and slow-growing species may decrease the 488 abundance of slow-growing sprouting shrubs and modify the size and spatial 489 distribution of plant biomass within communities (Gross et al., 2013). This situation may decrease the positive effects of sprouting shrubs on functional diversity, 490 491 accelerating species loss and affecting the functioning of the whole ecosystem (Maestre 492 et al., 2009).

493

#### 494 Importance of functional diversity for ecosystem resistance to increasing aridity

The sensitivity analysis allowed us to explore how aridity interplays with plant functional community structure to determine multifunctionality (Fig. 5). While aridity had a direct detrimental effect on multifunctionality (scenario 1, Fig.5, Delgado-Baquerizo *et al.*, 2013), this negative effect was further reinforced by the increase in abundance of non-sprouting shrubs, as favoured by increasing aridity (scenario 2, Fig. 5). Moreover, we found an interactive effect of aridity and FD-leaf traits on multifunctionality (Fig. 3), suggesting that the effects FD-leaf traits shifted from 502 positive to negative as aridity increased. At low aridity levels, high FD-leaf traits may 503 reflect the coexistence between fast growing species characterized by perennial leaves 504 (e.g. Brachypodium retusum), and stress-tolerant shrub or grass species (Frennette-505 Dussault et al., 2012) that maximised ecosystem multifunctionality. In contrast, under 506 high aridity conditions, the increase in FD-leaf traits observed reflected the increasing in 507 abundance of non-sprouting shrubs (see selection effect in Loreau & Hector, 2001), 508 characterized by high value of leaf traits (i.e. fast growing species with summer 509 deciduous, Gross et al., 2013) that may negatively affect ecosystem functioning.

510 An important result of our study was that high FD (enhanced by the occurrence 511 of sprouting shrubs in grasslands) strongly delayed the collapse of multifunctionality 512 under high aridity conditions. This was suggested by our sensitivity analysis (Fig. 5) 513 where high FD size traits were generally able to buffer the negative effects of aridity on 514 multifunctionality, hence increasing the ecosystem resistance to aridity. Our results 515 agree with previous experimental studies showing how higher species or functional 516 diversity can improve ecosystem resistance to global change drivers such as climate or 517 land use changes (Hooper et al., 2005; Isbell et al., 2011; Cardinale et al., 2012). 518 Understanding how the attributes of biotic communities mediate the resistance of 519 ecosystem structure and functioning to global change drivers is a major current 520 ecological research. By identifying how fundamental attributes of biotic community 521 predict ecosystem multifunctionality, our findings can be particularly useful for 522 developing mechanistic models aiming to predict ecosystem resistance to climate 523 change in drylands, which will increase the degree of aridity experienced by these 524 ecosystems worldwide (Feng & Fu, 2013).

525 We standardized our sampling design by selecting sites with similar soil, slopes 526 and aspect (south-facing slopes). Local variation in topo-edaphic conditions could

527 however alter plant community structure (Fonseca et al., 2000; Gross et al., 2008) and 528 multifunctionality. For instance, while we did not find any significant effect of slope on 529 multifunctionality, other local factors such as slope aspect, soil texture or bedrock type 530 could affect water availability (Fonseca et al., 2000; Gomez-plaza et al., 2001; Delgado-531 Baquerizo et al., 2013). Evaluating how local topo-edaphic factors interplay with 532 climatic/land use factors to determine the functional structure of dryland communities 533 and their effect on multifunctionality represents an important research front for the 534 future.

535

#### 536 **Concluding remarks**

537 Our work suggests that the functional traits of dominant species and their diversity 538 within communities modulate changes in multifunctionality in Mediterranean 539 ecosystems along gradients of aridity and shrub encroachment. We showed that 540 maintaining and enhancing FD (promoted by sprouting shrubs) in these ecosystems may 541 help to buffer negative effects of climate change on multifunctionality. We also 542 identified key traits that can predict accurately the outcome of shrub encroachment. Our 543 results contribute to resolve the existing debate in the literature on the contrasted effects 544 of shrub encroachment in drylands worldwide (e.g. Schlesinger et al., 1990; Maestre et 545 al., 2009). On the one hand, traits related to the size of the plant species reflected the 546 abundance of sprouting shrubs, which positively feedback on multifunctionality via 547 their positive effect of FD. On the other hand, leaf traits such as SLA were related to the 548 abundance of non-sprouting shrubs, which negatively impacted multifunctionality 549 (particularly at the driest part of the aridity gradient studied). These results suggest that 550 high values of SLA may typify those shrub species that are commonly associated to 551 advance land degradation and desertification in drylands (Eldridge et al. 2011).

552 Our results can be used to develop specific trait-based management and 553 restoration programs (Sandel *et al.*, 2011; Laughlin, 2014) aiming to buffer the effects 554 of climate change and shrub encroachment on multifunctionality. For instance, 555 reintroducing/favouring the development of plants with low SLA and/or high size, such 556 as sprouting shrubs, and enhancing local functional diversity would reverse or limit the 557 negative effects of increasing aridity and seasonal fast growing summer deciduous plant 558 species on multifunctionality.

559

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Table 1. Results of the stepwise procedure to evaluate functional community responses to aridity and shrub encro weighed mean (CWM) and functional diversity (FD) of leaf and size-related traits. We included a quadratic term when aridity index [precipitation/potential evapotranspiration]); Sprouting: Sum of abundances of all sprouting shrubs; abundances of all shrubs except the sprouting shrubs; est: Estimate; DF: degree of freedom; % of  $r^2$ : variance decompt the sum of squares. See Fig. S3 for details of the different relationships.

832

	CW-leaf traits						CW-size traits					FD-leaf traits				
Model r <sup>2</sup>			0.9					0.63					0.32			
	est	DF	Fratio	Pvalue	$\%$ of $r^2$	est	DF	Fratio	Pvalue	% of r <sup>2</sup>	est	DF	Fratio	Pvalue	$\%$ of $r^2$	est
Aridity	-6.842		28.08	< 0.0001	6.5						-7.755	1	5.39	0.0255	21.1	
Aridity <sup>2</sup>	65.8141		8.35	0.0063	1.9						113.7509	1	3.72	0.0611	14.6	
Non-sprouting	0.0301		294.35	< 0.0001	68.2						0.0041	1	0.78	0.3837	3	-0.0001
Non-sprouting <sup>2</sup>	0.0002		19.6	< 0.0001	4.5						-0.0005	1	10.52	0.0024	41.2	-0.0002
Sprouting	0.0273		80.92	< 0.0001	18.8	0.0523	1	76.93	< 0.0001	100	-0.0207	1	5.11	0.0295	20	0.0817
Sprouting <sup>2</sup>																-0.0019
Error		3	)				43					39				



Fig. 1. Hypothetical relationships between aridity, shrub encroachment and the 835 836 functional structure and multifunctionality of dryland communities. Aridity and shrub 837 encroachment directly impact multifunctionality (a). The left part of the figure 838 represents the response of the plant community to both variables (CWM: mean trait 839 distribution [b]; FD: functional diversity [c]; and co-variation between CWT and FD 840 [d]). Changes in community functional structure promote effects on multifunctionality 841 via two non-exclusive mechanisms, mass-ratio process (e) and niche complementary (f). 842 The red unimodal distributions represent a hypothetical trait distribution within a 843 community *i* in a given environmental condition. The blue distributions represent the 844 impact on trait distribution produced by contrasted levels of aridity and shrub 845 encroachment in a community *j* compared to community *i*. See Appendix S1 for a 846 detailed rationale of the different relationships depicted in the figure.



848 Fig. 2. Principal component analysis (PCA) of Community-Weighted Mean Trait (a) 849 and Functional Diversity values (b). Light grey dots represent communities dominated 850 by grass species, dark dots are communities dominated by shrub species. BD =851 branching density (number of main stems); Br = number of ramifications per stem; LA 852 = leaf area; LDMC = leaf dry matter content; LL = leaf length; LS = lateral spread; LT 853 = leaf thickness; LW = leaf width; SLA = specific leaf area; VH = vegetative height. 854 For each component we indicate the % of variance explained. See Table S5a for 855 correlations among Community-Weighted Trait values and Table S5b for correlations 856 among Functional Diversity Trait values. Furthermore, see Table S6a for correlation 857 between Community-Weighted Traits values and two mean components of a PCA (a) 858 and Table S6b for the different Functional Diversity Traits value traits values and two 859 mean components of the PCA (b).





Fig. 3. Directed acyclic graph depicting the causal relationships between aridity (1-861 862 aridity index [precipitation/potential evapotranspiration]), the abundance of sprouting 863 non-sprouting shrubs, the functional structure communities and of and 864 multifunctionality. The width of each arrow is proportional to the standardized path 865 coefficients. The continuous arrows represent linear effects and the discontinuous 866 arrows non-linear effects (quadratic relationships). The black dot represents the 867 interactive effects of aridity and FD leaf traits on multifunctionality. For the non-linear 868 effects the sign of the quadratic relationships is shown (positive (+) or negative (-), 869 whereas the standardized path coefficients for the latter are not available. CW = 870 Community Weighted Mean, and FD = Functional Diversity.





Fig. 4. Absolute effect sizes of the direct (blue bars), indirect (fuchsia bars), and total
effects (sum of direct and indirect, yellow bars) of aridity (1- aridity index
[precipitation/potential evapotranspiration]), the abundance of sprouting and nonsprouting shrubs and the functional structure of communities (CW = Community
Weighted Mean and FD = Functional Diversity) on multifunctionality.



879 Fig. 5. Results of the sensitivity analysis carried out to evaluate the relationships 880 between multifunctionality and aridity (1- aridity index [precipitation/potential 881 evapotranspiration]) under different scenarios varying the abundance of shrub species. 882 Scenario 1 (orange line) = grassland multifunctionality responses to aridity without 883 shrubs; Scenario 2 (green line) = the abundance of non-sprouting shrubs is increased in 884 grasslands and the abundance of sprouting shrubs is fixed at 0%; and Scenario 3 (red 885 line) = the abundance of sprouting and non-sprouting shrubs is fixed at 30% and 0%, 886 respectively. The shaded areas surrounding the lines are the 95% confidence intervals. 887 The grey dots represent the position of the communities sampled around the aridity 888 gradient.

#### 890 SUPPORTING INFORMATION

- 891 The following Supporting Information is available for this article:
- **Appendix S1.** Rationale of the different relationships depicted in Figure 1.
- Figure S1. Map with the location of the study sites along the aridity gradient evaluated.
- Figure S2. Differences between non-sprouting and sprouting shrubs.
- 895 Figure S3. Responses to aridity and shrub encroachment of community weighed traits
- 896 (CWT) and functional diversity (FD) evaluated with leaf and size related traits.
- 897 Figure S4. Relationships between our multifunctionality index (M) based on the
- 898 average of Z-scores of ecosystem functions and other multifunctionality indices.
- 899 **Table S1.** Main characteristics of the study sites.
- 900 **Table S2.** Stepwise procedure to evaluate the responses of community weighed mean
- 901 (CWM) and functional diversity (FD) evaluated with leaf and size related traits to
- 902 aridity and shrub encroachment.
- Table S3. Conditional independence tests applied in the different hypothesis of the d-sep model implied by the hypothesized path models.
- 905 Table S4. Results of Pearson correlation coefficients between the different soil 906 variables (our surrogates of ecosystem functions) used to calculate the 907 multifunctionality index.
- Table S5. Results of Pearson correlation coefficients among a) community-weighted
  trait values and b) functional diversity (FD) trait values.
- Table S6. Results of Pearson correlation coefficients between a) community-weighted
  (CW) traits values and two mean components of a Principal Component Analysis
  (component 1: CW-leaf traits and component 2: CW-size traits); b) functional diversity
  (FD) traits values and two mean components of a Principal Component Analysis
  (component 1: FD-size traits and component 2: FD- leaf traits).
- 915

#### 916 Appendix S1. Rationale of the different relationships depicted in Figure 1.

In the main text, we proposed a conceptual figure (Fig. 1) that summarizes causal relationship between aridity, shrub encroachment, dryland functional community structure and multifunctionality. This figure is adapted to drylands from the "response effect framework" developed by Lavorel & Garnier (2002). Below we describe the *a priori* justifications for all links (arrows) leading to endogenous variables (box with one or more arrows leading to it) present in Fig. 1 in the main text.

923 While aridity can directly alter ecosystem functioning (arrow f; Delgado-924 Baquerizo et al., 2013), the response-effect framework states that ecosystem responses 925 to global change drivers are largely mediated by 'indirect effects' via changes in 926 functional community structure, i.e. both community weighted mean (CWM) (Suding et 927 al., 2008) and functional diversity (Díaz et al., 2007). We first detail how aridity and 928 shrub encroachment may impact the functional structure of dryland communities via 929 two non-exclusive mechanisms (habitat filtering, Keddy, 1992; Maire et al., 2012 and 930 niche differentiation, Maire et al., 2012) (RESPONSE), then explain how those changes 931 may cascaded on multifunctionality (EFFECT). Justifications for each arrow are 932 grouped together for each endogenous variable.

#### 933 RESPONSE

*Arrow a:* In drylands, aridity has been reported to decrease the size of the plants and to
select species with slow growth rate (Cornwell & Ackerly, 2009; Freschet *et al.*, 2011).
However, for extreme aridity values, slow-growing and stress-tolerant species can be
replaced by fast growing summer deciduous species (stress avoidance species; (Freschet *et al.*, 2011; Gross *et al.*, 2013). Shrub encroachment in grasslands impacts on the
functional structure of drylands by increasing plant size (Eldridge *et al.*, 2011),
especially when encroachers are sprouting shrub species (Quero *et al.*, 2013). Shrub

941 encroachment can also impact leaf traits such as specific leaf area and C:N ratio
942 compared to grasslands. The impact in leaf traits depends on the species implies in
943 shrub encroachment, i.e. *Quercus coccifera* decrease C:N ratio compared to *Stipa*944 *tenacissima* (dominant species in grassland) (Ferran *et al.*, 2005).

945 Arrow b: aridity can favor high functional diversity (FD) by promoting contrasted

946 functional strategies to deal with stress, i.e. stress avoidance vs. stress tolerant species.

947 Arrow c: co-variation between changes in CWM and FD can be observed in drylands 948 due to the effect of biotic interactions (Gross et al., 2013). High functional diversity can 949 occur because dominant competitors with contrasted functional traits values can 950 spatially coexist within communities (Gross et al., 2013). Facilitation has also been 951 proposed to promote high FD (Gross et al., 2009) since well adapted dominant plant 952 species promote the persistence of mal-adapted plants under their canopy (Valiente-953 Banuet et al., 2006; Butterfield & Briggs, 2011; Gross et al., 2013). For instance, 954 tussock grass species such as *Stipa tenacissima* and tall sprouting shrubs (e.g. *Quercus* 955 coccifera) have been shown to increase species richness (Maestre et al., 2009; Soliveres 956 et al., 2011; Quero et al., 2013) and functional diversity (Gross et al., 2013) in drylands 957 as a result of facilitation (Maestre et al., 2001; García-Fayos & Gasque, 2002; Amat et 958 al., in press).

959

#### 960 *EFFECT*

*Arrow d*: in contrast, sprouting shrubs have been shown to increase multifunctionality
because their particular functional traits maximize multiple processes such as water
acquisition, water use efficiency, litter accumulation, and carbon storage (Maestre *et al.*,
2009; Eldridge *et al.*, 2011). Non-sprouting shrubs have been shown to decrease
multifunctionality, and in some cases accelerate desertification processes (Kefi *et al.*,

966	2007; Quero et al., 2013). However, which traits mediate these effects is currently
967	unknown.
968	Arrow e: recent studies have shown that species diversity may increase ecosystem
969	multifunctionality (e.g. Gamfeldt et al., 2008; Zavaleta et al., 2010; Maestre et al.,
970	2012; Vos et al., 2013). Mediterranean ecosystem are generally characterized by high
971	functional diversity respective to other biomes (Freschet et al., 2011) which, together
972	with the fact that functional diversity may increase ecosystem multifunctionality,
973	suggests that functional diversity might be important in driving multiple ecosystem
974	processes in drylands.
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Figure S1. Map with the location of the study sites along the aridity gradient evaluated.
Pictures indicate examples of the different types of communities along the aridity
gradient (1- aridity index [precipitation/potential evapotranspiration]): (A) Grassland
dominated by *Stipa tenacissima*; (B) Grassland with sprouting shrubs; (C) Shrublands
dominated by *Rosmarinus officinalis* with sprouting shrubs; (D) Shrublands dominated
by *Rosmarinus officinalis* with *Stipa tenacissima*; (E) Shrublands dominated by summer
deciduous shrubs species.



Quercus coccifera



- 1074
- 1075 Figure S2. Differences between non-sprouting and sprouting shrubs (based on Keeley
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1083 aridity (1- aridity index [precipitation/potential Figure S3. Responses to 1084 evapotranspiration]) and shrub encroachment of community weighed traits (CWT) and 1085 functional diversity (FD) evaluated with leaf and size related traits. Co-variations 1086 among traits are shown in Fig. 2 for CWT and FD. Community responses to: aridity (1-1087 Aridity Index [AI]) are shown in panels (a, d, g, j); responses to shrub encroachment 1088 separating sprouting shrub and non-sprouting shrub are shown in panels (b, e, h, k) and 1089 (c, f, i, l) respectively. The black solid line indicates significant (P < 0.05) relationships; 1090 the grey dash lines show 95% confidence intervals in these cases. We provided the R<sup>2</sup> of the linear regression in each panel. (\*) P < 0.09; \* P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001. 1091 1092



Figure S4. Relationships between our multifunctionality index based on the average of
Z-scores of ecosystem functions and other multifunctionality indices: a) the average of
multiple functions, previously standardized by dividing by the maximum (SD, Mouillot *et al.*, 2011); b) this average minus the standard deviation (SDSE, Pasari *et al.*, 2011);
and (c) the cross-multiplied ten soil variables previously standarized by dividing by the
maximum (CM, Bowker *et al.*, 2013).

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- 1103

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1115 **Table S1.** Main characteristics of the study sites. Climate variables were extracted from

1116 the Worldclim global database (www.worldclim.org) and Global Aridity Index

1117 (Trabucco and Zomer, 2009).

COD	LAT	LON	ELE	SLO	AMT	RAI	AI	ARIDITY	CBA	TCT	NSS	SS
1	37°35'35.04"N	1°13'56.57"W	76	4.25	17.8	294	0.241	0.759	55.750	44.250	100.000	0.000
2	37°47'57.23"N	1°18'13.80"W	405	21.00	16.5	339	0.277	0.724	60.875	36.792	23.920	5.234
3	38°03'55.91"N	1°31'37.33"W	445	7.75	16.1	341	0.279	0.721	65.568	34.432	94.271	1.301
4	38°09'54.31"N	1°30'32.36"W	446	14.00	16.0	345	0.280	0.720	70.417	28.500	100.000	0.000
5	37°55'23.46"N	1°28'12.62"W	443	2.34	16.1	344	0.281	0.719	41.208	57.875	77.811	0.000
6	37°43'36.22"N	1°47'04.56"W	476	9.15	15.9	339	0.284	0.716	48.250	46.708	96.607	0.000
7	37°43'16.79"N	1°50'13.15"W	487	0.50	15.9	341	0.286	0.714	41.208	27.083	75.812	0.000
8	37°48'01.14"N	1°18'19.54"W	406	15.75	16.1	353	0.290	0.710	50.917	36.750	45.242	0.101
9	37°49'16.16"N	1°40'25.49"W	654	2.88	15.1	378	0.320	0.680	50.250	48.750	18.977	12.824
10	37°49'17.92"N	1°40'20.26"W	651	1.25	15.1	378	0.320	0.680	55.083	44.917	29.648	0.000
11	38°18'30.88"N	0°57'47.68"W	504	15.40	15.5	398	0.334	0.666	47.944	27.621	58.922	23.991
12	39°02'54.74"N	2°13'49.56"W	779	4.50	13.7	415	0.351	0.649	53.167	46.500	7.421	0.000
13	37°38'02.03"N	2°02'22.61"W	863	15.96	14.4	405	0.351	0.649	62.146	37.686	96.874	0.000
14	39°07'39.03"N	2°20'42.50"W	780	11.75	13.8	420	0.355	0.645	58.333	40.583	60.038	22.109
15	39°59'31.33"N	3°37'08.21"W	597	14.50	14.5	412	0.355	0.645	16.075	66.758	17.909	32.187
16	39°59'33.27"N	3°37'21.13"W	579	10.25	14.5	412	0.355	0.645	43.417	48.708	1.998	0.000
17	39°12'27.14"N	2°30'53.16"W	750	1.75	13.8	422	0.355	0.645	50.000	48.250	58.179	0.000
18	39°02'17.65"N	2°15'28.45"W	806	8.25	13.6	423	0.357	0.644	58.070	41.930	37.077	62.923
19	39°12'31.60"N	2°30'50.71"W	752	3.50	13.8	426	0.359	0.641	31.850	62.775	31.337	27.736
20	38°47'30.67"N	1°43'03.70"W	845	16.25	13.5	422	0.362	0.638	56.000	31.167	81.305	0.850
21	40°21'17.25"N	2°52'38.77"W	625	10.25	13.6	405	0.364	0.636	49.625	45.208	62.574	0.000
22	40°21'16.74"N	2°52'42.07"W	629	8.00	13.6	405	0.364	0.636	41.250	57.417	49.258	14.229
23	39°03'10.77"N	2°34'20.10"W	851	4.75	13.4	446	0.378	0.622	42.500	57.500	73.618	0.000
24	40°12'31.61"N	3°25'08.99"W	621	14.75	14.0	432	0.379	0.621	18.558	57.858	7.506	14.238
25	40°12'31.82"N	3°25'05.49"W	616	22.00	14.0	432	0.379	0.621	18.167	63.877	2.924	0.000

26	40°11'09.64"N	3°30'10.31"W	615	17.75	14.1	429	0.381	0.619	40.825	56.633	8.584	19.673
27	40°11'09.96"N	3°30'12.47"W	617	17.50	14.1	429	0.381	0.619	26.100	67.233	0.116	0.000
28	40°19'11.97"N	3°25'36.61"W	631	18.75	13.9	436	0.388	0.613	29.292	49.800	39.086	0.000
29	40°15'36.74"N	3°29'07.82"W	667	21.75	13.9	437	0.390	0.610	34.250	62.625	48.925	23.337
30	40°19'36.26"N	3°25'26.11"W	650	20.75	13.8	439	0.391	0.609	30.375	53.917	36.285	28.510
31	40°06'43.61"N	3°27'46.77"W	735	18.50	13.7	441	0.393	0.607	35.583	64.417	0.667	51.772
32	39°00'05.34"N	2°50'17.28"W	903	3.25	13.2	467	0.393	0.607	73.188	24.134	97.801	2.199
33	38°35'18.98"N	1°11'58.12"W	815	18.50	13.9	444	0.394	0.606	50.125	42.292	34.445	0.000
34	38°35'17.60"N	1°12'13.62"W	833	14.50	13.7	454	0.398	0.602	51.661	36.191	35.269	5.469
35	39°00'35.82"N	2°39'46.40"W	945	6.23	13.0	468	0.402	0.598	79.500	15.167	100.000	0.000
36	39°52'38.65"N	2°47'17.05"W	833	14.75	12.9	458	0.406	0.594	41.205	55.267	60.353	20.866
37	40°22'09.69"N	3°23'19.23"W	715	12.75	13.1	453	0.408	0.592	43.792	56.208	71.422	4.502
38	40°09'29.88"N	2°53'20.75"W	811	21.00	12.8	448	0.409	0.591	35.845	63.977	39.060	40.724
39	38°45'54.99"N	1°01'12.60"W	770	10.75	14.0	457	0.413	0.587	55.333	38.500	84.806	0.000
40	39°32'19.20"N	1°48'08.23"W	905	8.50	12.4	466	0.415	0.585	57.729	34.195	62.174	16.180
41	40°04'5.62"N	2°54'02.61"W	899	18.75	12.6	462	0.416	0.584	21.958	67.833	22.202	20.648
42	40°04'24.98"N	2°53'56.71"W	895	21.50	12.5	465	0.416	0.584	29.833	60.500	25.365	0.000
43	39°51'42.62"N	2°32'37.48"W	870	14.75	12.5	479	0.416	0.584	49.750	50.000	72.257	0.000
44	40°01'04.90"N	2°52'45.67"W	878	20.00	12.5	470	0.419	0.581	43.178	56.155	35.845	26.909
45	37°53'32.49"N	1°42'11.46"W	1024	21.75	13.1	468	0.431	0.569	42.919	36.424	80.084	0.000
1118	COD = Code	e of the plot,	LAT	= latit	ude (V	VGS	84 datı	ım), LON	$V = lon_{ij}$	gitude (	WGS84	

1119 datum), ELE = elevation (m), SLO = slope (°), AMT = annual mean temperature, RAI =

1120 annual precipitation, AI = Aridity index (precipitation/potential evapotranspiration),

1121 ARIDITY = 1-AI, CBA= Cover of bare areas (%), TCT = total perennial cover (%),

1122 NSS= Relative cover of non-sprouting shrubs (%), SS= Relative cover of sprouting
1123 shrubs (%).

1124

1125

#### 1128 Literature Cited

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- 1133 Potential Evapo-Transpiration (Global-PET) Geospatial Database. CGIAR Consortium
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**Table S2.** Stepwise procedure to evaluate the responses of community weighed mean (CWM) and functional diversity (FD) evaluated with leaf and size related traits to aridity (1- aridity index [precipitation/potential evapotranspiration]) and shrub encroachment. We evaluated a quadratic term when it was significant. We also assessed the effect of slope on multifunctionality; this variable was not selected in any *a priori* models (data not shown) and thus was removed from the final analyses. Est: direction of relationship; DF: degree of freedom; % of  $r^2$ : variance decomposition analysis based on

1144 the sum of squares.

	model mass ratio		model niche complementary				model combined hypothesis					
		(hy	pothesis	s i)		(hypo	thesis ii)	)		(hyp	othesis i	ii)
Model r <sup>2</sup>			0.46	0.25 0.63				0.63				
	Est	DF	Fratio	Pvalue	Est	DF	Fratio	Pvalue	Est	DF	Fratio	Pvalue
Aridity(Ari)	-2.4	1	3.2	0.0824	1.8	1	0.6	0.4394	-1.2	1	0.5	0.4817
Ari <sup>2</sup>					-110.7	1	8.2	0.0069	-47.7	1	2.7	0.1090
CW-leaf traits	-0.4	1	32.8	< 0.0001					-0.4	1	39.7	< 0.0001
CW-size traits	0.1	1	4.8	0.0345								
Ari*CW-leaf tr	Ari*CW-leaf traits											
Ari*CW-size tr	aits											
Ari <sup>2</sup> *CW-leaf t	raits											
Ari <sup>2</sup> *CW-size t	raits											
FD-leaf traits					0.1	1	1.0	0.3213	0.2	1	4.3	0.0460
FD-size traits					0.2	1	5.2	0.0288	0.1	1	7.1	0.0111
Ari*FD-leaf tra	its				-1.8	1	1.2	0.2775	-2.1	1	3.3	0.0762
Ari*FD-size tra	its											
Ari <sup>2</sup> *FD-leaf tra	aits				-65.8	1	4.7	0.0368	-84.8	1	15.4	0.0004
Ari <sup>2</sup> *FD-size tr	aits											
error		41				38				37		

1146 <b>T</b>	able S3.	Conditional	independence	tests	applied i	n the	different	hypothesis	of the d-
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1147 sep model implied by the hypothesized path models.

D-sep claim of	Model formula \$	Ho	Р	С	P of C
Independence				statistic	(df)
Mass ratio (hypothesis i)				43.01	0.058
					(30)
$(NSS;SS)   \{ARI^2\}$	$SS \sim NSS + ARI^2$	NSS =0	0.0623		
$(NSS; CWM2) \{SS, ARI^2 \}$	$CWM2 \sim NSS + SS + ARI^2$	NSS=0	0.3794		
(NSS; FD1) {ARI <sup>2</sup> ,CWM1 <sup>2</sup> ,CWM2}	$FD1 \sim NSS + ARI^2 + CWM1^2 + \\$	NSS=0	0.5485		
	CWM2				
(NSS; FD2) {ARI <sup>2</sup> , CWM2 <sup>2</sup> , FD1}	$FD2 \sim NSS + ARI^2 + CWM2^2 + FD1$	NSS=0	0.428		
(NSS; M) {ARI <sup>2</sup> , CWM1, CWM2}	$M \sim NSS + ARI^2 + CWM1 + CWM2$	NSS=0	0.7582		
$(ARI;SS) \{\emptyset\}$	SS ~ ARI	ARI=0	0.1553		
(SS; FD2) {CWM2 <sup>2</sup> , FD1}	$FD2 \sim SS + CWM2^2 + FD1$	SS=0	0.7035		
$(SS;FD1) \{ARI^2,CWM1^2,CWM2\}$	$FD1 \sim SS + ARI^2 + CWM1^2 + CWM2$	SS=0	0.4695		
$(SS; M) \{ARI^2, CWM1, CWM2\}$	$M \sim SS + ARI^2 + CWM1 + CWM2$	SS=0	0.3703		
(ARI;CWM2) {SS}	$CWM2 \sim ARI + SS$	ARI=0	0.226		
$(ARI; FD2) \{CWM2^2, FD1\}$	$FD2 \sim ARI + CWM2^2 + FD1$	ARI=0	0.4835		
(CWM1; CWM2) {SS,NSS, ARI}	CWM2 ~ CWM1 + SS + NSS + ARI	CWM1=0	0.1478		
(CWM1;FD2) {SS,NSS, ARI,	$FD2 \sim CWM1 + SS + NSS + ARI +$	CWM1=0	0.7359		
CWM2 <sup>2</sup> , FD1}	CWM2 <sup>2</sup> + FD1				
(FD1; M) {CWM1 <sup>2</sup> , CWM2, ARI <sup>2</sup> }	$M{\sim}FD1+CWM1^2+CWM2+ARI^2$	FD1=0	0.5956		
$(FD2; M) \{CWM1, CWM2^2, AR1^2,$	$M \sim FD2 + CWM1 + CWM2^2 + AR1^2$	FD2=0	0.0008		
FD1}	+ FD1				
Niche complementary (hypothesis ii)				86.34	< 0.0001
					(30)
$(NSS;SS)   \{ARI^2\}$	$SS \sim NSS + ARI^2$	NSS =0	0.0623		
$(NSS; CWM2) \{SS, ARI^2 \}$	$CWM2 \sim NSS + SS + ARI^2$	NSS=0	0.3794		
(NSS; FD1) {ARI <sup>2</sup> ,CWM1 <sup>2</sup> ,CWM2}	$FD1 \sim NSS + ARI^2 + CWM1^2 + \\$	NSS=0	0.5485		
	CWM2				
(NSS; FD2) {ARI <sup>2</sup> , CWM2 <sup>2</sup> , FD1}	$FD2 \sim NSS + ARI^2 + CWM2^2 + FD1$	NSS=0	0.428		

					(28)
Combined hypothesis (hypothesis iii)				26.97	0.520
FD2+(AI*FD <sup>2</sup> )+(AI2*FD <sup>2</sup> )}	$FD2 + (AI*FD^2) + (AI2*FD^2)$				
(CWM2; M) {ARI <sup>2</sup> , SS, FD1,	$M \sim CWM2 + ARI^2 + SS + FD1 +$	FD2=0	0.2424		
$FD2+(AI*FD^2)+(AI2*FD^2)\}$	$+ FD2 + (AI*FD^2) + (AI2*FD^2)$				
(CWM1; M) {ARI <sup>2</sup> , NSS, SS, FD1,	$M \sim CWM1 + ARI^2 + NSS + SS + FD1$	FD1=0	< 0.0001		
CWM2 <sup>2</sup> , FD1}	CWM2 <sup>2</sup> + FD1				
(CWM1;FD2) {SS,NSS, ARI,	$FD2 \sim CWM1 + SS + NSS + ARI +$	CWM1=0	0.7359		
(CWM1; CWM2) {SS,NSS, ARI}	$CWM2 \sim CWM1 + SS + NSS + ARI$	CWM1=0	0.1478		
(ARI; FD2) {CWM2 <sup>2</sup> , FD1}	$FD2 \sim ARI + CWM2^2 + FD1$	ARI=0	0.4835		
(ARI;CWM2) {SS}	$CWM2 \sim ARI + SS$	ARI=0	0.226		
FD2+(AI*FD <sup>2</sup> )+(AI2*FD <sup>2</sup> )}	$(AI*FD^2) + (AI2*FD^2)$				
(SS; M) {ARI <sup>2</sup> , FD1,	$M\sim SS+ARI^2+FD1+FD2+\\$	SS=0	0.1372		
$(SS; FD1) \{ARI^2, CWM1^2, CWM2\}$	$FD1 \sim SS + ARI^2 + CWM1^2 + CWM2$	SS=0	0.4695		
$(SS; FD2) \{CWM2^2, FD1\}$	$FD2 \sim SS + CWM2^2 + FD1$	SS=0	0.7035		
$(ARI;SS) \{\emptyset\}$	$SS \sim ARI$	ARI=0	0.1553		
$FD2+(AI*FD^2)+(AI2*FD^2)\}$	$(AI*FD^2) + (AI2*FD^2)$				
(NSS; M) {ARI <sup>2</sup> , FD1,	$M \sim NSS + ARI^2 + FD1 + FD2 +$	NSS=0	< 0.0001		

$(NSS;SS)   \{ARI^2\}$	$SS \sim NSS + ARI^2$	NSS =0	0.0623	
$(NSS; CWM2) \{SS, ARI^2 \}$	$CWM2 \sim NSS + SS + ARI^2$	NSS=0	0.3794	
(NSS; FD1) {ARI <sup>2</sup> ,CWM1 <sup>2</sup> ,CWM2}	$FD1 \sim NSS + ARI^2 + CWM1^2 +$	NSS=0	0.5485	
	CWM2			
$(NSS; FD2) \{ARI^2, CWM2^2, FD1\}$	$FD2 \sim NSS + ARI^2 + CWM2^2 + FD1$	NSS=0	0.428	
(NSS; M) {ARI <sup>2</sup> , CWM1, FD1,	$M \sim NSS + ARI^2 + CWM1 + FD1 +$	NSS=0	0.5217	
FD2+(AI*FD2)+(AI <sup>2</sup> *FD2)}	$FD2 + (AI*FD2) + (AI^{2}*FD2)$			
$(ARI;SS) \{\emptyset\}$	$SS \sim ARI$	ARI=0	0.1553	
$(SS; FD2) \{CWM2^2, FD1\}$	$FD2 \sim SS + CWM2^2 + FD1$	SS=0	0.7035	
$(SS; FD1) \{ARI^2, CWM1^2, CWM2\}$	$FD1 \sim SS + ARI^2 + CWM1^2 + CWM2$	SS=0	0.4695	
(SS; M) {ARI <sup>2</sup> , CWM1, FD1,	$M\sim SS+ARI^2+CWM1+FD1+\\$	SS=0	0.974	
FD2+(AI*FD2)+(AI <sup>2</sup> *FD2)}	$FD2 + (AI*FD^2) + (AI2*FD^2)$			
(ARI;CWM2) {SS}	$CWM2 \sim ARI + SS$	ARI=0	0.226	
	C W W Z = M C + B B			

0.1478	CWM1=0	$CWM2 \sim CWM1 + SS + NSS + ARI$	(CWM1; CWM2) {SS,NSS, ARI}
0.7359	CWM1=0	$FD2 \sim CWM1 + SS + NSS + ARI +$	(CWM1;FD2) {SS,NSS, ARI,
		CWM2 <sup>2</sup> + FD1	CWM2 <sup>2</sup> , FD1}
0.8082	FD2=0	$M \sim CWM2 + ARI^2 + SS + CWM1 +$	(CWM2; M) {ARI <sup>2</sup> , SS, CWM1,FD1,
		$FD1 + FD2 + (AI*FD^2) + (AI2*FD^2)$	FD2+(AI*FD2)+(AI*FD2)}

1148	<i>Notes:</i> Key to variables: NSS = non-sprouting shrubs, SS = sprouting shrubs, ARI = (1-
1149	aridity index [precipitation/potential evapotranspiration]), CWM1 = community
1150	weighted leaf trait, CWM2 = community weighted size trait, FD1 = functional
1151	dispersion size trait, FD2 = functional dispersion leaf trait, M = Multifunctionality
1152	index. \$ = The associated mixed model regression for each d-sep claim using the lm
1153	function in R to test the independence claims. The $P$ value is obtained by comparing the
1154	value of the C statistic for each hypothesis to a chi-square distribution with the same
1155	degrees of freedom - note that a model is rejected if the C statistic is significantly
1156	different from the $\chi^2$ value.

- 1158 Table S4. Results of Pearson correlation coefficients between the different soil
- 1159 variables (our surrogates of ecosystem functions) used to calculate the
- 1160 multifunctionality index.

	ORC	HEX	PEN	BGL	TP	P_HCL	AVP	FOS	TON	AVN	AMI	PRO
ORC												
HEX	0.45**											
PEN	0.03	0.02										
BGL	0.48**	0.64**	-0.20									
TP	-0.09	-0.25	-0.11	-0.03								
P_HCL	-0.41**	-0.21	-0.24	0.07	0.12							
AVP	0.00	0.16	-0.29	0.36*	0.45**	0.10						
FOS	0.72**	0.55**	-0.03	0.67**	-0.09	-0.17	0.10					
TON	0.88**	0.46**	-0.10	0.64**	0.10	-0.29	0.14	0.76**				
AVN	0.52**	0.60**	-0.06	0.84**	-0.01	-0.12	0.24	0.63**	0.69**			
AMI	0.52**	0.72**	-0.21	0.80**	-0.03	-0.10	0.28	0.60**	0.66**	0.82**		
PRO	0.17	0.64**	0.00	0.55**	-0.19	-0.13	0.07	0.30*	0.26	0.47**	0.42**	
MIN	0.40**	0.50**	-0.29	0.71**	0.10	0.06	0.35*	0.47**	0.53**	0.61**	0.77**	0.16

1162 Significance levels are as follows: \* p < 0.05 and \*\* and p < 0.01.

1163 ORC: organic carbon; HEX: hexoses; PEN: pentoses; BGL: β-glucosidase extracellular

1164 activity; TP: total phosphate; P\_HCL: Olsen phosphate (inorganic P – HCL 1M); AVP:

1165 available inorganic phosphate; FOS: phosphatase activity; TON: total nitrogen; AVN:

1166 total available nitrogen; AMI: aminoacids; PRO: proteins and MIN: net potential

1167 mineralization rate.

1169 **Table S5.** Results of Pearson correlation coefficients among a) community-weighted

1170 trait values and b) functional diversity (FD) trait	values.
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a)	SLA	VH	LA	LT	LW	LMDC	Br	BD	LS
SLA									
VH	-0.30*								
LA	-0.49**	0.41**							
LT	0.05	-0.34*	-0.30*						
LW	-0.09	0.71**	0.40**	-0.28					
LMDC	-0.72**	0.17	0.56**	-0.33*	0.09				
Br	0.35*	-0.04	-0.56**	0.31*	0.13	-0.54**			
BD	0.22	-0.48**	-0.33*	0.18	-0.41**	0.00	-0.20		
LS	-0.10	0.78**	0.25	-0.24	0.83**	0.02	0.17	-0.32*	
LL	-0.54**	0.29	0.77**	-0.31*	0.19	0.70**	-0.83**	0.09	0.14

1171

b)	FDSLA	FDVH	FDLA	FDLT	FDLW	FDLMDC	FDBr	FDBD	FDLS
FDSLA									
FDVH	0.27								
FDLA	0.23	-0.05							
FDLT	0.43**	0.08	-0.02						
FDLW	-0.13	0.43**	0.25	-0.16					
FDLMDC	0.35*	0.22	0.18	0.64**	-0.06				
FDBr	0.14	0.58**	0.06	0.06	0.58**	0.23			
FDBD	0.25	0.51**	-0.16	0.20	-0.07	0.21	0.27		
FDLS	-0.13	0.47**	0.01	-0.14	0.71*	-0.07	0.57**	0.26	
FDLL	0.27	0.25	0.43**	0.06	0.37*	0.45**	0.59**	-0.04	0.28
ac.	1	1	0 11			1 4 4 1		1	

1172 Significance levels are as follows: \* p < 0.05 and \*\* and p < 0.01.

1173 SLA = specific leaf area; VH = vegetative height; LA = leaf area; LT = leaf thickness;

1174 LW = leaf width; LDMC = leaf dry matter content; Br = number of ramifications per

1175 stem; BD = branching density (number of main stems); LS = lateral spread; LL = leaf

1176 length.

**Table S6.** Results of Pearson correlation coefficients between a) different communityweighted (CW) traits values and two mean components of a Principal Component Analysis (component 1: CW-leaf traits and component 2: CW-size traits); b) different functional diversity (FD) traits values and two mean components of a Principal Component Analysis (component 1: FD-size traits and component 2: FD- leaf traits).

	a)	CW-leaf traits	CW-size traits
	SLA	0.69**	-0.14
	VH	-0.23	0.87**
	LA	-0.77**	0.36*
	LT	0.38*	-0.34*
	LW	-0.08	0.90**
	LMDC	-0.85**	0.02
	Br	0.84**	0.23
	BD	-0.02	-0.64**
	LS	-0.01	0.89**
	LL	-0.94**	0.08
1183			
	b)	FD-size traits	FD- leaf traits
	FDSLA	0.02	0.74**
	FDVH	0.69**	0.29
	FDLA	0.19	0.20
	FDLT	-0.14	0.78**
	FDLW	0.84**	-0.22
	FDLMDC	0.09	0.83**
	FDBr	0.84**	0.21
	FDBD	0.26	0.39**
	FDLS	0.84**	-0.19

FDLL 0.57\*\*

1184 Significance levels are as follows: \* p < 0.05 and \*\* and p < 0.01.

1185 SLA = specific leaf area; VH = vegetative height; LA = leaf area; LT = leaf thickness;

1186 LW = leaf width; LDMC = leaf dry matter content; Br = number of ramifications per

1187 stem; BD = branching density (number of main stems); LS = lateral spread; LL = leaf

1188 length.

0.38\*